

**R.Gurney**

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REBUTTALS TO Bezdek, Lindzen. Happer

SURREBUTALS BY: Bezdek, Lindzen. Happer

Bezdek:

p7 "However, the question of relevance to an assessment of the SCC should not be centered on whether or not there is a CO2 fertilization effect. The question should be centered on assessing the total net impact on plants, particularly food crops, from anthropogenic climate change."

p.9 "The results of the review performed in the IPCC 5th Assessment Report find that there is a net negative impact on crop yields, inclusive of the CO2 fertilization effect."

Lindzen:

pp.11-12 "I rebut the suggestion by Dr. Lindzen in Peabody Ex. \_\_\_\_ at 6 (Lindzen Direct); Peabody Ex. \_\_\_\_ RSL-2, lines 488-540) that there is an ambiguous relationship between emissions and atmospheric CO2 concentration. Dr. Lindzen suggests that:

**"Even the connection of fossil fuel emission to atmospheric CO2 levels is open to question."**

"This instrumental support precludes the notion that something other than fossil fuel CO2 emissions are driving the secular trend in atmospheric CO2 levels."

Happer:

pp.12-13 "The Happer testimony is centered around four somewhat interrelated claims which I rebut:"

(1) That recent observations show no warming ...

(2) that models used as the calibration to the IAMs (and their subsequent SCC results) do not agree with observations ...

(3) that a doubling sensitivity of IPCC models is too large and that a lower doubling sensitivity would require centuries to achieve a temperature rise of 2°C ...

(4) that warming and additional CO2 are beneficial."

p.21 has copy of IPCC summaries of ECS

p.32-48 is CV

On behalf of the Minnesota Department of Commerce, Division of Energy Resources, and the Minnesota Pollution Control Agency (the Agencies), enclosed for filing in the above docket, please find the second corrected Rebuttal Testimony and Attachments of Dr. Kevin Gurney.

The sole purpose of this second corrected Rebuttal Testimony is to correct the first Corrected Rebuttal Testimony filed September 21, 2015 (by repairing accidentally damaged tables on several pages). As with the first corrected Gurney Rebuttal Testimony, this second corrected Rebuttal Testimony provides an updated *curriculum vitae* for Dr. Gurney (DOC Ex. \_\_\_\_ KG-R-1) and a table of corrections to Gurney Rebuttal (DOC Ex. \_\_\_\_ KG-R-2).

The Agencies apologize for any confusion these corrections may have caused.

Sincerely,

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BEFORE THE MINNESOTA OFFICE OF ADMINISTRATIVE HEARINGS  
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IN THE MATTER OF THE FURTHER  
INVESTIGATION INTO ENVIRONMENTAL  
AND SOCIOECONOMIC COSTS UNDER  
MINNESOTA STATUTE 216B.2422,  
SUBDIVISION 3

MPUC Docket No. E999/CI-14-643  
OAH Docket No. 80-2500-31888

**REBUTTAL TESTIMONY AND ATTACHMENTS OF KEVIN GURNEY**

**ON BEHALF OF**

**THE DIVISION OF ENERGY RESOURCES OF  
THE MINNESOTA DEPARTMENT OF COMMERCE  
and  
MINNESOTA POLLUTION CONTROL AGENCY**

**AUGUST 12, 2015**

REBUTTAL TESTIMONY AND ATTACHMENT OF KEVIN GURNEY  
IN THE MATTER OF THE FURTHER INVESTIGATION INTO ENVIRONMENTAL AND  
SOCIOECONOMIC COSTS UNDER MINNESOTA STATUTE 216B.2422,  
SUBDIVISION 3

DOCKET NO. E999/CI-14-643  
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1 **I. INTRODUCTION AND QUALIFICATIONS**

2 **Q. Please state your name, employer and business address**

3 A. My name is Kevin Gurney. I am employed by the Arizona State University, P.O. Box  
4 874501, Tempe, AZ 85287-4501.

5  
6 **Q. On whose behalf are you testifying in this proceeding?**

7 A. I am testifying at the request of the Minnesota Department of Commerce, Division of  
8 Energy Resources (Department).

9  
10 **Q. Dr. Gurney, please summarize your education and work experience as it relates to  
11 this proceeding.**

12 A. I have a BA in environmental physics with a concentration in climate change from UC  
13 Berkeley, a Master of Science in atmospheric science from MIT, a Master in public  
14 policy from UC Berkeley and a Ph.D. in Ecology from Colorado State University. I have  
15 worked in climate change research for 30 years. I have performed research in think  
16 tanks and academia, focusing during the last 15 years on the global carbon cycle.  
17 My research on the global carbon cycle is performed through the use of observations  
18 and modeling to better understand how carbon flows through the Earth System and  
19 ultimately impacts the Earth's climate.

20  
21 **Q. Have you ever provided testimony in the State of Minnesota?**

22 A. No, I have not.

1 **II. PURPOSE OF TESTIMONY**

2 **Q. What is the purpose of your Rebuttal Testimony?**

3 A. I review and provide expert judgment on specific issues raised in direct testimonies  
4 of Dr. Bezdek, Dr. Lindzen, and Dr. Happer, and I discuss the importance of relying  
5 upon peer-reviewed literature and the Intergovernmental Panel on Climate Change  
6 (IPCC) 5th Assessment report.

7 Specifically, as to the Direct Testimony of Dr. Bezdek I address his arguments  
8 regarding the potential effect of CO2 fertilization. As to the Direct Testimony of Dr.  
9 Lindzen, I address his arguments regarding the relative roles of temperature v.  
10 emissions in determining increases in atmospheric CO2. As to the Direct Testimony  
11 of Dr. Happer, I address four somewhat interrelated claims: that recent observations  
12 show no warming; that models used as the calibration to the IAMs (and their  
13 subsequent SCC results) do not agree with observations; that doubling sensitivity of  
14 IPCC models is too large (a lower doubling sensitivity would require centuries to  
15 achieve a temperature rise of 2°C), and; that warming and additional CO2 are  
16 beneficial.

17 In my testimony, I also discuss the importance of referencing peer-reviewed  
18 research papers, and the importance of the IPCC 5th Assessment Report.

19 **III. REBUTTAL OF DR. BEZDEK'S TESTIMONY**

20 **Q. What claims by Dr. Bezdek regarding CO2 fertilization do you address?**

21 A. The Bezdek Direct centers on two main claims. First, I address Dr. Bezdek's  
22 statements in Peabody Ex. \_\_\_ at 9-10 (Bezdek Direct) and in Peabody Ex. \_\_\_RHB-2,  
23 pages 49-52 (Bezdek Direct) as to whether there is a CO2 fertilization effect and if so,

1 how big might it be. Second, I address Dr. Bezdek's limited assessment of the net  
2 impact of CO2 fertilization within the context of climate change.

3  
4 **Q. Is there a CO2 fertilization effect and if so, how big might that effect be?**

5 A. All available scientific evidence supports the general concept of a CO2 fertilization  
6 effect. It is well understood and quantified at the individual leaf/plant scale in  
7 controlled or laboratory conditions. However, studies in real-world applications (in  
8 the field) and those that attempt to quantify CO2 fertilization at the population to  
9 ecosystem scale, arrive at much more variable and conflicting results. The  
10 magnitude of CO2 fertilization in real-world conditions is therefore extremely variable  
11 and dependent upon a wide array of factors such as nutrient availability, water  
12 availability, species, soil type/condition, light levels, etc.

13  
14 **Q. What support does Dr. Bezdek provide for his claims regarding CO2 fertilization?**

15 A. Dr. Bezdek's testimony relies on a non-peer-reviewed report representing a  
16 compilation of individual responses of plants to CO2 increases, the majority of which  
17 are under controlled or laboratory conditions. I consider this an unreliable  
18 assessment of the impact of increasing CO2 concentration on plants in real-world  
19 conditions.

20 Furthermore, in Peabody Ex. \_\_\_\_ at 10 (Bezdek Direct) Dr. Bezdek refers to  
21 the testimony of Dr. Happer (a non-peer-reviewed source) to support the assertion  
22 that the planet has already experienced "greening" as a result of CO2 fertilization. Dr.  
23 Bezdek reproduces a Figure from Dr. Happer's testimony with the title "Figure 17-1:  
24 Global Greening from CO2 Fertilization: 1982-2010" in Peabody Ex. \_\_\_\_ at 10

1 (Bezdek Direct). As explained in my rebuttal to Dr. Happer's testimony, this figure  
2 was incorrectly cited and described in Dr. Happer's testimony. Dr. Bezdek's  
3 testimony furthers the misrepresentation of this figure by suggesting it represents  
4 "Greening from CO2 Fertilization". This is factually incorrect and a misleading  
5 representation of the CO2 fertilization effect. In my judgment this represents a  
6 fundamental misunderstanding regarding the role of CO2 fertilization within the wider  
7 topic of planetary greening.

8  
9 **Q. What do recent studies show about this effect?**

10 A. A recent peer-reviewed study reviewed the CO2 fertilization effect for the specific  
11 case of food crops (particularly relevant for the question of SCC assessment) in order  
12 to quantify the regional impact expected from a 100 parts per million (ppm) increase  
13 in atmospheric CO2 concentration (equivalent to a 25% increase over current  
14 levels).<sup>1</sup> Model results found a crop yield response ranging from 5% to 17% at the  
15 regional level for a 100 ppm increase in atmospheric CO2 concentration. There was  
16 greater variation in the CO2 fertilization response within the regions and among  
17 various crop types and this variation (along with the variation across the regions)  
18 was dependent upon a wide variety of factors such as those noted above (nutrient  
19 availability, species, water availability, etc). However, the question of relevance to  
20 an assessment of the SCC should not be centered on whether or not there is a CO2  
21 fertilization effect. The question should be centered on assessing the total net  
22 impact on plants, particularly food crops, from anthropogenic climate change. The

---

<sup>1</sup> McGrath, J.M and D.B. Lobell (2013) Regional disparities in the CO<sub>2</sub> fertilization effect and implications for crop yields, *Env. Res. Lett.* 8, doi:10.1088/1748-9326/8/1/014054

1 assessment should include the CO2 fertilization effect, along with other climate  
2 change impacts.

3  
4 **Q. What is your opinion of Dr. Bezdek's testimony regarding CO2 fertilization within the**  
5 **context of anthropogenic climate change?**

6 A. In addition to my concerns, noted above, regarding the information sources and  
7 presentation of that information by Dr. Bezdek, isolation of the CO2 fertilization effect  
8 within the larger issue of climate change impacts on plants, particularly food crops,  
9 as presented by Dr. Bezdek in Peabody Ex. \_\_\_\_ at 16 (Bezdek Direct), results in an  
10 incomplete and misleading assessment.

11 The question is not whether there is a CO2 fertilization effect, but rather, is it  
12 accurately included in the assessment of impacts routinely undertaken in scientific  
13 study and included in institutional reviews of climate change impacts? In my  
14 judgment, the CO2 fertilization effect is included appropriately in reviews of climate  
15 change impacts on plants, and food crops in particular.

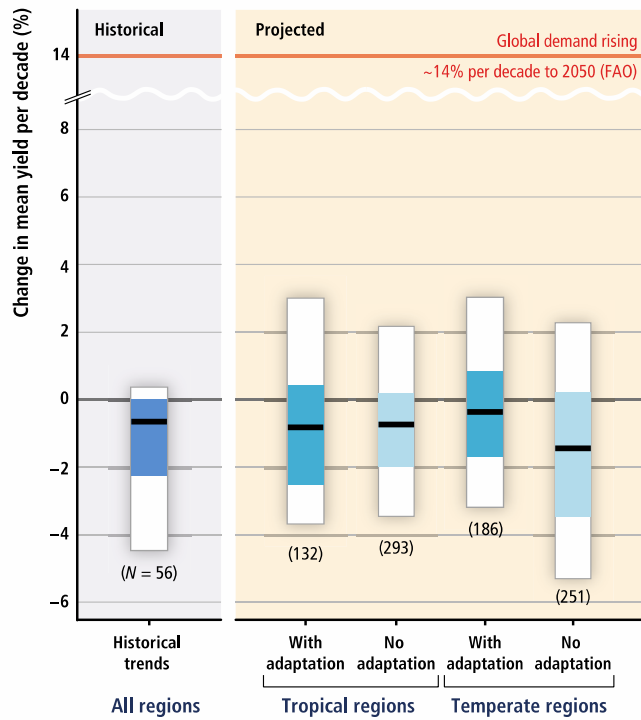
16 The Intergovernmental Panel on Climate Change has performed extensive  
17 review of the impact of climate change on crop productivity with CO2 fertilization  
18 effects considered:<sup>2</sup>

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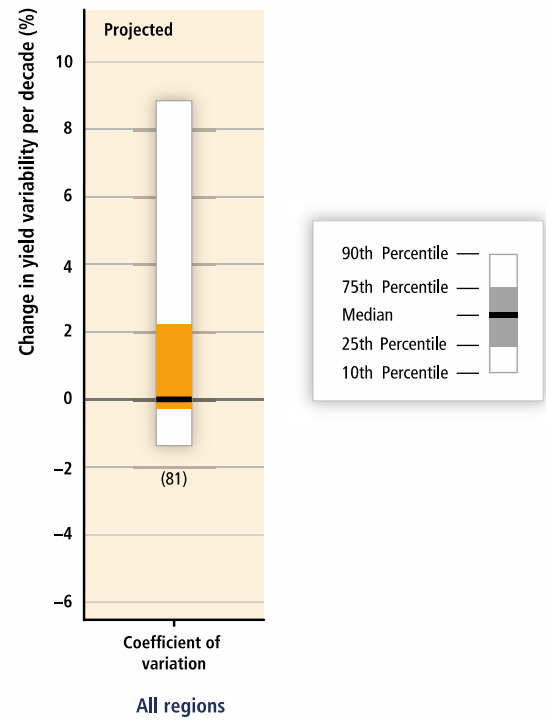
<sup>2</sup> IPCC 5<sup>th</sup> Assessment Report, Working Group II, chapter 7, page 506.



(a) Impact of climate trend on mean crop yield



(b) Impact on year-to-year crop yield variability



**Figure 7-7** | Boxplot summary of studies that quantify impact of climate and CO<sub>2</sub> changes on crop yields, including historical and projected impacts, mean and variability of yields, and for all available crops in temperate and tropical regions. All impacts are expressed as average impact per decade (a 10% total impact from a 50-year period of climate change would be represented as 2% per decade). References for historical impacts are given in Figure 7-2, for projected mean yields in Figure 7-5, and for yield variability in Figure 7-6. *N* indicates the number of estimates, with some studies providing multiple estimates. In general, decreases in mean yields and increases in yield variability are considered negative outcomes for food security. Also indicated in the figure is the expected increase in crop demand of 14% per decade (Alexandratos and Bruinsma, 2012), which represents a target for productivity improvements to keep pace with demand.

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12

As outlined in the IPCC review main text and noted in the figure caption, “...summary of studies that quantify the impact of climate and CO<sub>2</sub> changes on crop yields...” the CO<sub>2</sub> fertilization effect is included in the IPCC review results.

In order to understand and quantify the impact of climate change on crop productivity, all known negative and positive impacts must be included. The results of the review performed in the IPCC 5th Assessment Report find that there is a net negative impact on crop yields, inclusive of the CO<sub>2</sub> fertilization effect. The net effect of climate and CO<sub>2</sub> changes on crop productivity is negative at the global scale and the regional scale. In addition to the long-term mean impact, the variability of crop yields are projected to increase. It is worth noting, however, that the uncertainty is large. Though this area of research remains very active and the current assessment

Any farmer knows yield variability is not a plus.

1 acknowledges the need for additional research, the IPCC review represents the most  
2 comprehensive assessment of research on this topic, to date.

3 In summary, yes, the academic community has properly accounted for the  
4 CO2 fertilization effect (discussed by Dr. Bezdek in Peabody Ex. \_\_\_\_ RHB-2  
5 (Bezdek Direct)) in their assessment of anthropogenic climate change impact on  
6 plants, particularly food crops in real world conditions. They find that the CO2  
7 fertilization effect is highly variable and dependent upon a number of complicating  
8 factors that cannot be represented adequately by experiments carried out in small  
9 scale, controlled conditions. Assessments that reflect real-world environments and  
10 incorporate a more comprehensive treatment of the impacts of climate change on  
11 food crops (including CO2 fertilization), find a net negative response of crop yields to  
12 anthropogenic climate change.

13  
14 **Q. Has Dr. Bezdek published within the peer-reviewed literature on topic of CO2**  
15 **fertilization or the impact of climate change on Food crops or agricultural**  
16 **productivity?**

17 A. No, not to my knowledge.  
18

#### 19 **IV. REBUTTAL OF DR. LINDZEN'S TESTIMONY**

20 **Q. What claims by Dr. Lindzen to you rebut?**

21 A. I rebut the suggestion by Dr. Lindzen in Peabody Ex. \_\_\_\_ at 6 (Lindzen Direct);  
22 Peabody Ex. \_\_\_\_ RSL-2, lines 488-540) that there is an ambiguous relationship  
23 between emissions and atmospheric CO2 concentration. Dr. Lindzen suggests that:

1 “Even the connection of fossil fuel emission to  
2 atmospheric CO2 levels is open to question.”  
3

4 The increase in atmospheric CO2 during the instrumental record is due to the  
5 increase in the combustion of fossil fuels and the alteration of vegetation at large  
6 scales (e.g. tropical deforestation). This has been conclusively established through  
7 the measurement of  $^{14}\text{CO}_2$  –a small amount of atmospheric CO2 for which the CO2  
8 molecule has a slightly heavier carbon atom. Fossil fuel derived CO2 contains none  
9 of this rare CO2 due to its natural radioactive decay and the fact that it’s half-life (the  
10 time it takes to decay) is far less than the time required for carbon to transition to  
11 fossilized form. By contrast, the atmosphere has a well-measured amount of CO2 in  
12 the  $^{14}\text{CO}_2$  form. The dilution of this well-known amount of  $^{14}\text{CO}_2$  can be  
13 quantitatively tied to the emission of fossil fuel CO2 into the Earth’s atmosphere at  
14 levels consistent with the records of coal, oil, and natural gas consumption  
15 worldwide.<sup>3</sup> This is referred to as the “Suess” effect and is well established.

16 Roughly one-half of the emissions due to fossil fuel combustion and  
17 deforestation are removed from the atmosphere on an average basis and the  
18 removal processes in the ocean and land biosphere are relatively well quantified.  
19 The short-term (year-to-year) modulation of global emissions remains an area of  
20 active research.

21 However, it is well established through multiple lines of evidence that the  
22 long-term secular rise of CO2 concentration in the Earth’s atmosphere is driven by  
23 the combustion of fossil fuels.

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<sup>3</sup> See Tans et al., *Nature*, 280, pp 826-828, 1979.

1 **Q. Is the relationship between emissions and atmospheric CO2 concentration based on**  
2 **a model?**

3 A. No. In Peabody Ex. \_\_\_ RSL-2, lines 523-528 (Lindzen Direct), Dr. Lindzen states:

4 "The usual rule of thumb that half of emitted CO2  
5 appears as atmospheric CO2 based on the Bern model  
6 for CO2 geochemistry."  
7

8 This is not true. The "rule of thumb" refers to something called the "airborne  
9 fraction" – the fact that when averaged over decade timescales, slightly over ½ of  
10 the fossil fuel CO2 emitted to the Earth's atmosphere is removed by processes in  
11 the ocean and land biosphere. This is not the outcome of a model but an observed  
12 quantity with decades of instrumental support. This instrumental support precludes  
13 the notion that something other than fossil fuel CO2 emissions are driving the  
14 secular trend in atmospheric CO2 levels.

15

16 **V. REBUTTAL OF DR. HAPPER'S TESTIMONY**

17 **Q. What claims by Dr. Happer do you rebut?**

18 A. The Happer testimony is centered around four somewhat interrelated claims which I  
19 rebut:

20 (1) That recent observations show no warming (Peabody Ex. \_\_\_ at 8 (Happer  
21 Direct));

22 (2) that models used as the calibration to the IAMs (and their subsequent SCC  
23 results) do not agree with observations (Peabody Ex. \_\_\_ at 8-9 (Happer

24 Direct));

1 (3) that a doubling sensitivity of IPCC models is too large and that a lower  
2 doubling sensitivity would require centuries to achieve a temperature rise  
3 of 2°C (Peabody Ex. \_\_\_\_ at 7-8 (Happer Direct); and

4 (4) that warming and additional CO2 are beneficial. (Peabody Ex. \_\_\_\_ at 9-11  
5 (Happer Direct)).  
6

7 **Q. What does Dr. Happer's Direct Testimony state about the lack of a warming trend?**

8 A. The key assertions in Peabody Ex. \_\_\_\_ at 8 (Happer Direct) are:

9 "Global warming basically stopped about the time of the  
10 last large El Nino event in 1998. There has been no  
11 significant warming since."  
12

13 "Ground-based observations show virtually no warming  
14 since 1998."  
15

16 This is further elaborated in Peabody Ex. \_\_\_\_ at 6 (Happer Direct) where he  
17 states:

18 "...satellite measurements indicate that the lower  
19 atmosphere has had no warming for at least 20 years."  
20

21 First, it must be noted that the last of these assertions (the only one with a  
22 citation to support the statement) cites, and appears to be based upon, information  
23 published on a website rather than a peer-reviewed scientific paper. I will return  
24 below to this topic, when I discuss the importance of reliance upon peer-reviewed  
25 scientific literature as opposed to information on Internet websites or other grey  
26 literature sources that have not undergone the critical review process associated  
27 with academically respected literature.

1 **Q. What opinion do academic organizations or peer-reviewed literature have on this**  
2 **subject?**

3 A. First, it is important to note the particular time period being referred to in the Happer  
4 Testimony (“since 1998”, “20 years”). This time period refers to the span starting in  
5 1998 and ending presumably in 2014 (the last year for which an entire annual  
6 temperature data record would be available). This is a span of 17 years and begins,  
7 curiously, at a very large El Nino year (1998) which saw an unusually high global  
8 mean temperature (noted in Figure 3 in Peabody Ex. \_\_\_\_ WH-2, page 5 (Happer  
9 Direct)). This time period in the observed temperature record has been discussed  
10 regularly in the peer-reviewed literature and in the most recent IPCC 5th Assessment  
11 Report (so much so, this time period is often referred to as a warming “hiatus”).  
12 Because of the timing of the production and review process involved in all IPCC  
13 reports, this period is described in the most recent IPCC 5th Assessment Report as  
14 a 15-year timespan (1998 – 2012). The global mean surface temperature record  
15 shows a decadal trend of 0.04 °C per decade during this period.<sup>4</sup> When compared to  
16 the trend estimate for the time period 1951-2012 (a more appropriate climatological  
17 span):  $0.106 \pm 0.027$  °C per decade, this is a much reduced temperature trend. This  
18 reduced trend in the much shorter and more recent time period is discussed and  
19 analyzed extensively in the peer-reviewed literature and within the IPCC 5th  
20 Assessment Report.

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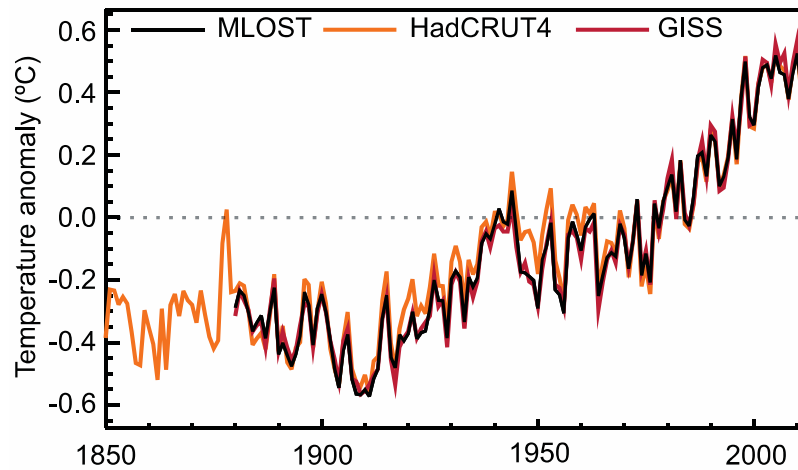
<sup>4</sup> IPCC 5<sup>th</sup> Assessment Report, Working Group I, Technical Summary, page 61  
Rebuttal Gurney/ 11

1 The following presents the IPCC 5th Assessment Report presentation of the  
 2 global mean surface temperature trends from three different temperature databases,  
 3 each of which represents an exhaustive, bias corrected review of the data:<sup>5</sup>

Table 2.7 | Same as Table 2.4, but for global mean surface temperature (GMST) over five common periods.

| Data Set                        | Trends in °C per decade |               |               |               |               |
|---------------------------------|-------------------------|---------------|---------------|---------------|---------------|
|                                 | 1880–2012               | 1901–2012     | 1901–1950     | 1951–2012     | 1979–2012     |
| HadCRUT4 (Morice et al., 2012)  | 0.062 ± 0.012           | 0.075 ± 0.013 | 0.107 ± 0.026 | 0.106 ± 0.027 | 0.155 ± 0.033 |
| NCDC MLOST (Vose et al., 2012b) | 0.064 ± 0.015           | 0.081 ± 0.013 | 0.097 ± 0.040 | 0.118 ± 0.021 | 0.151 ± 0.037 |
| GISS (Hansen et al., 2010)      | 0.065 ± 0.015           | 0.083 ± 0.013 | 0.090 ± 0.034 | 0.124 ± 0.020 | 0.161 ± 0.033 |

4 Here is the graphical representation of this same information:<sup>6</sup>



5 Figure 2.20 | Annual global mean surface temperature (GMST) anomalies relative to a 1961–1990 climatology from the latest version of the three combined land-surface air temperature (LSAT) and sea surface temperature (SST) data sets (HadCRUT4, GISS and NCDC MLOST). Published data set uncertainties are not included for reasons discussed in Box 2.1.

6 The temperature trend records shown in the table and graph represent  
 7 statistically significant trends greater than that claimed for the short, recent warming  
 8 “hiatus”. The short time period emphasized in the Happer Testimony is the portion of  
 9 the 162-year record at the end for which the general trend behavior slows.  
 10

<sup>5</sup> IPCC 5<sup>th</sup> Assessment Report, Working Group I, Chapter 2, page 193.

<sup>6</sup> IPCC 5<sup>th</sup> Assessment Report, Working Group I, Chapter 2, page 193.

1 **Q. Are trends over short periods considered reliable?**

2 A. Trends over periods as short as 15 years are neither reliable nor a reflection of long-  
3 term change in climate. As stated in the IPCC 5th Assessment Report:<sup>7</sup>

4 Owing to natural variability, trends based on short  
5 records are very sensitive to the beginning and end  
6 dates and do not in general reflect long-term climate  
7 trends. As one example, the rate of warming over the  
8 past 15 years (1998 – 2012; 0.05 [–0.05 to +0.15] °C  
9 per decade), which begins with a strong El Niño, is  
10 smaller than the rate calculated since 1951 (1951 –  
11 2012; 0.12 [0.08 to 0.14] °C per decade) Trends for 15-  
12 year periods starting in 1995, 1996, and 1997 are 0.13  
13 [0.02 to 0.24], 0.14 [0.03 to 0.24] and 0.07 [–0.02 to  
14 0.18], respectively.

15  
16 Hence, the reference in Peabody Ex. \_\_\_\_ at 8 (Happer Direct) to trends in this short  
17 time period is not relevant to an assessment of the observational evidence for  
18 anthropogenic climate change, nor is it sufficient grounds to make a statement  
19 regarding the long term trend of the climate in one direction or another.

20  
21 **Q. Has any peer-reviewed journal published work of Dr. Happer in which he argues that  
22 there has been no warming trend over the past 15 years?**

23 A. No, not to my knowledge.

## 24 25 **VI. MODEL CALIBRATION**

26 **Q. Do models used as the calibration to the IAMs (and their subsequent SCC results)  
27 agree with observations?**

28 A. The key assertions made by Dr. Happer on this issue in Peabody Ex.\_\_\_\_ at 6 (Happer  
29 Direct)) are:

---

<sup>7</sup> IPCC 5<sup>th</sup> Assessment Report, Working Group I, page 194.



1 Nearly all of the IPCC climate models have predicted  
2 several hundred percent more warming over the past  
3 twenty years than has actually been observed.<sup>8</sup>  
4

5 No, climate models do not agree with observed  
6 temperatures. Climate models predicted far more  
7 warming than has actually been observed.<sup>9</sup>  
8

9 Similarly Dr. Happer states in Peabody Ex. \_\_\_\_ WH-2, page 6

10 (Happer Direct):

11 Models predict that the lower atmosphere (the  
12 troposphere) should warm more rapidly than the Earth's  
13 surface, the opposite of what has been observed.  
14

15 Technical support for these assertions is presented by Dr. Happer in Figures 4  
16 and 5 in Peabody Ex. \_\_\_\_ WH-2, pages 6-7 (Happer Direct). Figure 4, it should be  
17 noted, is from congressional testimony – which is not peer-reviewed scientific  
18 content. Hence, it is difficult to comment on the content of this figure as there are  
19 many questions of clarification and context that would be needed to establish  
20 scientific reliability before one could consider this as support. Figure 5 is from a peer-  
21 reviewed study but provides an incomplete assessment of the difference between  
22 the observations and climate models. I provide a more comprehensive, balanced  
23 explanation below.

24 The discrepancy between the IPCC models and observed global mean  
25 temperature occurs over the previously discussed 15-year period and has received  
26 considerable analysis and description in the latest IPCC 5th Assessment Report.<sup>10</sup> A  
27 more complete view of the topic represented by Figure 5, presented in Peabody  
28 Ex. \_\_\_\_ WH-2, page 7

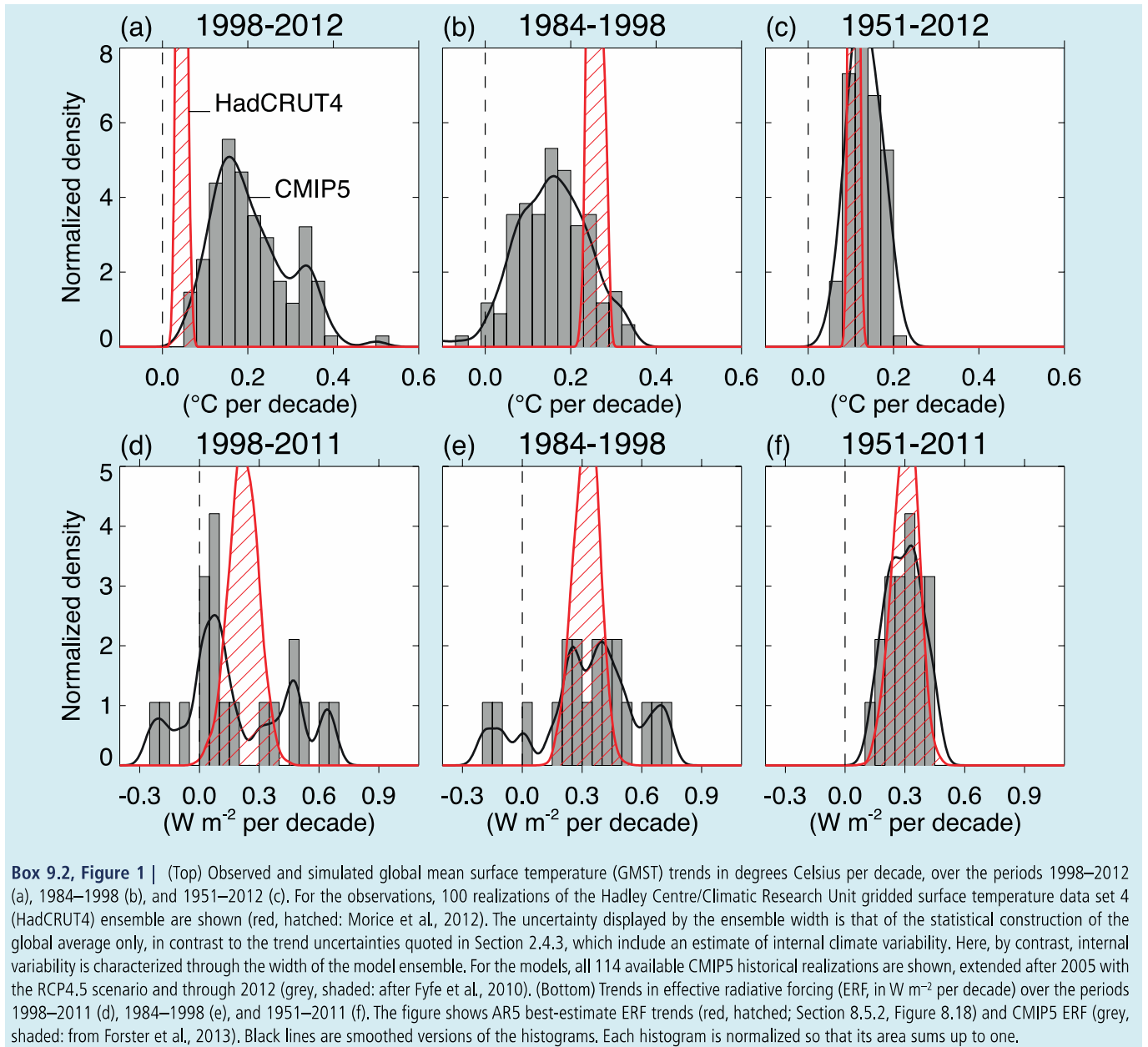
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<sup>8</sup> Happer direct testimony, page 7

<sup>9</sup> Happer direct testimony, page 9.

<sup>10</sup> For example, see IPCC 5<sup>th</sup> Assessment Report, Working Group I, Technical Summary, Box TS.3; Working Group I, Chapter 9, Section 9.4; Working Group I, Chapter 9, Box 9.2

1 (Happer Direct), is shown by the following figure from the IPCC 5th Assessment  
 2 Report, which shows that there is little discrepancy between the model and observed  
 3 temperature trends when comparison is performed over appropriately long time  
 4 periods (panel c: 1951-2012 time period) as opposed to shorter time periods (panels  
 5 a and b: 1998-2012 and 1984-1998, respectively).<sup>11, 12:</sup>



6  
7

<sup>11</sup> IPCC 5<sup>th</sup> Assessment Report, Working Group I, Technical Summary, page 63.

<sup>12</sup> Note that panels d-f are not relevant to the current discussion but included so as not to cut the original figure.

1 Dr. Happer's Testimony does not show panel c. The panel c figure repeats  
2 (please refer to the quote in response to the question above: "Are trends over short  
3 periods considered reliable?") the importance of considering sufficiently long periods  
4 of time in order to establish climate trends and/or the ability of models to simulate  
5 long-term climate trends. Shorter periods (< 3 decades) are not long enough to  
6 assess climate trends or model veracity. Even though short periods cannot establish  
7 long-term trends, the discrepancy noted in this figure is a topic of active research  
8 within the climate science community, for which a complete discussion can be found  
9 in the IPCC 5th Assessment Report.<sup>13</sup>

10 Speculation as to the source of the discrepancy has been given some further  
11 attention, for example, in the recent paper by Dai et al.(2015) and is broadly attributed to the  
12 difficulty of large-scale atmospheric models to capture internal climate variability,  
13 particularly in regions such as the tropical Pacific (and hence, associated with El Nino  
14 activity).<sup>14</sup>

15 As I stated above, anthropogenic climate change, and the simulation of  
16 anthropogenic climate change must be assessed over sufficiently long time periods  
17 to avoid misinterpretations due to short-term variability such as concluded in Dr.  
18 Happer's Testimony.

19 The fact that the IPCC models perform well over the longer, climate-relevant  
20 time period, must be prioritized over discrepancies in shorter-term variability.

---

<sup>13</sup> IPCC 5<sup>th</sup> Assessment Report, Technical Summary, pages 61-63.

<sup>14</sup> Dai, A. J.C. Fyfe, S-P.Xie, and X. Dai (2015) Decadal modulation of global surface temperature by internal climate variability, *Nat. Clim Change*, **5**, 555-559.

1 **Q. Is a doubling sensitivity of IPCC models too large?**

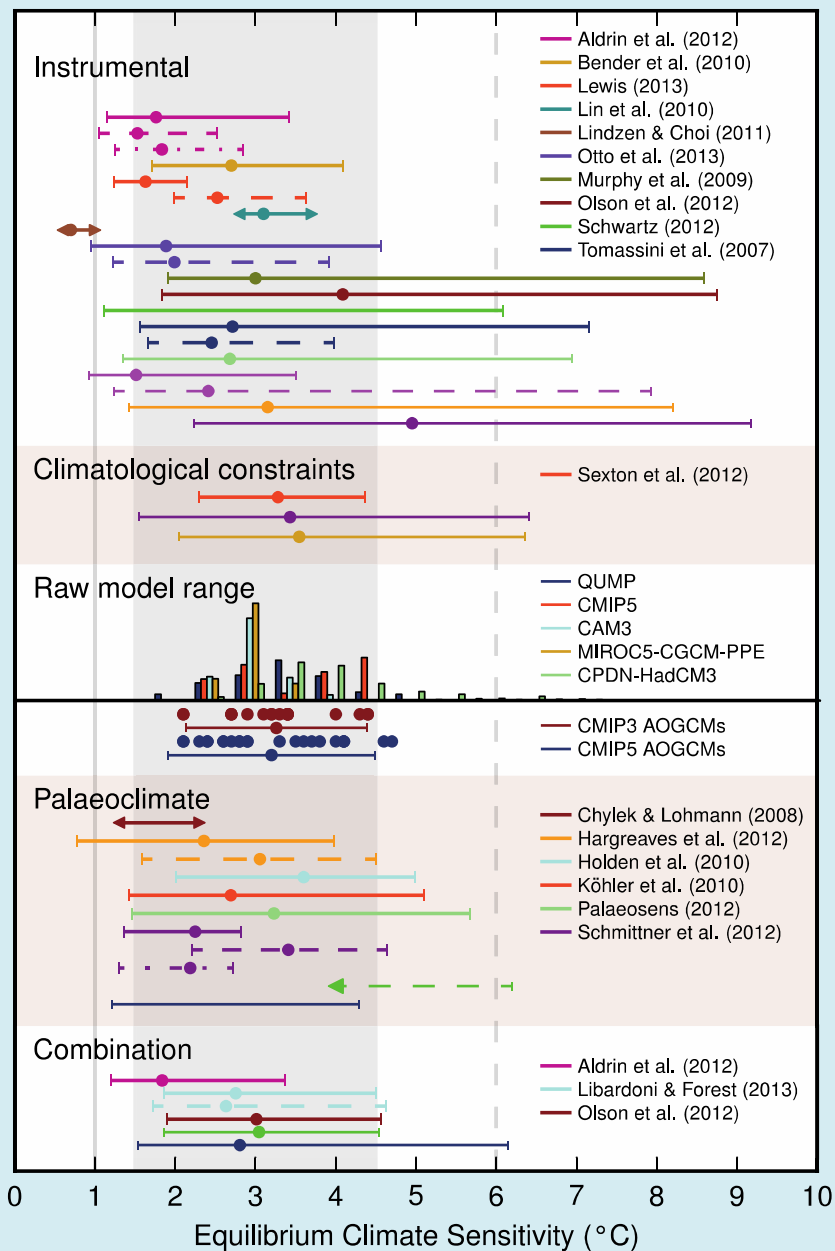
2 A. In Peabody Ex. \_\_\_\_ at 8 (Happer Direct), Dr. Happer asserts that the models  
3 reviewed by the IPCC 5th Assessment Report have climate sensitivity values that  
4 are too large. His opinion is that a mean value of  $S = 1 \text{ K}$  is the correct value. His  
5 testimony in Peabody Ex. \_\_\_\_ WH-2, page 7 (Happer Direct) relies on the assertion  
6 that the ECS is most accurately assessed without any climate feedbacks.

7 If one assumes negligible feedback, that is, that other properties of the  
8 atmosphere change little in response to additions of  $\text{CO}_2$ , the doubling  
9 efficiency can be estimated to be about  $S = 1 \text{ K}$ . The much larger doubling  
10 sensitivities claimed by the IPCC, which look increasingly dubious with each  
11 passing year, come from large positive feedbacks.

12 First, it must be pointed out that the IPCC does not “make claims”. The IPCC  
13 is a group of scientists who volunteer to review, synthesize, and summarize the peer-  
14 reviewed research. Hence, the doubling sensitivity range reported in the IPCC 5th  
15 Assessment Report ( $1.5 \text{ }^\circ\text{C} - 4.5 \text{ }^\circ\text{C}$ ) is a range of values representative of the large  
16 body of peer-reviewed scientific literature on the topic. The IPCC 5th Assessment  
17 Report has a thorough and comprehensive review of this important metric of the  
18 climate system (different aspects are discussed in at least three different chapters).  
19 The range of ECS values are based on multiple lines of evidence (e.g. paleoclimate,  
20 model simulations, and instrumental measurements), best represented in the  
21 following figure:<sup>15</sup>

---

<sup>15</sup> IPCC 5<sup>th</sup> Assessment Report, Working Group I, Chapter 12, box 12.2, Figure 1, page 1110.  
Rebuttal Gurney/ 17



**Box 12.2, Figure 1** | Probability density functions, distributions and ranges for equilibrium climate sensitivity, based on Figure 10.20b plus climatological constraints shown in IPCC AR4 (Meehl et al., 2007b; Box 10.2, Figure 1), and results from CMIP5 (Table 9.5). The grey shaded range marks the *likely* 1.5°C to 4.5°C range, and the grey solid line the *extremely unlikely* less than 1°C, the grey dashed line the *very unlikely* greater than 6°C. See Figure 10.20b and Chapter 10 Supplementary Material for full caption and details. Labels refer to studies since AR4. Full references are given in Section 10.8.

1  
 2           Though the appeal made in the Happer testimony for a simple representation  
 3 of the ECS is attractive, the available evidence as represented by the IPCC  
 4 assessment report does not support such a conclusion.

1 **VII. CARBON FERTILIZATION**

2 **Q. In your opinion, will additional CO2 be beneficial to plant growth and drought**  
3 **tolerance.**

4 A. There is evidence in controlled environments that additional CO2 will enhance plant  
5 growth and potentially increase plant drought tolerance. However, research  
6 suggests that these effects are much more variable in real world application and that  
7 other impacts from climate change may negate any gains derived from additional  
8 atmospheric CO2 concentration.

9 The key assertion made in Peabody Ex. \_\_\_\_ at 10 (Happer Direct)) is that

10 “More atmospheric CO2 will substantially increase plant  
11 growth rates and drought resistance.”

12 As I state above, CO2 fertilization and the potential for increased CO2 to  
13 increase drought tolerance is theoretically well-understood. However, quantification  
14 of these effects is uncertain, particularly outside of controlled laboratory conditions.  
15 The IPCC 5th Assessment Report provides a thorough review of CO2 fertilization and  
16 the role of CO2 fertilization within climate change. The IPCC 5th Assessment Report  
17 states:<sup>16</sup>

18  
19 Elevated atmospheric CO2 concentrations lead to higher  
20 leaf photosynthesis and reduced canopy  
21 transpiration.....The increase in leaf photosynthesis with  
22 rising CO2, the so-called CO2 fertilization effect, plays a  
23 dominant role in terrestrial biogeochemical  
24 models.....These physiological changes translate into a  
25 broad range of higher plant carbon accumulation in  
26 more than two-thirds of the experiments....However,  
27 FACE experiments also show the diminishing or lack of  
28 CO2 fertilization effect in some ecosystems and for  
29 some plant species..... Nutrient limitation is  
30 hypothesized as primary cause for reduced or lack of  
31

---

<sup>16</sup> IPCC 5<sup>th</sup> Assessment Report, Working Group I, Chapter 6, page 502.  
Rebuttal Gurney/ 19

1 CO2 fertilization effect observed on NPP in some  
2 experiments.  
3

4 Furthermore, the impact of climate change on plants must necessarily include  
5 the entire suite of impacts in order to understand how plants will respond. If one  
6 were to isolate one element within this larger suite of impacts, results would be  
7 incomplete and potentially misleading.

8 Since the issue of plant productivity is of particular relevance to crop  
9 productivity, I repeat here the statement I provided in rebuttal to the Bezdek  
10 testimony on this topic:

11 The Intergovernmental Panel on Climate Change has performed extensive  
12 review of the impact of climate change on crop productivity with CO2 fertilization  
13 effects considered:<sup>17</sup>

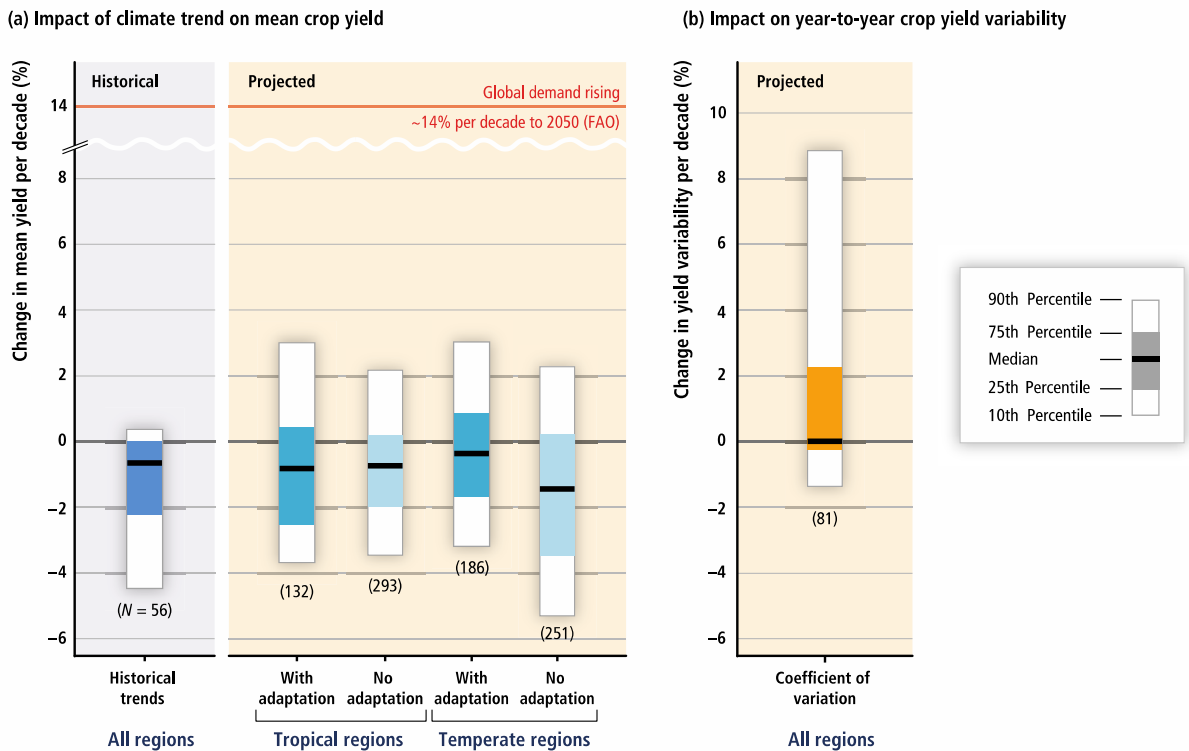


Figure 7-7 | Boxplot summary of studies that quantify impact of climate and CO<sub>2</sub> changes on crop yields, including historical and projected impacts, mean and variability of yields, and for all available crops in temperate and tropical regions. All impacts are expressed as average impact per decade (a 10% total impact from a 50-year period of climate change would be represented as 2% per decade). References for historical impacts are given in Figure 7-2, for projected mean yields in Figure 7-5, and for yield variability in Figure 7-6. *N* indicates the number of estimates, with some studies providing multiple estimates. In general, decreases in mean yields and increases in yield variability are considered negative outcomes for food security. Also indicated in the figure is the expected increase in crop demand of 14% per decade (Alexandratos and Bruinsma, 2012), which represents a target for productivity improvements to keep pace with demand.

14  
15

<sup>17</sup> IPCC 5<sup>th</sup> Assessment Report, Working Group II, chapter 7, page 506.  
Rebuttal Gurney/ 20

1 As outlined in the IPCC review main text and noted in the figure caption  
2 (“....summary of studies that quantify the impact of climate and CO2 changes on  
3 crop yields...”), the CO2 fertilization effect is included in the IPCC review results.

4 In order to understand and quantify the impact of climate change on crop  
5 productivity, all known negative and positive impacts must be included. The results  
6 of the review performed in the IPCC 5th Assessment Report find that there is a net  
7 negative impact on crop yields, inclusive of the CO2 fertilization effect. The net effect  
8 of climate and CO2 changes on crop productivity is negative at the global scale and  
9 the regional scale. In addition to the long-term mean impact, the variability of crop  
10 yields are projected to increase. It is worth noting, however, that the uncertainty is  
11 large. Though this area of research remains very active and the current assessment  
12 acknowledges the need for additional research, the IPCC review represents the most  
13 comprehensive assessment of research on this topic, to date.

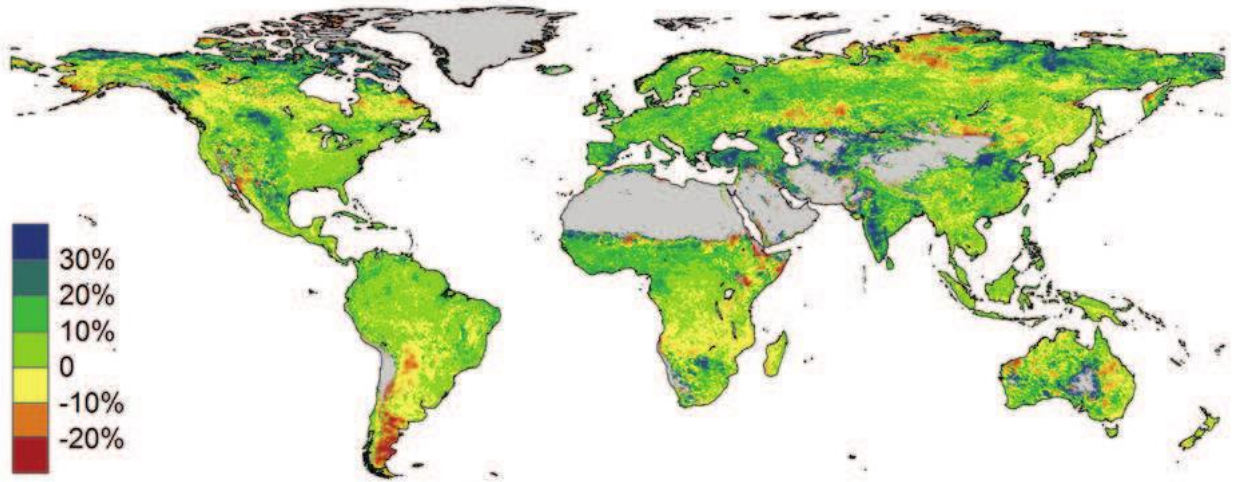
14 As evidence for the growth stimulation of additional CO2 on plants in Peabody  
15 Ex. \_\_\_ WH-2, page 11 (Happer Direct) includes a Figure (Figure 8: Greening of the  
16 Earth as observed by satellites”) referenced to a peer-reviewed paper:

17 “Satellite observations like those of Fig. 8 from R.J.  
18 Donohue [19] have shown a very pronounced “greening  
19 of the Earth .....



1

The figure presented in the Happer Testimony is the following:



2

Figure 8: Greening of the Earth as observed by satellites.

3

4 However, the referenced paper - Donohue et al (2013)<sup>18</sup> - contains no such  
 5 figure. Furthermore the Donohue et al. paper arrives at a far narrower set of  
 6 conclusions regarding CO2 fertilization concluding that a "...14% increase in  
 7 atmospheric CO2 (1982-2010) led to a 5 to 10% increase in green foliage cover in  
 8 warm, arid environments."

8

9 Hence, the claim in Dr. Happer's testimony of worldwide greening due to CO2  
 fertilization is not supported by his chosen citation nor the IPCC review on the topic.

9

See annotations of S.Happer, pp.20-21 and 27- Happer tries to explain his use of a poorly-sourced image, which does not mean what he claims, i.e., greening does not imply vast net improvement in agriculture around the world.

11 **Q. Do you know then, from what literature Dr. Happer drew his Figure 8?**

12 A. In my opinion, Dr. Happer reproduced Figure 8 in Peabody Ex. \_\_\_\_ WH-2, page 11  
 13 (Happer Direct) from data consisting of satellite observations of the change in a  
 14 metric of vegetation cover (the most common is the Normalized Difference  
 15 Vegetation Index metric ("NDVI")).

<sup>18</sup> R. J. Donohue, M. L. Roderick, T. R. McVicar, and G. D. Farquhar, Impact of CO2 fertilization on maximum foliage cover across the globe's warm, arid environments, Geo-physical Research Letters 40, 3031-3035 (2013).

The image does not appear at that source, or in its supplementary materials. A variant appears in the press release, which however makes clear the bounds of applicability. Happer's claims contradict the published material, which carefully bounds applicability.

1 **Q. On what do you base that conclusion?**

2 A. The mechanisms driving the well-established “greening” of the planet over the last  
3 few decades remains a topic of research in which CO2 fertilization is considered one  
4 contributing factor. The following passage from the IPCC 5th Assessment Report  
5 provides a reasonable overview of the processes hypothesized to remove CO2 from  
6 the Earth’s atmosphere into the land (referred to as “increased storage”):<sup>19</sup>

7 This increased storage in terrestrial ecosystems not  
8 affected by land-use change is likely to be caused by  
9 enhanced photosynthesis at higher CO2 levels and  
10 nitrogen deposition, and changes in climate favouring  
11 carbon sinks such as longer growing seasons in mid-to-  
12 high latitudes. Forest area expansion and increased  
13 biomass density of forests that result from changes in  
14 land-use change are also carbon sinks, and they are  
15 accounted in Table 6.1 as part of the net flux from land  
16 use change.

17 Hence, CO2 fertilization is only one contributor to the global “greening”  
18 observed from satellites and inferred from other measurement and modeling  
19 approaches.

20 The confusion over the presented figure, its citation, and the general  
21 misinterpretation of the CO2 fertilization effect raise serious questions about the  
22 reliability of Dr. Happer’s Testimony on the topic of CO2 fertilization and its  
23 relationship to anthropogenic climate change.

24 See annotations of S.Happer, pp.20-21 and 27-

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<sup>19</sup> IPCC 5<sup>th</sup> Assessment Report, Working Group I, Chapter 6, pages 487-488.  
Rebuttal Gurney/ 23

1 **VIII. USE OF PEER REVIEWED LITERATURE**

2 **Q. Earlier in your testimony, you noted that Dr. Bezdek's Direct testimony cited**  
3 **information published on the Internet, rather than research that had been subject to**  
4 **peer-review prior to publication. What is peer review?**

5 A. The communication and dissemination of scientific research advance is performed  
6 through a process referred to as scholarly peer-review. This process subjects an  
7 authored work to the scrutiny of others who are expert in the particular subject  
8 matter under consideration. Scholarly peer-review is considered mandatory in most  
9 academic journals which are the primary means of communicating research results  
10 and advancing the scientific body of knowledge.

11  
12 **Q. How does the process of peer-review typically work?**

13 A. In practice, academics submit a written record of scientific work (a manuscript) to a  
14 peer-reviewed journal. The work is scrutinized by typically 2-4 experts within the  
15 manuscript's specialized area of research. The reviewers may choose to remain  
16 anonymous.

17         Though the exact metrics used to judge the work vary somewhat between  
18 journals, the generally accepted metrics are scientific originality, integrity, accuracy  
19 and clarity of communication. Reviewers can reject the manuscript (with detailed  
20 reasoning and supporting information when necessary) or request revisions of a  
21 minor or major caliber. The author(s) of the manuscript have the opportunity to  
22 respond, make corrections, withdraw, etc. This can proceed through multiple rounds  
23 of review with the same set of reviewers. The goal is to remain impartial and to  
24 generate a process of self-correcting advance of knowledge and information.

1 An essential component of higher education within academia typically  
2 includes learning the peer-review process and the importance of maintaining  
3 impartiality, high quality, and adherence to strict scientific principles (hypothesis  
4 generation, experimentation, etc).

5  
6 **Q. Why is peer review important, and why should peer-reviewed sources, citations, and  
7 publications be given greater weight than those that have not been peer-reviewed?**

8 Given the importance of peer review within scientific research, it is considered  
9 an expected standard when assessing what is known scientifically. Hence, when  
10 communicating to the scientific community or the public on scientific topics, the use  
11 of peer-reviewed literature as support is considered essential. Reliance on literature  
12 that has not gone through the peer-review process is considered of unknown  
13 reliability and in practice, handled with suspicion.

14  
15 **Q. Have the witnesses in this proceeding relied upon peer-reviewed literature in their  
16 testimony?**

17 In examining Peabody Ex. \_\_\_ at 37 (Bezdek Direct), I found that out of 54  
18 citations, one was peer-reviewed research, one was a national academy report. All  
19 other sources were either federal agency reports, grey literature or popular literature.

20  
21 **Q. What is the importance of the IPCC 5th Assessment Report?**

22 A. The IPCC is an international collective of scientists with acknowledged expertise in  
23 the broad topical umbrella of climate change. During the nearly 3 decades during

1 which the IPCC has functioned, it has had the voluntary involvement of thousands of  
2 experts within the climate change discipline.

3 The most important function of this large international collective, and its  
4 founding intent, was

5 to prepare a comprehensive review and  
6 recommendations with respect to the state of knowledge  
7 of the science of climate change; the social and  
8 economic impact of climate change, and possible  
9 response strategies and elements for inclusion in a  
10 possible future international convention on climate.

11 (see [www.ipcc.ch/organization/organization\\_history.shtml](http://www.ipcc.ch/organization/organization_history.shtml)). IPCC Assessment  
12 reports have been produced roughly every six years and now include multiple  
13 volumes, technical summaries, and a summary for policymakers.  
14

15  
16 **Q. What literature is relied upon in the IPCC reports and is the IPCC 5th Assessment**  
17 **Report peer-reviewed?**

18 A. The assessment reports aim to comprehensively examine every aspect of climate  
19 change. To that end, the authors review primarily peer-reviewed material to arrive at a  
20 complete, balanced assessment of the most likely state of knowledge. All research  
21 across the world is scoured for relevant material. It is critical to note on any topic  
22 within the multivolume assessments, there are often 10s to 100s of peer-reviewed  
23 papers. Papers can often have conflicting or incomplete results. Rather than  
24 “cherry-picking” a result by selecting a particular subset of papers, the assessments  
25 strive to synthesize all research, identifying those areas that remain uncertain or for  
26 which conflicting results exist, to arrive at an objective, unbiased assessment of what  
27 is known and not-known on the climate change topic.

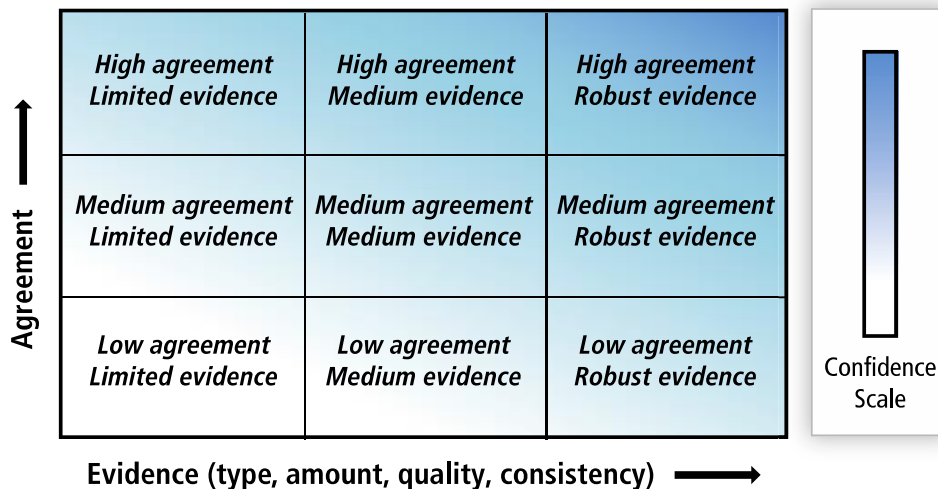
1 The reports themselves are reviewed by experts and the reports reports syn-  
 2 thesize primarily peer-reviewed research. This synthesis  
 3 of the peer-reviewed research is itself, subject to peer-review. Therefore, the  
 4 assessment reports have two layers of peer-review.

5 Finally, the assessment reports contain an attempt at assigning different  
 6 levels of confidence and likelihood to key conclusions in the assessment. Page 138  
 7 of the IPCC 5th Assessment report best states these two metrics:

All three IPCC Working Groups in the AR5 have agreed to use two met-  
 rics for communicating the degree of certainty in key findings (Mas-  
 trandrea et al., 2010):

- Confidence in the validity of a finding, based on the type, amount, quality, and consistency of evidence (e.g., data, mechanistic understanding, theory, models, expert judgment) and the degree of agreement. Confidence is expressed qualitatively.
- Quantified measures of uncertainty in a finding expressed probabilistically (based on statistical analysis of observations or model results, or expert judgement).

8  
 9 IPCC 5th Assessment Report (Working Group I, Chapter 1, Figure 1.11 and  
 10 Table 1.2, page 142) provide specific guidance on the assignment of these metrics:



**Figure 1.11 |** The basis for the confidence level is given as a combination of evidence (limited, medium, robust) and agreement (low, medium and high) (Mastrandrea et al., 2010).

**Table 1.2** | Likelihood terms associated with outcomes used in the AR5.

| Term                          | Likelihood of the Outcome |
|-------------------------------|---------------------------|
| <i>Virtually certain</i>      | 99–100% probability       |
| <i>Very likely</i>            | 90–100% probability       |
| <i>Likely</i>                 | 66–100% probability       |
| <i>About as likely as not</i> | 33–66% probability        |
| <i>Unlikely</i>               | 0–33% probability         |
| <i>Very unlikely</i>          | 0–10% probability         |
| <i>Exceptionally unlikely</i> | 0–1% probability          |

Notes:

Additional terms that were used in limited circumstances in the AR4 (*extremely likely* = 95–100% probability, *more likely than not* = >50–100% probability, and *extremely unlikely* = 0–5% probability) may also be used in the AR5 when appropriate.

1  
2 As a result, the IPCC assessments are the best resource for providing a  
3 comprehensive syntheses of what is known and not known on the topic of climate  
4 change. It provides an extensive bibliography citing the 1000s of papers reviewed  
5 for the report. All authors, contributing authors, editors, reviewers are publicly listed  
6 and the reports go through extensive editing to ensure readability, accuracy, and  
7 objectivity.

8 The only weakness to the assessment reports is the time it takes to write,  
9 process, and review the 3-volume set. This means that new research in the peer-  
10 reviewed literature, often available at the time of the report release, cannot have  
11 been included in the reports due to the need to cut off the review effort 2-3 years  
12 prior to final publication. However, with commitment to a particular element of the  
13 report, the newly published material can be synthesized by researchers with little  
14 additional effort.

15  
16 **Q. Does this conclude your Rebuttal Testimony?**

17 **A.** Yes it does.

# Kevin Robert Gurney

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## EDUCATION

Ph.D. 2004 – Ecology, Colorado State University  
M.P.P 1996 – Public Policy, University of California, Berkeley  
S.M. 1990 – Meteorology, Massachusetts Institute of Technology  
B.A. 1986 – Environmental Physics, University of California, Berkeley

## PROFESSIONAL EXPERIENCE

Associate Professor, School of Life Sciences, Arizona State University, Aug 2010 – present  
Affiliated Faculty, School of Geographical Sciences and Urban Planning, Mar 2013 - present  
Graduate Faculty, School of Sustainability, Arizona State University, Jan 2013 - present  
Senior Sustainability Scientist, Global Institute of Sustainability, Arizona State Univ, Aug 2010 – present  
Associate Professor, Dept of Earth and Atmos Sci & Dept of Agronomy, Purdue University, Aug 2009 – Aug 2010  
Assistant Professor, Dept of Earth and Atmos Sci & Dept of Agronomy, Purdue University, Aug 2005 – Aug 2009  
Associate Director, Purdue Climate Change Research Center, Purdue University, Aug 2005 – Aug 2008  
Research Scientist I, Department of Atmospheric Science, Colorado State University, July 1998 – August 2005  
Staff Research Associate, Bren School of Env. Sci and Mngmnt, Univ of Ca, Santa Barbara, Apr 97 – June 98  
Senior Scientist, Institute for Energy and Environmental Research, September 1992 – January 1997  
Research Associate, Atmospheric and Environmental Research, Inc., February 1992 – September 1992  
Research Associate, Tellus Institute, February 1990 - October 1991  
Research Assistant, National Oceanic and Atmospheric Administration, Summer 1988  
Research Intern, Environmental Sciences Division, Lawrence Livermore National Laboratory, Nov 1986 - Sept 1987  
Student Assistant, Atmospheric Aerosol Research Group, Lawrence Berkeley National Lab, Feb 1985-Oct 1986

## HONORS AND AWARDS

Nominated for AGU's Macelwane Medal 2014  
Sigma Xi Young Investigator's Award 2010  
NSF CAREER Award 2009  
IPCC contributing author and reviewer (IPCC was organizational co-recipient of the 2007 Nobel Peace Prize)  
Named 2007 "Air Conservationist of the Year", from the Indiana Wildlife Federation

## UNIVERSITY SERVICE

Graduate Degree Program in Ecology executive committee 1999-2000  
Graduate Degree Program in Ecology, Front Range Student Ecology Symposium Chairman 1999-2000  
Undergraduate programs committee: 2013 - present  
Safety committee: 2010 – 2013  
Diversity Committee, Purdue University, Aug 2005 – Aug 2010

## PROFESSIONAL SOCIETY SERVICE

American Geophysical Union member since 1990  
Sigma Xi member since 2000  
Phi Kappa Phi member since 2004  
Ecological Society of America member since 2004

## OTHER NATIONAL OR INTERNATIONAL PROFESSIONAL SERVICE

Carbon science steering working group (CCSWG) to the Carbon science interagency working group (CCIWG)  
Global Carbon Program Science Steering Committee: 2008 - 2013



NASA review panel member, Instrument Incubator Program 2001  
Larimer County Environmental Advisory Board 2000-2002  
NOAA Review Panel, Global Carbon Cycle Program 2005  
NOAA Global Carbon Cycle Scientific Steering Committee, 2006 - present  
NASA Review Panel, New Investigator Program, April 2006  
*Carbon Management*, Editorial Board, 2009 - present  
MCI Task Force Committee member, 2005 – present  
*Carbon Balance and Management*, Editorial Board member, 2006 - present  
Carbon Dioxide Information Analysis Center, external advisory committee member, 2007 - present  
United Nations Framework Convention on Climate Change attendee/advisor since 1996

## PEER-REVIEWED PUBLICATIONS

73. **Gurney, K.R.**, P. Romero-Lankao, K. Seto, C. Kennedy, N., Grimm, J., Ehleringer, P. Marcotullio, S. Pincetl, J.J. Feddema, S. Hughes, M.V. Chester, L. Hutyra, J. Sperling, and D. Runfola (2014) Urbanization, carbon, and climate change: The hotspots of carbon emissions and why we don't know enough to sustainably alter their trajectories, *submitted to Nature*.
72. Ogle, S., K. Davis, T. Lauvaux, A. Schuh, D. Cooley, T. O. West, L. S. Heath, N. Miles, S. Richardson, F. Jay Breidt, **K.R. Gurney**, and S. Denning (2014) Verifying Greenhouse Gas Emissions Inventories with Atmospheric CO<sub>2</sub> Measurement Data, *under review at Env. Res Lett*
71. Turnbull, J., C. Sweeney, A. Karion, T. Newberger, P. Tans, S. Lehman, K.J. Davis, N.L. Miles, S.J. Richardson, T. Lauvaux, M.O. Cambaliza, P. Shepson, **K.R. Gurney**, Y. Song, I. Razlivanov, A. Zondervan (2015) Towards quantification of fossil fuel CO<sub>2</sub> and trace gas emissions from an urban area: Results from the INFLUX experiment, *Journal of Geophysical Research, Atmos*, **120**. DOI: 10.1002/2014JD022555.
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Warren Eckels (past, M.S. 2009, current: Adjunct Instructor at Ivy Tech Community College)

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Guest lecturer in numerous courses, School of Life Sciences, School of Sustainability

Collated all climate change related courses currently offered at ASU in order to centralize a climate change course offering – upcoming.

BIO 320: Fundamentals of Ecology (undergraduate)

BIO 182: General Biology II (undergraduate)

EAS 113/NRES 290/AGRY 290: Introduction to Environmental Sciences (undergraduate)

EAS 425: Carbon neutrality at Purdue (undergraduate/graduate)

EAS 591T: Principals of Terrestrial Ecosystem Ecology (graduate)

EAS 591A: Anthropogenic Climate Change (graduate)

Guest lecturer, Department of Atmospheric Science, Colorado State University, 1999 - 2005

## **UNIVERSITY AND PROFESSIONAL SERVICE**

Commencement speaker, UC Berkeley School of Public Policy, 1996

Graduate Degree Program in Ecology, executive committee 1999-2000

Graduate Degree Program in Ecology, Front Range Student Ecology Symposium Chairman 1999-2000

NASA review panel member, Instrument Incubator Program 2001

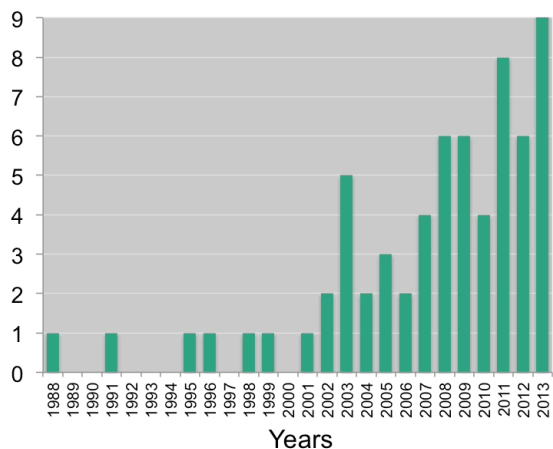
Larimer County Environmental Advisory Board 2000-2002

United Nations Framework Convention on Climate Change attendee/advisor since 1996 (significant involvement advising both NGOs and nation-states on aspects of the UNFCCC/Kyoto Protocol related to carbon cycling)  
 Associate Director, Purdue Climate Change Research Center, 2005 – 2009 (part of 3-person leadership to grow the climate change center and the topic of climate change at Purdue University and regionally. Led student group to Copenhagen negotiations from the PCCRC, represented Center to University leadership, guided research focus)  
 NOAA Review Panel, Global Carbon Cycle Program 2005  
 NOAA Global Carbon Cycle Scientific Steering Committee, 2006 - 2009  
 NASA Review Panel, New Investigator Program, April 2006  
 IPCC contributing author and reviewer (IPCC was organizational co-recipient of the 2007 Nobel Peace Prize)  
 MCI Task Force Committee member, 2005 – 2008  
 Carbon Dioxide Information Analysis Center, external advisory committee member, 2007 - present  
 Carbon Balance and Management, Editorial Board member, 2006 - present  
 Diversity Committee, Purdue University, Aug 2005 – 2010  
 Global Carbon Project, Scientific Steering Committee member, 2008 – Feb, 2014 (meet 1-2 times per year, integrate and synthesize carbon cycle research across the international community, produce publications, coordinate with UN agencies)  
 Carbon Management, Editorial Board, 2009 – present (2 invited publications, near-annual meetings)  
 U.S. Carbon Cycle Science Steering Group, 2010 – present (2 meetings per year. Advise and inform 12 Federal Agencies on the state of carbon cycle science research in the US. Taken leadership on an effort proposing and urban-carbon theme within the Federal Agencies, supported by the CCIWG. Held independent workshop – 10/2013)  
 Greenhouse Gas Measurement and Management, Editorial Board, 9/2010 – present  
 Oak Ridge National Laboratory, Climate Change Science Institute, Scientific Advisory Board, 4/2010 – 4/2012 (annual 2-day meetings)  
 ASU SOLS Safety committee, 9/1/2011 – 5/1/2013  
 ASU SOLS undergraduate program committee, 1/1/2014 – present  
 North American Carbon Program AIM5 Planning committee, April 2014 - present

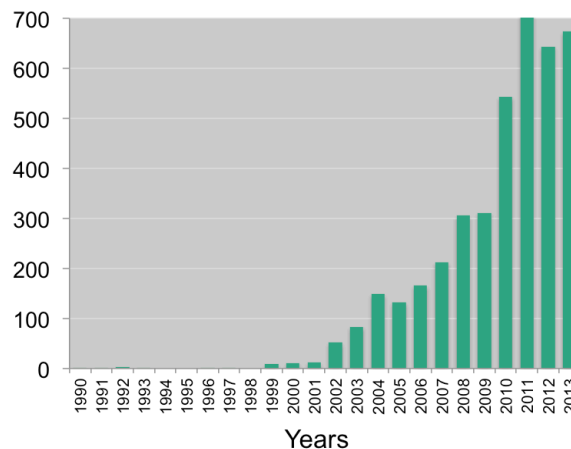
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**Published in Each Year**



**Citations in Each Year**



Total cites: 4136 (Google Scholar, peer-reviewed only)

h-index: 26 (Google Scholar, 12/29/2014)



| Corrections to DOC Ex. ____ (Gurney Rebuttal) |   |
|---|---|
| Page 3, Line 1                                | Second, I address Dr. Bezdek's limited assessment <u>of</u> the net impact of CO2 fertilization within the context of climate change.   |
| Page 8, Line 10                               | Fossil fuel derived CO2 contains none of this rare CO2 due to its natural radioactive decay and the fact that it's half-life (the time it <del>take</del> <u>takes</u> to decay) is far less than the time required for carbon to transition to fossilized form.  |
| Page 8, Line 15                               | This is referred to as the " <del>Seuss</del> <u>Suess</u> " effect and is well established.  |
| Page 8, Line 18                               | Roughly one-half of the emissions due to fossil fuel combustion and deforestation are removed from the atmosphere on an average basis and the removal processes in the ocean and land biosphere are relatively well <del>characterized</del> <u>quantified</u> .  |
| Page 8, Line 22                               | However, it is well established through multiple lines of evidence that the long-term secular rise <del>in</del> <u>of</u> CO2 concentration in the Earth's atmosphere is driven by   |
| Page 10, Line 22                              | First, it must be noted that the last of these assertions (the only one with a citation to support the statement) cites, and appears to be based upon, information published on a website rather than a peer-reviewed scientific paper.   |
| Page 11, Line 16                              | When compared to the trend estimate for the time period 1951-2012 (a <del>standard meteorological</del> <u>more appropriate climatological</u> span): $0.106 \pm 0.027$ °C per decade, this is a much reduced temperature trend.  |
| Page 14, Line 27                              | A more complete view of the <u>topic represented by</u> Figure 5, presented in Peabody Ex. ____ WH-2, page 7 (Happer Direct), is shown by the following figure from the IPCC 5th Assessment Report, which shows that there is little discrepancy between the model and observed temperature trends when comparison is performed over appropriately long time periods (panel c: 1951-2012 time period) as opposed to shorter time periods (panels a and b: 1998-2012 and 1984-1998, respectively). |
| Page 16, Line 5                               | Shorter periods ( $< 2$ <u>3</u> decades) are not long enough to assess climate trends or model veracity.   |
| Page 16, Line 11                              | Speculation as to the source of the discrepancy has been given some further attention, <u>for example</u> , in the recent paper by Dai et al. (2015) and is broadly attributed to the difficulty of large-scale atmospheric models to capture internal climate variability, particularly in regions such as the tropical Pacific (and hence, associated with El Nino activity).   |
| Page 17, Lines 7 - 11<br>(format as quote)    | <del>If one assumes negligible feedback, that is, that other properties of the atmosphere change little in response to additions of CO2, the doubling efficiency can be estimated to be about <math>S = 1</math> K. The much larger doubling sensitivities claimed by the IPCC, which look increasingly dubious with each passing year, come from large positive feedbacks.</del>   |

|                        |  |
|------------------------|--|
|                        | <u>If one assumes negligible feedback, that is, that other properties of the atmosphere change little in response to additions of CO<sub>2</sub>, the doubling efficiency can be estimated to be about <math>S = 1 K</math>. The much larger doubling sensitivities claimed by the IPCC, which look increasingly dubious with each passing year, come from large positive feedbacks.</u> |
| Page 26, Line 19       | To that end, the authors review <del>all</del> <u>primarily</u> peer-reviewed material to arrive at a complete, balanced assessment of the most likely state of knowledge.   |
| Page 27, Lines 1 and 2 | The reports themselves are reviewed by experts and the reports <del>only</del> <u>synthesize</u> <u>primarily</u> peer-reviewed research.  |
| Page 27, Line 2        | <del>Hence, opinions are not included.</del>   |
| Page 27, Line 4        | Therefore, the assessment reports have two <del>stringent</del> layers of peer-review.   |
| Page 28, Line 3        | As a result, the IPCC assessments are the best resource for providing a comprehensive syntheses of what is known and not <del>know</del> <u>known</u> on the topic of climate change.  |

## **CERTIFICATE OF SERVICE**

I, Sharon Ferguson, hereby certify that I have this day, served copies of the following document on the attached list of persons by electronic filing, certified mail, e-mail, or by depositing a true and correct copy thereof properly enveloped with postage paid in the United States Mail at St. Paul, Minnesota.

**Minnesota Department of Commerce  
Second Corrected Rebuttal Testimony and Attachments of Dr. Kevin Gurney**

**Docket No. E999/CI-14-643**

Dated this 22<sup>nd</sup> day of **September 2015**

**/s/Sharon Ferguson**

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