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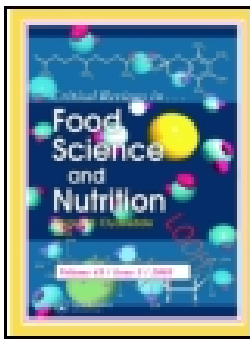
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Gingerô Mechanism of Action in Chemotherapy-Induced Nausea and Vomiting: A Review

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## **Abbreviations**

CINV - Chemotherapy-Induced Nausea and Vomiting

GIT ó Gastrointestinal Tract

5-HT<sub>3</sub> - 5-Hydroxytryptamine

NK-1 - Neurokinin 1

NF- $\kappa$ B - nuclear factor kappa-B

## **Abstract**

Despite advances in anti-emetic therapy, chemotherapy-induced nausea and vomiting (CINV) still poses a significant burden to patients undergoing chemotherapy. Nausea, in particular, is still highly prevalent in this population. Ginger has been traditionally used as a folk remedy for gastrointestinal complaints and has been suggested as a viable adjuvant treatment for nausea and vomiting in the cancer context. Substantial research has revealed ginger to possess properties that could exert multiple beneficial effects on chemotherapy patients who experience nausea and vomiting. Bioactive compounds within the rhizome of ginger, particularly the gingerol and shogaol class of compounds, interact with several pathways that are directly implicated in CINV in addition to pathways that could play secondary roles by exacerbating symptoms. These properties include 5-HT<sub>3</sub>, substance *P* and acetylcholine receptor antagonism; anti-inflammatory properties; and modulation of cellular redox signalling, vasopressin release, gastrointestinal motility, and gastric emptying rate. This review outlines these proposed mechanisms by discussing the results of clinical, *in vitro* and animal studies both within the chemotherapy context and in other relevant fields. The evidence presented in this review indicates that ginger possesses multiple properties that could be beneficial in reducing chemotherapy-induced nausea and vomiting.

# ACCEPTED MANUSCRIPT

**Keywords:** ginger, nausea, chemotherapy, CINV, vomiting

## Introduction

Chemotherapy-induced nausea and vomiting (CINV) is a significant burden for patients undergoing anticancer chemotherapy. Nausea and vomiting are rated as two of the most distressing symptoms by chemotherapy patients and have been shown to significantly and adversely affect quality of life and physical function during treatment.(Carelle et al., 2002; Sun et al., 2005) Ratings of quality of life can be reduced by as much as 20% in patients who experience CINV compared to symptom-free patients.(Lindley et al., 1992) Additionally, CINV is associated with malnutrition and further physical complications such as acid-base imbalance and electrolyte disturbances.(Davidson et al., 2012; Lindley and Hirsch, 1992; Osoba, 2005) All of these issues affect the patients' ability to adhere to, or complete chemotherapy, resulting in a potential concomitant impact on survival outcomes.

Despite significant improvement in the control of CINV through the use of modern anti-emetics such as 5-HT<sub>3</sub> antagonists, corticosteroids and NK1 antagonists, nausea and vomiting still affects up to 60% and 37% of patients undergoing chemotherapy, respectively.(Bloechl-Daum et al., 2006)

Ginger has traditionally been used for centuries as a treatment for gastrointestinal complaints and more recently has been investigated for its use in treating motion sickness, post-operative nausea and vomiting, and morning sickness in clinical studies.(Ernst and Pittler, 2000) A recent systematic review of randomised-controlled trials that investigated the effect of ginger as an adjuvant treatment for CINV found that the literature was equivocal with significant limitations.(Marx et al., 2013)

An array of compounds are bioactive within the rhizome of ginger, such as shogaols, gingerols, zingerone, and paradols.(Baliga et al., 2011) These compounds are typically categorised into two classes: volatile oils and non-volatile pungent compounds. Both of these classes of compounds are contained within the oleoresin, the collective term for the oil and resin fraction of the rhizome. While the concentration of these compounds varies greatly depending on the country of origin, storage, and preparation of the ginger product, the gingerol and shogaol compounds are likely to be the primary components responsible for ginger's pharmacological effects. These compounds are believed to interact with multiple areas implicated in the development of CINV. Specific properties of these compounds that may be relevant to CINV include 5-HT<sub>3</sub> receptor antagonism; anti-inflammatory properties; and modulation of cellular redox signalling, vasopressin release, gastrointestinal motility, and gastric emptying rate.(Abdel-Aziz et al., 2006; Prakash and Srinivasan, 2010; Wu et al., 2008; Zick et al., 2011) Whereas recent reviews have focused upon the clinical efficacy of ginger, this paper will focus on the potential mechanisms by which ginger could exert anti-CINV effects.

### **Physiology of CINV**

The physiology of CINV is a complex neural interaction involving central and peripheral stimuli and reactions. While multiple pathways are involved in CINV, this discussion will focus on the primary pathway of CINV (i.e 5-HT<sub>3</sub> and NK1 antagonism) and pathways that could potentially be modulated by ginger (Figure 1). The site of the initial trigger of CINV is thought to be within the gastrointestinal tract. Chemotherapy agents interact with enterochromaffin cells, possibly via oxidative stress, resulting in a release of the neurotransmitters serotonin and substance *P*.(Torii et

al., 1994a) The released neurotransmitters then interact with receptors located upon the vagus nerve, which subsequently transmits afferent signals to the chemotherapy receptor zone within the brain via the nucleus tractus solitarius. It is thought that modern 5-HT<sub>3</sub> antagonist medications (e.g. ondansetron) interact with the 5-HT<sub>3</sub> receptors involved in this process, which then mitigates the degree of afferent signalling. Another neurotransmitter, substance *P*, has also been implicated in the generation of CINV by binding to NK1 receptors located centrally within the brain. Stimuli transmitted using these two neuropeptides, as well as stimuli from other regions of the brain, are processed by the chemoreceptor trigger zone and vomiting centre, which then coordinates the relevant musculature to induce a nausea and/or vomiting response.(Rudd, 2005)

While not directly involved in the generation of CINV, other secondary pathways could exacerbate the experience of nausea and vomiting in this setting. These include the modulation of gastric emptying, increased inflammation, and vestibular and vasopressin-related mechanisms.(Cawley and Benson, 2005; Rudd, 2005; Sharma and Gupta, 1998)

Chemotherapy agents such as cisplatin and methotrexate are known to delay gastric emptying, potentially resulting in gastrointestinal distress due to antral distension.(Sharma and Gupta, 1998) Research related to chemotherapy-induced mucositis has demonstrated that pro-inflammatory signalling pathways, particularly nuclear factor kappa-B (NF- $\kappa$ B), are increased within the gastrointestinal mucosa as a result of chemotherapy-induced cell injury. It has been suggested that this increase in gut inflammation might contribute to the development of CINV, particularly during the delayed phase ( $\times$ 24 hours after chemotherapy)(Rudd, 2005) which is



supported by the increase in inflammatory cytokines largely occurring between 2-10 days post-chemotherapy.(Cawley and Benson, 2005)

The vestibular system, which is located within the inner ear, is involved in providing a sense of balance. While the vestibular system might not be a primary pathway in the development of CINV, vestibular disturbances are implicated in the exacerbation of CINV. In support of this, the vestibular system is involved in the development of motion sickness, which is a known risk factor for CINV.(Leventhal et al., 1988) Furthermore, scopolamine, a pharmacological treatment for motion sickness, has demonstrated efficacy in reducing CINV when used in conjunction with other anti-emetic medications, but not when used as a stand-alone treatment.(Longo et al., 1982; Meyer et al., 1987) This suggests that the vestibular system plays a secondary role in the development of CINV.

Lastly, it has been suggested that vasopressin (also known as antidiuretic hormone) contributes to the sensation of nausea in chemotherapy patients. Studies have demonstrated that vasopressin is significantly increased in patients experiencing CINV(Fisher et al., 1982; Rudd, 2005) and that the administration of supraphysiological doses of endogenous vasopressin is sufficient to induce nausea in healthy human participants.(Caras et al., 1997) However, other studies do not support this hypothesis. For example, when vasopressin was administered at physiological doses, nausea was not experienced.(Kim et al., 1997) This has lead researchers to suggest that vasopressin may play a modulatory role in the generation of CINV instead.(Rudd, 2005)

## **Proposed mechanisms of action**

**Interaction with neurotransmitters and vagal afferent signalling**

Results from *in vitro* and animal studies demonstrate that ginger is likely to exert 5-HT<sub>3</sub> antagonistic effects. Yamahara et al.(Yamahara et al., 1989) were the first to demonstrate that whole ginger, as well as 6-, 8- and 10-gingerols, could inhibit 5-HT<sub>3</sub>-induced contractions in an isolated guinea pig ileum. Huang et al.(Huang et al., 1991) demonstrated inhibition of 5-HT<sub>3</sub>-induced contractions using the ginger compound, galanolactone. However, these two studies have significant limitations.(Abdel-Aziz et al., 2006) Both studies used serotonin to induce contractions, not an agonist that is selective for 5-HT<sub>3</sub> receptors. This allows for the possibility that ginger inhibited the action of serotonin on another receptor, making the exact mechanism of action unclear.(Abdel-Aziz et al., 2006)

Additionally, Huang et al.(Huang et al., 1991) studied galanolactone, a compound only found in Japanese ginger and which therefore cannot be extrapolated to other types of ginger.(Abdel-Aziz et al., 2006; Ravindran and Babu, 2004)

To address these limitations, Abdel-Aziz et al.(Abdel-Aziz et al., 2006) investigated the effect of four major compounds found in ginger, namely 6-, 8- and 10-gingerol and 6-shogaol, on 5-HT<sub>3</sub>-mediated contractions in an isolated rat ileum using a selective 5-HT<sub>3</sub> agonist. The results indicated that these compounds significantly inhibited contractions induced by this agonist; however, all four compounds failed to displace the 5-HT<sub>3</sub> receptor antagonist, [<sup>3</sup>H]GR65630, from binding to the 5-HT<sub>3</sub> receptor. It was therefore concluded that the mechanism of action of ginger, at least in relation to 5-HT<sub>3</sub> pathways, is most likely due to indirect modulation of 5-HT<sub>3</sub> signalling through the binding of an alternative, unidentified site.(Abdel-Aziz et al., 2006)

Additionally, the authors reported that these compounds weakly inhibited acetyl-choline and substance *P*-induced contractions, suggesting additional mechanisms for the anti-CINV effects of ginger.

## **Modulation of gastrointestinal motility and gastric emptying**

Metoclopramide has been used for decades as an anti-emetic in chemotherapy, partly due to its prokinetic effect on the gastrointestinal system.(Schapira et al., 1990) Research, particularly from *in vitro* studies, suggest that ginger is also likely to affect gastrointestinal motility and gastric emptying.(Hashimoto et al., 2002; Hu et al., 2011; Wu et al., 2008) While gastrointestinal dysmotility may not play a direct role in the generation of CINV, it may play a secondary role by contributing to other gastrointestinal symptoms such as bloating, early satiety, and abdominal pain.

Multiple animal and *in vitro* studies indicate that whole ginger as well as specific compounds within ginger affect gastric emptying rates and gastrointestinal contractions. For example, Hashimoto et al.(Hashimoto et al., 2002) demonstrated that 6-shogaol improved muscle contractions and charcoal-induced transit time in porcine small intestines. Similarly, acetone ginger extract as well as the ginger components, 6-shogaol, 6-, 8-, and 10-gingerol, all enhanced the transport of a charcoal meal in mice.(Yamahara et al., 1990) Furthermore, both an ethanolic and acetone extract of ginger as well as ginger juice all reversed cisplatin-induced delayed gastric emptying in rats.(Sharma and Gupta, 1998) In contrast, the ginger compounds zingerone and zingerol as well as whole ginger were reported to inhibit colonic motility in rats.(Iwami et al., 2011a; Iwami et al., 2011b) These diverse results indicate that ginger's effects could be a

result of the particular concentration of different bioactive compounds, or the synergy between them.

The effect of ginger on gastrointestinal motility in human participants has been investigated in multiple studies; however, the degree to which the results of these studies can be extrapolated to the CINV setting is limited as no study has been conducted with patients undergoing chemotherapy to date. This is likely due to CINV-related anti-emetic research focusing on other pathways (i.e 5-HT<sub>3</sub>-mediated CINV) and the burden that such a study may place on patients undergoing chemotherapy; however, relief from symptoms related to gastrointestinal dysmotility could prove to be effective as a secondary measure of CINV management and therefore, future research in the CINV setting is recommended.

To date, six studies have examined the effect of ginger on gastrointestinal motility in varied patient populations, including healthy participants and participants with dyspepsia or admitted to an intensive care unit.(Hu et al., 2011; Micklefield et al., 1999; Phillips et al., 1993; Shariatpanahi et al., 2010; Stewart et al., 1991; Wu et al., 2008) However, the significant differences in methodology employed in these studies makes comparison difficult. Differences included the dosage of ginger, the composition of the test meal used, and the instrument used to measure gastric emptying and motility. Scintigraphy is the recommended method to evaluate gastric emptying.(Abell et al., 2008) However, due to the use of radioactive materials in this technique and the risk attendant on this, alternative methods are preferred.(Wu et al., 2008) While the use of alternative methods might reduce the equipment costs and expertise required, they are not as sensitive and could introduce confounders. For example, in one study of

intensive care patients, when gastric emptying was measured by the amount of feeding tolerated over a 48 hour period by participants, ginger improved gastric motility.(Shariatpanahi et al., 2010) However, in an another study that evaluated gastric emptying by a similarly indirect method (the measurement of paracetamol absorption), Phillips et al.(Phillips et al., 1993) found 1g of ginger had no effect on gastric emptying. The indirect measures used in these two studies provided a lower level of precision. The results could also be influenced by other factors, such as the nutrient density of the test meal, its fluid and macronutrient content and its total volume. All of these factors can influence the rate of gastric emptying; hence, a nutrient-dense test meal is critical when measuring rates of gastric emptying.(Wu et al., 2008) Because no test meal was used in this study, significant delays in gastric emptying would not be expected. A similarly non-nutrient-dense test meal was used in a study of the effect of 500mg of ginger on gastric emptying rates.(Stewart et al., 1991) The failure of this study to demonstrate efficacy in relation to ginger could be a result of the 75kcal solution used as the test meal, which may have been insufficient to induce an effect.(Stewart et al., 1991)

Wu et al.(Wu et al., 2008) and Hu et al.(Hu et al., 2011) addressed many of these limitations by using a dose of 1.2g of ginger and a test meal with a relatively high caloric content (118kcal in both studies). The two studies found that ginger was effective at reducing gastric emptying rates in both healthy and dyspeptic participants. A smaller dose of ginger (200mg) has also demonstrated effectiveness in increasing gastrointestinal motility in healthy volunteers.(Micklefield et al., 1999)

In summary, animal studies as well as most human studies conducted to date (66%) suggest ginger modulates the rate of gastric emptying and gastrointestinal motility. However, no studies so far have investigated the effect in participants undergoing chemotherapy and therefore, the applicability of these results to the chemotherapy setting is currently unclear.

## **Anti-oxidant properties**

Oxidative stress, defined as an over production of reactive oxygen species, has been reported to be linked to the etiology of the emetic reflex. One of the initial steps in the generation of CINV is believed to be the generation of free radicals by chemotherapy agents within the gastrointestinal tract which in turn leads to the release of neurotransmitters from enterochromaffin cells.(Torii et al., 1994b) This notion has led to investigations of the antioxidant activity of ginger. *In vitro* experiments have demonstrated the antioxidant kinetic behaviour of isolated compounds extracted from the dried rhizomes of ginger, subjected to a 1,1-diphenyl-2-picrylhydrazyl radical scavenging reaction.(Masuda et al., 2004)

However, there are no clear human clinical trials or animal experiments that demonstrate that ginger extracts might modulate CINV via an antioxidant effect. Given that the oxidative stress/antioxidant theory of cellular metabolism has been challenged,(Linnane et al., 2007) an alternative plausible biochemical explanation for ginger's effect on CINV is the rebalancing of the disrupted cellular oxido-reductase mechanism that often accompanies chemotherapy treatments.(Linnane et al., 2007)

## **Anti-inflammatory properties**

During chemotherapy, cell injury caused within the gastrointestinal tract (GIT) results in the release of multiple inflammatory factors including cyclooxygenase-2 (COX-2), interleukin-6 (IL-6), and nuclear factor kappa-B (NF-kB). The end result of this pathway is continued tissue damage and potentially mucositis along the length of the GIT.(Sultani et al., 2012) It has been suggested that inflammation and cell injury may be particularly involved in the delayed phase of CINV.(Hesketh, 2005)

*In vitro* research has found that multiple ginger compounds are able to elicit an anti-inflammatory effect through a number of pathways including the inhibition of NF-kB, COX enzymes, and 5-lipoxygenase.(van Breemen et al., 2011) Ginger compounds have also demonstrated an anti-inflammatory effect in murine and rat models, with these effects replicated in human clinical trials.(Ojewole, 2006; Zick et al., 2011) For example, 28 days of ginger supplementation (2g) in humans modulated eicosanoid synthesis in the colonic mucosa by lowering prostaglandin-2 levels in healthy participants(Zick et al., 2011) and COX-1 in participants who were at risk of colon cancer.(Jiang et al., 2012) Additionally, a review that included 8 clinical trials in this field concluded that while there is a paucity of well-designed trials, there is tentative evidence that ginger possesses anti-inflammatory properties in the treatment of pain related to osteoarthritis, dysmenorrhea, and exercise.(Terry et al., 2011)

In summary, while these studies did not directly measure the effect of ginger on inflammation during chemotherapy, the current literature indicates that ginger is likely to modulate inflammation in the gut and this could contribute to ginger's anti-CINV effects.

## **Vestibular interactions**

Acetylcholine and histamine are two neurotransmitters involved in the development of motion sickness. *In vitro* studies demonstrate that ginger compounds have antagonistic properties to both muscarinic and histaminergic receptors and therefore, represent a potential pathway by which ginger may interact with the vestibular system.(Abdel-Aziz et al., 2006) Clinical trials have largely confirmed this effect in clinical or experimentally-induced motion sickness. Eight trials were identified in our review, of which five reported ginger to be either superior to placebo or equal to standard anti-motion sickness medications. (Grontved et al., 1988; Grontved and Hentzer, 1986; Holtmann et al., 1989; Lien et al., 2003; Mowrey and Clayson, 1982; Schmid et al., 1994; Stewart et al., 1991; Wood et al., 1988) Therefore, it is likely that ginger is able to interact with signalling involved in the vestibular system and could potentially modulate CINV symptoms.

## **Modulation of vasopressin**

Ginger is known to reduce plasma vasopressin in adults exposed to experimentally-induced motion sickness; however, when endogenous vasopressin was injected, ginger was ineffective in preventing nausea.(Lien et al., 2003) This suggests that ginger exerts an indirect action on vasopressin release. However, to date there is only one study measuring ginger's effect on vasopressin. Future studies are required to confirm these effects in the chemotherapy setting. Furthermore, the exact role of vasopressin in CINV needs to be elucidated before this can be considered a clinically-relevant mechanism.

## **Conclusion**



CINV is a significant burden experienced by many oncology patients. While the control of overt vomiting has advanced, it is still prevalent and nausea remains stubbornly problematic for numerous chemotherapy patients. Ginger contains a wide array of bioactive compounds that can potentially act on multiple pathways involved in the physiology of CINV (Figure 1). These pathways include the modulation of relevant neuropeptides, vasopressin release and gastrointestinal motility as well as redox and anti-inflammatory signalling. The clinical evidence for its use in the treatment in CINV is currently equivocal;(Marx et al., 2013) however, the data presented in this paper demonstrate an array of viable mechanisms of action and provide a sound foundation for continued research in this area. Of primary importance is the need for future trials to investigate these beneficial properties in the chemotherapy setting.

## **Conflict of interest**

Luis Vitetta has received National Institute of Complementary Medicine and National Health and Medical Research Council of Australia competitive funding and Industry support for research into nutraceuticals. No other author has any competing interests to disclose.

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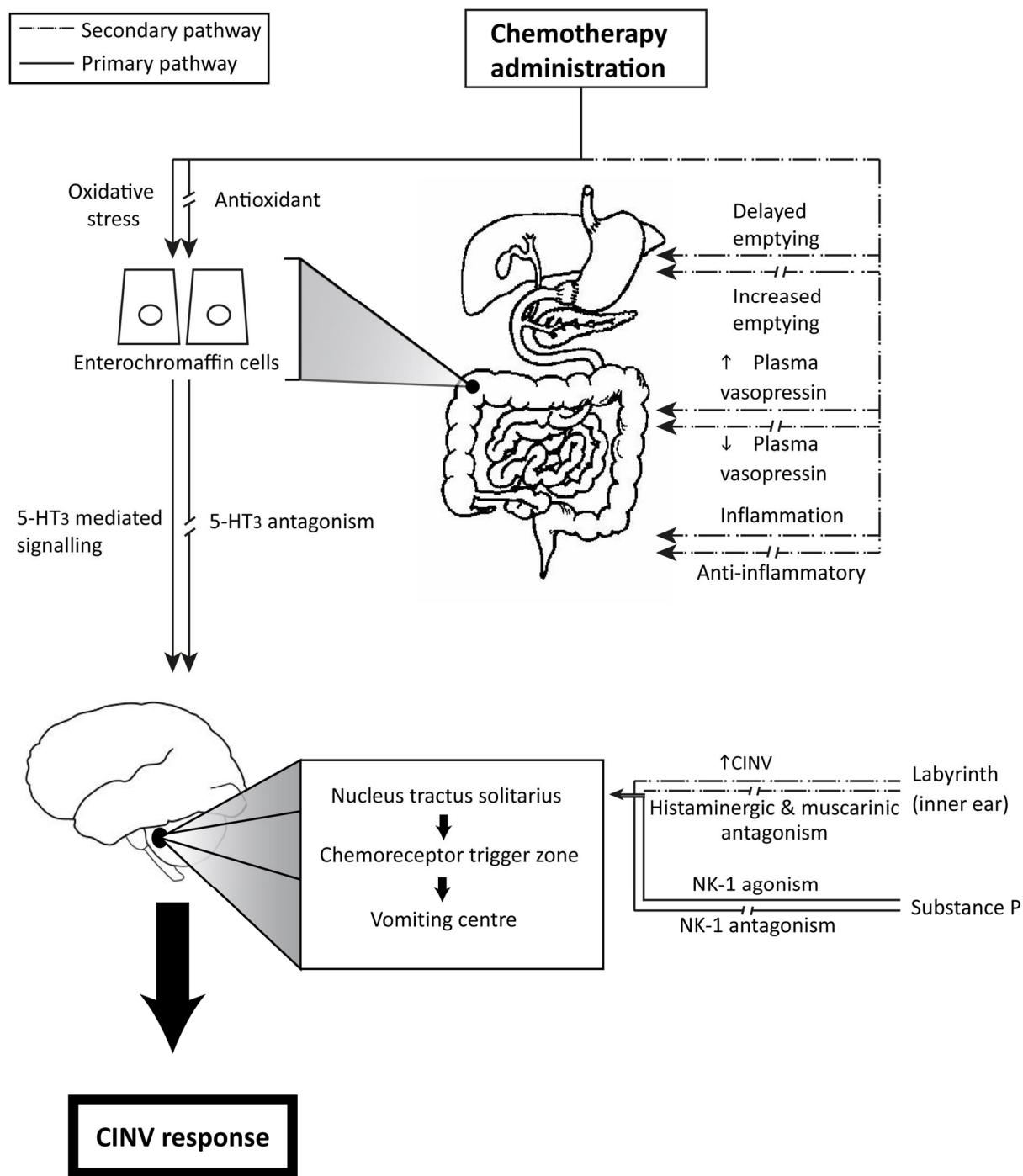


Figure 1. Proposed anti-CINV mechanisms of action of ginger.