Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT, SF 278 U.S. Office of Government Ethics (actual OGE facsimile attached)

[NOTE: This transcription was made necessary because Mr. Kappos *handwrote* this disclosure. Most Executive Branch disclosing attorneys handwrote their disclosures. Handwriting is much more difficult, if not impossible, for automatic scanning programs to index such documents for searching by the public. Attorneys know this. President Obama pledged greater transparency. Such conduct is inconsistent with that directive.]

Kappos, David J.

Position for Which Filing:

Undersecretary for IP, U.S. Patent Office

700 Dulany St., Alexandria VA 22313, 571-272-8600

| Signature: | David J. Kappos |
|--|-----------------|
| Signature Date: | 5/16/2010 |
| Agency Reviewer Signature: | 7/26/2010 |
| Agency Ethics Official's Signature: | 4/28/10 |
| Office of Government Ethics Signature: . | 1/19/11 |
| Agency Date Stamp: | May 17 2010 |
| OGE Date Stamp: | Jul 30 2010 |

SCHEDULE A: Assets and Income:

[Legend: LYK=Leslie Y. Kappos, wife DJK=David J. Kappos]

Serious Anomalies in David J. Kappos' disclosure:

- 1. Not readily accessible to watchdog sites.
- It is handwritten, avoids transparent search indexing and discovery.
- 3. Kappos was quickly confirmed without public notice.
- 4. It scrambles transaction chronology (unscrambled in this transcription). *See* Nos. 27-60.
- 5. No Pro Bono Partnership salaries are disclosed. See No. 23.
- 6. No disclosure of the sale amount of his property. See No. 54.
- Kappos' disorganized presentation is evidently intended to mask his plan to sell between \$450,000 and \$1,315,000 in IBM stock, and then to purchase between \$516,000 and \$1,115,000 in Vanguard Funds AFTER his hasty confirmation.
- 8. Purchase of only Vanguard Funds telegraphs a possible insider tip.
- 9. Vanguard was/is allied with Facebook cronies.
- 10. Vanguard became heavily invested in Facebook before the IPO.
- 11. The timing of Facebook's filing of the 1st of now 3 patent reexaminations, telegraphs possible collusion.
- 12. A former attorney for Facebook lawyer, White & Case LLP --Kathryn W. Siehndel, -- is currently obstructing FOIA requests as the USPTO FOIA Officer.

| 1. | Meridian Growth Fund | | |
|-----|---|----------------|-----------------------------|
| 2. | Rydex NASDAQ 100 Fund | [RYOCX] | \$50,001-100,000 |
| 3. | Vanguard Total Stock Market LYK IRA | [VTSMX] | \$100,001-250,000 |
| 4. | Vanguard European Stock Index LYK IRA | [VEURX] | \$15,001-50,000 |
| 5. | Vanguard International Growth LYK IRA | [VWIGX] | \$15,001-50,000 |
| 6. | Vanguard Target Retirement LYK IRA | [VTINX] | \$250,001-500,000 |
| 7. | Vanguard European Stock Fund DJK IRA | [Vanguard FTSE | Europe ETF]\$50,001-100,000 |
| 8. | Vanguard Int'l Growth DJK IRA | [VWIGX] | \$100,001-250,000 |
| 9. | Vanguard Mid-Cap Fund DJK IRA | [VMCIX] | \$50,001-100,000 |
| 10. | Vanguard Total Stock Market DJK IRA | [VTSMX] | \$250,001-500,000 |
| 11. | Vanguard Prime Money Market DJK IRA | [VMMXX] | \$100,001-250,000 |
| 12. | Vanguard Small Cap Fund DJK IRA | [VISVX] | \$100,001-250,000 |
| 13. | Vanguard Prime Money Market | [VMMXX] | \$500,001-1,000,000 |
| 14. | Vanguard Total Stock Market | [VTSMX] | \$15,001-50,000 |
| 15. | Vanguard GNMA | [VFIIX] | \$1,001-15,000 |
| 16. | Metlife MSF FOF Conservative (S) | | \$1,001-15,000 |
| 17. | Metlife MSF FOF Con to Moderate (S) | | |
| 18. | Dodge & Cox Int'l Stock Fd | [DODFX] | \$15,001-50,000 |
| 19. | Vacation Rental Home, Tenants Harbor ME | | \$1,000,001-\$5,000,000 |
| 20. | Residential Rental Property, Westport CT | | \$1,000,001-\$5,000,000 |
| 21. | First Republic Bank Checking | | \$15,001-50,000 |
| 22. | Residential Rental Property, White Plans NY | | \$1,000,001-\$5,000,000 |
| 23. | Pro Bono Partnership(s)* (*per previous report) | | Salary [no disclosure] |
| 24. | Interest on Loan to Wayne Crockett | | |
| 25. | Baron Partners Fund | [BPTRX] | \$50,001-100,000 |
| 26. | IBM Personal Pension Plan [unintelligible] | | \$250,001-500,000 |

SCHEDULE B, Part I: Transactions

| | ILD CLL D, I uit I. I unsuctions | | | |
|-----------------|--|---|---|---|
| | ["IBM Common Stock | | | |
| | [This content has been reorganized according to the | ne date of the | transactions, a | long with context dates.] |
| 27. | [Pres. Obama announces intent to nominate Kappe | osl | 06/18/20091 | • |
| 28. | [Kappos Senate Confirmation Hearing | | | (no disclosure of transactions bel |
| 29. | [Kappos Confirmed | | | |
| | Exercise IBM Stock Options/Grants | Sale | 08/19/2009 | \$100,001-250,000 |
| | Exercise IBM Stock Options/Grants | | | |
| | Exercise IBM Stock Options/Grants | | | |
| 33. | Exercise IBM Stock Options/Grants | Sale | 09/16/2009 | \$15,001-50,000 |
| 34. | Exercise IBM Stock Options/Grants | Sale | 10/07/2009 | \$15,001-50,000 |
| 35. | Exercise IBM Stock Options/Grants | Sale | 10/09/2009 | \$1,001-15,000 |
| 36. | Exercise IBM Stock Options/Grants | Sale | 10/09/2009 | \$50,001-100,000 |
| 37. | Exercise IBM Stock Options/Grants | Sale | 10/09/2009 | \$100,001-250,000 |
| 38. | Exercise IBM Stock Options/Grants | Sale | 10/14/2009 | \$50,001-100,000 |
| 39. | Hogan & Hartson 401(K) Kaminsky Fund | Sale | 10/15/2009 | \$50,001-100,000 |
| 10. | IBM 401(K) Total Stock Market Index | Sale | 10/20/2009 | \$100,001-250,000 |
| | IBM 401(K) Small Cap Value | | | |
| 12. | IBM 401(K) Int'l Stock Market | Sale | 10/20/2009 | \$50,001-100,000 |
| | IBM 401(K) Aggressive Life Strategy | | | |
| 14. | IBM 401(K) Small Cap Index | Sale | 10/20/2009 | \$15,001-50,000 |
| 1 5. | IBM 401(K) European Stock Index | Sale | 10/20/2009 | \$15,001-50,000 |
| 1 6. | IBM 401(K) Stable Value Mutual Fund | Sale | 10/20/2009 | \$15,001-50,000 |
| 1 7. | Vanguard European Stock Index [VEURX]* | | | |
| 18. | Vanguard International Growth [VWIGX]* | Purchase . | 10/27/2009 | \$50,001-100,000 |
| 19. | Vanguard Mid-Cap Fund [VMCIX]* | | | |
| 0. | Vanguard Small Cap Value Index [VISVX]* | | | |
| 51. | | | | |
| 52. | Vanguard Prime Money Market [VMMXX]* | | | |
| 53. | Vanguard Target Retirement [VTINX]* | Purchase . | 10//2009 | \$250,001-500,000 |
| 54. | Sold House – investment property through | | | |
| | CBH Partnership loss of \$100,000 | Sale | 11//2009 | [No Sale Price disclosed] ¹ |
| 55. | [Facebook files 1st Reexam against Leader Tecl | hnologies | 11/13/2009 | • |
| | Facebook's attorneys, White & Case LLP, Cooley God Jeffrey Norberg did not time this re-exam as a litigation was close to the critical Markman Hearing (where Face have been for Kappos to personally oversee the Leader Patent Office would <i>kill off</i> Leader's patent by secret firemployee of Microsoft, one of Facebook's largest stake | n tactic, since <i>I</i> ebook's argume patent reexam at. Judge Steph | neader v. Faceboonts failed). How ination process and C. Siu, who | wever, the intent appears to in order to ensure that the Kappos assigned, is a former |

 ^{56.} Cash Out IBM NonQualified Pension Plan
 Sale
 11/16/2009
 \$15,001-50,000

 57. Rydex SGI [Rydex|SGI]
 Purchase
 01/19/2010
 \$1,001-15,000

 58. Baron Partners Fund [BPTRX]
 Purchase
 01/19/2010
 \$1,001-15,000

 59. Dodge & Cox Int'l Stock Fund [DODFX]
 Purchase
 01/19/2010
 \$1,001-15,000

 60. Meridian Growth Fund [MERDX
 Purchase
 01/19/2010
 \$1,001-15,000

requests, is a former White & Case LLP attorney, Kathryn W. Siehndel.]

^{*} marked as "IRA Rollover"

¹ Kappos' three (3) properties are valued between \$1-5 million each. See Nos. 19, 20, 22.

SF 273 (Rev. 03/2000) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved: OMB No. 3209 - 0001

| Status Covered by Report Status Status Covered by Report Status | | | | |
|--|--|--|--|--|
| Reporting Individual's Name Position for Which Title of Fostion Department or Agency (If Applicable) | Date of Appointment, Candidary, Election, or Nomination (Month, Day, Year) | Status (Check Appropriate Covered by Report No. | minee, or Filer cable) (Month, Day, Year) | Any individual who is required to file this report and does so more than 30 days |
| Title of Position Department of Agency (If Applicables) Department of Agency (If Applicables) Department of Agency (If Applicables) The Department of Agency (If Applicables) The Department of Agency (If Applicables) The Address (Newbert Street) Street and ZIF Codes) The Address (Newbert Street) Street and ZIF Codes) The Department of Agency (If Applicables) The Original Street of Address (Newbert Street) Street and ZIF Codes) The Original Street of Address (Newbert Street) The Original Street of Address (New Entrants and Constitution Street) Original Street of Agency Indicated and Street of Agency Ethiological S | Pennering | Last Name | First Name and Middle Initial | |
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| Certification Signature of Reporting Individual Date (Month, Day, Year) Signature of Reporting Individual Other Review (If desired by gency) Other Review (If desired by gency) Signature of Other Reviewer Other Reviewer Other Reviewer Signature of Other Reviewer Other Reviewer Other Reviewer Signature of Other Reviewer Other Reviewer Signature of Other Reviewer Other Reviewer Other Reviewer Signature of Other Reviewer Other Reviewer Signature of Other Reviewer Other Reviewer Other Reviewer Signature of Other Rev | to Senate Confirmation | | Yes No | Nominees, New Entrants and |
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| (If desired by agency) Agency Ethics Official's Opinion Signature of Designated Agency Ethics Difficial Reviewing Official Date (Month, Day, Year) Schedule B—Not applicable. Schedule C, Part I (Linbithtes)—The reporting period is the preceding calendar year and the current calendar year up to any dare you choose that is within 31 days of the date of filing. Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements of the date of filing. Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements of the date of filing. Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing. Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing. (Check box if filing extension granted & indicare number of days —) Agency Use Only (Check box if comments are continued on the reverse side) | Other Barrier | Signature of Other Reviewer | Date (Month, Day, Year) | as of any date you choose that is within |
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| | d J. Kappes | | | | | | | <u>. </u> | | | <u> </u> | S | CF | IE | DI | ŪΙ | E | A | • | · · | | | | · | | | | | | | Pa : | ge Number | |
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| report, ea production value extending period in income vith such For yours amount to than from | your spouse, and dependent childrech asset held for investment or in of income which had a fair mariseding \$1,000 at the close of the report, or which generated more than \$2 during the reporting period, togetherome. Left, also report the source and act fearned income exceeding \$200 (out the U.S. Government). For your spouse source but not the amount of earn income than \$1,000 (except report income of any honoraria over \$200 ise). | he ket control of the | \$1.001 - \$15.000 | - L | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted investment Fund | Excepted Trust | Qualified Trust | | Rent and Royalties | | Sapiral Galfis (1995) | None (or less than \$201) | 0001341025 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | 1. | | \$100,001 - \$1,000,000 | | \$1,000,001, \$5,000,000. | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
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| Examples | Doe Jarres & Smith, Hometown, State | ; | | × | · . | | Γ | | | , | | | | | | 7. | | | - | 3.5 | | 3.7 | _ | | | 773 | | 3 | Τ- | | _ | Law Partnership Income \$130,000 | |
| , , , | Kempstone Equity Fund | | | <u> </u> | | × | | | | | | | | Х. | | | | | | | | | | × | | | | | | 3763 | | | |
| | IRA: Heartland 500 Index Fund | 1. | | | | | | × | | | | . ' | | × | · | 1 | | | | 1. | | | | 1 | × | | | (4.2) | | | | | |
| 1 • M | eridian Growth Fund | , | | | X | | | | | | | | | / | | | | | | | · | | | | | | | | | | | | |
| 2 Ry | dex wason a 100 turd | | | | χ | | | | | | | | | 1 | | | | | | | ~ | | | | | | | | | | | | |
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| e nord. | vesse target Retrement LYK IRA | | | | | | χ | | | | | | | V | / | | | | | | V | (| | | | | | | | | | | |
| * This o | category applies only if the asset/inco | me is : childre | olely n, m | y tha ark | at of the | the othe | filer r his | 's sj | Cate | e or | dep des c | end of va | ent d due, | chile as a | iren ppr | . If | the | asse | t/in | com | e is | eith | er L | hat | of th | se G | ler o | or jo | intly | hel | id | | |

| David J. Kappes | | | | | | | | | SC | H | EI (Us | Ú se (| L | Ė A | Å of | CO: | nt ied | in i) | ue | d | | , | , | | | • | | | , | Pa | ge Number 3 | |
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| Assets and Income | | a | V t cl | al i | at of | ion rep | of | As | se pe | ts rio | ď | | | | | I | n c | om :ke | e: t | yp o o | e ar | nd a | ntry | un / is | t. I | f "l ede | lon d i | e (e n B | or I | ess k C | than \$20 for that i | 1)" is item. |
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| | None (or less than \$1,001) | | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Oualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501~\$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000. | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,000 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoruria |
| 1 Vangued teropean Stock Fund DIV IRA | | | | X | | | | | | | | | 2 | | | | | | | ~ | | | | | | | | | | | | |
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| 6 Vongued Smell Cap Fund 0 3x IIA | | | | | χ̈́ | | | | | | | | 1 | 1 | | | | | | v | | | | | | | | | | | | |
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| productivalue exc ing perio in incom- sith such for your amount of than from | _ | rket ort- 200 ther tual ther use, ned | or le | 41,001 - 413,000 615 001 - 850 000 | \$50,001 - \$100,000 | | \$250,001 - \$500,000 | \$500,000,-\$1,000,000 | Over \$1,000,000* | 000'000'5\$ - 100'000'1\$ | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | | Rent and Royalties | | "Capital Gains | None (or less than \$201) | \$201-\$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | .† | \$50,001 - \$100,000 | \$100,000,12 100,0018 | | \$1,000,001 \$5,000,000,18 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
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| Examples | Doe Jones & Smith, Hometown, State | | · |] | · | | | | | | | | | | | Ÿ, | | | | , | | | | · · · | | 3 | | | | | | Lavy Partnership Income \$130,000 | |
| ,· | Kempstone Equity Fund | | بي | | · | × | | · | | ÷, | | | | × | | | | | | ر بي رو | | : ئىتە د | _ | Hirl | | | _ | | | 1. | | | |
| 1 2 | IRA: Heartland 500 Index Fund | FD | | - | · | - | | x , | | | <u> </u> | | | | 3 | <u>.::</u> ; | | <u> </u> | | 8 | { | 9 | | | × | | | ١ | L. | | L. | • | |
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| i lesib | antrol Rental Property West | ort | | | | | | | | X | | | | | | | | X | | | | | | | | | ኦ | | | | | | |
| * This by t | category applies only if the asset/inc he filer with the spouse or dependent | ome is i childr | sole en, I | ly th nark | at of | the othe | filer r hij | 's sp gher | zuos Eals | e or | dep ies o | enda f∨a | ent (lue, | ihila as a | iren. pore | . II pri | the ate. | asse | t/in | ¢) na | e 15 | ei d h | er () | hat | of t | he fi | ler (| or jo | intly | hel | d | | |

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21 PER SCH. 8 - LASTYR'S RPT

| Reporting Individual's Name David J. Kappos | | • | | | , | | : | SC | | | | | e A | | | | | iec | 1 | | | | | | | | _ * | | Pag | Number 5 | |
|--|-----------------------------|--------------------|--|-----------------------|-----------------------|-------------------------|-------------------|-----------------------------|----------------------------|----------------------------|-------------------|--------------------------|----------------|-----------------|-------|--------------------|-------|---------------|---------------------------|-------------------|-------------------|-------------------|--------------------|---------------------|------|-------------------------|-------------------|---------------------------|------------------|--|--|
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| <i>'</i> | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001>-\$50,000 \$50.001 - \$100.000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | . \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | 000,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | | Rent and Royalties | | | None (or less than \$201) | \$\$201 - \$1,000 | \$1,001 - \$2,500 | \$2:501 - \$5,000 | \$5,001 - \$15,000 | *15,001: - \$50,000 | | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honocaria |
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| Baron Partners Fund | | | χ | | | | | | | | | X | | | | | | | χ | | | | | | | | | | | | |
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SF 278 (Rev. 03/2000) 5 C.F.K. Part 2624 U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

| Reporting Individual's Name David J. Karoos | SCHED | ULE | В | | | | ; | | Page | e Num | ber 6 | | |
|--|---|--|--------------------------------------|--|---------------------------|-------------------------------------|----------------------------|-------------------------------|----------------------------|--|---|--------------------------------------|----------------------------|
| Part I: Transactions Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of an real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1.000 | you, your spouse, or dependent child. Check the "Certificate of divestiture" block | None Transa | ()() | Date (Mo., | | | T. T | ount of | | | , , , , , , , , , , , , , , , , , , , | 000 | ite of rre |
| Include transactions that resulted in a loss | | Purchasa | Exahange | Day, Yr.) | \$15,000 | \$50,000 \$50,001 - \$100,000 | \$250,00 | \$500,000 - | \$1,000,000 \$1,000,000 | \$3,000,000 | \$5,000,001 \$25,000,000 \$25,000,001 | \$50,000,000 Over \$50,000,000 | Certificate of divestiture |
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| by the filer or jointly held by the filer with the | set is solely that of the filer's spouse or dependent childre spouse or dependent children, use the other higher cates ements, and Travel Expense | gories of va | alue, a | s appropriate. | | | | | | | | | |
| For you, your spouse and dependent childrion, and the value of: (1) gifts (such as tar food, or entertainment) received from one (2) travel-related cash reimbursements recthan \$260. For conflicts analysis, it is helpf as personal friend, agency approval under authority, etc. For travel-related gifts and relates, and the nature of expenses provided | tren, report the source, a brief descripnighle items, transportation, lodging, a source totaling more than \$260, and ceived from one source totaling more the dottel to indicate a basis for receipt, such as 5 U.S.C. § 4111 or other statutory reimbursements, include travel itinerary, | S. Govern ed from t endent of onor's res | relativ f their idenc n one | t; given to yo yes; received r relationship e. Also, for p e source, exclu | by you to yo ourpos | ur spor u; or p ses of a | use or provid aggres | r deper led as p gating | ndent persoi gifts t | child nal h o det | d totall ospital termine instruc | ly lity at e the | |
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| Nat'l Assn. of Rock Collectors, NY, NY | Airline ticket, hotel room & meals incident to nation | nal conferer | 1CE 6/1 | 5/99 (personal | activity | unrelat | ed to d | ury) | | | | \$500 | |
| Frank Jones, San Francisco, CA | Leather briefcase (personal friend) | | | | | | | | | | | \$300 | |
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SF 278 (Rev. 03/2000) 5 C.P.R. Part 2634 U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

| Reporting Individual's Name SCHEI Dav J J. Lappo (| Se only if ne | On | t in ı | ued | | | | | , | Pag | e Numi |)eı. (| 7 | | |
|--|---------------|------------------|---------------|----------------------------|---------|----------|-----------------------|--------------------------|-----------|----------|-------------|-----------------------------|----------------|--------------------------------|------------|
| Part I: Transactions | | | | | | | | | | | | | | | |
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"This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

SF 278 (Rev. 03/2000) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

| Repo | rting Individual's Name | SCHEDULE I (Use only i | B Co | ont | int | ied | | | | | | | Page | Numb | er 5 | <u></u> | • | |
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| P | art I: Transactions | (800 000) | , | | | • | | _ | | | | | l | | | | | |
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| ر ا | die mei or jointaly hear by the mei wit | in the species of dependent children, one the other higher cate, | Portes | VI 74 | ., c. | as appropries | - | | | | | | | | | | | 1 |

| David J. Kappos | SC | HED | ULE C | ; | | | | | | | Page | Numb | er G | | |
|--|--|--------------------------|---|-----------------------------|----------------------|----------------|-----------------|---------------|-----------------|----------------|----------------------|--------------|-------------|----------------|----------|
| Part I: Liabilities Report liabilities over \$10,000 owed to any one creditor at any time | a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture | None [| | : , | | · , · ; | Ca | ategoi | ry of A | mount | or Va | lue (x) | ; | | |
| during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude | or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts. | | Intérést | D | \$10,001 \$15,000 | \$15,001 - | | \$250,000. | | \$500,001 - | Oyer \$1,000,000* | \$1,000,001- | \$5,000,001 | \$25,000,001 - | 000,000 |
| Creditors (Name and Address) First District Bank, Washington, DC | Type of Liability Mortgage on rental property, Delaware | Date Incurred 1991 | Rate 8% | Term if applicable 25 yrs. | Sic S15 | \$15 | × 530 | \$22 | \$28 | \$50 | \$1,0 | \$1,0 | \$5,0 | \$25 | SSO. |
| John Jones, 123 JSt., Washington, DC | Promissory note | 1999 | 10% | on demand | <u> </u> | | | _ | `` x | | <u></u> | | | - : | <u> </u> |
| EM 6MAC | property for connectivity | 2004 1 439 | 4.25% | 30 | | | | | | X | | | | | |
| 2 Food Republic | Mortgage on Rende property, | 2007 | 5.3 | 06 | | | | | | X | | | | | |
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| *This category applies only if the liability is with the spouse or dependent children, man | solely that of the filer's spouse or dependent childre k the other higher categories, as appropriate. | en. If the li | ability is th | at of the file | er or a | joint | liability | y of t | he file | r | | | | | |
| Part II: Agreements or | Arrangements | • | | | | | | | | | | | | , | |
| Report your agreements or arrangement employee benefit plan (e.g. pension, 401 tion of payment by a former employer (| is for: (1) continuing participation in an i.k, deferred compensation); (2) continua- including severance payments); (3) leaves | of absering of n | nce; and (egotiation | 4) future e is for any o | mplo of the | ymen se arı | t. See angen | instr nent | uctio s or b | ns re enefi | gardi ts. | ng th | _ | ort- None | · |
| Status and To | erms of any Agreement or Arrangement | - | | | | | 1 | Partie | :5 | | | • | | D | ate |
| Example Pursuant to partnership agreement, calculated on service performed thr | will receive lump sum payment of capital account & par ough 1/00. | tnership sh | are | Doe Jones | & Smit | h, Hom | etown, | State | _ | | | | | 7, | /85 |
| I JAM Personal Pension Plan | | | 1× 1×1×1×1×1×1×1×1×1×1×1×1×1×1×1×1×1×1× | 704 | | | | | | | | | | 6 | 199 |
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| David Sikystos | SCHEDULE D | | | | | Page Number | |
|---|--|---|---------------------------|---|-----------------|-------------|--|
| Part I: Positions Held Report any positions held during the a sated or not. Positions include but are trustee, general partner, proprietor, re any corporation, firm, partnership, or | applicable reporting period, wh not limited to those of an offic epresentative, employee, or con | ether compen- er, director, isultant of | organization or education | onal institution. Exclude position tical entities and those solely of a | an honorary | one 🔀 | |
| Organization (Name | and Address) | | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo | |
| Nat'l Assn. of Rock Collectors, NY, NY | | Non-profit educ | ation | President | 6/92 | Present | |
| Examples Doe Jones & Smith, Hometown, State | | Law firm | | Partner | 7/85 | 1/00 | |
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| Part II: Compensation Report sources of more than \$5,000 cc | or your one year of | non-profit organization when Presidential or Presidential Candidate. rear of you directly provided the | | | | | |
| business affiliation for services provide the reporting period. This includes the corporation, firm, partnership, or othe | er business enterprise, or any o | other | need not report the 6.5 | . Government as a source. | | lone [| |
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