

**UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT  
FOR ANNUAL AND TERMINATION REPORTS**

Last Name	First Name and Middle Initial	Annual Report Calendar Year Covered by Report:	Senate Office / Agency in which Employed
CLINTON	HILLARY RODHAM	2004	U.S. SENATE
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report Termination Date (mm/dd/yy):	Prior Office / Agency in which Employed
476 RUSSELL OFFICE BUILDING, WASH. DC 20510	202-224-4451		

AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART	YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.		X
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	X	
Did you, your spouse, or dependent child receive unearned or investment income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If Yes, Complete & Attach PART IIIA and/or IIIB.	X	
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, Complete and Attach PART IV.		X
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$305 and not otherwise exempt)? If Yes, Complete and Attach PART V.		X
Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$305 from one source)? If Yes, Complete and Attach PART VI.	X	
Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.		X
Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.		X
Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX.	X	
If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.		

**Each question must be answered and the appropriate PART attached for each "YES" response.**

**File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.**

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

**FOR OFFICIAL USE ONLY  
Do Not Write Below this Line**

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	<i>Hillary Rodham Clinton</i>	<i>5/16/05</i>
<b>For Official Use Only - Do Not Write Below This Line</b>		
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.	Signature of Reviewing Official	Date (Month, Day, Year)

SECRETARY OF THE SENATE  
05 MAY 16 PM 3:31

## PART II. EARNED AND NON-INVESTMENT INCOME

<b>Reporting Individual's Name</b>	HILLARY RODHAM CLINTON	<b>Page Number</b>	2
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Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)	Type of Income	Amount
Examples:	<i>JP Computers</i>	<i>Wash., DC</i> <span style="float: right;"><i>Example</i></span>	<i>Salary</i> <span style="float: right;"><i>Example</i></span>	<i>\$15,000</i>
	<i>MCI (Spouse)</i>	<i>Arlington, VA</i> <span style="float: right;"><i>Example</i></span>	<i>Salary</i> <span style="float: right;"><i>Example</i></span>	<i>Over \$1,000</i>
1	Fantasma (Spouse)	Sunrise, FL	Speech 01/25/04	\$ 125,000
2	Citigroup (Spouse)	Paris, France	Speech 03/12/04	\$ 250,000
3	Hon. Frank McKenna's Annual Business Networking Event (Spouse)	Wallace, Nova Scotia	Speech 05/05/04	\$ 125,000
4	Urban Land Institute (Spouse)	New York, NY	Speech 11/05/04	\$ 125,000
5	The Star Forum (Spouse)	Cherry Hill, NJ	Speech 11/10/04	\$ 125,000
6	Goldman Sachs (Spouse)	New York, NY	Speech 12/03/04	\$ 125,000
7	Simon & Schuster, Inc. - Living History	Parsippany, NJ	Book Royalties	\$ 2,376,716
8	Simon & Schuster, Inc. - It Takes A Village - (Senator Clinton donates the royalties from this book to charity)	Parsippany, NJ	Book Royalties	\$ 10,012
9	Random House (Spouse)	New York, NY	Book Royalties	over \$1,000
10	Info USA (Spouse)	Omaha, NE	Nonemployee Compensation	over \$1,000
11	Yucaipa Global Oppurtunities Fund I, LLC (Spouse)	Los Angeles, CA	Guaranteed payments to partner	over \$1,000
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13				

**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Reporting Individual's Name

HILLARY RODHAM CLINTON

Page Number

3A

**BLOCK A**  
**Identity of Publicly Traded Assets and Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**  
**Valuation of Assets**  
At the close of reporting period.  
If None, or less than \$1,001, Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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**BLOCK C**  
**Type and Amount of Income**  
If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income							Amount of Income												
Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "other" Specified

S, DC or J	Example	IBM Corp. (stock)			X					X									Example
		Keystone Fund				X						X							Example
1		Citibank (Deposit Accounts) (J)									X								
2		Northwestern Mutual Life Insurance (cash value)(S)			X								X						
3		Northwestern Mutual Life Insurance (cash value)			X								X						

**PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES**

Reporting Individual's Name

HILLARY RODHAM CLINTON

Page Number

3B

**BLOCK A**  
**Identity of Publicly Traded Assets and Unearned Income Sources**

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

**BLOCK B**  
**Valuation of Assets**  
 At the close of reporting period.  
 If None, or less than \$1,001, Check the first column.

None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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**BLOCK C**  
**Type and Amount of Income**  
 If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income							Amount of Income											
Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)										Actual Amount	
							None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	Over \$5,000,000	Required if "other" Specified
							Example	X										Example
							Example	X										Example
																X		
								X										
									X									

S, DC or J	Example	Asset Name	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount	Required if "other" Specified	
		IBM Corp. (stock)			X										X							Example	X											Example		
		Keystone Fund				X											X					Example	X											Example		
4		Senate Qualified Blind Trust (J)									X																									
5		Riggs Bank of Washington, D.C.	X																				X													
6		Arkansas Public Employees Retirement System (S)			X												X							X												

## PART VI. REIMBURSEMENTS

Reporting Individual's Name	Page Number	4
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Report necessary travel related expenses from each source aggregating more than \$305 in value during the reporting period received by you, your spouse and/or dependent child in connection with your provision of services at a speaking engagement, fact-finding event, or other event (personal campaign, or otherwise). Disclosure is required regardless of whether those expenses were **reimbursed** to the individual or **paid directly** by the sponsoring organization. A description of the itinerary, including date(s) and the nature of expenses is required. If you are reimbursed for more than one trip from the same sponsor (and the trips added together are worth more than \$305), then you must report each trip individually, even if the reimbursement for each separate trip does not equal more than \$305. Report Gifts of travel in Part V.

**Exclude:** Travel related expenses provided by federal, state, D.C., and local governments; or by a foreign government; reimbursements from campaign funds which are reported to the FEC; reimbursements to a spouse or dependent child totally independent of his or her relationship to you; and reimbursements reported to the Office of Public Records pursuant to Senate Rule 35. For further information, see Instructions.

	Name of Source	Address of Source	Dates and Brief Description
Example:	<i>All States Company</i>	<i>Maintown, TX Example</i>	<i>Roundtrip air travel from Washington, D.C. to Maintown, TX and lunch for self and spouse for speaking engagement: May 1-3, 200X Example</i>
1	The Panetta Institute	Seaside, CA	Lodging expenses for speech made in Seaside, California on June 28, 2004
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## PART IX. AGREEMENTS OR ARRANGEMENTS

<b>Reporting Individual's Name</b>	HILLARY RODHAM CLINTON	<b>Page Number</b>	5
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Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	<i>Pursuant to partnership agreement, will receive lump sum payment of capital account &amp; partnership share calculated on services performed through 11/9X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)</i>	<i>Jones &amp; Smith, Hometown, USA</i> <span style="margin-left: 100px;"><i>Example</i></span>	<i>1/83</i>
	<i>Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between \$50,001-\$100,000, signing bonus between \$2,501-\$5,000 and stock options.</i>	<i>XYZ Co., Bethesda, MD</i> <span style="margin-left: 100px;"><i>Example</i></span>	<i>1/0X</i>

1	Publishing agreement regarding the literary work "It Takes a Village" (will receive royalty payments pursuant to usual and customary terms of the trade)	Simon & Schuster, Inc.	01/04/96
2	Publishing agreement regarding the literary work "Living History" approved by Select Committee on Ethics (will receive royalty payments pursuant to usual and customary terms of the trade)	Simon & Schuster, Inc.	01/02/01
3	Publishing agreement regarding the work "Dear Socks, Dear Buddy" (royalty payments assigned to National Parks Foundation)	Simon & Schuster, Inc.	09/98
4	Charitable gift assignment regarding the work "Dear Socks, Dear Buddy" (royalty payments assigned to National Parks Foundation and paid directly by the publisher)	National Parks Foundation	09/98
5	Publishing agreement regarding the literary work "Invitation to the White House" (royalty payments assigned to the White House Historical Society)	Simon & Schuster, Inc.	12/99
6	Charitable gift assignment regarding the literary work "Invitation to the White House" (royalty payments assigned to the White House Historical Society and paid directly by the publisher)	White House Historical Society	12/99
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