SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209-0001

Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year) 3/11/2009	Reporting Status (Check appropriate boxes)	[ ] Incumbent	Calendar Year Covered by Report	[X] New Entrant, Nominee, or Candidate	[ ] Termination Filer	Termination Date (If Applicable) (Month, Day, Year)
Reporting Individual's Name	Last Name: Fong			First Name and Middle Initial: Ivan K.		
Position for Which Filing	Title of Position: General Counsel			Department or Agency (If Applicable): U.S. Department of Homeland Security		
Location of Present Office (or forwarding address)	Address (Number, Street, City, State, and ZIP Code): 7000 Cardinal Place, Dublin, OH 43017				Telephone No. (Include Area Code): (614) 757-7768	
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held					
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Committee on Homeland Security and Governmental Affairs			Do You Intend to Create a Qualified Diversified Trust? [ ] Yes [X] No		
Certification: I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: Ivan Fong				Date (Month, Day, Year): 3/13/2009	
Other Review (If desired by agency)	Signature of Other Reviewer				Date (Month, Day, Year)	
Agency Ethics Official's Opinion: On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official: R Clyle				Date (Month, Day, Year): 3/13/2009	
Office of Government Ethics Use Only	Signature				Date (Month, Day, Year): 3/16/09	

Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)

(Check box if filing extension granted & indicate number of days ________) [ ]

(Check box if comments are continued on the reverse side) [ ]

Fee for Late Filing
Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

Reporting Periods
Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B—Not applicable.

Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing.

Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

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Reporting Individual's Name: Ivan K. Fong

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
Examples	Central Airlines Common				x											
Examples	Doe Jones & Smith, Hometown, State															
Examples	Kempstone Equity Fund					x								x		
Examples	IRA: Heartland 500 Index Fund							x						x		
1	Covington & Burling ("C&B") 401(k): - Dodge & Cox Stock (DODGX)			x										x		
2	C&B 401(k) : - Vanguard Institutional Index (VINIX)			x										x		
3	C&B 401(k): - American Beacon Small Cap Val Inst (AVFIX)			x										x		
4	C&B 401(k): - Rainier Small/Mid Cap Equity (RIMSX)			x										x		
5	C&B 401(k): - Vanguard Extended Markets Index Signa (VEMSX)		x											x		
6	C&B 401(k): - Lazard Emerging Markets Equity Inst (LZEMX)			x										x		

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common	x						x										
Examples	Doe Jones & Smith, Hometown, State																Law Partnership Income $130,000	
Examples	Kempstone Equity Fund								x									
Examples	IRA: Heartland 500 Index Fund									x								
1	Covington & Burling ("C&B") 401(k): - Dodge & Cox Stock (DODGX)					x												
2	C&B 401(k) : - Vanguard Institutional Index (VINIX)					x												
3	C&B 401(k): - American Beacon Small Cap Val Inst (AVFIX)					x												
4	C&B 401(k): - Rainier Small/Mid Cap Equity (RIMSX)					x												
5	C&B 401(k): - Vanguard Extended Markets Index Signa (VEMSX)					x												
6	C&B 401(k): - Lazard Emerging Markets Equity Inst (LZEMX)					x												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name: Ivan K. Fong

SCHEDULE A continued
(Use only if needed)

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
	None ☐																															
1	C&B 401(k): - Virtus Real Estate Securities A (PHRAX)		x											x							x											
2	C&B 401(k): - PIMCO Total Return Instl (PTTRX)			x										x							x											
3	C&B 401(k): - Schwab Managed Ret Trust 2030 Cl III													x							x											
4	C&B 401(k): - Vanguard Wellington Adm (VWENX)			x										x							x											
5	left intentionally blank																															
6	General Electric ("GE") 401(k): - Strategic Investment Fund (GSIVX)			x										x							x											
7	GE 401(k): - GE S&S Program Mutual Fund (GESSX)			x										x							x											
8	GE 401(k): - Vanguard Institutional Index Fund (VIIIX)		x											x							x											
9	GE 401(k): - GE S&S Money Market Fund (GEMXX)		x											x							x											

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

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Prior Editions Cannot be Used.

Reporting Individual's Name: Ivan K. Fong

SCHEDULE A continued

(Use only if needed)

Assets and Income (BLOCK A) — None [ ]

Valuation of Assets at close of reporting period (BLOCK B)

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
1	GE 401(k): - GE S&S Income Fund (GESLX)			x										x		
2	GE 401(k): - Institutional International Eq Fund (GIEIX)			x										x		
3	GE 401(k): - Instutional Small Cap Eq Fund (GSVIX)			x										x		
4	General Electric Co. Common (GE)			x												
5	Cardinal Health ("CAH") 401(k) & Deferred Compensation Plan ("DCP"): - Fidelity Growth Company Fund (FDGRX)			x										x		
6	CAH 401(k): - Fidelity US Eq Index Commingled Pool Class 1		x											x		
7	CAH 401(k) & DCP: - Columbia Acorn USA Fund Class Z (AUSAX)													x		
8	CAH 401(k) & DCP: - Dodge & Cox Stock (DODGX)													x		
9	CAH 401(k) & DCP: - Fidelity Diversified Intl Fund (FDIVX)													x		

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Assets and Income	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	GE 401(k): - GE S&S Income Fund (GESLX)					x												
2	GE 401(k): - Institutional International Eq Fund (GIEIX)					x												
3	GE 401(k): - Instutional Small Cap Eq Fund (GSVIX)					x												
4	General Electric Co. Common (GE)	x						x										
5	Cardinal Health ("CAH") 401(k) & Deferred Compensation Plan ("DCP"): - Fidelity Growth Company Fund (FDGRX)					x												
6	CAH 401(k): - Fidelity US Eq Index Commingled Pool Class 1					x												
7	CAH 401(k) & DCP: - Columbia Acorn USA Fund Class Z (AUSAX)					x												
8	CAH 401(k) & DCP: - Dodge & Cox Stock (DODGX)					x												
9	CAH 401(k) & DCP: - Fidelity Diversified Intl Fund (FDIVX)					x												

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Reporting Individual's Name: Ivan K. Fong

SCHEDULE A continued

(Use only if needed)

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

#	Assets and Income (BLOCK A) None ☐	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	CAH 401(k) & DCP: - CRM Mid Cap Value Investor (CRMMX)			x										x							x												
2	CAH 401(k) & DCP: - Balanced Fund** (holds DODGX, FDGRX, AUSAX, FDIVX, PTTRX)				x																x											** value and income not readily ascertainable for underlying holdings.	
3	CAH 401(k) & DCP: - PIMCO Total Return Instl (PTTRX)				x									x							x												
4	CAH DCP: - Fidelity Spartan US Eq Ind Fund (FUSEX)			x										x							x												
5	CAH DCP: - Fidelity Money Market Fund (SPRXX)	x												x							x												
6	Cardinal Health, Inc. Common (CAH)						x										x							x									
7	IRA: - Vanguard Tot Stock Mkt Idx Inv (VTSMX)			x										x								x											
8	IRA: - Vanguard Windsor II Fund Inv (VWNFX)			x										x								x											
9 S	IRA: - Vanguard Target Ret 2025 Fund (VTTVX)			x										x									x										

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SCHEDULE A continued
(Use only if needed)

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Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
None ☐																																
1 Vanguard Tot Bond Mkt Index Adm (VBTLX)				x									x										x									
2 Vanguard Ltd-Term Tax Ex - Inv & Adm Sh (VMLTX & VMLUX)					x								x										x									
3 Vanguard Prime Money Market Fund (VMMXX)			x										x									x										
4 Vanguard REIT Index Fund Inv (VGSIX)		x											x								x											
5 Vanguard Short-Term Inv-Grade Fund Inv (VFSTX)		x											x								x											
6 Vanguard Infl-Prot Sec Inv (VIPSX)			x										x								x											
7 Vanguard Emerging Mkts Stock Index (VEIEX)			x										x									x										
8 Fidelity Contrafund (FCNTX)		x											x							x												
9 Fidelity Strategic Real Return (FSRRX)		x											x							x												

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SCHEDULE A continued

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	Assets and Income (BLOCK A)	**Valuation of Assets at close of reporting period** (BLOCK B)															**Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.** (BLOCK C) — Type				Amount												
	None ☐	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Fidelity Select Gold (FSAGX)		x											x							x												
2	Oakmark Fund (OAKMX)		x											x								x											
3	Oakmark Equity & Income (OAKBX)		x											x								x											
4 DC	American Century Gifttrust (TWGTX)		x											x							x												
5 DC	529 Acct (New Hampshire): - Fidelity NH College Portfolio (age-based portfolio)			x										x							x												
6 DC	Coca-Cola Co. Common (KO)		x														x				x												
7 DC	Hershey Co. Common (HSY)	x															x				x												
8 DC	529 Acct (New Hampshire): - Fidelity NH Portfolio 2009 (age-based portofio)				x									x							x												
9 DC	529 Acct (Ohio): - Vanguard Aggressive Age-Based Option (age-based portfolio 16-18 yrs)		x											x							x												

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SCHEDULE A continued
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Assets and Income (BLOCK A) — None ☐

Valuation of Assets at close of reporting period (BLOCK B)

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
1 DC	MGM Mirage Common (MGM)		x													
2 DC	Cash Acct (RBC Wealth Management)		x													
3 DC	529 Acct (New Hampshire): - Fidelity NH Portfolio 2012 (age-based portfolio)				x									x		
4 DC	529 Acct (Ohio): - Vanguard Aggressive Age-Based Option (age-based portfolio 11-15 yrs)		x											x		
5 DC	Vanguard STAR Fund (VGSTX)		x											x		
6 DC	FBR Focus Fund (FBRVX)		x											x		
7	Wachovia Checking Acct		x													
8	Chase Checking Acct		x													
9	Chase Money Market Acct		x													

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Assets and Income	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 DC	MGM Mirage Common (MGM)	x				x												
2 DC	Cash Acct (RBC Wealth Management)			x		x												
3 DC	529 Acct (New Hampshire): - Fidelity NH Portfolio 2012 (age-based portfolio)					x												
4 DC	529 Acct (Ohio): - Vanguard Aggressive Age-Based Option (age-based portfolio 11-15 yrs)					x												
5 DC	Vanguard STAR Fund (VGSTX)					x												
6 DC	FBR Focus Fund (FBRVX)					x												
7	Wachovia Checking Acct			x		x												
8	Chase Checking Acct			x		x												
9	Chase Money Market Acct			x		x												

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SCHEDULE A continued

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#	Assets and Income (BLOCK A) None [ ]	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 DC	Chase Checking & Savings Accts		x																x		x												
2	Cardinal Health, Inc., Dublin, OH																															Salary + bonus ~ $863,000	
3	CAH stock options (vested): - 33,750 options @ $62.07 (exp 11/2012) (upon separation, will expire 3/2012)	Value not readily ascertainable																			x												
4	CAH stock options (vested): - 23,213 options @ $66.34 (exp 8/2013) (upon separation, will expire 3/2012)	Value not readily ascertainable																			x												
5	CAH stock options (vested): - 9,311 options @ $67.28 (exp 8/2014) (upon separation, will expire 3/2012)	Value not readily ascertainable																			x												
6	UBS Bank USA Dep Acct		x																x		x												
7	Cardinal Health Severance: - Pro-rata FY2009 bonus (to be paid in 9/09)					x															x												
8	Cardinal Health Severance: - Severance payment (to be paid by 10/09)									x											x												
9	Cardinal Health Severance : - Vesting of 11,250 CAH options @ $62.07 (exp. 3/2012)	Value not readily ascertainable																			x												

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BLOCK A: Assets and Income — None ☐

BLOCK B: Valuation of Assets at close of reporting period

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
1	Cardinal Health Severance - Vesting of 11,607 CAH options @ $66.34 (exp. 3/2012)	Value not readily ascertainable														
2	Cardinal Health Severance - Vesting of 9,311 CAH options @ $67.26 (exp. 3/2012)	Value not readily ascertainable														
3	Cardinal Health Severance - Vesting of 13,038 CAH options @ $56.13 (exp. 3/2012)	Value not readily ascertainable														
4	Cardinal Health Severance - Vesting of 7,072 CAH RSUs (value est.)						x									
5	Cardinal Health Severance - Vesting of 22,071 CAH RSUs (value est.)							x								
6	CAH stock options (vest in 8/2010) - 11,607 options @ $68.34 (exp. 8/2013) (forfeited upon separation)	Value not readily ascertainable														
7	CAH stock options (vest in 8/2010) - 9,312 options @ $67.26 (exp. 8/2014) (forfeited upon separation)	Value not readily ascertainable														
8	CAH stock options (vest in 8/2010 & 8/201 - 26,078 options @ $56.13 (exp. 8/2015) (forfeited upon separation)	Value not readily ascertainable														
9	CAH RSUs (vest in 8/2010 & 8/2011) - 7,557 RSUs (forfeited upon separation)						x									

BLOCK C: Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

#	Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1					x												
2					x												
3					x												
4					x												
5					x												
6					x												
7					x												
8					x												
9					x												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

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Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name		Page Number
Ivan K. Fong	**SCHEDULE B**	11

Part I: Transactions

None [ ]

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260; and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None [ ]

	Source (Name and Address)	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
1			
2			
3			
4			
5			

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Reporting Individual's Name		Page Number
Ivan K. Fong	SCHEDULE C	12

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None [x]

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x						
1																
2																
3																
4																
5																

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None [ ]

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	I will continue to participate in my C&B-sponsored 401(k), a defined contribution plan. Neither C&B nor I will make any further contributions.	Covington & Burling LLP, Washington, DC	11/90
2	I will continue to participate in my GE-sponsored 401(k), a defined contribution plan. Neither GE nor I will make any further contributions.	General Electric Co., Fairfield, CT	4/00
3	Upon confirmation, I will terminate my employment with CAH. Pursuant to my Offer Letter and CAH standard practice, and memorialized in my Severance Agreement, I will receive a pro-rated bonus for FY09 in 9/2009, a severance	Cardinal Health, Inc., Dublin, OH	
4	payment in 10/2009, accelerated vesting of 45,206 unvested stock options that will expire in 3/2012, and accelerated vesting of 7,072 restricted stock units. I will continue to participate in my CAH-sponsored 401(k) and DCP, defined contribution plans.	-- Offer Letter	11/05
5	After separation, neither CAH nor I will make any further contributions. Pursuant to my Restricted Share Unit Agreement and Severance Agreement, I will recieve acclerated vesting of 22,071 restricted stock units.	-- Restricted Share Unit Agreement	10/08
6	I will forfeit all of my remaining 46,997 unvested stock options and 7,557 unvested restricted stock units.	-- Severance Agreement	2/09

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Cardinal Health, Dublin, OH	Corporation	Chief Legal Officer & Secretary	11/05	Present
2	Asian Pacific American Bar Association of Central Ohio, Columbus, OH	Non-profit bar association	Director	2006	Present
3	Association of Corporate Counsel, Washington, DC	Non-profit bar association	Director; Treasurer (2007-08); Chair (2008-present)	2004	Present
4	Cardinal Health Foundation, Dublin, OH	Non-profit foundation	Director	11/05	Present
5	Columbus Bar Foundation, Columbus, OH	Non-profit foundation	Director	2007	Present
6	Pro Bono Partnership, White Plains, NY	Non-profit charitable	Director	2003	Present

Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (Name and Address)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Cardinal Health, Dublin, OH	Legal services
2		
3		
4		
5		
6		

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