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1 **Genomic flatlining in the endangered island fox**

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24 **Summary**

25 Genetic studies of rare and endangered species often focus on defining and preserving
26 genetically distinct populations, especially those having unique adaptations [1, 2]. Much less
27 attention is directed at understanding the landscape of deleterious variation, an insidious
28 consequence of geographic isolation and the inefficiency of natural selection to eliminate
29 harmful variants in small populations [3-5]. With population sizes of many vertebrates
30 decreasing, and isolation increasing through habitat fragmentation and loss, understanding the
31 extent and nature of deleterious variation in small populations is essential for predicting and
32 enhancing population persistence. The Channel Island fox (*Urocyon littoralis*) is a dwarfed
33 species that inhabits six of California's Channel Islands, and is derived from the mainland gray
34 fox (*U. cinereoargenteus*). These isolated island populations have persisted for thousands of
35 years at extremely small population sizes [6, 7] and, consequently, are a model for testing ideas
36 about the accumulation of deleterious variation in small populations under natural conditions.
37 Analysis of complete genome sequence data from island foxes shows a dramatic decrease in
38 genome-wide variation and a sharp increase in the homozygosity of deleterious variants. The San
39 Nicolas Island population has a near absence of variation, demonstrating a unique genetic
40 flatlining that is punctuated by heterozygosity hotspots, enriched for olfactory receptor genes and
41 other genes with high levels of ancestral variation. These findings question the generality of the
42 small population paradigm that maintains substantial genetic variation is necessary for short and
43 long-term persistence.

44 **Results and Discussion**

45 To determine the extent of genetic variation in coding and non-coding regions in the
46 island fox genome, and the role of demography and natural selection in shaping patterns of

47 variation, we sequenced genomes of seven island foxes representing each of the island
48 populations and a mainland gray fox from southern California (Figures 1A, 1B). We included
49 two genomes of the San Nicolas Island fox to better assess genome-wide patterns of variation
50 because past research suggested a dramatic loss of variation in hypervariable loci [6, 8, 9]. Each
51 of these island populations represents a morphologically and genetically distinct subspecies, four
52 of which (San Miguel, Santa Rosa, Santa Cruz, Santa Catalina) have been listed as endangered
53 under the US Endangered Species Act following catastrophic declines due to predation by non-
54 native golden eagles (*Aquila chrysaetos*) and introduced canine distemper virus [10-12]. The
55 individuals sequenced in this study were sampled in 1988, prior to the recent declines of these
56 four populations. Each genome was sequenced with an Illumina HiSeq 2000 and aligned to the
57 domestic dog reference genome, canFam3.1, followed by joint genotyping, filtering, and
58 annotation of variants, yielding ~13-23X coverage (Table S1).

59 Genome-wide autosomal heterozygosity is high for the mainland gray fox ($12.0 \times$
60 $10^{-4}/\text{bp}$), whereas heterozygosity in island foxes is reduced by 3- to 84-fold ($4.08 - 0.142 \times$
61 $10^{-4}/\text{bp}$, Figures 1A, S1). The most extreme reduction of heterozygosity is found in the San
62 Nicolas population ($0.142 - 0.190 \times 10^{-4}/\text{bp}$), which has a genome that is almost entirely
63 monomorphic (Figure 2A). Both San Nicolas individuals are nearly identical, differing at fewer
64 than two sites per 100 kb. This remarkable absence of genomic variation in San Nicolas foxes is
65 unprecedented in an outbreeding species (Figure 1C), though it is conceivable that our
66 heterozygosity estimates could be biased downward by conservative data quality filters designed
67 to mitigate the inclusion of sequencing errors. However, previous studies of hypervariable loci
68 have consistently shown San Nicolas to be among the most monomorphic outbreeding animal
69 populations [6, 8, 9]. After San Nicolas, the second most monomorphic fox genome is found on

70 San Miguel, the smallest of the fox-inhabited islands at 37 km². However, the San Miguel fox
71 genome still has approximately seven times as many heterozygous sites as the San Nicolas foxes,
72 although the populations have similar census sizes on the order of a few hundred individuals
73 (Figure 1A) [6]. This finding suggests that, although a critical variable, long-term small
74 population size does not completely account for the striking lack of diversity in San Nicolas
75 foxes. Indeed, an extreme population bottleneck likely occurred in the San Nicolas population in
76 the early 1970s [7, 13]. Nonetheless, the San Nicolas population subsequently rebounded without
77 human assistance, despite the drastic reduction in genetic variation.

78 We used an approximate Bayesian computation (ABC) approach to estimate the
79 parameters of demographic models that might account for the observed low heterozygosity in
80 island populations. We utilized the distribution of heterozygous genotypes in a set of 13,647 1 kb
81 putatively neutral regions distributed across the genome to infer demographic parameter values
82 (see Supplemental Experimental Procedure). Based on previous genetic analyses [6-9, 14] and
83 our inferred phylogenetic tree (Figure 1B), we assumed a bottleneck associated with the initial
84 founding of the island fox, followed by island-specific colonization bottlenecks with no gene
85 flow between islands or between the islands and the mainland (Figures S2, S3). We focused on
86 modeling the demographic history of three populations: 1) the mainland gray fox, representing a
87 population with no history of founder events or bottlenecks; 2) San Miguel, the smallest island
88 population that nonetheless has greater diversity than the San Nicolas foxes, representing a
89 population with small long-term effective size following island colonization; and 3) San Nicolas,
90 the island population with the lowest observed heterozygosity, which has a history similar to that
91 of San Miguel, but with a recent extreme bottleneck. These three models therefore exemplify the
92 three major types of demographic history of individuals in our dataset (mainland, island,

93 island+bottleneck). We estimated a large effective population size equal to 5,185 during the last
94 500 generations in the gray fox, consistent with greater levels of variation in the large
95 outbreeding mainland population. In contrast, we found that the San Nicolas and San Miguel
96 populations had effective population sizes of 64 and 133 individuals, respectively, during more
97 than 93% of the last 500 generations (Figure S3). These results confirm that long-term small
98 population size is a key element explaining the extremely low variation observed in the island
99 populations, and that the exceptional lack of diversity in San Nicolas is the result of a recent
100 severe bottleneck.

101 Given that small population size and population bottlenecks, such as those inferred in the
102 island fox populations, are predicted to affect the efficacy of selection [3-5, 15], we assessed the
103 distribution of heterozygosity found in island fox genomes according to sequence context. We
104 used the annotation of the dog genome to extract sequences corresponding to putatively neutral
105 regions, exons of protein-coding genes, and conserved non-coding regions (Figure 3A). The
106 island genomes are characterized by decreased heterozygosity overall, however, with the
107 dramatic exception of San Nicolas, levels of variation match general expectations of reduced
108 heterozygosity in putatively functional (exonic and conserved non-coding) regions relative to
109 neutral regions. To more directly assess the efficacy of selection, we examined the ratio of
110 heterozygosity of zero- to four-fold degenerate sites (zero-fold degenerate sites: all mutations are
111 non-synonymous, four-fold degenerate sites: all mutations are synonymous). This ratio is
112 predicted to be elevated in small populations, since deleterious alleles can increase in frequency
113 under strong drift and weakened selection [15, 16]. Consistent with this prediction, we found a
114 negative relationship between the ratio of zero-fold to four-fold heterozygosity and neutral
115 heterozygosity, with smaller populations having lower neutral heterozygosity but higher zero-

116 fold heterozygosity (Figure 3B). San Nicolas is the most extreme in this regard with highly
117 elevated levels of putatively deleterious zero-fold heterozygosity. Using forward in time
118 population genetic simulations, we found that our demographic models, combined with a
119 distribution of fitness effects inferred from human polymorphism data [17], are sufficient to
120 explain the observed ratios (Figure 3B). Therefore demographic history can account for the
121 observed increase of deleterious heterozygosity in the island genomes, demonstrating the long-
122 term effects of small population size on reducing the efficacy of selection in small, isolated
123 populations.

124 To further investigate the consequences of weaker selection in the island populations, we
125 annotated variants within coding regions with respect to their effect on the amino acid sequence,
126 and polarized alleles as ancestral or derived using the dog as an outgroup (Figure 3C). We
127 utilized the prediction algorithm *Sorting Intolerant From Tolerant (SIFT)* [18], which estimates
128 if missense mutations are likely to be damaging by assessing evolutionary constraint in
129 homologous protein alignments, with the assumption that mutations observed at positions highly
130 conserved across taxa are likely to disrupt function (DEL: *SIFT* score < 0.05), whereas others are
131 more likely to be tolerated (TOL: *SIFT* score \geq 0.05). We also catalogued loss-of-function
132 mutations, as these mutations have a high probability of impacting fitness by reducing or
133 eliminating gene functionality [19, 20]. In the mainland gray fox, genotypes containing
134 putatively derived alleles (heterozygous and homozygous derived) are lowest for deleterious
135 variants (loss-of-function and deleterious) relative to benign variants (tolerated and
136 synonymous), demonstrating that purifying selection in the large mainland population effectively
137 removes deleterious variation (Figure 3C). In contrast, all island populations have reduced
138 heterozygosity and an elevation of homozygosity for derived alleles, suggesting the conversion

139 of deleterious alleles from the heterozygous to the homozygous state through strong genetic drift
140 reducing the efficacy of purifying selection. The number of missense derived deleterious alleles
141 per individual is 6.2% higher in the island populations than in the gray fox ($P < 0.007$, Table S2)
142 suggesting a greater additive genetic load in the island populations. Additionally, the island foxes
143 have more than twice the number of homozygous loss-of-function genotypes compared to the
144 mainland fox ($P < 1.75 \times 10^{-5}$, Figure 3C, Table S2), implying a substantial genetic load,
145 particularly if loss-of-function variants are recessive [21, 22]. The general increase in loss-of-
146 function alleles in all the island populations indicates that the accumulation and fixation of
147 deleterious variants is a feature associated with long-term small population size and isolation,
148 rather than a recent extreme bottleneck as observed in the San Nicolas population. In
149 combination, these results argue that small population sizes have not resulted in more efficient
150 purging of deleterious alleles, and have instead significantly increased the genetic load of the
151 island populations.

152 A previous genotyping study of five major histocompatibility complex (MHC) loci in the
153 San Nicolas population found evidence for balancing selection [7]. However, coverage
154 limitations and the frequent presence of pseudogenes in our sequence data complicate
155 assessments of heterozygosity at the MHC region. Consequently, we instead searched for regions
156 of elevated heterozygosity throughout the genome at sites passing our series of quality filters.
157 Within each genome, peaks greater than two standard deviations above the mean heterozygosity
158 in a sliding window analysis across the autosomes were considered to be elevated (Figures 2A,
159 S1). We found 66 and 48 discrete peaks in the San Nicolas genomes (526 - 1,092 peaks in other
160 island fox genomes, 763 peaks in the gray fox genome). We found that peak regions were not
161 enriched for genic content ($P \geq 0.595$), but were significantly enriched for a variety of gene

162 ontology (GO) terms (Table S3). In the two San Nicolas fox sequences and the mainland gray
163 fox, we found enrichment of olfactory receptor (OR) genes (*KEGG:04740*) in heterozygosity
164 peaks (San Nicolas 1: $P=3.20 \times 10^{-20}$, San Nicolas 2: $P=5.68 \times 10^{-4}$, gray fox: $P=1.16 \times 10^{-15}$). A
165 result this extreme rarely occurs by chance (San Nicolas 1: $P=10^{-3}$, San Nicolas 2: $P=0.033$, gray
166 fox: $P<10^{-3}$). However, OR genes commonly occur in clusters, a spatial factor that is not
167 formally considered in the GO enrichment test that could inflate apparent enrichment.
168 Additionally, OR genes have high ancestral levels of variation, as suggested by their presence in
169 peaks in the gray fox and high rates of OR gene polymorphism observed in mammals [23-25].

170 To assess whether these peaks of heterozygosity remaining in the San Nicolas genome
171 could be the result of neutral demographic processes, we conducted simulations of 1,000 full
172 genomes under our San Nicolas demographic model. We find that the simulated genomes
173 contain as many or more peaks of similar magnitude, suggesting that peaks of heterozygosity are
174 expected even after severe bottlenecks, demonstrating that balancing selection is not necessary to
175 account for the pattern of heterozygosity observed in the San Nicolas genomes (Figures 2B, 2C).
176 Therefore, the remaining heterozygosity peaks in the San Nicolas genomes appear to be the
177 remnants of high heterozygosity in the ancestral population that has yet to be eliminated through
178 drift, rather than being actively maintained through balancing selection. This effect is more likely
179 to occur in regions of the genome that have elevated polymorphism in the ancestral population,
180 such as olfactory receptor genes. As we have shown in the San Nicolas fox, consideration of
181 demographic models is essential to determine if the number of high heterozygosity peaks could
182 be due to demographic history and drift alone.

183 We show that island fox populations have experienced a dramatic reapportionment of
184 deleterious variation and have two to three times more variants in the homozygous state for loss-

185 of-function and deleterious missense variants. In the San Nicolas Island fox, heterozygosity has
186 flatlined genome-wide such that the population has a near absence of genetic variation, with
187 remaining variation evident in only a few genes with high initial levels of variation, such as the
188 OR gene family. This lack of variation can be explained by an extremely small effective size of
189 about 64 individuals over 500 generations ago, followed by a severe bottleneck ~30 generations
190 ago that reduced the population to fewer than a dozen individuals. The dominant effect of
191 demography across all island populations is a reduction in the efficacy of selection and a
192 consequent increase in the load of deleterious variation. Nonetheless, the island populations
193 appear healthy, can recover from disease epidemics, and the four recently bottlenecked
194 populations recovered rapidly under human management after introduced non-native disease or
195 predation threats were removed [26, 27]. The unaided persistence of the San Nicolas population,
196 and the successful recovery of the four endangered populations, contrasts with other cases in
197 which loss of genetic diversity following population declines has resulted in apparent inbreeding
198 depression, hampering recovery [28, 29]. The long-term persistence of island foxes despite their
199 small population sizes and increased genetic load presents a challenge for the small population
200 conservation paradigm [30], which emphasizes population size and its effects on genetic
201 variation as crucial factors in long-term persistence or endangerment. The absence of obvious
202 negative effects on population persistence from genetic deterioration may in part reflect a more
203 benign island environment, given the lack of competitors and predators that exist on the
204 mainland. If island environments are more benign in general, then island populations may often
205 tolerate higher levels of genetic load than mainland counterparts. Notably, our results contradict
206 the notion that long-term small effective population size and inbreeding on the islands have
207 enhanced purging and decreased their genetic load [31]. Conceivably, phenotypic plasticity

208 mediated by regulatory and epigenetic mechanisms may help compensate for the lack of
209 genomic variation, a possibility that can now be explored in small populations using new and
210 developing molecular techniques [32, 33].

211

212 **Experimental Procedures**

213 *Samples and sequencing*

214 DNA samples representing each of the Channel Island fox populations and one mainland
215 gray fox from southern California were used for whole genome sequencing on an Illumina HiSeq
216 2000. Island fox DNA samples were originally obtained for a population genetic study in 1988,
217 prior to subsequent population declines due to predation and disease in four of the island
218 populations [8]. Samples for sequencing were selected to maximize DNA quality and quantity,
219 evaluated by gel electrophoresis, NanoDrop spectrophotometer, and Qubit fluorometer. Each
220 individual was sequenced with at least one lane of paired-end 100 bp reads. Sample and
221 sequence information is summarized in Table S1.

222 *Alignment and annotation*

223 Reads were trimmed and filtered for quality before being aligned to the domestic dog
224 genome (canFam3.1). Over 90% of reads successfully aligned to the dog reference genome
225 yielding ~13-23X coverage per individual (Table S1). We applied conservative ad hoc filters to
226 minimize the inclusion of erroneous genotypes. We used the genomic coordinates identified in
227 Freedman et al. [34] to extract coding, conserved non-coding, and putatively neutral sequences.
228 Briefly, coordinates of 196,668 exons were obtained from a filtered set of transcripts originating
229 from NCBI, Ensembl, and UCSC. 319,958 mammalian conserved non-coding sequences were
230 identified from a multi-genome alignment of 11 Euarchontoglires as regions longer than 50 bp

231 with a phastCons score > 0.7 [35]. The putatively neutral regions comprise a set of 13,647 1 kb
232 loci located at least 30 kb apart, at least 10 kb from coding sequence, and 100 bp from conserved
233 non-coding sequence while avoiding regions of the genome with repeats, poor assembly, or low
234 mappability. Coordinates of zero-fold and four-fold degenerate sites within coding transcripts
235 were those identified as positions where mutations would always or never change the encoded
236 amino acid, respectively [36]. Variant annotation was performed with *VEP* [37] running *SIFT*
237 [18], using Ensembl's *C. familiaris* annotation database (release 78), to identify loss-of-function,
238 deleterious (*SIFT* score < 0.05), tolerated (*SIFT* score ≥ 0.05), and synonymous mutations. Loss-of-
239 function mutations were defined as those that encoded a premature stop codon. Further details
240 are provided in the Supplemental Experimental Procedure.

241 *Heterozygosity peak analysis*

242 Heterozygosity was calculated as the fraction of heterozygous genotypes of all genotypes
243 passing filters in 100 kb windows with a 10 kb step size. Heterozygosity “peaks” were identified
244 as windows with heterozygosity in excess of two standard deviations above the mean, calculated
245 per genome (Fig. S1). Coordinates of peaks of heterozygosity are given in Data S2.

246 Heterozygosity peak regions were tested for enrichment of genic content by tallying the number
247 of bases within them that overlapped with genes, and testing whether this proportion was
248 significantly higher than expected by chance. Gene ontology (GO) enrichment analysis was
249 performed on peak coordinates using *gProfileR* with the Ensembl *C. familiaris* annotation
250 (release 79) [38].

251 *Demographic inference and simulations*

252 We used approximate Bayesian computation (ABC) to infer the demographic history of
253 the San Nicolas, San Miguel, and mainland gray fox populations (Figures S3B, S3C).

254 Demographic models and prior distributions of parameters were based on estimates from our
255 own analyses (Figures 1B, S2, S3A) and the literature [7, 14]. We conducted forward in time
256 simulations with a modified version of the forward simulator used in Lohmueller 2014 [39] to
257 jointly assess the effects of demography and purifying selection on levels of deleterious
258 variation. We conducted simulations with *MaCS* [40] to determine whether the observed number
259 of high heterozygosity peaks observed in the San Nicolas genome is expected under neutrality
260 using our inferred demographic model. Full descriptions of these methods are provided in the
261 Supplemental Experimental Procedure.

262

263 **Accession Numbers**

264 Sequence data are available at NCBI's Sequence Read Archive under BioProject PRJNA312115.

265

266 **Supplemental Information**

267 Supplemental Information includes Supplemental Experimental Procedures,
268 three figures, three tables, and two datasets and can be found with this article
269 online at <http://dx.doi.org/10.1016/j.cub.2016.02.062>.

270

271 **Author Contributions**

272 JAR and RKW conceived and designed the experiment. Sequence analysis was primarily carried
273 out by JAR with assistance from DODV, ZF, BYK, BMvH, CDM, and KEL. Demographic
274 inference and simulations were performed by DODV. The manuscript was written by JAR,
275 DODV, KEL, and RKW, with input from all authors.

276

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284

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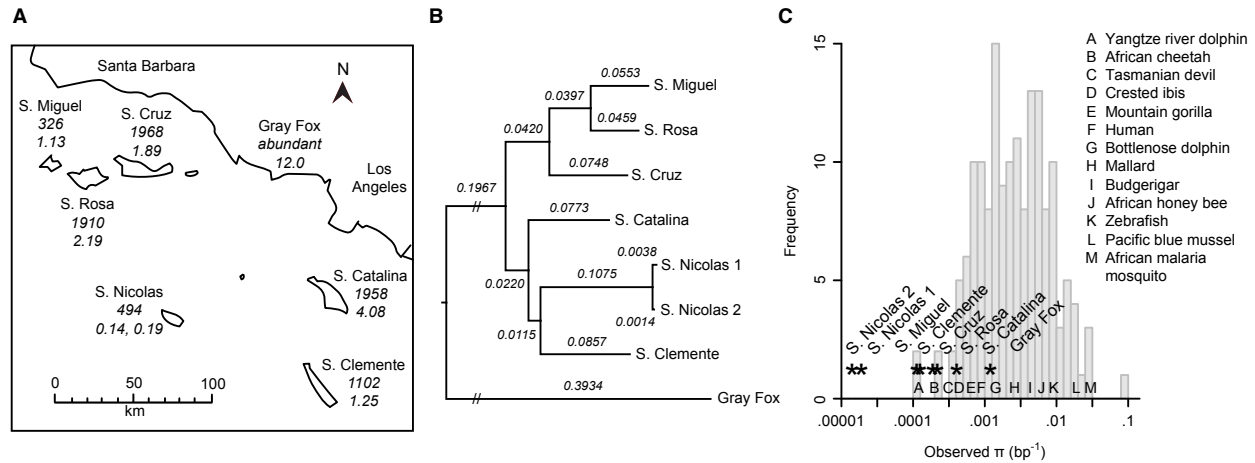
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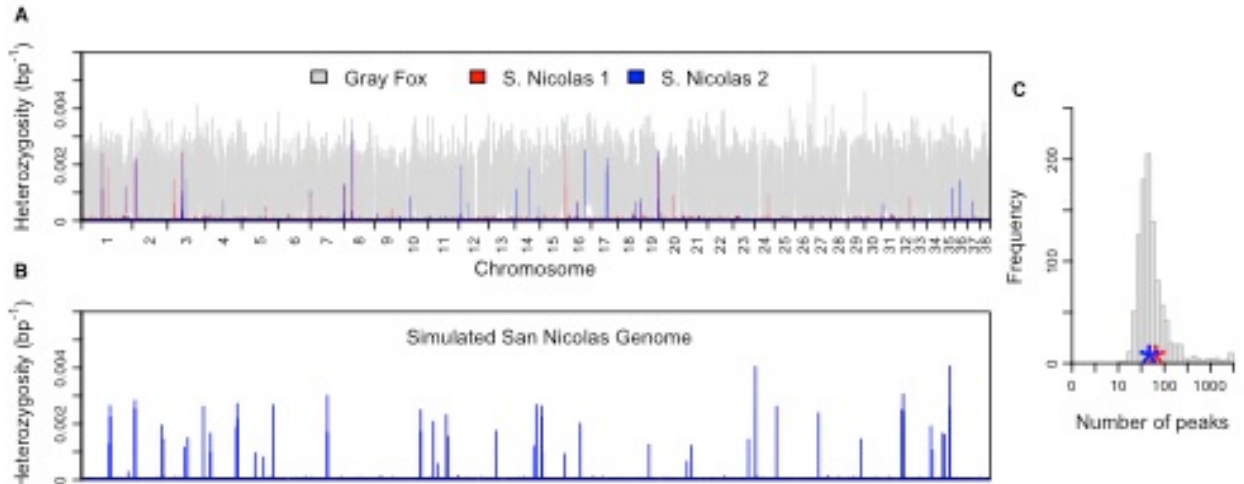
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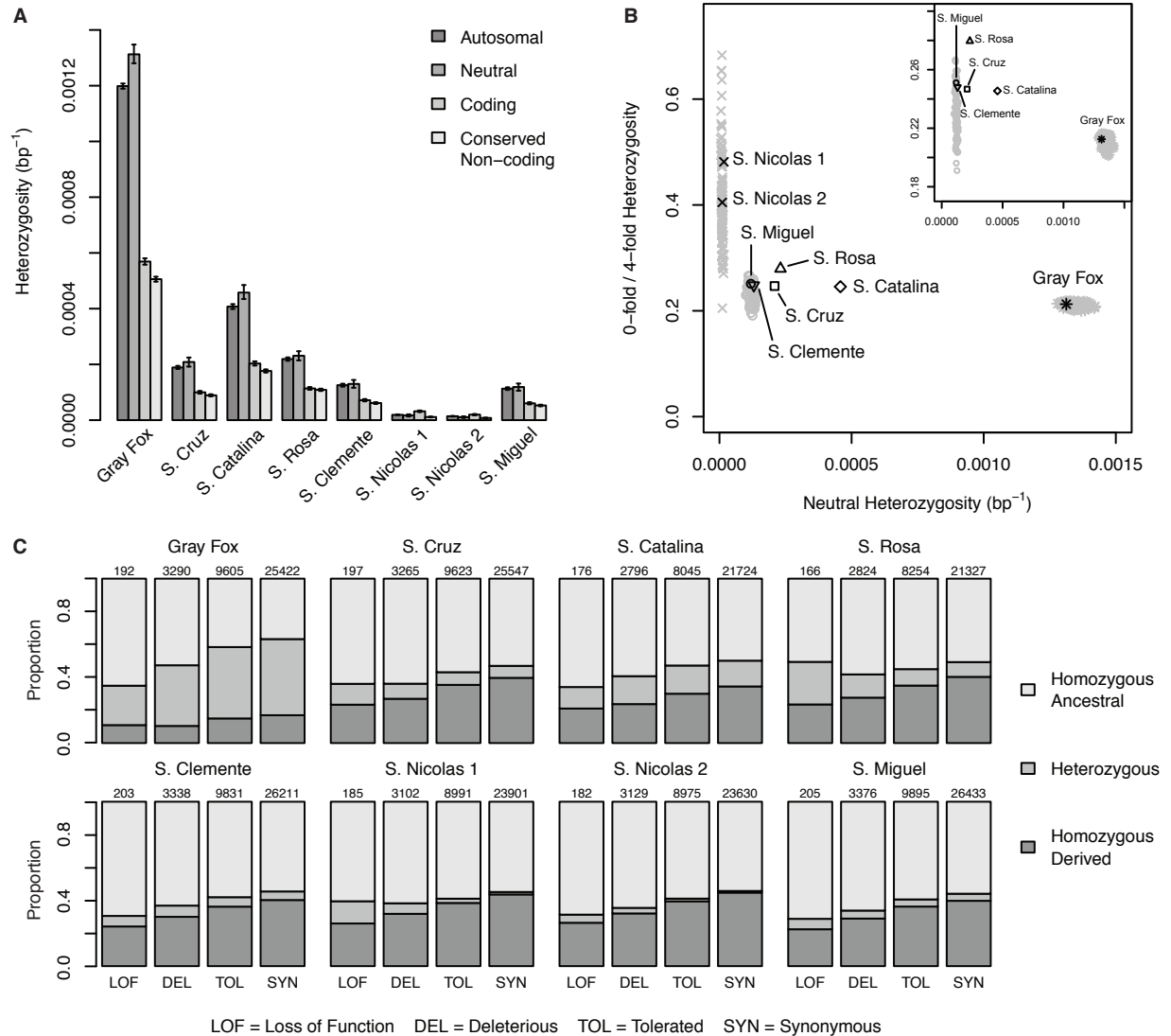
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395
 396 **Figure 1. Island foxes show exceptionally low heterozygosity.** (A) Map showing island
 397 geography, estimated census size at time of sampling (upper number) [6], and genome-wide
 398 heterozygosity per 10 kb of autosomal sequence (lower number). See also Figure S1. (B)
 399 Neighbor-joining tree constructed from a genome-wide pairwise distance matrix, displaying
 400 reciprocal monophyly of northern and southern island populations, and the southern California
 401 gray fox as the outgroup. Genetic distance is indicated on the branches. All nodes have 100%
 402 bootstrap support. See also Figure S2. (C) Histogram showing the distribution of published
 403 genome-wide estimates of π from 159 outbreeding species (137 animal, 11 plant, 8 fungus, and 3
 404 protist taxa; see Supplemental Experimental Procedure), with the position of island and gray fox
 405 heterozygosity values indicated by asterisks. See also Data S1.



406
 407 **Figure 2. Distribution of peaks of heterozygosity across the island and mainland fox**
 408 **genomes.** (A) Empirical heterozygosity per 100 kb window with a 10 kb step size across the
 409 genome in two San Nicolas foxes (red and blue lines, joint purple lines) and the mainland gray
 410 fox (gray lines). The mainland fox exhibits heterozygosity across the entire genome, whereas the
 411 San Nicolas foxes have virtually no heterozygosity except at a few distinct peaks. See also
 412 Figure S1. (B) An example of a simulated genome using the San Nicolas population
 413 demographic model, showing a similar lack of heterozygosity genome-wide except at a few
 414 distinct peaks. According to these simulations, peaks of equivalent magnitude and number can be
 415 generated by genetic drift alone. See also Figure S3. (C) Histogram showing that the observed
 416 number of peaks in the San Nicolas genomes (colored asterisks) falls near the mode of the
 417 distribution of the number of peaks generated in genomes simulated under the San Nicolas
 418 demographic model ($P = 0.257-0.451$). See also Data S2.



419
 420 **Figure 3. Extreme drift in island foxes reapportions deleterious genetic variation.** (A) In all
 421 foxes, except San Nicolas individuals, autosomal and neutral diversity is higher than in regions
 422 putatively under purifying selection (coding and conserved non-coding sequence). Error bars
 423 represent 95% confidence intervals determined from bootstrap resampling. Individuals are
 424 ordered according to census sizes (Figure 1A). (B) The negative relationship between neutral
 425 diversity (influenced by effective population size), and the ratio of heterozygosity at zero-fold
 426 relative to four-fold sites (influenced by the efficacy of purifying selection). Light gray symbols
 427 represent values obtained from simulations using the distribution of selective effects inferred in

428 humans [17] and our demographic models (Figure S3). A negative relationship between the
429 zero-fold/four-fold heterozygosity ratio and neutral diversity also persists after excluding the San
430 Nicolas foxes (inset). At extremely low effective population size, as in San Nicolas, there is high
431 variance in the ratio of zero-fold/four-fold heterozygosity in simulations due to low counts of
432 heterozygous genotypes. (C) Proportion of genotypes in each individual that are homozygous
433 ancestral, heterozygous, or homozygous derived (with respect to the dog reference genome) at
434 segregating sites within coding regions. Total genotype counts are indicated at the tops of bars
435 for each category. Loss-of-function mutations are those that encode a premature stop codon,
436 whereas “deleterious” and “tolerated” missense mutations are categorized by *SIFT* [18]. See also
437 Table S2.
438