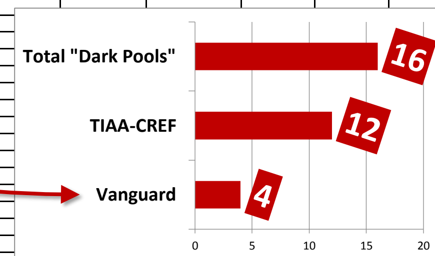
Lawrence "Larry" Summers, Various Titles,
Financial Disclosure, Facebook "dark pools," 2009

No. of Fund Entries: 16
Value/Income: ≤ $1.5M

e.g., Vanguard ranks #9 in fund purchases of Facebook stock after S.E.C. exemption (*Source: Wall Street Journal*)

No.	Income and Value	Facebook Club Basket Fund	Undisclosed	J - $0-15 000 (J)	K - $15 001-50 000 (K)	L - $50 001-100 000 (L)	M - $100 001-250 000 (M)	N - $250 001-500 000 (N)	O - $500 001-1 000 000 (O)	P1 - $1 000 001-5 000 000 (P1)	P2 - $5 000 000-25 000 000 (P2)	P3 - 425 000 001-50 000 000 (P3)	P4 - 450 000 000+ (P4)	
1	Harvard University Salary 2008 and 20								$ 586,896					$ 586,896
2	Harvard University Salary (S)								$ 586,896					$ 586,896
3	D.E. Shaw & Co., LP Salary									$ 1,248,747				$ 1,248,747
4	D.E. Shaw & Co., LP 2008 Income and 2008 Deferred Comp Paid in 2009									$ 2,596,171				$ 2,596,171
5	D.E. Shaw & Co., LLC Salary						$ 183,750							$ 183,750
6	D.E. Shaw & Co., LLC 2008 Income and 2007 2008 Deferred Comp Paid in 2009									$ 1,159,955				$ 1,159,955
7	Equity Resource Fund XXIV Investment					$ 68,820								$ 68,820
8	Equity Resource Mercury Fund Investment See Note 1 - Statement Attached			$ 15,000										$ 15,000
9	Revolution Money Inc Payment Processing System Tampa, FL) Series A-3 Units)						$ 250,000							$ 250,000
10	Revolution Money Inc Payment Processing System Tampa, FL) Series B-2 Units)					$ 100,000								$ 100,000
11	Wylie Agency (UK) Limited, The (Receivable $500)			$ 500										$ 500
12	Big Think, Inc.			$ 15,000										$ 15,000
13	Roubini Global Economics			$ 1,000										$ 1,000
14	Roubini Global Economics						$ 147,500							$ 147,500
15	Brookings Institution, The					$ 60,620								$ 60,620
16	Ewing M. Kauffman Foundation			$ 1,000										$ 1,000
17	Financial Times, The				$ 34,000									$ 34,000
18	BCBS of Massechusetts			$ [illegible]										$ 3,000
19	Gates Foundation, Bill and Melinda			$ 4,000										$ 4,000
20	Reliance Makers Chambers, IV						$ 187,500							$ 187,500
21	Michael Kinsley Creative Capitalism, Author			$ 2,500										$ 2,500
22	Teachers as Scholars (DC), Inc			$ 1,320										$ 1,320
23	Massachusetts Institute of Technology - Published Articles See Note 2 - Statement Attach			$ 201										$ 201
24	Massachusetts Institute of Technology - 401(K) 75% Stock Fund, 25% Bond Fund			$ 2,500										$ 2,500
25	Northwestern Mutual - Term Life Insurance Policy							$ 1,000,000						$ 1,000,000
26	Vanguard 500 Index Fd, Harvard (S) 403(b)	1					$ 250,000							$ 250,000
27	Vanguard Global Equity Fund, Harvard (S) 403(b)	1			$ 50,000									$ 50,000
28	Vanguard 500 Index Fund, Vanguard Natl Bureau of Econ Research - 403(B	1		$ 15,000										$ 15,000
29	Vanguard 500 Index Fund, Vanguard Natl Bureau of Econ Research - 401(A	1					$ 250,000							$ 250,000
30	Sterling Trust Defined Benefit Plan (Keogh Plan) See Statement Attached)						$ 250,000							$ 250,000
31	TIAA-CREF CREF Global Equities, Harvard 403(b)	1					$ 250,000							$ 250,000
32	TIAA-CREF TIAA Real Estate, Harvard 403(b)	1		$ 1,000										$ 1,000
33	TIAA-CREF CREF Stock, University of Pennsylvania (S)	1				$ 100,000								$ 100,000
34	TIAA-CREF CREF Money Market, Deferred Comp Plan, Harvard 457(b)	1			$ 50,000									$ 50,000
35	TIAA-CREF CREF Stock, Deferred Comp Plan, Harvard University	1				$ 100,000								$ 100,000
36	TIAA-CREF CREF Stock, Tax Deferred Annuity Plan, Natl Bureau of Econ Res	1		$ 15,000										$ 15,000
37	TIAA-CREF CREF Global Eq, Tax Deferred Annuity Plan, Natl Bureau of Econ	1		$ 15,000										$ 15,000
38	TIAA-CREF TIAA Real Estate, Tax Deferred Annuity Plan, Natl Bureau of Eco	1		$ 2,500										$ 2,500
39	TIAA-CREF CREF Bond Mkt, Tax Deferred Annuity Plan, Natl Bureau of Econ	1		$ 15,000										$ 15,000
40	TIAA-CREF CREF Infl Linked Bd, Tax Deferred Annuity Plan, Natl Bureau of E	1		$ 15,000										$ 15,000
41	TIAA-CREF CREF Stock, Deferred Comp Plan, Harvard University 457(b)	1				$ 100,000								$ 100,000
42	TIAA-CREF CREF Stock, Harvard RIP	1					$ 250,000							$ 250,000
														$ -
														$ -
		16		$ 124,521	$ 134,000	$ 529,440	$ 2,018,750	$ 1,000,000	$ 1,173,792	$ 5,004,873	$ -	$ -	$ -	$ 9,985,376
				$ 124,521	$ 258,521	$ 787,961	$ 2,806,711	$ 3,806,711	$ 4,980,503	$ 9,985,376				



Facebook Shares as of June 30, 2012

RANK	NAME	TOTAL AUM	FB SHARES AS OF JUNE 30
1	Goldman Sachs Asset Management, L.P. (U.S.)	82,329.1	36,634,486.0
2	Baillie Gifford & Company	60,809.1	19,380,440.0
3	Fidelity Management & Research Company	544,656.5	18,774,915.0
4	T. Rowe Price Associates, Inc.	338,744.6	18,663,997.0
5	Morgan Stanley Investment Management, Inc. (U.S.) 54,113.2	54,113.2	16,362,788.0
6	BlackRock Fund Advisors	768,143.8	11,690,656.0
7	Sands Capital Management, LLC	22,157.4	11,649,292.0
8	Jennison Associates, LLC	80,316.4	9,691,825.0
9	The Vanguard Group, Inc.	908,526.5	9,582,480.0
10	Capital Research Global Investors (U.S.)	366,059.2	8,273,200.0

Source: "Who Else Has a Big Bet on Facebook" by Telis Demos, *The Wall Street Journal*, Aug. 24, 2012

Summary of Facebook "Dark Pool" Funds

	No.	
Vanguard	4	$ 565,000
TIAA-CREF	12	$ 913,500
Total "Dark Pools"	**16**	$ 1,478,500

5 C.F.R. Part 2635: Standards of ethical conduct for employees of the executive branch, Subpart E – Impartiality in Performing Official Duties

". . .avoid an appearance of loss of impartiality."

SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Form Approved
OMB No. 3209-0001

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election or Nomination (*Month, Day, Year*)	Reporting Status (*Check appropriate boxes*)	Incumbent	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate	Termination Filer	Termination Date (*If Applicable*) (*Month, Day, Year*)
January 20, 2009		[ ]		[X]	[ ]	

Reporting Individual's Name	Last Name: SUMMERS	First Name and Middle Initial: LAWRENCE H.
Position for Which Filing	Title of Position: DIRECTOR	Department or Agency (*If Applicable*): NATIONAL ECONOMIC COUNCIL
Location of Present Office (or forwarding address)	Address (*Number, Street, City, State, and ZIP Code*): WHITE HOUSE, WEST WING 1600 PENNSYLVANIA AVE NW WASHINGTON, DC 20500	Telephone No. (*Include Area Code*): (202) 456-1337
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held:	
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination:	Do You Intend to Create a Qualified Diversified Trust? [ ] Yes [ ] No
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual:	Date (*Month, Day, Year*): 2/23/09
Other Review (If desired by agency)	Signature of Other Reviewer:	Date (*Month, Day, Year*): 03.23.09
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below)	Signature of Designated Agency Ethics Official/Reviewing Official:	Date (*Month, Day, Year*): 3/23/09
Office of Government Ethics Use Only	Signature:	Date (*Month, Day, Year*):

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

(*Check box if filing extension granted & indicate number of days* ________) [ ]

(*Check box if comments are continued on the reverse side*) [ ]

Fee for Late Filing
Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B—Not applicable.

Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing.

Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only

OGE Use Only

Funds that do not qualify under the "safe harbor" mutual fund exemption from disclosure rule include:

(1) most IRAs;
(2) funds that issue regular reports where the judge knows or should know the stocks in his portfolio;
(3) funds with notoriously public activity (e.g., T. Rowe Price and Fidelity pre-IPO Facebook investing);
(4) undisclosed purchases of "dark" instruments which conceal activity subject to transparency laws;
(5) law firm 401(k) retirement accounts;
(6) funds where stocks are held in the judge's (or spouse) name —"even one share"; and
(7) funds where there is an appearance of impropriety.

Sources:

Guide to Judiciary Policy, Ethics & Judicial Conduct, Vol. 2B, Ch. 2, see esp. Section 106, U.S. Courts , United States Department of Justice <http://www.uscourts.gov/uscourts/RulesAndPolicies/conduct/Vol02B-Ch02.pdf>;

See also <https://docs.google.com/file/d/0B2SfG2nEsMfqSVQ4dFUyWGNHS0E/edit> and <http://www.scribd.com/doc/199638078/Guide-to-Judiciary-Policy-Vol-02-Ethics-and-Judicial-Conduct-Part-B-Ethics-Advisory-Opinions-Ch-02-Published-Advisory-Opinions-acesssed-Jan>.

THE WALL STREET JOURNAL.
WSJ.com

August 24, 2012, 2:18 PM ET

Who Else Has a Big Bet on Facebook

By Telis Demos

We reported this morning about the concentration of Facebook stock in the portfolio of one group of investors, several mutual funds operated by Morgan Stanley Asset Management. But that is not where the concentration of Facebook stock ends.

AFP/Getty Images

According to fresh data from Ipreo, which has tallied up the public filings by all investors, a relatively large chunk of Facebook stock just three months after its $16 billion IPO in May is held by the company's 10 largest institutional investors (that excludes insiders, like CEO Mark Zuckerberg, and the early VC investors, like Accel Partners).

The top 10 "accounts," in banker-speak, represent about 50% of Facebook's institutional ownership, according to Ipreo, the capital markets data firm. That tops the 42% concentration for the top 10 institutions for all second quarter tech IPOs three months after they went public. Across more comparable $1 billion-plus IPOs since 2010, the concentration is even lighter: The top 10 institutional accounts held 32% of those companies' shares.

This data, keep in mind, does not tell us who bought the IPO. That's a closely guarded secret held by the lead underwriters. These figures are based on public disclosures as of June 30. They are at best a proxy for how the IPO was actually distributed.

So who are the biggest betters — by total number of shares, not necessarily by weighting within the fund – on Facebook? Morgan Stanley Asset Management is only the fifth largest holder by that measure, according to Ipreo. Above them are Goldman Sachs Asset Management, Baillie Gifford & Company, Fidelity Investments, and T. Rowe Price Group Inc. Rounding out the top 10 are BlackRock Inc., Sands Capital Management LLC, Jennison Associates LLC, The Vanguard Group Inc. and Capital Research Global Advisors Inc.

Some of those investors were big pre-IPO holders. Goldman Sachs famously marketed a fund with pre-IPO Facebook stock to international investors, which accounts for a big chunk of its holdings. T. Rowe Price and Fidelity also bought in before the IPO. The point here is that they all may still be sitting on Facebook stock gains, depending on when exactly they got into the stock.

Others, however, appear to have gotten in primarily via the IPO, or after it began trading. That includes Baillie Gifford, an Edinburgh-based fund management giant that manages assets of £76.0 billion ($120 billion). They are a sub-advisor to some large fund management families, like Vanguard, but also manage money on behalf of giant pension funds such as the California Public Employees' Retirement System (CALPERS), the New York City Police Pension Fund and the Korea National Pension Service, according to their website. The firm did not return requests for an interview.

Some of the big investors are also there just because they have to be. BlackRock and Vanguard operate many index funds that may have bought Facebook stock solely because it likely will be a member someday of indexes such as the S&P 500 and Nasdaq 100, and is already in indexes such as the Russell 1000.

What speaks loudly are the absences of firms that are typically very big holders of recently IPO'd companies. Citadel Advisors, which bought 17 other second-quarter IPOs according to Ipreo, owned just 167,164 Facebook shares at the end of June. Wellington Management Company LLP and Lord Abbett & Company LLC, which bought 11 second quarter IPOs, both held fewer than 1m shares. For context, Baillie Gifford reported holding 19 million shares.

RANK	NAME	TOTAL AUM	FB SHARES AS OF JUNE 30
1	Goldman Sachs Asset Management, L.P. (U.S.)	82,329.1	36,634,486.0
2	Baillie Gifford & Company	60,809.1	19,380,440.0
3	Fidelity Management & Research Company	544,656.5	18,774,915.0
4	T. Rowe Price Associates, Inc.	338,744.6	18,663,997.0
5	Morgan Stanley Investment Management, Inc. (U.S.) 54,113.2	54,113.2	16,362,788.0
6	BlackRock Fund Advisors	768,143.8	11,690,656.0
7	Sands Capital Management, LLC	22,157.4	11,649,292.0
8	Jennison Associates, LLC	80,316.4	9,691,825.0
9	The Vanguard Group, Inc.	908,526.5	9,582,480.0
10	Capital Research Global Investors (U.S.)	366,059.2	8,273,200.0

Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples	Central Airlines Common				x												x						x										
Examples	Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples	Kempstone Equity Fund					x								x										x									
Examples	IRA: Heartland 500 Index Fund							x						x											x								
1	HARVARD UNIVERSITY SALARY 2008 AND 2009																															SALARY $586,896.00	
2	(S) HARVARD UNIVERSITY																															SALARY	
3	D.E. SHAW & CO., LP SALARY																															SALARY $1,248,747.00	
4	D.E. SHAW & CO., LP 2008 INCOME AND 2008 DEFERRED COMP PAID IN 2009	X																														DISTRIBUTIVE SH OF PSHIP INC $2,596,171.00	
5	D.E. SHAW & CO., LLC SALARY																															SALARY $183,760.00	
6	D.E. SHAW & CO., LLC 2008 INCOME AND 2007-2008 DEFERRED COMP PAID IN 2009	X																														DISTRIBUTIVE SH OF PSHIP INC $1,159,955	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
SUMMERS, LAWRENCE H.		3

Assets and Income — BLOCK A
Valuation of Assets at close of reporting period — BLOCK B
Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	EQUITY RESOURCE FUND XXIV INVESTMENT						X							X																		DISTRIBUTIVE SH OF PSHIP INC = $68,820	
2	EQUITY RESOURCE MERCURY FUND INVESTMENT SEE NOTE 1 - STATEMENT ATTACHED					X								X							X												
3	REVOLUTION MONEY INC PAYMENT PROCESSING SYSTEM TAMPA, FL (SERIES A-3 UNITS)					X															X												
4	REVOLUTION MONEY INC PAYMENT PROCESSING SYSTEM TAMPA, FL (SERIES B-2 PREF UNITS)				X																X												
5	THE WYLIE AGENCY (UK) LIMITED (RECEIVABLE $500)	X																														$52,807 COLUMNIST	
6	BIG THINK, INC.	X																	X						X							INTEREST PAID ON LOAN	
7	ROUBINI GLOBAL ECONOMICS	X																		X						X						GAIN ON DISPOSITION	
8	ROUBINI GLOBAL ECONOMICS																															ADV. BOARD COMPENSATION $147,500	
9	THE BROOKINGS INSTITUTION																															EDITOR COMPENSATION $60,820	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

Prior Editions Cannot be Used.

Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A; Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
1	EWING M. KAUFFMAN FOUNDATION															
2	THE FINANCIAL TIMES															
3	BCBS OF MASSACHUSETTS															
4	BILL & MELINDA GATES FOUNDATION															
5	RELIANCE, MAKERS CHAMBERS - IV															
6	MICHAEL KINSLEY CREATIVE CAPITALISM, AUTHOR	X														
7	(DC) TEACHERS AS SCHOLARS, INC															
8	MASSACHUSETTS INSTITUTE OF TECHNOLOGY - PUBLISHED ARTICLES SEE NOTE 2 - STATEMENT ATTACHED															
9	MASSACHUSETTS INSTITUTE OF TECHNOLOGY - 401(K) 75% STOCK FUND, 25% BOND FUND			X										X		

#	Assets and Income (BLOCK A)	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	EWING M. KAUFFMAN FOUNDATION																$1,000 PERMISSION FEE	
2	THE FINANCIAL TIMES																$34,000 COLUMNIST	
3	BCBS OF MASSACHUSETTS																ADV. BOARD COMPENSATION $3,000	
4	BILL & MELINDA GATES FOUNDATION																ADV. BOARD COMPENSATION $4,000	
5	RELIANCE, MAKERS CHAMBERS - IV																ADV. BOARD COMPENSATION $187,500	
6	MICHAEL KINSLEY CREATIVE CAPITALISM, AUTHOR		X					X										
7	(DC) TEACHERS AS SCHOLARS, INC																$1,320 COMPENSATION	
8	MASSACHUSETTS INSTITUTE OF TECHNOLOGY - PUBLISHED ARTICLES SEE NOTE 2 - STATEMENT ATTACHED		X			X											ROYALTIES FOR PUBLISHED ARTICLES	
9	MASSACHUSETTS INSTITUTE OF TECHNOLOGY - 401(K) 75% STOCK FUND, 25% BOND FUND							X										

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

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5 C.F.R. Part 2634
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Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE A continued

(Use only if needed)

Page Number: 5

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None [ ]

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	NORTHWESTERN MUTUAL - TERM LIFE INSURANCE POLICY							X									X		X				X										
2	(S) HARVARD 403(b) - VANGUARD 500 INDEX FD					X								X											X								
3	(S) HARVARD 403(b) - VANGUARD GLOBAL EQUITY FUND			X										X									X										
4	VANGUARD NATL BUREAU OF ECON RESEARCH - 403(B)7 PLAN VANGUARD 500 INDEX FUND		X											X								X											
5	VANGUARD NATL BUREAU OF ECON RESEARCH - 401(A) PLAN VANGUARD 500 INDEX FUND					X								X											X								
6	STERLING TRUST DEFINED BENEFIT PLAN (KEOGH PLAN) SEE STATEMENT ATTACHED					X										X	X		X	X					X								
7	TIAA-CREF HARVARD 403(b) CREF GLOBAL EQUITIES					X								X											X								
8	TIAA-CREF HARVARD 403(b) TIAA REAL ESTATE	X												X											X								
9	(S) UNIVERSITY OF PENNSYLVANIA TIAA-CREF - CREF STOCK				X									X											X								

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Prior Editions Cannot be Used.

Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE A continued

(Use only if needed)

Assets and Income (BLOCK A) — None [ ]

Valuation of Assets at close of reporting period (BLOCK B)

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust
1	(S) TIAA-CREF HARVARD 457(B) DEFERRED COMP PLAN - CREF MONEY MARKET			X										X		
2	TIAA-CREF HARVARD UNIVERSITY - DEFERRED COMPENSATION PLAN - CREF STOCK				X									X		
3	TIAA-CREF NATL BUREAU OF ECON RESEARCH - TAX DEFERRED ANNUITY PLAN - CREF STOCK		X											X		
4	TIAA-CREF NATL BUREAU OF ECON RESEARCH - TAX DEFERRED ANNUITY PLAN - CREF GLOBAL EQ		X											X		
5	TIAA-CREF NATL BUREAU OF ECON RESEARCH - TAX DEFERRED ANNUITY PLAN - TIAA REAL ESTATE	X												X		
6	TIAA-CREF NATL BUREAU OF ECON RESEARCH - TAX DEFERRED ANNUITY PLAN - CREF BOND MKT		X											X		
7	TIAA-CREF NATL BUREAU OF ECON RESEARCH - TAX DEFERRED ANNUITY PLAN-CREF INFL LINKED BD		X											X		
8	TIAA-CREF HARVARD UNIVERSITY 457(b) DCP - CREF STOCK				X									X		
9	TIAA-CREF HARVARD RIP CREF STOCK					X								X		

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C)

#	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1							X										
2									X								
3							X										
4							X										
5							X										
6						X											
7						X											
8									X								
9										X							

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Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE A continued

(Use only if needed)

	Block A: Assets and Income	Block B: Valuation of Assets at close of reporting period — None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Block C: Income Type — Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount — None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
	None [ ]																																
1	TIAA-CREF HARVARD RIP CREF GLOBAL EQUITIES					X								X												X							
2	TIAA-CREF HARVARD RIP TIAA REAL ESTATE	X												X											X								
3	TIAA-CREF HARVARD RIP CREF BOND MARKET				X									X											X								
4	TIAA-CREF HARVARD RIP CREF INFLATION LINKED BOND			X										X										X									
5	TIAA-CREF HARVARD 403(b) TIAA TRADITIONAL				X														X						X								
6	(DC) BROOKLINE BANK CERTIFICATE OF DEPOSIT		X																X			X											
7	(S) BROOKLINE BANK SAVINGS ACCOUNT	X																	X					X									
8	BANK OF AMERICA IRA - COLUMBIA CASH RESERVES DAILY		X											X							X												
9	BANK OF AMERICA IRA - I SHARES TR MSCI EAFE INDEX			X										X										X									

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

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SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: SUMMERS, LAWRENCE H

SCHEDULE A continued
(Use only if needed)

Page Number: 8

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	BANK OF AMERICA IRA - I SHARES TR RUSSELL 1000 GROWTH			X										X								X											
2	BANK OF AMERICA IRA - I SHARES TR RUSSELL 1000 VALUE INDEX			X										X									X										
3	BANK OF AMERICA IRA - I SHARES TR RUSSELL 2000 INDEX	X												X							X												
4	BANK OF AMERICA IRA - I SHARES TR S&P MICAP 400 GROWTH		X											X							X												
5	BANK OF AMERICA IRA - I SHARES TR S&P MIDCAP 400 VALUE		X											X							X												
6	BANK OF AMERICA IRA - S&P 500 DEPOSITORY RECEIPT	X												X							X												
7	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - COLUMBIA CASH RESERVES DAILY		X											X							X												
8	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - ISHARES TR C&S REALTY	X												X										X									
9	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - ISHARES TR MSCI EAFE			X										X										X									

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Prior Editions Cannot Be Used.

Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - ISHARES TR MSCI EMERGING MKTS		X											X								X											
2	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - ISHARES TR RUSSELL 1000 VALUE			X										X									X										
3	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - ISHARES TR RUSSELL 1000 GROWTH			X										X								X											
4	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - ISHARES TR RUSSELL 2000 INDEX		X											X							X												
5	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - ISHARES TR S&P MICAP 400 VAL		X											X							X												
6	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - ISHARES TR S&P MICAP 400 GR		X											X							X												
7	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - S&P 500 SPY		X											X							X												
8	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - SECTOR SPDR - ENERGY	X												X								X											
9	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - SECTOR SPDR - INT MATERIALS	X												X								X											

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Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	BANK OF AMERICA - SELF-EMPLOYED PROFIT SHARING PLAN - TRI CONTINENTAL CORP			X										X											X								
2	(J) BANK OF AMERICA - COLUMBIA MUNICIPAL RES DAILY										X			X													X						
3	(J) BANK OF AMERICA - ISHARES TR MSCI EAFE INDEX						X							X												X							
4	(J)BANK OF AMERICA - ISHARES TR RUSSELL 1000 INDEX			X										X									X										
5	(J) BANK OF AMERICA - ISHARES TR RUSSELL 2000 INDEX						X							X											X								
6	(J)BANK OF AMERICA - ISHARES TR RUSSELL 2000 VALUE		X											X								X											
7	(J)BANK OF AMERICA - ISHARES TR RUSSELL 1000 GROWTH			X										X								X											
8	(J)BANK OF AMERICA - ISHARES TR RUSSELL 1000 VALUE			X										X								X											
9	(J)BANK OF AMERICA - ISHARES TR S&P MICAP GR		X											X							X												

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SF278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
SUMMERS, LAWRENCE H		11

Assets and Income — BLOCK A; Valuation of Assets at close of reporting period — BLOCK B; Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	(J)BANK OF AMERICA - ISHARES TR S&P MICAP VAL		X											X							X												
2	(J)BANK OF AMERICA - S&P 500 DEPOSITORY RECEIPTS						X							X											X								
3	(J)BANK OF AMERICA - COLUMBIA MUTUAL FUNDS SEE STATEMENT ATTACHED			X										X								X											
4	(J)BANK OF AMERICA - DWS LARGE CAP VALUE FUND				X									X										X									
5	OBAMA-BIDEN TRANSITION																															SALARY $5,811	
6	FOREIGN POLICY ASSOCIATION																															$1,000 SPEAKING ENGAGEMENT	4/9/2008
7	FINANCIAL TIMES																															$60,000 SPEAKING ENGAGEMENT	
8	ITINERA INSTITUTE																															$62,876 SPEAKING ENGAGEMENT	1/8/2008
9	SKAGEN FUNDS																															$60,300 SPEAKING ENGAGEMENT	1/9/2008

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SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE A continued

(Use only if needed)

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

None ☐

#	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Income Type (BLOCK C): Dividends	Rent and Royalties	Interest	Capital Gains	Income Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	SKAGEN FUNDS																															$80,300 SPEAKING ENGAGEMENT	1/10/2008
2	SKAGEN FUNDS																															$59,400 SPEAKING ENGAGEMENT	1/11/2008
3	JP MORGAN																															$67,500 SPEAKING ENGAGEMENT	2/1/2008
4	PRICEWATERHOUSE COOPERS LLP																															$25,000 (DONATED TO CHARITY)	02/14/08
5	TAX COUNCIL POLICY INSTITUTE																															$45,000 SPEAKING ENGAGEMENT	2/20/2008
6	CITIGROUP																															$45,000 SPEAKING ENGAGEMENT	3/3/2008
7	PENSION REAL ESTATE ASSOCIATION																															$67,500 SPEAKING ENGAGEMENT	3/28/2008
8	ASOCIACION DE BANCOS DE MEXICO																															$90,000 SPEAKING ENGAGEMENT	4/3/2008
9	GOLDMAN, SACHS & CO.																															$135,000 SPEAKING ENGAGEMENT	4/18/2008

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Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
SUMMERS, LAWRENCE H.		13

Assets and Income (BLOCK A) — **Valuation of Assets** at close of reporting period (BLOCK B): None (or less than $1,001); $1,001 - $15,000; $15,001 - $50,000; $50,001 - $100,000; $100,001 - $250,000; $250,001 - $500,000; $500,001 - $1,000,000; Over $1,000,000 *; $1,000,001 - $5,000,000; $5,000,001 - $25,000,000; $25,000,001 - $50,000,000; Over $50,000,000; Excepted Investment Fund; Excepted Trust; Qualified Trust

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) — Type: Dividends; Rent and Royalties; Interest; Capital Gains — Amount: None (or less than $201); $201 - $1,000; $1,001 - $2,500; $2,501 - $5,000; $5,001 - $15,000; $15,001 - $50,000; $50,001 - $100,000; $100,001 - $1,000,000; Over $1,000,000*; $1,000,001 - $5,000,000; Over $5,000,000

None ☐

#	Assets and Income	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	LEHMAN BROTHERS	$67,500 SPEAKING ENGAGEMENT	4/17/2008
2	STATE STREET CORPORATION	$45,000 SPEAKING ENGAGEMENT	4/18/2008
3	CEO 100	$45,000 SPEAKING ENGAGEMENT	4/24/2008
4	YALE UNIVERSITY	$10,000 SPEAKING ENGAGEMENT	4/29/08
5	SIGULER GUFF & COMPANY	$67,500 SPEAKING ENGAGEMENT	5/5/2008
6	AMERICAN EXPRESS	$67,500 SPEAKING ENGAGEMENT	5/7/2008
7	CENTRO DE LIDERAZGO Y GESTION	$112,500 SPEAKING ENGAGEMENT	5/12/2008
8	TA ASSOCIATES	$67,500 SPEAKING ENGAGEMENT	5/12/2008
9	HUDSON INSTITUTE	$10,000 SPEAKING ENGAGEMENT	05/28/08

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Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A | Valuation of Assets at close of reporting period — BLOCK B | Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None [ ]

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	CITIGROUP NA																															$54,000 SPEAKING ENGAGEMENT	5/30/2008
2	INVESTEC BANK																															$157,500 SPEAKING ENGAGEMENT	6/13/2008
3	GOLDMAN SACHS																															$67,500 SPEAKING ENGAGEMENT	8/18/2008
4	LEHMAN BROTHERS																															$67,500 SPEAKING ENGAGEMENT	7/30/2008
5	PRICEWATERHOUSE COOPERS LLP																															$67,500 SPEAKING ENGAGEMENT	9/9/2008
6	TATA CONSULTANCY SERVICES																															$67,500 SPEAKING ENGAGEMENT	9/21/2008
7	STATE STREET CORPORATION																															$112,500 SPEAKING ENGAGEMENT	10/2/2008
8	LEADERS AND COMPANY LTD. (THISDAY NEWSPAPER GROUP)																															$225,000 SPEAKING ENGAGEMENT	10/3/2008
9	AMERICAN CHAMBER OF COMMERCE IN ARGENTINA																															$135,000 SPEAKING ENGAGEMENT	10/7/2008

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SF 278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

#	Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	UNIVERSIDAD AUTONOMA DEL ESTADO DE BAJA CALIFORNIA																															$103,500 SPEAKING ENGAGEMENT	10/18/2008
2	McKINSEY AND COMPANY																															$135,000 SPEAKING ENGAGEMENT	10/19/2008
3	IESE BUSINESS SCHOOL																															$112,500 SPEAKING ENGAGEMENT	10/24/2008
4	(S) NEXTBOOK.ORG																															$1,000 SPEAKING ENGAGEMENT	10/26/08
5	SECURITIES INDUSTRY & FINANCIAL MARKETS ASSOCIATION (SIFMA)																															$33,750 SPEAKING ENGAGEMENT	10/28/2008
6	GLOBAL INSIGHT																															$36,000 SPEAKING ENGAGEMENT	10/28/2008
7	INSTITUTIONAL LIMITED PARTNERS ASSOCIATION																															$13,500 SPEAKING ENGAGEMENT	11/6/2008
8	CHARLES RIVER VENTURES LLC																															$87,500 SPEAKING ENGAGEMENT	11/11/2008
9	MERRILL LYNCH																															$45,000 (DONATED TO CHARITY)	11/12/2008

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

SF278 (Rev. 03/2000)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name	SCHEDULE A continued (Use only if needed)	Page Number
SUMMERS, LAWRENCE H.		18

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None [ ]

#	Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000 *	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	INSTITUTE FOR INTERNATIONAL ECONOMICS																															$25,000 ADVISORY COMMITTEE	
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* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

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SF 278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name		Page Number
SUMMERS, LAWRENCE H.	**SCHEDULE B**	17

Part I: Transactions

None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example:	Central Airlines Common	x			2/1/99			x									
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* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260; and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☐

	Source *(Name and Address)*	Brief Description	Value
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$300
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SF 278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Do not Complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name		Page Number
SUMMERS, LAWRENCE H.	**SCHEDULE B continued** (Use only if needed)	18

Part I: Transactions

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example:	Central Airlines Common	x			2/1/99			x									
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* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Prior Editions Cannot Be Used.

Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

Category of Amount or Value (x)

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10 %	on demand					x						
1	BANK OF AMERICA INVESTMENT SERVICES, 900 WEST TRADE STREET, CHARLOTTE, NC	MARGIN ON SECURITIES	2007	VAR	DEMAND					X						
2	HARVARD UNIVERSITY, CAMBRIDGE, MA	STUDENT LOAN	2008	0%	10 YRS		X									
3	(S) HARVARD UNIVERSITY, CAMBRIDGE, MA	STUDENT LOAN	2005	0%	10YRS				X							
4	(S) HARVARD UNIVERSITY, CAMBRIDGE, MA	PERSONAL LOAN	2003	6%	10YRS		X									
5																

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation; (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00	Doe Jones & Smith, Hometown, State	7/85
1	CONTINUING PARTICIPATION IN MASSACHUSETTS INSTITUTE OF TECHNOLOGY DEFINED CONTRIBUTION PLAN (NO FUTURE EMPLOYEE OR EMPLOYER CONTRIBUTIONS EXPECTED)	MASSACHUSSETS INSTITUTE OF TECHNOLOGY CAMBRIDGE, MA	1979
2	CONTINUING PARTICIPATION IN NATIONAL BUREAU OF ECONOMIC RESEARCH TAX DEFERRED ANNUITY PLAN VIA TIAA-CREF (NO FUTURE EMPLOYEE OR EMPLOYER CONTRIBUTIONS EXPECTED)	NATIONAL BUREAU OF ECONOMICS US DEPT OF COMMERCE, WASHINGTON DC	1979
3	CONTINUING PARTICIPATION IN NATIONAL BUREAU OF ECONOMIC RESEARCH 403(B) VIA VANGUARD (NO FUTURE EMPLOYEE OR EMPLOYER CONTRIBUTIONS EXPECTED)	NATIONAL BUREAU OF ECONOMICS US DEPT OF COMMERCE, WASHINGTON DC	1979
4	CONTINUING PARTICIPATION IN NATIONAL BUREAU OF ECONOMIC RESEARCH 401(A) VIA VANGUARD (NO FUTURE EMPLOYEE OR EMPLOYER CONTRIBUTIONS EXPECTED)	NATIONAL BUREAU OF ECONOMICS US DEPT OF COMMERCE, WASHINGTON DC	1979
5	CONTINUING PARTICIPATION IN HARVARD UNIVERSITY 403(b) PLAN VIA TIAA-CREF (NO EMPLOYEE OR EMPLOYER CONTRIBUTIONS EXPECTED FOR SERVICES PROVIDED AFTER 2008)	HARVARD UNIVERSITY, CAMBRIDGE MA	2001
6			

SF 278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10 %	on demand					x						
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* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation; (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00	Doe Jones & Smith, Hometown, State	7/85
1	CONTINUING PARTICIPATION IN HARVARD UNIVERSITY DEFERRED COMPENSATION PLAN VIA TIAA-CREF (NO EMPLOYEE OR EMPLOYER CONTRIBUTIONS EXPECTED FOR SERVICES PROVIDED AFTER 2008)	HARVARD UNIVERSITY, CAMBRIDGE MA	2001
2	CONTINUING PARTICIPATION IN HARVARD UNIVERSITY 457(B) PLAN VIA TIAA-CREF (NO EMPLOYEE OR EMPLOYER CONTRIBUTIONS EXPECTED FOR SERVICES PROVIDED AFTER 2008)	HARVARD UNIVERSITY, CAMBRIDGE MA	2001
3	CONTINUING PARTICIPATION IN HARVARD UNIVERSITY RIP VIA TIAA-CREF (NO EMPLOYEE OR EMPLOYER CONTRIBUTIONS EXPECTED FOR SERVICES PROVIDED AFTER 2008)	HARVARD UNIVERSITY, CAMBRIDGE MA	2001
4	ON LEAVE FROM PROFESSORSHIP AT HARVARD UNIVERSITY. WILL CONTINUE PARTICIPATION IN HARVARD'S MORTGAGE LENDING PROGRAM ON TERMS ESTABLISHED IN PRE-EXISTING EMPLOYMENT CONTRACT	HARVARD UNIVERSITY, CAMBRIDGE, MA	2001
5	WILL CONTINUE PARTICIPATION IN HARVARD'S STUDENT LOAN PROGRAM ON TERMS ESTABLISHED IN PRE-EXISTING EMPLOYMENT CONTRACT	HARVARD UNIVERSITY, CAMBRIDGE, MA	2001
6			

Reporting Individual's Name: SUMMERS, LAWRENCE H.

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	OBAMA-BIDEN TRANSITION WASHINGTON, DC	GOVERNMENT	SENIOR ECONOMIC ADVISOR	11/08	01/09
2	HARVARD UNIVERSITY 79 JFK ST, CAMBRIDGE, MA	UNIVERSITY	CHARLES W. ELIOT UNIVERSITY PROFESSOR	2001	01/09
3	D.E. SHAW & CO., LP 120 W. 45TH ST, NEW YORK, NY 10036	ALTERNATIVE INVESTMENT FUND	MANAGING DIRECTOR	10/06	12/08
4	D.E. SHAW & CO., LLC 120 W. 45TH ST, NEW YORK, NY 10036	ALTERNATIVE INVESTMENT FUND	MANAGING DIRECTOR	10/06	12/08
5	FINANCIAL TIMES LONDON, UK	NEWSPAPER	COLUMNIST	2006	12/08
6	BROOKINGS PAPERS ON ECONOMIC ACTIVITY WASHINGTON, DC	ACADEMIC JOURNAL	EDITOR	03/08	12/08

Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	HARVARD UNIVERSITY 79 JFK ST, CAMBRIDGE, MA	CHARLES W. ELIOT UNIVERSITY PROFESSOR
2	D.E. SHAW & CO., LP 120 W. 45TH ST, NEW YORK, NY 10036	MANAGING DIRECTOR
3	D.E. SHAW & CO., LLC 120 W. 45TH ST, NEW YORK, NY 10036	MANAGING DIRECTOR
4	OBAMA-BIDEN TRANSITION WASHINGTON, DC	SENIOR ECONOMIC ADVISOR
5	AMERICAN CHAMBER OF COMMERCE IN ARGENTINA BUENOS AIRES, ARGENTINA	SPEAKING ENGAGEMENT
6	AMERICAN EXPRESS NEW YORK, NY	SPEAKING ENGAGEMENT

SF 278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name		Page Number
SUMMERS, LAWRENCE H.	**SCHEDULE D**	22

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	WYLIE AGENCY (UK) LIMITED LONDON, ENGLAND	LITERARY AGENCY	COLUMNIST	12/07	12/08
2	ROUBINI GLOBAL ECONOMICS NEW YORK, NY	ECONOMIC ANALYSTS	PAID ADVISORY BOARD MEMBER	01/06	12/08
3	SEE ATTACHED STATEMENT	SEE ATTACHED STATEMENT	SEE ATTACHED STATEMENT	SEE	ATTACHED
4					
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Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	ASIAN DEVELOPMENT BANK MANILA, PHILIPPINES	SPEAKING ENGAGEMENT
2	ASOCIACION DE BANCOS DE MEXICO ACAPULCO, MEXICO	SPEAKING ENGAGEMENT
3	BCBS OF MASSACHUSETTS 401 PARK DRIVE, BOSTON, MA 02215	ADVISORY COUNCIL
4	BEACON CAPITAL PARTNERS, LLC BOSTON, MA	SPEAKING ENGAGEMENT
5	THE BROOKINGS INSTITUTION WASHINGTON, DC	JOURNAL EDITOR
6	CENTRO DE LIDERAZGO Y GESTION BOGOTA, COLUMBIA	SPEAKING ENGAGEMENT

Reporting Individual's Name		Page Number
SUMMERS, LAWRENCE H.	**SCHEDULE D**	23

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
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Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	CEO 100 NEW YORK, NY	SPEAKING ENGAGEMENT
2	CHARLES RIVER VENTURES LLC CAMBRIDGE, MA	SPEAKING ENGAGEMENT
3	CITIGROUP NEW YORK, NY	SPEAKING ENGAGEMENT
4	COMMONFUND ORLANDO, FL	SPEAKING ENGAGEMENT
5	DEUTSCHE BANK - AG WASHINGTON, DC	SPEAKING ENGAGEMENT
6	EFG EUROBANK ERGASIAS SA 7, SANTAROZA STR. THESSALONIKI/ ATHENS, GREECE	SPEAKING ENGAGEMENT

Reporting Individual's Name		Page Number
SUMMERS, LAWRENCE H.	**SCHEDULE D**	24

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
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Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	FARRAR STRAUSS GIROUX 18 WEST 18TH STREET, NEW YORK, NY 10011	BOOK ADVANCE
2	FINANCIAL TIMES LONDON, UK	FINANCIAL COLUMNIST & SPEAKING ENGAGEMENT
3	GLOBAL INSIGHT CHICAGO, IL	SPEAKING ENGAGEMENT
4	GOLDMAN SACHS NEW YORK, NY	SPEAKING ENGAGEMENT
5	HUDSON INSTITUTE NEW YORK, NY	SPEAKING ENGAGEMENT
6	IESE BUSINESS SCHOOL MADRID, SPAIN	SPEAKING ENGAGEMENT

Reporting Individual's Name		Page Number
SUMMERS, LAWRENCE H.	**SCHEDULE D**	25

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
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Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	INSTITUTE FOR INTERNATIONAL ECONOMICS WASHINGTON, DC	ADVISORY COMMITTEE
2	INSTITUTIONAL LIMITED PARTNERS ASSOCIATION NEW YORK, NY	SPEAKING ENGAGEMENT
3	INVESTEC BANK MAURITIUS, REPUBLIC OF MAURITIUS	SPEAKING ENGAGEMENT
4	ITINERA INSTITUTE BRUSSELS, BELGIUM	SPEAKING ENGAGEMENT
5	JP MORGAN MIAMI, FL	SPEAKING ENGAGEMENT
6	LEADERS AND COMPANY LTD. (THISDAY NEWSPAPER GROUP) NEW YORK, NY	SPEAKING ENGAGEMENT

Reporting Individual's Name		Page Number
SUMMERS, LAWRENCE H.	**SCHEDULE D**	26

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1					
2					
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Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	LEHMAN BROTHERS BOSTON, MA	SPEAKING ENGAGEMENT
2	McKINSEY AND COMPANY - NEW YORK FLORENCE ITALY	SPEAKING ENGAGEMENT
3	MERRILL LYNCH LISBON, PORTUGAL	SPEAKING ENGAGEMENT
4	NAPLES - FT. MYERS TOWN HALL INC. NAPLES, FL	SPEAKING ENGAGEMENT
5	NEXUS INSTITUTE AMSTERDAM, NETHERLANDS	SPEAKING ENGAGEMENT
6	NORTH CAROLINA STATE UNIVERSITY RALEIGH, NC	SPEAKING ENGAGEMENT

Reporting Individual's Name		Page Number
SUMMERS, LAWRENCE H.	**SCHEDULE D**	27

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1					
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Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	PENSION REAL ESTATE ASSOCIATION BOSTON, MA	SPEAKING ENGAGEMENT
2	PhRMA WASHINGTON, DC	SPEAKING ENGAGEMENT
3	PIMCO NEWPORT BEACH, CA	SPEAKING ENGAGEMENT
4	POMONA CAPITAL NEW YORK, NY	SPEAKING ENGAGEMENT
5	PRICEWATERHOUSE COOPERS LLP WASHINGTON, DC	SPEAKING ENGAGEMENT
6	REGENTS OF UNIVERSITY OF CALIFORNIA LOS ANGELES, CA	SPEAKING ENGAGEMENT

SF 278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name		Page Number
SUMMERS, LAWRENCE H.	**SCHEDULE D**	28

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
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Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	RELIANCE, MAKERS CHAMBERS - IV NARIMAN POINT, MUMBAI 400 021, INDIA	MEMBER OF THE INTERNATIONAL ADVISORY BOARD
2	REVOLUTION MONEY 200 CENTRAL AVE, 11TH FL, ST PETERSBURG, FL 33701	BOARD MEMBER
3	ROUBINI GLOBAL ECONOMICS NEW YORK, NY	BOARD MEMBER
4	SACRAMENTO CHAMBER OF COMMERCE SACRAMENTO, CA	SPEAKING ENGAGEMENT
5	SAMSUNG SECURITIES CO., LTD. SEOUL, SOUTH KOREA	SPEAKING ENGAGEMENT
6	SECURITIES INDUSTRY & FINANCIAL MARKETS ASSOCIATION (SIFMA) NEW YORK, NY	SPEAKING ENGAGEMENT

Reporting Individual's Name		Page Number
SUMMERS, LAWRENCE H.	**SCHEDULE D**	29

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
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Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	SIGULER GUFF & COMPANY NEW YORK, NY	SPEAKING ENGAGEMENT
2	SKAGEN FUNDS STOCKHOLM, SWEDEN	SPEAKING ENGAGEMENT
3	SOURCE MEDIA NEW YORK, NY	SPEAKING ENGAGEMENT
4	STATE STREET CORPORATION - GLOBAL MARKETS CAMBRIDGE, MA	SPEAKING ENGAGEMENT
5	TA ASSOCIATES BOSTON, MA	SPEAKING ENGAGEMENT
6	TATA CONSULTANCY SERVICES HENDERSON, NV	SPEAKING ENGAGEMENT

Reporting Individual's Name		Page Number
SUMMERS, LAWRENCE H.	**SCHEDULE D**	30

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious social, fraternal, or political entities and those solely of an honorary nature.

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None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	TAX COUNCIL POLICY INSTITUTE WASHINGTON, DC	SPEAKING ENGAGEMENT
2	TUFTS UNIVERSITY BOSTON, MA	SPEAKING ENGAGEMENT
3	UCSD ECONOMIC ROUNDTABLE SAN DIEGO, CA	SPEAKING ENGAGEMENT
4	UNIVERSIDAD AUTONOMA DEL ESTADO DE BAJA CALIFORNIA TIJUANA, MEXICO	SPEAKING ENGAGEMENT
5	WYLIE AGENCY (UK) LIMITED LONDON, ENGLAND	COLUMNIST
6	YALE UNIVERSITY NEW HAVEN, CT	SPEAKING ENGAGEMENT

Attachment to: FORM SF278, SCHEDULE A, PART I

SUMMERS, LAWRENCE H.

Block A	Block B	Block C - Type	Block C - Amount
Bank of America - Columbia Mutual Funds			
Columbia Acorn Fund	$1,001 - $15,000	Excepted Investment Fund	$201 - $1,000
Columbia Marsico Growth Fund	$1,001 - $15,000	Excepted Investment Fund	$201 - $1,000
Columbia Marsico International Opportunity Fund	$1,001 - $15,000	Excepted Investment Fund	$201 - $1,000
Columbia Dividend Income Fund	$1,001 - $15,000	Excepted Investment Fund	$201 - $1,000
Sterling Trust Defined Benefit Plan (Keogh Plan)			
SPDRs (SPY)	$15,000 - $50,000	Excepted Investment Fund	$201 - $1,000
iShares Russell 2000 Index (IWM)	$15,000 - $50,000	Excepted Investment Fund	$201 - $1,000
iShares MSCI EAFE Index (EFA)	$15,000 - $50,000	Excepted Investment Fund	$201 - $1,000

Note 1 – Equity Resource Mercury Fund - Privately held limited partnership investment in real estate assets located in Asia

Note 2 – Massachusetts Institute of Technology - Future royalties, value is de minimis and not readily ascertainable

Attachment to: FORM SF278, SCHEDULE D, PART I

SUMMERS, LAWRENCE H.

Organization	Type of Business	Title	Address/Telephone	Dates
BCBS of Massachusetts	Company	Advisory Council	401 Park Drive, Boston, MA 02215-3326 800-262-2583	4/2007 - 12/31/08
Broad Foundation	Foundation	Board of Governors	10900 Wilshire Blvd, 12 fl Los Angeles, California 90024 310.954.5000	2005 – 12/31/08
Brookings	Think Tank	Board of Trustees Journal Editor	1775 Massachusetts Ave, NW, Washington, DC 20036 202.797.6000	2002 – 12/31/08
Center for Global Development	Think Tank	Board of Directors	1776 Massachusetts Ave. NW Third Floor Washington DC 20036 (202) 416-0700	2005 – 12/31/08
Bill & Melinda Gates Foundation	Foundation	Advisory Member for Global Development Program	PO Box 23350 Seattle, WA 98102 (206) 709-3100	2007 – 12/31/08
International Center for Research on Women	Think Tank	Advisory Board Member	1120 20th St. N.W. Suite 500 North Washington, D.C. 20036 (202) 797-0007	3/2008 – 12/31/08
Institute for International Economics	Think Tank	Chair of Advisory Board; Board of Trustees	1750 Mass Avenue, NW. Washington, DC 20036. Tel: 202-328-9000	2002 – 12/31/08
Mt Sinai Hospital	Hospital	Board of Trustees	5 East 98th Street New York, NY 10029 866 674-3721	7/2008 – 12/31/08
National Academy of Sciences – Board on	Public Policy Forum	Chairman	500 Fifth St., N.W. Washington, D.C. 20001	01/01/08 – 12/31/08

Science Technology and Economic Policy (STEP)			202-334-2000	
Partnership for Public Service	Public Policy Forum	Advisory Board of Governors	1100 New York Avenue NW, Suite 1090 East, Washington, DC 20005 (202) 775-9111	2002 – 12/31/08
Reliance	Company	Member of the International Advisory Board	Makers Chambers - IV, Nariman Point, Mumbai 400 021, India. 91-22-2278 5000	2007 - 12/31/08
Revolution Money	Company	Board Member	200 Central Ave, 11th fl St Petersburg, FL 33701 727-374-2105	2006 - 12/31/08
RGE Monitor	Company	Advisor to the Board	131 Varick Street, Suite 1005 New York, New York 10013 212.645.0010	2006 – 12/31/08
Teach for America	Non Profit	Board of Directors	315 West 36th Street, 7th Floor New York, NY 10018 212-279-2080	2006 – 12/31/08
American Corporate Partners (Veterans Mentoring Organization)	Non Profit	Advisory Council	6 E 43rd Street New York, NY 10017	2008 - 12/31/08