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OFFICE OF MERAL COUNSEL

2119 JUL -7 PM 1: 52

OGE Form 278e (Updated Jan. 2019) (Expires 12/31/21) U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved; OMB No. (3209-0001) Report Type: Year (Annual Report only): Candidate Date of Appointment/Termination:

UNITED STATES OFFICE OF GOVERNMENT ETHICS Preventing Conflicts of Interest in the Executive Branch

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information				
Last Name	First Name	MI	Position	Agency .
BIDEN, JR.	JOSEPH	R.	CANDIDATE FOR PRESIDENT	
Other Federal Government Positions	Held During the Preceding 1	2 Months:		·
. 1	•		· ·	
Name of Congressional Committee (Considering Nomination (Non	ninees only):		
	8		, ,	
Filer's Certification - Vertify that th	e statements I have made in th	is report are true, c	complete and correct to the best of my knowledge	e:
Signature:	ller		Date: 7-1/9	
A construction Office Only Control of	on the being of information as	stained in this sone	rt, I conclude that the filer is in compliance with	applies his laws and regulations
(subject to any comments below)	on the basis of miormation co.	named in this repo	it, I conclude that the ther is in comphance with	applicable laws and regulations
Signature:			Date: 7/17/10	
Other Review Conducted By:				
Signature:			Date: 7 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \)
U.S. Office of Government Ethics Co	ertification (if required):			
Signature: The Signature of the Signatur	Tound		Date: 9-18-19	
lo til and til				

Reviewed for Apparent Compliance The FEC granted the file & 45-day extension with the Federal Election Campaign Act of time to file this seport.

Pages 3, 5, 7, 9, and 14 amended by filer. Endnote page added by filer. UGE/JM9/18/19

	er's Name			·	Page Number	
Ю	seph R. Biden, Jr.		The second secon		2 0	of 15
2	rt 1: Filer's Positions Held Out	side United States	Government			
	Organization Name	City/State	Organization Type	Position Held	From	То
	CelticCapri Corp	Wilmington, DE	S-Corporation	President	2/2017	Present
2.	University of Pennsylvania	Philadelphia, PA	Private University	Benjamin Franklin Presidential Practice Professor (unpaid leave of absence since April 2019)		Present
3.	Biden Cancer Initiative	Washington, D.C.	Non-Profit Entity	Member of the Board (all times listed); Chair of the Board (May 2017 - June 2017); Co-Chairof the Board (June 20 April 2019)		4/2019
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Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information

Filer's Name

1,110	er's Name			and the second s		Page Number
lo	seph R. Biden, Jr.					3 of 15
Pu	rt 2: Filer's Employment Assets & Income and Retir	ement A	ccounts			
	Description	EIF	Value	Income Type	Income Amount	
,	CelticCapri Corp	N/A		salary		\$425,000
*	University of Pennsylvania	N/A	de que per una entra la comita de la comita del la comita dela	salary	A CONTRACTOR OF THE STATE OF TH	\$540,484
	IRA #1:	No		cash distributions		\$950
****	- U.S. brokerage account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)	Kirket till käättän vaaise protonemen ja johden ja johden ja kantoon 1995 valda valtaatala ja kun kuussa ja jo Tari
	TIAA-CREF Lifecycle Index 2010 Fund	Yes	\$15,001 - \$50,000	anni anta da mana anta anta anta anta anta anta ant	None (or less than \$201)	usakkin santanun saman mengelakan menimbut gangi dandi sahut kelala sambasian santan santan sa
	"Promises to Keep," Random House Publishers (value not readily ascertainable)	N/A			None (or less than \$201)	i de la companya
	"Promise Me, Dad," Macmillan Publishers (value not readily ascertainable)	N/A			None (or less than \$201)	
	CelticCapri Corp, S-Corp (speaking and writing engagements)	N/A	\$1,000,001 - \$5,000,000	net distributive share	Description of the Control of A.	\$2,780,479
sissis	- Book Tour Event - Arlene Schnitzer Concert Hall, Portland, OR, 11/30/17 (payment made by Literary Arts)	N/A		honorarium		\$25,000
).	- Book Tour Event Atlanta Symphony Hall, Atlanta, GA, 6/8/18 (payment made by Robert W Woodruff Arts Center, Inc.)	N/A	ilian kanangangan manangan di manangan manangan manangan kanangan kanangan manangan kanangan kanangan kanangan	honorarium	and the second of the second s	\$90,000
	- Book Tour Event Avery Fisher Hall, Lincoln Center, New York, NY, 11/13/17 (payment made by Live Nation World Wide, Inc.)	N/A		honorarium	en de la companya de	\$27,726
2.	- Book Tour Event Flynn Center, Burlington, VT, 12/9/18 (payment made by Flynn Center for the Performing Arts)	N/A	Annielle sand ter it de Groupe de Annie, gerande je de Groupe de G	honorarium		\$67,830
,	- Book Tour Event Chicago Theatre, Chicago, IL, 12/11/17 (payment made by Outback Concerts of Tennessee)	N/A	and the second s	honorarium		\$91,741
	- Book Tour Event Theatre at Grand Prairie, Grand Prairie, TX, 12/4/18 (payment made by AEG Presents LLC)	N/A		honorarium		\$42,648
,	- Book Tour Event Dennison Theatre, University of Montana, Missoula, MT, 12/3/18 (payment made by Bravo Entertainment LLC)	N/A	and the second	honorarium	Contraction of the Contraction o	\$43,155
6.	- Book Tour Event - Miami Book Fair, Adrienne Arsht Center, Miami, FL, 11/18/17 (payment made by Miami Dade College Foundation)	N/A		honorarlum		\$8,040

File	er's Name				· · · · · · · · · · · · · · · · · · ·	Page Number
Jos	seph R. Biden, Jr.					4 of 15
Pa	rt 2: Filer's Employment Assets & Income and Retir	ement Ac	counts			
		EIF	Value	Income Type	Income Amount	,
17.	- Book Tour Event Michigan Theatre, Ann Arbor, MI, 12/13/17 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium		\$47,957
18.	- Book Tour Event Orpheum Theatre, Memphis, TN, 6/15/18 (payment made by ASCH-JV LLC)	N/A		honorarium		\$42,750
19.	- Book Tour Event Orpheum Theatre, Madison, WI, 12/10/17 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium		\$41,443
20.	- Book Tour Event Orpheum Theatre, Minneapolis, MN, 12/9/17 (payment made by Outback Concerts of Tennessee, Inc.)	N/A	-	honorarium		\$64,050
21.	- Book Tour Event Paramount Theatre, Austin, TX 12/8/17 (payment made by Austin Theatre Alliance)	N/A		honorarium		\$38,250
22.	- Book Tour Event Paramount Theatre, Denver, CO, 12/2/17 (payment made by Outback Concerts of Tennessee, Inc.)	N/A	×	honorarium		\$56,250
23.	- Book Tour Event Schermerhorn Symphony Center, Nashville, TN, 1-1/19/17 (payment made by Nashville Symphony)	N/A		honorarium	^	\$47,250
24.	- Book Tour Event Smart Financial Centre, Sugar Land, TX, 12/7/17 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium		\$47,250
25.	- Book Tour Event Grand Opera House, Wilmington, DE, 6/10/18 (payment made by Grand Opera House, Inc.)	N/A		honorarium	× ×	\$39,357
26.	- Book Tour Event The Kentucky Center - Whitney Hall, Louisville, KY, 6/7/18 (payment made by Kentucky Center for the Arts)	N/A		honorarium		\$44,285
27.	- Book Tour Event Wilbur Theatre, Boston, MA, 12/4/18 (payment made by Associates and Leisure Activities)	N/A		honorarium		\$57,474
28.	- Book Tour Event Thomas Wolfe Auditorium, Asheville, NC, 12/14/17 (payment made by ASCH-JV LLC)	N/A		honorarium		\$39,060
29.	- Book Tour Event Chevalier Theatre, Medford, MA, 2/1/18 (payment made by Bill Blumenreich Presents, Inc.)	N/A		honorarium		\$21,540
30.	- Book Tour Event The Anthem, Washington, DC, 2/6/18 (payment made by My Party Venue LLC)	N/A		honorarium		\$106,372
31.	- Book Tour Event Orpheum Theatre, Los Angeles, CA, 1/10/18 (payment made by Tribune Publishing)	N/A		honorarium		\$47,250

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monimori	rt 2: Filer's Employment Assets & Income and Retir	ement A	ceamic		
: KU 		EIF	Value	Income Type	Income Amount
******		***************************************	A SHIRE		
12.	- Book Tour Event Riverside Theatre, Milwaukee, WI, 2/11/18 (payment made by Bill Blumenreich Presents, Inc.)	N/A		honorarium	\$64,620
3.	- Book Tour Event - Dr. Phillips Center, Orlando, FL, 1/29/18 (payment made by Bill Blumenreich Presents, Inc.)	N/A	and the state of t	honorarium	\$77,400
4.	- Book Tour Event Camegie Music Hall, Pittsburgh, PA, 2/12/18 (payment made by Bill Blumenreich Presents, Inc.)	N/A		honorarium	\$42,163
5.	- Book Tour Event Merrill Auditorium, Portland, ME, 1/31/18 (payment made by Bill Blumenreich Presents, Inc.)	N/A	THE PARTY OF THE P	honorarium	\$42,145
6.	- Book Tour Event – Warner Theatre, Washington, DC, 11/17/17 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium	\$40,872
7.	- Book Tour 2018 VIP Experience (paid through CAA Premium Experience)	N/A		honorarium, please see end note	\$173,005
8.	- Book Tour — 2017 VIP Experience (pald through CAA Premium Experience)	N/A		honorarium, please see end note	\$234,820
9.	- Speaking Engagement San Francisco, CA, 1/9/18 (payment made by Jewish Community Center of San Francisco)	N/A	akeessa ah ahamayaasi dadasii da waalaa ah aa aa aa aa aa aa ah aa a	honorarium	\$112,050
0.	- Speaking Engagement – Fusco Distinguished Lecture Series, New Haven, CT, 3/23/18 (payment made by Southern Connecticut State University Foundation, Inc.)	N/A	ikansali unindi unindi katti kat	honorarium	\$124,515
1.	 Speaking Engagement LIU Global Institute Speaker Series, Brooklyn, NY, 3/27/18 (payment made by Long Island University) 	N/A		honorarium	\$100,000
2.	- Speaking Engagement - Thomas H. Kean Visiting Lecture, Madison, NJ, 3/28/18 (payment made by Drew University)	N/A		honorarium	\$190,000
3.	- Speaking Engagement Chancellor's Lecture Series, Nashville, TN, 4/10/18 (payment made by Vanderbilt University)	N/A	Much making prost from the the basis of function and code personal state of the code of th	honorarium	\$180,000
4,	- Speaking Engagement - St. Petersburg, FL, 6/4/18 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium	\$103,500
5.	- Speaking Engagement - New Orleans, LA, 6/5/18 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium	\$108,000
6.	 Speaking Engagement Durham, NC, 6/6/18 (payment made by Live Nation Worldwide, Inc.) 	N/A		honorarium	\$89,433
7.	- Speaking Engagement Charlotte, NC, 6/13/18 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium	\$86,000
8.	- Speaking Engagement - St. Louis, MO, 6/14/18 (payment made by Live Nation Worldwide, Inc.)	N/A		honorarium	\$66,000

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Par	rt 2: Filer's Employment Assets & Income and Retir	ement Ac	ecounts			
#	Description	EIF	Value	Income Type	Income Amount	
49.	 Speaking Engagement The Economic Club of SW Michigan Speakers Series, Benton Harbor, MI, 10/16/18 (payment made by Lake Michigan College) 	N/A	1	honorarium		\$182,679
50.	- Speaking Engagement University of Buffalo's Distinguished Speakers Series, Buffalo, NY, 10/25/18 (payment made by UB Foundation)	N/A		honorarium		\$179,489
51.	 Speaking Engagement MPSF Speaker Series, San Rafael, 11/26/18 (payment made by MPSF, Inc.) 	N/A		honorarium		\$123,826
52.	-Speaking Engagement MPSF Speaker Series, Oakland, 11/27/18 (payment made by MPSF, Inc.)	N/A	9	honorarium		\$123,826
53.	-Speaking Engagement MPSF Speaker Series, San Mateo, 11/28/18 (payment made by MPSF, Inc.)	N/A		honorarium		\$123,826
54.	-Speaking Engagement MPSF Speaker Series, San Rafael, 11/29/18 (payment made by MPSF, Inc.)	N/A		honorarium		\$123,826
55.	 Speaking Engagement Phoeniz, AZ, 11/30/18 (payment made by Eminent Series Group, LLC) 	N/A	1	honorarium		\$181,000
56.	- Speaking Engagement Brown Lecture Board, Providence, RI, 12/10/18 (payment made by Brown University)	N/A		honorarium		\$92,642
57.	- Speaking Engagement Fort Lauderdale, FL, 1/28/19 (payment made by Performing Arts Center Authority)	N/A		honorarium		\$134,933
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Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information,

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	Employer or Party	City/State	Status and Terms	Date
200	University of Pennsylvania	Philadelphia, PA	Unpaid leave of absence from University position	4/2019
100	University of Pennsylvania	Philadelphia, PA	Continued participation in University's defined contribution plan (described in line 5 of Part 2). The University will not make further contributions during leave.	2/2017
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	seph R. Biden, Jr.			8 of 15
Pa	rt 4: Filer's Sources of Compens	ation Exceeding	g \$5,000 in a Year	
#	Source Name Not applicable	City/State	Brief Description of Duties	
	Not applicable		·	
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Filer's Name Page Number Joseph R. Biden, Jr. 9 of 15

!	Description	EIF	Value	Income Type	Income Amount
000000	Glacoppa Corp	N/A		salary	
***	Northern Virginia Community College	N/A	de ann de marche de la Colon (1900 de la Colon de des de la Colon	salary	
	DE Retirement System, 457 plan:	No	THE RESIDENCE OF THE PROPERTY		
***	- American Funds 2015 Tdate R6	Yes	\$50,001 - \$100,000		None (or less than \$201)
-	DE Retirement System. 401a plan:	No			
	- American Funds 2015 Tdate R6	Yes	\$1,001 - \$15,000		None (or less than \$201)
***	DE Office of Pensions, defined benefit plan (value not readily ascertainable)	N/A	AND AND AND PROPERTY OF THE SECOND AND AND PROPERTY AND	retirement payments	
	VA Retirement System, 457 plan:	No	and the second s		
****	- Target Date 2020 Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
×	VA Retirement System, 401a plan:	No			The second is the second of th
	- Target Date 2020 Portfolio	Yes	\$1,001 - \$15,000	THE CONTRACTOR OF THE CONTRACT	None (or less than \$201)
*	VA Relirement System, defined benefit plan	N/A	\$50,001 - \$100,000		None (or less than \$201)
	"Don't Forget, God Bless Our Troops" (value not readily ascertainable) Simon & Schuster Children's Publishing,	N/A		rent or royalties	\$1,001 - \$2,500
¥	"Where the Light Enters" (value not readily ascertainable)	N/A		***************************************	None (or less than \$201)
	Giacoppa Corp, S-Corp (speaking and writing engagements)	N/A	\$500,001 - \$1,000,000	business income	
wee o	- "Where the Light Enters", Macmillan Publishers	N/A		advance	
•	- Speaking Engagement - San Ramon, CA, 3/5/18 (payment made by Walnut Creek Chamber of Commerce & Visitor Bureau)	N/A		honorarium	\$36,000
*	- Speaking Engagement - Boston, MA, 3/24/18 (payment made by Association for Supervision & Curriculum Development)	N/A	and a second desired desired as a second desired desired as a second desired desired desired as a second desired desir	honorarium	\$33,783
*	- Speaking Engagement - San Diego, CA, 4/9/18 (payment made by Ellucian Company LP)	N/A	and the second s	honorarium	\$36,000

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Jos	eph R. Biden, Jr.					10 of 15
Par	t 5: Spouse's Employment Assets & Income and	Retir	ement Accounts			
#	Description	EIF	Value	Income Type	Income Amount	
20.	- Speaking Engagement Pittsburgh, PA, 4/13/18 (payment made by YWCA Greater Pittsburgh)	N/A		honorarium		\$36,000
21.	- Speaking Engagement Portland, OR, 4/14/18 (payment made by Celebrity Talent Intl and Concordia University)	N/A		honorarium		\$36,000
22.	- Speaking Engagement Los Altos Hills, CA, 4/20/18-4/21/18 (payment made by Foothill - De Anza Community College District)	N/A		honorarium		\$66,400
23.	- Speaking Engagement Dallas, TX, 4/25/18 (payment made by Jewish Family Service of Delaware)	Ŋ/A		honorarium		\$36,691
24.	- Speaking Engagement Cubberly Lecture Series, Stanford, CA, 5/9/18 (payment made by Stanford University)	N/A		honorarium		\$37,853
25.	- Speaking Engagement Nashville, TN, 6/4/18 (payment made by Bigspeak, Inc.)	N/A		honorarium		\$45,450
26.	- Speaking Engagement Founders' Dinner, Chicago, IL, 9/12/18 (payment made by Loyola University of Chicago)	N/A		honorarium		\$36,000
27.	- Speaking Engagement San Francisco, CA, 10/5/18 (payment made by National Organization of Professional Athletes)	N/A		honorarium		\$40,500
28.	 Speaking Engagement Seattle, WA, 10/12/18 (payment made by StriveTogether Inc.) 	N/A		honorarium		\$36,000
29.	- Speaking Engagement Newport News, VA, 10/24/18 (payment made by New Age Media Ventures LLC)	N/A		honorarium		\$40,500
30.	- Speaking Engagement Baltimore, MD, 10/26/18 (payment made by Council of Great City Schools)	N/A		honorarium		\$36,000
31.	- Speaking Engagement Atlanta, GA, 11/14/18 (payment made by the Atlanta Women's Foundation)	N/A		honorarium		\$38,682
32.	- Speaking Engagement SAP North America, Newtown Square, PA, 11/26/18 (payment made by SAP America Inc.)	N/A		honorarium		\$45,948
33.	- Speaking EngagementSan Antonio, TX, 4/5/19 (payment made by Junior League of San Antonio)	N/A		honorarium		\$37,048
34.	- Speaking Engagement Phoenix, AZ, 5/10/19 (payment made by the Sandra Day O'Connor Institute)	N/A		honorarium		\$25,367
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Pa	rt 6: Other Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount	
1.	United States Senate FCU (cash)	N/A	\$1,001 - \$15,000	interest	None (or less than \$201)	
2.	Suntrust Bank (cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)	
3.	M&T Bank (cash)	N/A	\$100,001 - \$250,000	interest	\$5,001 - \$15,000	
4.	Wilmington Savings and Fund Society (cash)	N/A	\$250,001 - \$500,000	interest	\$201 - \$1,000	
5.	PNC Bank (cash)	N/A	\$100,001 - \$250,000	interest	\$201 - \$1,000	
6,	New Castle County Schools Employee FCU (cash)	N/A	\$1,001 - \$15,000	interest	None (or less than \$201)	
7.	TD Bank (cash)	N/A	\$50,001 - \$100,000	interest	None (or less than \$201)	
8.	Security Benefit Group, variable annuity	No				
9.	- Invesco VI Health Care	Yes	\$1,001 - \$15,000		None (or less than \$201)	
10	- Dreyfus IP Technology Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)	
11	- Guggenheim VIF High Yield	Yes	\$1,001 - \$15,000		None (or less than \$201)	
12	- Janus Hend VIT Enterprise	Yes	\$1,001 - \$15,000		None (or less than \$201)	
13	- Janus Hend VIT Research	Yes	\$1,001 - \$15,000		None (or less than \$201)	
14	- Western Asset Variable Global High Yield Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)	
15	- MFS VIT Utilities	Yes	\$1,001 - \$15,000		None (or less than \$201)	
16	- Guggenheim VIF Styleplus Mid Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)	
17	- Guggenheim VIF Mid Cap Value	Yes	\$1,001 - \$15,000		None (or less than \$201)	
18	- Guggenheim VIF Small Cap Value	Yes	\$1,001 - \$15,000		None (or less than \$201)	
19	- Guggenheim VIF All Cap Value	Yes	\$1,001 - \$15,000		None (or less than \$201)	
20	Mass Mutual, whole life	N/A	\$1,001 - \$15,000		None (or less than \$201)	

File	er's Name]	Page Number
Jos	seph R. Biden, Jr.			1		12 of 15
Pa	rt 6: Other Assets and Income					
#	Description	EIF	Value	Income Type	Income Amount	a
21.	Mass Mutual, whole life	N/A	\$1,001 - \$15,000		None (or less than \$201)	ĉ
22.	Mass Mutual, whole life	N/A	\$1,001 - \$15,000		None (or less than \$201)	
23.	Mass Mutual, whole life	N/A	\$15,001 - \$50,000		None (or less than \$201)	
24.	Mass Mutual, whole life	N/A	\$15,001 - \$50,000		None (or less than \$201)	
25.	Mass Mutual, whole life	N/A	\$15,001 - \$50,000		None (or less than \$201)	
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Pa	Part 7: Transactions					
#		Туре	Date	Amount		
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Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

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	Creditor Name	Туре	Amount	Year Incurred	Rate	Term	
	Mass Mutual	Loans against cash value of policie	A Company of the Comp	1983	5-8%	open-ended	
100.440	Oceanfirst Bank	Term loan	\$15,001 - \$50,000	2018	6.5%	10 years	
	Oceanfirst Bank	Co-signer with Joseph R. Biden, III, on LOC, renewable every 2 years	\$15,001 - \$50,000	1990	prime +1%	in 2018, became 10- year term toan described on line 2, above	
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mara.			ide dan die versche deutschlich das vor anne erzeich die ferhältelle in foren neuer er dat schrift soften erzein er en			essential de la companya de la desta considerada e se se se se se se como como como de la como de la como como	
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	and a product of the contract	у добого на при на п На при на пр	THE COLOR OF THE C			esta yang kalanda da da ga ga manasan kasan kanda a yang mendulah kung digun mengelupan seri pengundan kan	

	iler's Name Page Number					
Jos	Joseph R. Biden, Jr.					
Part 9: Gifts and Travel Reimbursements						
#	Source Name		Brief Description		Value	
1.	Source Name Not applicable					
2.						
3.						
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END NOTE OGE Form 278e, Joseph R. Biden, Jr.

The following note accompanies Part 2, Lines 37 and 38:

Many of the book tour and speaking engagements listed in Part 2 were ticketed events, and one of the ticket levels available was a "VIP Experience" level, which included preferential seating and a reception. An entity known as CAA Premium Experience sold that level of tickets and handled the logistics associated with the seating and the reception. A percentage of the revenue from the sale of these tickets was paid by CAA Premium to CelticCapri Corp., annually. Line 37 reflects income from the 2018 tickets; Line 38 reflects income from the 2017 tickets.