

Emission estimate methodology for maritime navigation

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ABSTRACT

The paper reports about the methodology for estimate emissions from navigation, recently updated in the frame of maintenance of the EMEP/EEA air pollutant emission inventory guidebook¹ (the Guidebook). Emissions can be estimated at different levels of complexity. In the Guidebook these are expressed in three tiers of increasing complexity (in similar way with the 2006 IPCC Intergovernmental Panel on Climate Change Guidelines for National Greenhouse Gas Inventories).

The paper briefly introduces the different tiers and describes in detail the most complete methodology (tier 3) for estimate emission in cruise (open sea), manoeuvring (approaching harbours) and hotelling (at the dock in port).

The methodology uses both installed capacity and fuel consumption as alternative for the emissions estimates and take into account both the main and auxiliary engines.

Where fuel consumptions are know the emissions can be computed with fuel related emission factors for the different navigation phases (cruise, hotelling, manoeuvring).

Where fuel consumptions are not know, a specific methodology is proposed for computing emissions based on installed power. Finally, when installed power is not know specific functions are proposed to evaluate installed power from gross tonnage. The functions are derived, for different ship types, using data on about 100.000 ships from Lloyd's register database.

Finally simplified methodologies are introduced for use when detailed information is not available.

INTRODUCTION

A complete methodology for the estimate of air pollutant emissions from ships, in port environment and in navigation, has been developed for the first in the framework of MEET Project (Methodologies for estimating air pollutant emissions from transport) under the transport RTD program of the European Commission fourth framework program². The methodology was reviewed and updated in 2006³.

In the last ten years, the general approach adopted by the MEET project was discussed and used with additions and corrections in different contexts^{4,5,6,7}.

Actually the EMEP/EEA air pollutant emission inventory guidebook (Guidebook) and more precisely in Chapter 1.A.3.d Navigation (Navigation chapter), can be considered as a reference for emission estimate at international level. The Guidebook is designed to facilitate reporting of emission inventories by countries to the UNECE Convention on Long-range Transboundary Air Pollution and the EU National Emission Ceilings Directive. It is also recommended by IPCC Guidelines as a source of air pollution emission factors for the indirect greenhouse gases that Parties report under UNFCCC. The Task Force on Emission Inventories and Projections (TFEIP) is responsible for the on-going maintenance and improvement of the Guidebook's technical content.

Emissions from Navigation can be estimated at different levels of complexity. Within the 2006 IPCC (Intergovernmental Panel on Climate Change) Guidelines for National Greenhouse Gas Inventories (IPCC Guidelines) and adopted by the Guidebook, these are expressed in three tiers of increasing complexity.

The 'Tier 1' method is a 'simple' method using default emission factors only. To upgrade a Tier 1 to a Tier 2 method, the default emission factors should be replaced by country-specific or technology-specific emission factors. This might also require a further split of the activity data over a range of different technologies, implicitly aggregated in the Tier 1 method. A Tier 3 method could be regarded as

a method that uses the latest scientific knowledge in more sophisticated approaches and models; more detailed definitions follow.

The paper, after recalling the international regulations, reports and discusses the estimate methods (tiers) for the navigation of the Guidebook and related information: literature review of the emissions estimates methodology and emission factors and statistical analysis of Lloyd's database (years 1997 and 2010) for world fleet characterization regarding fuel consumption and others parameters.

INTERNATIONAL REGULATIONS

The Marine Environment Protection Committee (MEPC) of International Marine Organization (IMO) has approved amendments to Marpol (short for 'marine pollution', International Convention for the Prevention of Pollution from Ships) Annex VI in October 2008 in order to strengthen the emission standards for NO_x and the sulphur contents of heavy fuel oil used by ship engines.

The current Marpol 73/78 Annex VI legislation on NO_x emissions, formulated by IMO is relevant for diesel engines with a power output higher than 130 kW, which are installed on a ship constructed on or after 1 January 2000 and diesel engines with a power output higher than 130 kW which undergo major conversion on or after 1 January 2000.

The Marpol Annex VI, as amended by IMO in October 2008, considers a three tiered approach as:

- Tier I: diesel engines (> 130 kW) installed on a ship constructed on or after 1 January 2000 and prior to 1 January 2011;
- Tier II: diesel engines (> 130 kW) installed on a ship constructed on or after 1 January 2011;
- Tier III: diesel engines (> 130 kW) installed on a ship constructed on or after 1 January 2016.

The Tier I–III NO_x legislation values rely on the rated engine speeds (n) given in RPM (revolution per minute). The emission limit equations are shown in Table 1.

For ships operating in a designated Emission Control Area. Outside a designated Emission Control Area, Tier II limits apply.

Table 1 - Tier I-III NO_x emission limits for ship engines (amendments to Marpol Annex VI)

Regulation	NO _x limit	(revolution per minute)
Tier I	17 g/kWh	n < 130
	$45 \times n^{0.2}$ g/kWh	$130 \leq n < 2000$
	9,8 g/kWh	n ≥ 2000
Tier II	14.4 g/kWh	n < 130
	$44 \times n^{-0.23}$ g/kWh	$130 \leq n < 2000$
	7.7 g/kWh	n ≥ 2000
Tier III	3.4 g/kWh	n < 130
	$9 \times n^{-0.2}$ g/kWh	$130 \leq n < 2000$
	2 g/kWh	n ≥ 2000

Tier I limits have to be applied for existing engines with a power output higher than 5 000 kW and a displacement per cylinder at or above 90 liters, installed on a ship constructed on or after 1 January 1990 but prior to 1 January 2000, provided that an Approved Method for that engine has been certified by an Administration of a Party and notification of such certification has been submitted to the Organization by the certifying Administration.

In relation to the sulphur content in heavy fuel and marine gas oil used by ship engines, Table 2 shows the current legislation in force.

In European Union the legislation is contained in the following directives:

- Council Directive 93/12/EEC of 23 March 1993 relating to the sulphur content of certain liquid fuels, Official Journal L 074, 27/03/1993;
- Council Directive 1999/32/EC of 26 April 1999 relating to a reduction in the sulphur content of certain liquid fuels and amending Directive 93/12/EEC, Official Journal L 121, 11/05/1999;

- Directive 2005/33/EC of the European Parliament and of the Council of 6 July 2005 amending Directive 1999/32/EC, Official Journal L 191 , 22/07/2005.

Table 2 - Current regulation in relation to marine fuel quality

Legislation	Region	Heavy fuel oil		Gas oil	
		S-%	Impl. date	S-%	Impl. Date
Marpol Annex VI	SECA — Baltic sea	1.5	19.05.2006		
	SECA — North sea	1.5	21.11.2007		
	Outside SECA	4.5	19.05.2006		
Marpol Annex VI amendments	SECA	1	01.03.2010		
	SECA	0.1	01.01.2015		
	Outside SECA	3.5	01.01.2012		
		0.5	01.01.2020 ²		
EU-Directive 1993/12		None		0.2 ¹	1.10.1994
EU-Directive 1999/32		None		0.2	1.1.2000
EU-Directive 2005/33	SECA — Baltic sea	1.5	11.08.2006	0.1	1.1.2008
	SECA — North sea	1.5	11.08.2007	0.1	1.1.2008
	Outside SECA's	None		0.1	1.1.2008

Notes

1. Sulphur content limit for fuel sold inside EU.
2. Subject to a feasibility review to be completed no later than 2018, to determine the availability of fuel oil to comply with the fuel oil standard set forth in the Amendment. If the conclusion of such a review becomes negative the effective date would default 1 January 2025.

DETAILED EMISSION ESTIMATES PROCEDURES

In the following a detailed “ship movement” methodology is described (the methodology is quoted as “Tier 3” in EMEP/EEA air pollutant emission inventory guidebook). This methodology must be used when detailed ship movement data as well as technical information on the ships (e.g. engine size and technology, power installed or fuel use, hours in different activities) are available.

For commercial vessels, the methodology calculates the emissions from navigation by summing the emissions on a trip by trip basis. For a single trip the emissions can be expressed as:

$$E_{\text{Trip}} = E_{\text{Hotelling}} + E_{\text{Manouvering}} + E_{\text{Cruising}}$$

The total inventory is the sum over all trips of all vessels during the year. In practice it may be that data is collected for a representative sample of vessels over trips over a representative period of the year. In this case the summed emissions should be scaled up to give the total for all trips and vessels over the whole year.

Two different procedures can be used to estimate emission: starting with fuel consumptions or engines power.

When fuel consumptions for each phase is known, then emissions of pollutant *i* can be computed for a complete trip by:

$$E_{\text{Trip},i,j,m} = \sum_p \left(FC_{j,m,p} \times EF_{i,j,m,p} \right)$$

where:

E_{Trip} = emission over a complete trip (tonnes),

FC = fuel consumption (tonnes),

EF = emission factor (kg/tonne),

i = pollutant.

j = engine type (slow-, medium-, and high-speed diesel, gas turbine and steam turbine).

m = fuel type (bunker fuel oil, marine diesel oil/marine gas oil (MDO/MGO), gasoline),

p = the different phase of trip (cruise, hotelling, manoeuvring).

The following steps are required to estimate emissions from fuel consumptions. This procedure is applicable only where detailed information about fuel consumptions for each ship/engine type combination in the different navigation phases is available; otherwise use the engine power based procedure below.

1. Obtain fuel consumption for each individual ship, engine type/fuel class and ship activity. This may be done for the whole year or a representative sample of the year, for all ships or for a representative sample of the ships for each ship category and engine type/fuel class. This choice will depend on the resources available and the required accuracy of the study.
2. Calculate emissions for each ship category and engine type/fuel class multiplying by the emission factors (see Table 3).

When fuel consumption per trip phase is not known, then a different methodology is proposed for computing emissions, based on installed power and time spent in the different navigation phases. Emissions can be calculated from a detailed knowledge of the installed main and auxiliary engine power, load factor and total time spent, in hours, for each phase using the following equation.

$$E_{Trip,i,j,m} = \sum_p \left[T_p \sum_e \left(P_e \times LF_e \times EF_{e,i,j,m,p} \right) \right]$$

where:

E_{Trip} = emission over a complete trip (tonnes),

EF = emission factor (kg/kW),

LF = engine load factor (%),

P = engine nominal power (kW),

T = time (hours),

e = engine category (main, auxiliary),

i = pollutant (NO_x, NMVOC, PM),

j = engine type (slow-, medium-, and high-speed diesel, gas turbine and steam turbine).

m = fuel type (bunker fuel oil, marine diesel oil/marine gas oil, gasoline),

p = the different phase of trip (cruise, hotelling, manoeuvring).

The cruise time, if unknown, can be calculated as:

$$T_{Cruising}(\text{hours}) = \frac{\text{Distance Cruised (km)}}{\text{Average Cruising Speed (km/hr)}}$$

The following steps are required to estimate emissions from engine power.

1. Obtain ship movement data: place of departure, place of arrival, time of departure and time of arrival for each individual ship. This may be done for the whole year or a representative sample of the year, for all ships or for a representative sample of the ships. This choice will depend on the resources available and the required accuracy of the study.
2. Determine the sailing routes and distances between ports. This may be done individually or fitted into the main shipping lanes. A GIS (Geographical Information System) is useful, but not necessary, for this task. If a GIS is not available, there are standard distance tables for distances between main ports
3. Characterize each ship by category (as in Table 6) and engine type/fuel class (if unknown use Table 7) and record the installed main or auxiliary engine power. A ships register, giving the size and engine type of individual ships, is useful for this. Such a register of the national fleet should be available in most countries but usually only covering national ships. Lloyds Register's Register of Ships will provide details of national and international shipping greater than 100 GT. If engine power is unknown, and only gross tonnage (GT) is available, installed main engine power can be obtained from Table 8 (with reference to 1997 world fleet, 2010 world fleet and 2006 Mediterranean Sea fleet) and then installed auxiliary engine power from Table 9 (with reference to 2010 world fleet and 2006 Mediterranean Sea fleet while 1997 world fleet data are not available).
4. Determine the total sailing time for each ship category and engine type/fuel class, either based on the distance and average cruise speed (Table 10) or time of departure and arrival. The choice should be based on an assessment of the quality of the data.
5. Determine total hotelling and manoeuvring time for each ship category and engine type/fuel class by port survey or on the basis of average time spent values reported in Table 10.
6. Calculate emissions for each ship category and engine type/fuel class multiplying total time spent in each phases as determined in previous steps 4 and 5 by the installed main and auxiliary engine

power, for each ship category, calculated as determined in step 3, load factors (and for main engine % time of operation) from Table 11 and emission factors from Table 4.

EMISSION FACTORS

NO_x, NMVOC and PM emission factors are available for the individual engine/fuel type combinations are reported in Table 3 in units of mass of pollutant per tonne of fuel and in Table 4 in units of mass of pollutant per kWh. In this table, the specific fuel consumption is also given.

In the tables different NO_x emissions factors are reported for 2000 and 2005. The emission factors for 2000⁸ are representative of the fleet before application of IMO NO_x Technical Code, while 2005 value (according Entec⁶) are obtained from the year 2000 NO_x emission factors with a reduction of 3.4% to account for the new engines introduced by 2005.

The reduction is obtained starting from 2005 European Commission study⁵ that assumed that a new engine meeting the requirements of the NO_x Technical Code has roughly 17% lower NO_x emissions than a pre-2000 engine. To obtain emission factors for 2005 fleet, as is not possible to establish the number of annual engine replacements within the fleet, the number of new low NO_x engines in the fleet is assumed only to coincide with new vessels. Between 2000 and 2005 the average annual rate of replacement for vessels is evaluated⁶ to be 4%, on the basis that the overall fleet size remains constant (the approximate life cycle for a marine engine is assumed to be 25 years, which is equivalent to an annual replacement rate of 4%; in each of the 5 years, 4% of the fleet has new engines with 17% lower NO_x: 5 x 4% x 17% = 3.4%).

Table 3 - Emission factors for NO_x, NMVOC, PM for different engine types/fuel combinations and vessel trip phases (cruising, hotelling, manoeuvring)

Engine	Phase	Engine type	Fuel type	NO _x EF 2000 (kg/tonne)	NO _x EF 2005 (kg/tonne)	NMVOC EF (kg/tonne)	TSP PM ₁₀ PM _{2.5} EF (kg/tonne)
Main	Cruise	Gas turbine	BFO	20.0	19.3	0.3	0.3
			MDO/MGO	19.7	19.0	0.3	0.0
		High-speed diesel	BFO	59.6	57.7	0.9	3.8
			MDO/MGO	59.1	57.1	1.0	1.5
		Medium-speed diesel	BFO	65.7	63.4	2.3	3.8
			MDO/MGO	65.0	63.1	2.4	1.5
	Slow-speed diesel	BFO	92.8	89.7	3.0	8.7	
		MDO/MGO	91.9	88.6	3.2	1.6	
	Manoeuvring Hotelling	Steam turbine	BFO	6.9	6.6	0.3	2.6
			MDO/MGO	6.9	6.6	0.3	1.0
		Gas turbine	BFO	9.2	8.9	1.5	4.5
			MDO/MGO	9.1	8.8	1.5	1.6
		High-speed diesel	BFO	43.6	39.7	2.5	10.3
			MDO/MGO	43.0	44.3	2.6	4.0
Medium-speed diesel		BFO	47.9	46.2	6.3	10.3	
		MDO/MGO	47.5	45.7	6.6	4.0	
Slow-speed diesel	BFO	67.4	65.1	8.2	11.2		
	MDO/MGO	66.7	64.2	8.6	4.4		
Steam turbine	BFO	5.1	4.8	0.9	7.1		
	MDO/MGO	5.0	5.0	0.9	2.8		
Auxiliary	Cruise	High-speed diesel	BFO	51.1	49.4	1.7	3.5
			MDO/MGO	50.2	48.6	1.8	1.4
	Manoeuvring Hotelling	Medium-speed diesel	BFO	64.8	62.5	1.7	3.5
			MDO/MGO	64.1	62.0	1.8	1.4

BFO –Bunker Fuel Oil, MDO –Marine Diesel Oil, MGO –Marine Gas Oil

Source: Entec^{6,8}, the emission factors for NMVOC was been derived as 98 % of the original HC emission factors value, based on reported CH₄ factors from IPCC⁹.

Table 4 - Emission factors for NO_x, NMVOC, PM and Specific Fuel Consumption for different engine types/fuel combinations and vessel trip phases (cruising, hotelling, manoeuvring) in g/kWh

Engine	Phase	Engine type	Fuel type	NO _x EF 2000 (g/kWh)	NO _x EF 2005 (g/kWh)	NMVOC EF (g/kWh)	TSP PM ₁₀ PM _{2.5} EF (g/kWh)	Specific fuel consumption (g fuel/kWh)		
Main	Cruise	Gas turbine	BFO	6.1	5.9	0.1	0.1	305.0		
			MDO/MGO	5.7	5.5	0.1	0.0	290.0		
		High-speed diesel	BFO	12.7	12.3	0.2	0.8	213.0		
			MDO/MGO	12.0	11.6	0.2	0.3	203.0		
		Medium-speed diesel	BFO	14.0	13.5	0.5	0.8	213.0		
			MDO/MGO	13.2	12.8	0.5	0.3	203.0		
		Slow-speed diesel	BFO	18.1	17.5	0.6	1.7	195.0		
			MDO/MGO	17.0	16.4	0.6	0.3	185.0		
		Steam turbine	BFO	2.1	2.0	0.1	0.8	305.0		
			MDO/MGO	2.0	1.9	0.1	0.3	290.0		
		Manoeuvring Hotelling		Gas turbine	BFO	3.1	3.0	0.5	1.5	336.0
					MDO/MGO	2.9	2.8	0.5	0.5	319.0
				High-speed diesel	BFO	10.2	9.3	0.6	2.4	234.0
					MDO/MGO	9.6	9.9	0.6	0.9	223.0
Medium-speed diesel	BFO			11.2	10.8	1.5	2.4	234.0		
	MDO/MGO			10.6	10.2	1.5	0.9	223.0		
Slow-speed diesel	BFO			14.5	14.0	1.8	2.4	215.0		
	MDO/MGO			13.6	13.1	1.8	0.9	204.0		
Steam turbine	BFO	1.7	1.6	0.3	2.4	336.0				
	MDO/MGO	1.6	1.6	0.3	0.9	319.0				
Auxiliary	Cruise Manoeuvring Hotelling	High-speed diesel	BFO	11.6	11.2	0.4	0.8	227.0		
			MDO/MGO	10.9	10.5	0.4	0.3	217.0		
		Medium-speed diesel	BFO	14.7	14.2	0.4	0.8	227.0		
			MDO/MGO	13.9	13.5	0.4	0.3	217.0		

BFO –Bunker Fuel Oil, MDO –Marine Diesel Oil, MGO –Marine Gas Oil

Source: Entec^{6,8}, the emission factors for NMVOC was been derived as 98 % of the original HC emission factors value, based on reported CH4 factors from IPCC⁹.

For the other pollutants, emission factors are available only related to fuel without engine of phase specification (Table 5); to utilize emission factors with methodology based on installed power, use specific fuel consumption from Table 4.

Table 5 - Emission factors for pollutants other than NO_x, NMVOC, PM

Pollutant	BFO	MDO/MGO	Unit	Reference
CO	7.4	7.4	kg/tonne fuel	Lloyd's Register ¹⁰
SO _x	20 * S ⁽¹⁾	20 * S ⁽¹⁾	kg/tonne fuel	Lloyd's Register ¹⁰
Pb	0.18	0.13	g/tonne fuel	Lloyd's Register ¹⁰ and Cooper and Gustafsson ¹¹ (average value)
Cd	0.02	0.01	g/tonne fuel	Lloyd's Register ¹⁰ and Cooper and Gustafsson ¹¹ (average value)
Hg	0.02	0.03	g/tonne fuel	Lloyd's Register ¹⁰ and Cooper and Gustafsson ¹¹ (average value)
As	0.68	0.04	g/tonne fuel	Lloyd's Register ¹⁰ and Cooper and Gustafsson ¹¹ (average value)
Cr	0.72	0.05	g/tonne fuel	Lloyd's Register ¹⁰ and Cooper and Gustafsson ¹¹ (average value)
Cu	1.25	0.88	g/tonne fuel	Lloyd's Register ¹⁰ and Cooper and Gustafsson ¹¹ (average value)
Ni	32	1	g/tonne fuel	Lloyd's Register ¹⁰ and Cooper and Gustafsson ¹¹ (average value)
Se	0.21	0.10	g/tonne fuel	Lloyd's Register ¹⁰ and Cooper and Gustafsson ¹¹ (average value)
Zn	1.20	1.2	g/tonne fuel	Lloyd's Register ¹⁰ and Cooper and Gustafsson ¹¹ (average value)
PCDD/F	0.47	0.13	TEQmg/tonne	Coope ^{r12}
HCB	0.14	0.08	mg/tonne	Coope ^{r12}
PCB	0.57	0.38	mg/tonne	Coope ^{r12}

Notes

1. S = percentage sulphur content in fuel

WORLD FLEET CHARACTERIZATION

The world fleet characterization is based on an analysis of the available literature on the subject and on statistical analysis of Lloyd's database for 1999 and 2010. The Lloyd database for 1999 was first analyzed in the frame of MEET project² while the 2010 fleet was analyzed in the frame of a special project¹³ to update EMEP/EEA Guidebook¹.

In the following tables elaboration of world fleet data from Lloyd's are reported relating to average main engine power (total power of all engines) by ship category (Table 6) and to percentage of installed Main Engine power by engine type/fuel class (Table 7).

Table 6 - Estimated average main engine power (total power of all engines) by ship category

Ship category	Main engine power (kW)	
	1997 fleet	2010 fleet
Liquid bulk ships	6.695	6.543
Dry bulk carriers	8.032	4.397
Container	22.929	14.871
General cargo	2.657	2.555
Ro Ro Cargo	7.898	4.194
Passenger	3.885	10.196
Fishing	837	734
Other	2.778	2.469
Tug	2.059	2.033

Source: Trozzi¹³

Table 7 - Percentage of installed Main Engine power by engine type/fuel class (2010 fleet)

Ship category	SSD	SSD	MSD	MSD	HSD	HSD	GT	GT	ST	ST
	MDO	BFO	MDO	BFO	MDO	BFO	MDO	BFO	MDO	BFO
	/MGO		/MGO		/MGO		/MGO		/MGO	
Liquid bulk ships	0.87	74.08	3.17	20.47	0.52	0.75	0.00	0.14	0.00	0.00
Dry bulk carriers	0.37	91.63	0.63	7.29	0.06	0.02	0.00	0.00	0.00	0.00
Container	1.23	92.98	0.11	5.56	0.03	0.09	0.00	0.00	0.00	0.00
General cargo	0.36	44.59	8.48	41.71	4.30	0.45	0.00	0.10	0.00	0.00
Ro Ro Cargo	0.17	20.09	9.86	59.82	5.57	2.23	2.27	0.00	0.00	0.00
Passenger	0.00	3.81	5.68	76.98	3.68	1.76	4.79	3.29	0.00	0.02
Fishing	0.00	0.00	84.42	3.82	11.76	0.00	0.00	0.00	0.00	0.00
Others	0.48	30.14	29.54	19.63	16.67	2.96	0.38	0.20	0.00	0.00
Tugs	0.00	0.00	39.99	6.14	52.80	0.78	0.28	0.00	0.00	0.00

SSD - Slow Speed Diesel, MSD - Medium Speed Diesel, HSD - High Speed Diesel, GT - Gas Turbine, ST - Steam Turbine; MDO - Marine Diesel Oil, MGO - Marine Gas Oil, BFO - Bunker Fuel Oil

Source: Trozzi¹³

In the Table 8 are reported the results of non-linear regression procedure applied to installed main engine power as a function of gross tonnage.

In Figure 1 are reported the graphs of non-linear regression of 2010 world fleet installed main engine power as a function of gross tonnage (GT)

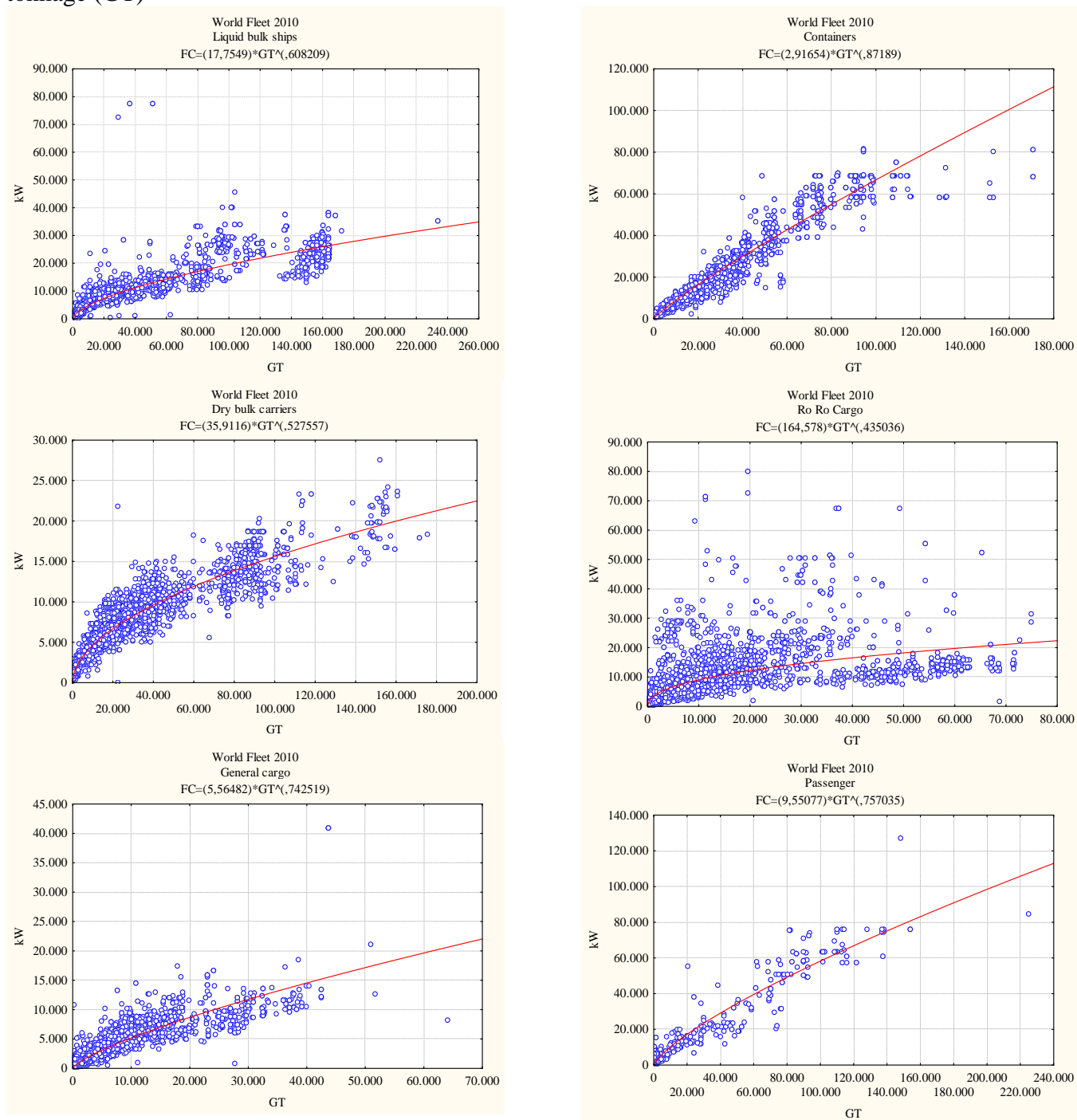
In Figure 2 are finally reported the comparison of 1997 world fleet, 2010 world fleet and 2007 Mediterranean fleet non-linear regression of installed main engine power as a function of gross tonnage (GT).

Table 8 - Installed main engine power as a function of gross tonnage (GT)

Ship categories	2010 World fleet	1997 World fleet	Mediterranean Sea fleet (2006)
Liquid bulk ships	$14.755 * GT^{-0.6082}$	$29.821 * GT^{-0.5552}$	$14.602 * GT^{-0.6278}$
Dry bulk carriers	$35.912 * GT^{0.5276}$	$89.571 * GT^{0.4446}$	$47.115 * GT^{0.504}$
Container	$2.9165 * GT^{0.8719}$	$1.3284 * GT^{0.9303}$	$1.0839 * GT^{0.9617}$
General Cargo	$5.56482 * GT^{0.7425}$	$10.539 * GT^{0.6760}$	$1.2763 * GT^{0.9154}$
Ro Ro Cargo	$164.578 * GT^{0.4350}$	$35.93 * GT^{0.5885}$	$45.7 * GT^{0.5237}$
Passenger	$9.55078 * GT^{0.7570}$	$1.39129 * GT^{0.9222}$	$42.966 * GT^{0.6035}$
Fishing	$9.75891 * GT^{0.7527}$	$10.259 * GT^{0.6919}$	$24.222 * GT^{0.5916}$
Other	$59.049 * GT^{0.5485}$	$44.324 * GT^{0.5300}$	$183.18 * GT^{0.4028}$
Tugs	$54.2171 * GT^{0.6420}$	$27.303 * GT^{0.7014}$	

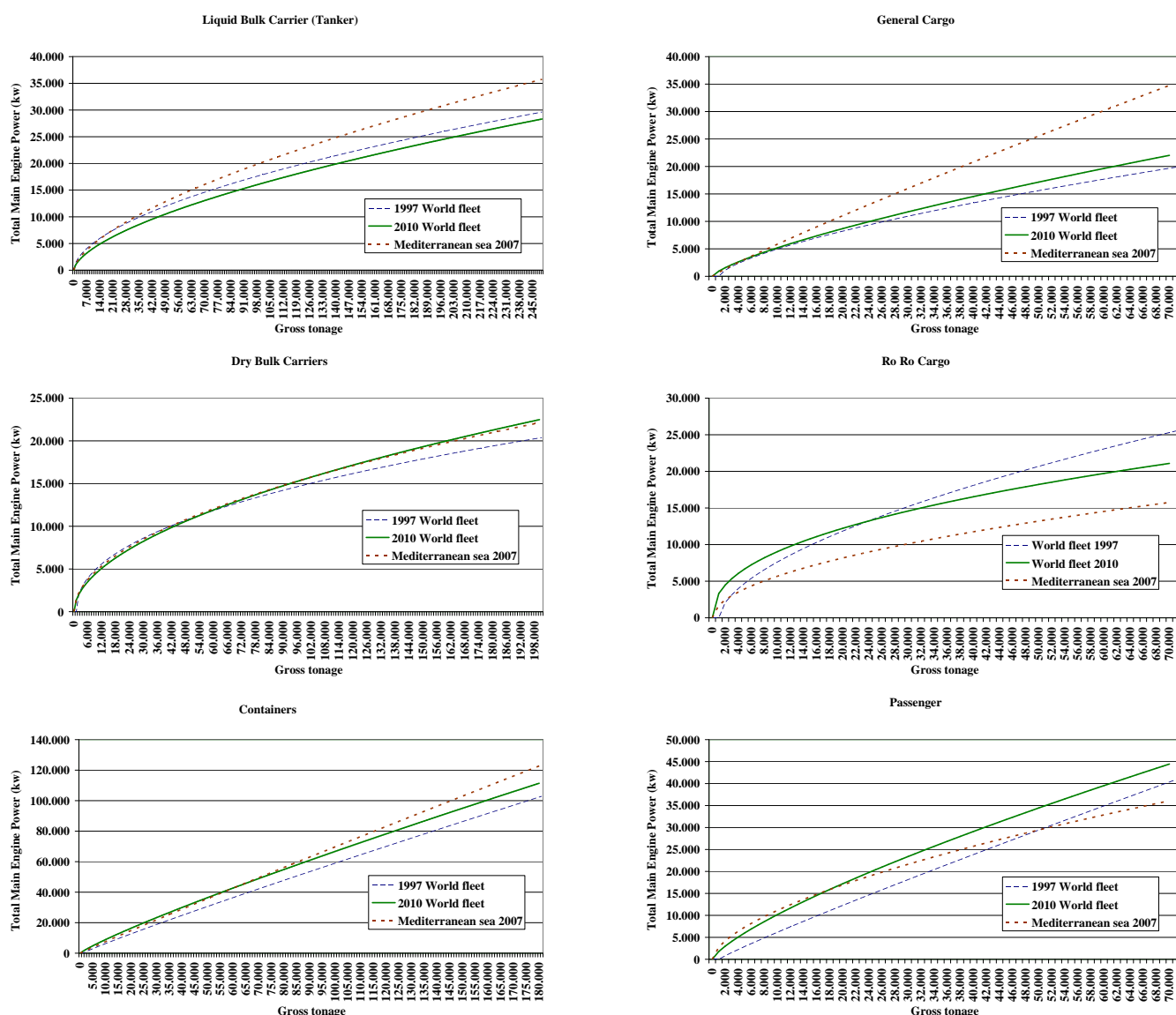
Source: Trozzi¹³ for 2010 and 1997 world fleets, Entec⁶, for 2006 Mediterranean Sea fleet (for 1997 fleet was used the conversion 1 GT = 1.875 GRT)

Figure 1- Non-linear regression of 2010 world fleet installed main engine power as a function of gross tonnage (GT)



Source: Trozzi¹³

Figure 2 - Comparison of 1997 world fleet, 2010 world fleet and 2007 Mediterranean fleet non-linear regression of installed main engine power as a function of gross tonnage (GT)



Source: Trozzi¹³

Finally in Table 9 is reported the estimated average vessel ratio of Auxiliary Engines / Main Engines by ship type, in Table 10 the estimated average cruise speed and average duration of in-port activities and in Table 11 the estimated % load of MCR (Maximum Continuous Rating) of Main and Auxiliary Engine for different ship activity.

Table 9 - Estimated average vessel ratio of Auxiliary Engines / Main Engines by ship type

Ship categories	2010 World fleet	Mediterranean Sea fleet (2006)
Liquid bulk ships	0.30	0.35
Dry bulk carriers	0.30	0.39
Container	0.25	0.27
General Cargo	0.23	0.35
Ro Ro Cargo	0.24	0.39
Passenger	0.16	0.27
Fishing	0.39	0.47
Other	0.35	0.18
Tugs	0.10	

Source: Trozzi¹³ for 2010 world feet Entec⁶ for 2006 Mediterranean Sea fleet

Table 10 - Average cruise speed and average duration of in-port activities

Ship Type	Ave.Cruise Speed (km/h)	Manouvering time (hours)	Hotelling time (hours)
Liquid bulk ships	26	1.0	38
Dry bulk carriers	26	1.0	52
Container	36	1.0	14
General Cargo	23	1.0	39
Ro-Ro Cargo	27	1.0	15
Passenger	39	0.8	14
Fishing	25	0.7	60
Other	20	1.0	27

Source: Elaboration from Entec⁸

Table 11 - Estimated % load of MCR (Maximum Continuous Rating) of Main and Auxiliary Engine for different ship activity

Phase	% load of MCR Main Engine	% time all Main Engine operating	% load of MCR Auxiliary Engine
Cruise	80	100	30
Manoeuvring	20	100	50
Hotelling (except tankers)	20	5	40
Hotelling (tankers)	20	100	60

Source: Entec⁸

SIMPLIFIED ESTIMATES PROCEDURES

When only statistical information about fuel consumption are known, a very simplified methodology can be used (Tier 1) in this case for NO_x, NMVOC, PM the 2000 emission factors in cruise for medium speed engines from Table 3 and for the other pollutants, emission factors of Table 5 can be used.

When information about port arrivals by type of vessel is available, the following procedure (Tier 2) can be followed to estimate emissions.

1. Obtain national statistical port arrivals data by type of vessel as in Table 6.
2. Compute total power installed by type of vessel referring to Table 6.
3. Split total power installed for each type of vessel by engine speed/fuel class using Table 7.
4. Compute total power installed by engine speed/fuel class as sum of figures derived in step 3.
5. Assume that fuel usage is proportional to total power installed to assign statistical fuel consumption (using data for main engine in cruise in Table 4) to different engine speed/fuel class.
6. Estimate national emissions with emission factors for main engine in cruise in Table 4.

CONCLUSIONS

The paper reports emission estimate methodologies developed in the frame of maintenance of the EMEP/EEA air pollutant emission inventory guidebook.

Emissions can be estimated at different levels that are expressed in three tiers of increasing complexity.

A detailed “ship movement” methodology has been described (the methodology is quoted as Tier 3 in EMEP/EEA air pollutant emission inventory guidebook) that must be used when detailed ship movement data as well as technical information on the ships (e.g. engine size and technology, power installed or fuel use, hours in different activities) are available. Emission factors, specific fuel consumptions and all other data useful to the estimates have been discussed. Emission factors derive from literature review while all other relevant functions and parameters are derived utilizing Lloyd’s database (years 1997 and 2010) for world fleet.

Simplified methodologies has been introduce to use when only statistical information about fuel consumption are known (Tier 1) or when also information about port arrivals by type of vessel are available (Tier 2).

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KEYWORD

Emission, Emission inventory, Navigation, Ships, Cruise, Manoeuvring, Hotelling, Port, Harbours, Dock, Emission factors, Fuel consumptions, Air pollutants, MEET, LRTAP, UNECE, EMEP