February 4, 2021

Julio Licinio, MD, PhD, MBA, MS Editor-in-Chief *Translational Psychiatry* Via email: licinioj@upstate.edu

Dear Dr. Licinio:

I am writing today as a neuroscientist and on behalf of People for the Ethical Treatment of Animals and our more than 6.5 million members and supporters worldwide to ask that you retract the recently published paper in *Translational Psychiatry*, "Chronic unpredictable mild stress produces depressive-like behavior, hypercortisolemia, and metabolic dysfunction in adolescent cynomolgus monkeys" (https://doi.org/10.1038/s41398-020-01132-6). The experiments described in this paper involve inflicting extreme harm to intelligent vulnerable monkeys, were conducted under specious scientific reasoning, and have little if any relevance to human health.

In their paper, Teng, *et al.* describe how they housed adolescent cynomolgus macaque monkeys alone—without access to members of their own species—for 80 days. Each day for 55 of those days, they were subjected to two of the following stressors in an unpredictable pattern: loud noise for 12 hours, water or food deprivation, space restriction, cold stress, exposure to a stroboscope for 12 h and inescapable shocks to their feet (see figure on the following page). Essentially, monkeys were tortured for these experiments. The proposed goal of these procedures was to create an adolescent monkey "model of depression." However, several critical limitations inherent in these extraordinarily cruel experiments severely limit their applicability to human depression.

The type of stressors inflicted on primates by Teng, *et al.* do not adequately represent the type of social and physical stressors that precipitate mental illness in humans. In reality, sexual abuse, physical abuse, substance use disorders, difficulties in interpersonal relationships, economic stress, and chronic illness or injury are more common life traumas affiliated with mental illnesses and often co-occur in affected individuals.^{1,2} Any applicability of a nonhuman primate model to human depression is unlikely, especially considering the existence of dramatically different human cultures with different social structures.

Further, even the monkeys used as a control group in most of these experiments spend much of their time in barren, metal cages, and are subject to constant experimental testing. These living conditions cannot provide an accurate example of "typical" or "healthy" development for any species, and the additional stress of laboratory conditions confound the experimental stressors introduced in this study. Additionally, fundamental differences in gene expression,^{3,4,5,6} brain anatomy and physiology,^{7,8,9,10} and development^{11,12} among humans and other primates further limit the likelihood these experiments will have any bearing on human depression.

PEOPLE FOR THE ETHICAL TREATMENT OF ANIMALS

PETA

Washington, D.C.

1536 16th St. N.W. Washington, DC 20036 202-483-PETA

Los Angeles

2154 W. Sunset Blvd. Los Angeles, CA 90026 323-644-PETA

Norfolk

501 Front St. Norfolk, VA 23510 757-622-PETA

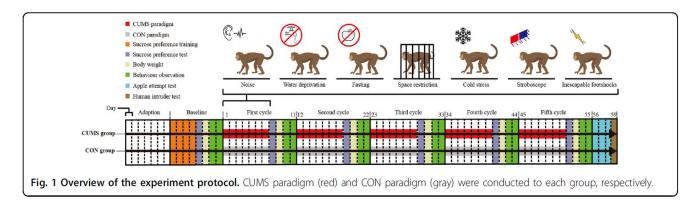
Berkeley

2855 Telegraph Ave. Ste. 301 Berkeley, CA 94705 510-763-PETA

Info@peta.org PETA.org

Affiliates:

- PETA Asia
- PETA India
- PETA France
- PETA Australia
- PETA Germany
- PETA Netherlands
- PETA Foundation (U.K.)



These extremely harmful studies cannot properly model the complex relationship of mental illness and therapeutic response in the human population.

We are aware that Teng, *et al.* assured the journal that they followed guidelines based on "ethics," as stated in the Methods section:

"Animals were maintained under an experiment protocol approved by the Ethics Committee of Chongqing Medical University (approval no.: 20180705) in accordance with the recommendations of 'The use of non-human primates in research'<u>13</u> and 'Guide for the Care and Use of Laboratory Animals'<u>14</u>. We also performed matched pairs design to minimize the number of subjects, while maintaining statistical power following the principle of NC3Rs (National Centre for the Replacement, Reduction and Refinement, <u>https://www.nc3rs.org.uk/</u>)."

However, these assurances were quite obviously not enough to prevent the described experiments from occurring, despite their significant ethical and scientific shortcomings. A reputable journal cannot rely on these statements alone to decide what is acceptable to publish—editors also have an important role in holding the research they accept for publishing to a rigorous, humane standard. In the case of these experiments, the incredible suffering the authors claimed to "minimize" was the entire point of these experiments.

The scientific publishing community must take a strong position to publish only rigorous and ethical research. Will *Translational Psychiatry* retract this paper and conduct an investigation to determine how such a paper passed your peer review process?

Sincerely,

Egnel_

Emily R. Trunnell, Ph.D. Research Associate and IACUC Liaison Laboratory Investigations Department People for the Ethical Treatment of Animals 501 Front Street | Norfolk, VA 23510 EmilyT@peta.org

⁵ Shi, L., Li, M., Lin, Q., Qi, X., & Su, B. (2013). Functional divergence of the brain-size regulating gene MCPH1 during primate evolution and the origin of humans. BMC Biology, 11(1), 62.

⁶ Muntané, G., Horvath, J. E., Hof, P. R., Ely, J. J., Hopkins, W. D., Raghanti, M. A., ... & Sherwood, C. C. (2014). Analysis of synaptic gene expression in the neocortex of primates reveals evolutionary changes in glutamatergic neurotransmission. Cerebral Cortex, bht354.

⁷ Balsters, J. H., Cussans, E., Diedrichsen, J., Phillips, K. A., Preuss, T. M., Rilling, J. K., & Ramnani, N. (2010). Evolution of the cerebellar cortex: the selective expansion of prefrontal-projecting cerebellar lobules. Neuroimage, 49(3), 2045-2052.

⁸ Fu, X., Giavalisco, P., Liu, X., Catchpole, G., Fu, N., Ning, Z. B., ... & Khaitovich, P. (2011). Rapid metabolic evolution in human prefrontal cortex. Proceedings of the National Academy of Sciences, 108(15), 6181-6186.

⁹ Hecht, E. E., Gutman, D. A., Preuss, T. M., Sanchez, M. M., Parr, L. A., & Rilling, J. K. (2013). Process versus product in social learning: comparative diffusion tensor imaging of neural systems for action execution–observation matching in macaques, chimpanzees, and humans. Cerebral Cortex, 23(5), 1014-1024.

¹⁰ Rilling, J. K. (2014). Comparative primate neuroimaging: insights into human brain evolution. Trends in Cognitive Sciences, 18(1), 46-55.

¹¹ Geschwind, D. H., & Rakic, P. (2013). Cortical evolution: judge the brain by its cover. Neuron, 80(3), 633-647.

¹² Sakai, T., Matsui, M., Mikami, A., Malkova, L., Hamada, Y., Tomonaga, M., ... & Matsuzawa, T. (2013). Developmental patterns of chimpanzee cerebral tissues provide important clues for understanding the remarkable enlargement of the human brain. Proceedings of the Royal Society B: Biological Sciences, 280(1753).

¹ Green, J. G., McLaughlin, K. A., Berglund, P. A., Gruber, M. J., Sampson, N. A., Zaslavsky, A. M., et al. (2010). Childhood adversities and adult psychiatric disorders in the national comorbidity survey replication I: associations with first onset of DSM-IV disorders. Archives of General Psychiatry, 67(2), 113-123.

² McLaughlin, K. A., Green, J. G., Gruber, M. J., Sampson, N. A., Zaslavsky, A. M., & Kessler, R. C. (2010). Childhood adversities and adult psychiatric disorders in the mational comorbidity survey replication II: associations with persistence of DSM-IV disorders. Arch Gen Psychiatry, 67(2), 124-132.

³ Enard, W., Khaitovich, P., Klose, J., Zöllner, S., Heissig, F., Giavalisco, P., ... & Pääbo, S. (2002). Intra- and interspecific variation in primate gene expression patterns. Science, 296(5566), 340-343.

⁴ Cáceres, M., Lachuer, J., Zapala, M. A., Redmond, J. C., Kudo, L., Geschwind, D. H., ... & Barlow, C. (2003). Elevated gene expression levels distinguish human from non-human primate brains. Proceedings of the National Academy of Sciences, 100(22), 13030-13035.