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1	A review of the welfare of dairy cows in continuously housed and pasture-
2	based production systems.
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11	Short title: Welfare in housed versus pasture-based systems.
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14	Abstract
15	There is increasing interest in the use of continuous housing systems for dairy cows,
16	with various reasons put forward to advocate such systems. However, the welfare of
17	dairy cows is typically perceived to be better within pasture-based systems, although
18	such judgements are often not scientifically based. The aim of this review was to
19	interrogate the existing scientific literature to compare the welfare, including health,
20	of dairy cows in continuously housed and pasture-based systems. While
21	summarising existing work, knowledge gaps and directions for future research are
22	also identified. The scope of the review is broad, examining relevant topics under
23	three main headings; health, behaviour, and physiology. Regarding health, cows on
24	pasture-based systems had lower levels of lameness, hoof pathologies, hock
25	lesions, mastitis, uterine disease, and mortality compared to cows on continuously

housed systems. Pasture access also had benefits for dairy cow behaviour, in terms 26 of grazing, improved lying / resting times, and lower levels of aggression. Moreover, 27 when given the choice between pasture and indoor housing, cows showed an overall 28 29 preference for pasture, particularly at night. However, the review highlighted the need for a deeper understanding of cow preference and behaviour. Potential areas 30 for concern within pasture-based systems included physiological indicators of more 31 32 severe negative energy balance, and in some situations, the potential for compromised welfare with exposure to unpredictable weather conditions. In 33 34 summary, the results from this review highlight that there remain considerable animal welfare benefits from incorporating pasture access into dairy production systems. 35 36 Keywords: cattle, continuous housing, dairy, health, pasture, welfare 37 38 39 Implications 40

This review highlighted important health benefits of pasture-based over continuously 41 housed systems including; less lameness, hock lesions, mastitis and uterine 42 disease. Furthermore, pasture access resulted in improved behaviour, with cows 43 also showing an overall preference for pasture if given the choice between it and 44 indoor housing. There are considerable welfare benefits from incorporating pasture 45 access into dairy production systems, challenging the increasing use of continuously 46 housed systems. Given that the latter are now widely used, future research should 47 also be directed at finding ways to incorporate the welfare benefits of pasture-based 48 systems within the housed environment. 49

50

51 Introduction

Globally, there is increasing interest in the use of continuous housing systems for 52 dairy cows. For example, in North America most dairy operations (63.9%) comprise 53 housed systems, with these encompassing 82.2% of dairy cows (NAHMS, 2010). 54 The use of these systems is also increasing in Europe. For example, the percentage 55 of Danish dairy cattle that are continuously housed increased from 16 to 70% 56 between 2001 and the present, and in the Netherlands, this figure increased from 57 less than 10 to almost 30% since 1992 (Reijs et al., 2013). Similarly in Great Britain, 58 59 recent survey work showed that only 31% of farms maintained traditional grazing systems with no forage feeding indoors during the summer. In addition, on 8% of 60 farms milking cows were housed all year, while high yielding or early lactation cows 61 62 were continually housed on a further 8% of farms (March et al., 2014). These changes are occurring within the context of a growing human population, predicted 63 to reach 9.5 billion by 2050, and expanding markets for dairy products (FAO, 2006). 64 Housed dairy systems have been advocated as a means of intensification to meet 65 the growing demand for dairy products, although they can also be criticised in this 66 regard due to their reliance on crops that could be used for direct human 67 consumption. Other reasons for the development and uptake of continuous housing 68 include; the ability to manage and provide a consistent feed ration to high-yielding 69 70 cows, increases in herd size, limited land availability for pasture-based production, the uptake of robotic milking, and climatic factors including adverse and 71 unpredictable weather events. However, the welfare of dairy cows is typically 72 perceived to be better within pasture-based systems. For example, a British study 73 (Ellis et al., 2009) found that 95% of consumers questioned did not think it 74 acceptable to keep cows permanently housed indoors. Similarly, pasture access was 75

viewed as important for welfare in a recent North American survey amongst both
those affiliated and unaffiliated with the dairy industry (Schuppli *et al.*, 2014).

The findings of these surveys highlight an apparent conflict between 78 79 consumer attitudes and predominant industry reality. In addition, within the context of increasing global milk price volatility, many dairy farmers are considering their 80 production system options. The purpose of this review is to interrogate relevant 81 82 scientific literature to compare the welfare, including health, of dairy cows in continuously housed and pasture-based systems. In this review continuous housing 83 84 refers to systems typically characterised by all year round housing, non-seasonal calving, total mixed ration (TMR) feeding, and high milk yield per cow. Various 85 synonyms are used in the literature including; confinement, zero-grazing, and high-86 input/high-output. Comparisons are made to pasture-based systems that are 87 characterised by access to pasture grazing for the provision of forage, typically for at 88 least 6 months of the year, with housing over the winter, and a seasonal calving 89 pattern. In comparing these two production systems, it should be remembered that 90 they differ in two main ways; nutrition and housing. 91

92 While summarising existing work, the review also seeks to identify knowledge 93 gaps and provide direction for future research. The review is structured under the 94 following welfare relevant categories; health, behaviour, and physiology.

95

96 Health

97

98 Lameness

Lameness is a major health and welfare problem, the impacts of which have
 recently been reviewed (Huxley, 2013) and include; a reduction in the time spent

feeding and in milk yield, associations with low body condition scores, substantial
negative effects on reproductive parameters and fertility performance, and increased
culling. Lameness has a multifactorial and complex aetiology, resulting from
interactions between the farm environment, management, nutrition and animal
characteristics. However, a potentially important factor influencing lameness is
whether or not cows can access pasture within a production system.

The majority of papers identified comprised observational, epidemiological 107 studies that detailed various risk factors for lameness/poor hoof health on farms (ten 108 109 studies). Only four controlled experiments that compared housed and pasture-based systems were identified. Two of the controlled studies (Hernandez-Mendo et al., 110 2007; Olmos et al., 2009a) showed an improvement in locomotion and a reduction in 111 clinical lameness when cows had access to pasture, while the other two studies 112 (Baird et al., 2009; Chapinal et al., 2010) showed no significant effect of pasture 113 access on locomotion. In the studies where a positive effect of pasture access was 114 observed, this effect occurred quite quickly. For example, the study by Olmos et al. 115 (2009a) involved keeping Holstein-Friesian cows at pasture or in cubicle housing for 116 a full lactation. They found a divergence in locomotion immediately after calving, with 117 housed cows showing a deterioration and pasture cows an improvement. In general, 118 housed cows were more likely to present as being clinically lame (61 vs 17%) 119 120 prevalence), and this effect was significant from day 180 post calving onwards (odds ratio, **OR**=2.2). In addition, Hernandez-Mendo *et al.* (2007) compared housed with 121 pasture systems for lactating Holstein dairy cows over just a 4 week period, and 122 found a significant increase in clinical lameness in the housed treatment by the end 123 of the study. 124

Of the on-farm epidemiological studies identified in this review that included a 125 measure of locomotion (e.g. Haskell et al., 2006 (UK); Barker et al., 2010 (UK); 126 Chapinal et al., 2013 (USA); de Vries et al., 2015 (The Netherlands)), all suggested 127 that reduced access to pasture was a risk factor for lameness. For example, Haskell 128 et al. (2006) found that farms that adopted continuous housing had a higher 129 prevalence of lameness than farms that allowed grazing (39 vs 15% lameness 130 131 prevalence). Interestingly, these findings (and those of de Vries *et al.*, 2015) occurred despite the fact that observations took place during the winter months when 132 133 all cows were housed. This highlights the apparent longer term benefits of grazing in terms of reduced lameness following re-housing. 134

Controlled and on-farm epidemiological studies both indicate increased 135 prevalence of a range of hoof pathologies (of both infectious and non-infectious 136 aetiology) within more confined dairy systems (in addition to those discussed below, 137 see Rodriguez-Lainz et al. (1999) and Somers et al. (2005)), and this may contribute 138 to poorer locomotion. For example, in the controlled study referred to above, Olmos 139 et al. (2009a) found increased sole and white line haemorrhages, white line disease, 140 heel horn erosion, and digital dermatitis in the housed treatment from 85 days post-141 calving onwards. Furthermore, housed cows were more likely to present with 142 traumatic and other disorders (e.g. white line abscess, under-run sole, sole ulcer, 143 144 inter-digital growths). This effect was significant across all inspections during lactation (OR = 2.0), and increased dramatically with time (at 210 days after calving 145 OR = 22.8). In addition, Somers et al. (2003) found that during the pasture period, 146 continuously housed cows had a significantly higher prevalence of interdigital 147 dermatitis/heel erosion (40.3 vs 20.7%, OR = 2.59), digital dermatitis (49.0 vs 29.7%, 148 OR = 2.28), sole haemorrhages (63.2 vs 45.1%, OR 2.10), sole ulcers (7.4 vs 3.3%, 149

OR 2.34) and interdigital hyperplasia (11.1 vs 5.1%, OR = 2.33). Furthermore, Swiss dairy herds with access to outdoor grazing during the summer period had a reduction in white line fissures (64 vs 84%, OR = 0.3), a lower prevalence of digital dermatitis on farms with slatted floors (22 vs 1%), and a reduction in the odds of finding any subclinical claw lesions at the end of the summer period (OR = 0.72) compared to those that were continuously housed (Haufe *et al.*, 2012).

As stated previously, in many studies management (particularly in terms of 156 diet) also differs between systems with varying degrees of confinement, and this may 157 158 independently affect levels of hoof pathologies. However, benefits of access to pasture have also been speculated to derive from providing a comfortable, soft and 159 hygienic standing and walking surface (Onviro and Brotherstone, 2008; Olmos et al., 160 161 2009b; Chapinal et al., 2013), promoting exercise (Loberg et al., 2004; Chapinal et al., 2013), reducing restlessness and increasing lying times (Olmos et al., 2009a). As 162 with findings presented above on clinical lameness (Haskell et al., 2006; De Vries et 163 al., 2015), the beneficial effects of pasture access on claw health appear to persist 164 into the housing period. For example, using the study population detailed by Somers 165 et al. (2003), Somers et al. (2005) indicated a negative effect of days housed at the 166 end of the pasture season on digital dermatitis risk, with a lower risk for 0-25 days 167 housed compared to >75 days (24.0 vs 33.3%, OR = 1.95). These authors also 168 169 noted that restricted pasture access increased the risk of digital dermatitis being detected during the subsequent housing period (26.4 vs 32.3%, OR = 1.71). 170

171 It is worth noting that two studies highlighted in this review found an adverse 172 effect of access to pasture on hoof health. Baird *et al.* (2009) found that cows 173 managed on pasture had poorer claw health than cows kept indoors, while Barker *et* 174 *al.* (2009) found an increased risk of white line disease when cows were at pasture

by day and housed at night, compared with being housed 24 h per day (OR = 1.93).
A potential explanation for these results is the quality of cow tracks and lanes used
by cows to access pasture (Burow *et al.*, 2014), the herding management of animals
at pasture, and the distance walked between pasture and the milking parlour (Laven
and Lawrence, 2006). Future studies should seek to quantify the effects of these
factors on lameness.

181

182 Hock lesions

183 Skin lesions, such as hock and knee lesions, are increasingly being used as an animal-based welfare indicator and incorporated into indices that seek to objectively 184 assess dairy cow welfare (e.g. Burow et al., 2013a), with fewer lesions being 185 associated with better welfare. There is a high prevalence of 'hock lesions' in dairy 186 cows (see Kester et al., 2014 for a recent review), with the term describing multiple 187 clinical presentations of hock damage, ranging from mild hair loss to ulceration and 188 swelling, which can progress to more serious conditions. In addition, there is a 189 positive association between hock lesions and lameness (Kester et al., 2014), 190 although the causal relationship is not yet known. Importantly, a number of studies 191 have found benefits of pasture access for reducing hock lesions (Rutherford et al., 192 2008; Potterton et al., 2011; Burow et al., 2013b). This is easy to understand, given 193 194 hock lesions arise from cows lying on abrasive surfaces, or colliding with cubicle fittings (Kester et al., 2014). 195

196

197 Mastitis

While few studies have compared the prevalence of mastitis within continuouslyhoused and pasture systems, those comparisons which do exist provide evidence of

200 increased mastitis within the former. The most comprehensive research on this topic was a multiple-year experimental study conducted at North Carolina State University 201 between 1995 and 1998 (White et al., 2002; Washburn et al., 2002). This revealed 202 that confined Holstein cows had an increased prevalence of mastitis (cows infected: 203 51 vs. 31%), a greater number of cases of mastitis per cow (1.1 vs. 0.6), and an 204 increased risk of being culled due to mastitis (9.7 vs. 1.6%), compared to the 205 pasture-based cows. A number of epidemiological studies have also implicated a 206 lack of pasture access with an increased risk of compromised udder health. For 207 208 example, Barkema et al. (1999) found that in Dutch dairy herds, not having access to pasture at night was associated with an increased risk of clinical mastitis, and 209 more specifically, an increase in mastitis caused by Escherichia coli (OR = 1.3). 210 211 Moreover, in a survey of Vermont dairy farms, Goldberg et al. (1992) found fewer occurrences of udder health problems (clinical mastitis, udder oedema, and teat 212 injuries) in grazing compared to housed herds, with Swedish studies reporting similar 213 findings (Bendixen et al., 1986; Bendixen et al., 1988). 214

It has been suggested that the lower levels of mastitis in pastured cows is 215 because they are exposed to fewer environmental pathogens compared with 216 confined cows. Consistent with this suggestion, an increased risk of high somatic cell 217 count and intramammary infections has been associated with cows having dirty 218 219 udders and legs (Schreiner and Ruegg, 2003; Ellis et al., 2007; Breen et al., 2009). Moreover, in a longitudinal study of UK dairy farms, Ellis et al. (2007) found that 220 cows were dirtier during housing than at pasture, while Nielsen et al. (2011) 221 observed an increased risk of cows being dirtier in Danish herds with no pasture 222 access (OR = 3.75). While noting these general trends, it is of course also the case 223 that cow cleanliness can be poor within pasture-based systems, being influenced by 224

climatic factors and track conditions to and from pasture. Equally, cow cleanlinesscan be good within well managed continuously housed systems.

Further experimental evidence supporting reduced udder health in housed 227 systems is available from production studies that have recorded somatic cell counts 228 (SCC). For example, in a 37 week experiment, Fontaneli et al. (2005) observed 229 continuously housed Holstein cows to have a higher mean SCC than those in two 230 pasture-based systems (654,000 vs. 223,000 and 364,000 SCC/ml milk). Similarly, 231 in a full lactation study, Vance et al. (2012) reported a trend for a greater SCC in 232 233 cows in a high-input continuously housed system compared to those in a mediuminput pasture system. However, it is worth noting that a number of studies failed to 234 find a significant difference in SCC between housed and pasture systems (Kolver 235 236 and Muller, 1998; White et al., 2001; Bargo et al., 2002; AbuGhazaleh et al., 2007).

Contrary to the general beneficial effects of pasture access, the risk of socalled 'summer mastitis' is likely to be a greater problem within pasture-based
systems. Summer mastitis is a severe acute clinical mastitis that occurs in nonlactating cattle at pasture during the summer. It has a complex aetiology, involving
environmental pathogens (e.g. *Trueperella pyogenes* and *Streptococcus dysgalactiae*) and transmission by the head fly, *Hydrotaea irritans* (Chirico *et al.*,
1997), with control measures including reducing exposure to flies.

244

245 Uterine disease

As part of the lameness study outlined previously (Olmos *et al.*, 2009a), Olmos *et al.* (2009b) found evidence of increased dystocia, metritis (see also Bruun *et al.*, 2002) and endometritis in continuously housed cows. It was suggested that since bacterial counts will be higher indoors (Sheldon *et al.*, 2006), this increases the level of

contamination of the uterine lumen post-partum, and thus the risk of metritis.

Moreover, Olmos *et al.* (2009b) observed a trend for lower plasma calcium levels at calving and post-partum, which, given its role in uterine smooth-muscle contractility, led the authors to speculate that this may have also contributed to the observed findings of increased dystocia and metritis in the housed cows. However, the extent to which this is the case remains to be investigated.

256

257 Other infectious disease

Studies directly comparing the incidence of infectious disease in continuously
housed and pasture-based systems, are generally lacking, although Veling *et al.*(2002) found that unrestricted grazing during summer (pastured day and night;
indoors only at milking time) had a protective effect (OR = 0.07) against
salmonellosis.

A particular risk factor for infectious disease in pasture-based systems is that 263 posed by contacting neighbouring cattle (Mee et al., 2012). Cattle are gregarious 264 animals and many farm boundaries have developed without biosecurity in mind. For 265 example, Brennan et al. (2008) found that in more than half of UK farms surveyed, 266 nose-to-nose contact was possible between cattle on adjacent farms. Such contact 267 offers important transmission routes for infections including; infectious bovine 268 rhinotracheitis, bovine viral diarrhoea, and bovine tuberculosis (**bTB**). Appropriate 269 biosecurity measures to combat this risk are aimed at preventing the opportunity for 270 direct contact and straying, and include attention to fencing and hedgerow 271 272 maintenance (Mee et al., 2012).

273 Other domestic animals and wildlife offer important infection reservoirs for 274 cattle in both housed and pasture-based systems. For example, the role of the

275 Eurasian badger (Meles meles) in the maintenance and spread of bTB is a matter of considerable scientific, political and public debate in the UK (e.g. Godrav et al., 276 2013). Recent evidence using proximity collars indicated that direct contact between 277 badgers and cattle at pasture did not occur (O'Mahony, 2014), yet indirect 278 transmission associated with contaminated pasture, setts, latrines and water troughs 279 present potential sources of infection (Ward et al., 2010). Farmyards and buildings 280 also represent an important potential source of bTB transmission, since badger visits 281 can be frequent (Tolhurst et al., 2009; Ward et al., 2010; Judge et al., 2011), 282 283 providing opportunities for direct and indirect contact between badgers and cattle. Relatively simple biosecurity measures can be implemented to exclude badgers from 284 buildings (Ward et al., 2010; Judge et al., 2011), although the cost-effectiveness and 285 efficacy of such measures remains to be further investigated. 286

The close contact between cows in continuous housing systems offers an infection risk, with recent modelling studies highlighting an important role of housing in facilitating disease spread (Moustakas and Evans, 2015). However, there is a need for more studies to examine how the type of production system interacts with disease transmission, including for bTB where the relative importance of transmission routes are still being debated (e.g. Godfray *et al.*, 2013; Brooks-Pollock *et al.*, 2014).

294

295 Endoparasites

In contrast to the benefits of pasture access for health, a number of epidemiological
studies demonstrate that grazing is, unsurprisingly, a risk factor for exposure to
gastrointestinal (GI) parasites. While dairy cattle develop a degree of immunity to GI
parasites following exposure at pasture during early life, this is not complete. Adult

300 dairy cows still harbour GI nematodes, generally in low numbers, with Ostertagia ostertagi being the most prevalent (Agneessens et al., 2000; Borgsteede et al., 301 2000). Detrimental impacts are illustrated by studies demonstrating a positive 302 303 response in adult dairy cows to anthelmintic treatment in terms of milk yield, increased appetite, improved liveweight, condition score and reproductive 304 performance (Sanchez et al., 2002, 2004; Forbes et al., 2004; Gibb et al., 2005). 305 Levels of O. ostertagi exposure were lower in continuously housed herds compared 306 to where cows had access to pasture, and also positively associated with; earlier 307 308 turnout, later housing, and longer grazing times per day (Charlier et al., 2005; Forbes et al., 2008; Bennema et al., 2010; Vanderstichel et al., 2012). Similarly, liver fluke, 309 Fasciola hepatica exposure has been associated with an increased proportion of 310 grazed grass in the diet, a longer grazing season, and no pasture mowing (Bennema 311 et al., 2011). These studies highlight the necessity for adequate anthelmintic parasite 312 control regimens within pasture-based production systems. 313

314

315 *Mortality*

In terms of "iceberg indicators", mortality (death and euthanasia) can be viewed as 316 the top of the iceberg, with high herd mortality levels indicating suboptimal health 317 and welfare conditions (Thomsen and Houe, 2006). Additionally, death may have 318 319 been preceded by a period of suffering and is therefore a potential welfare concern. Thomsen et al. (2006) found that mortality risk during the first 100 days of lactation in 320 Danish dairy herds was reduced when the cows were on pasture during the summer 321 (OR 0.78) compared to being continuously housed, consistent with the results of 322 others (e.g. Burow et al., 2011; Alvasen et al., 2012). More recently, Alvasen et al. 323

324 (2014) reported that Swedish dairy herds were more likely to be in a high mortality 325 group if cows were not on pasture during the summer season (OR = 3.6).

Some evidence of possible reasons for higher mortality levels in continuously 326 327 housed herds was provided by Danish studies (Thomsen et al., 2007a, b) examining so-called "loser cows". A "loser cow score" was generated for each individual based 328 on a clinical evaluation of body condition, lameness, hock lesions, other cutaneous 329 lesions, vaginal discharge, condition of hair coat and general condition (Thomsen et 330 al., 2007a), providing a composite measure of health. Loser cows had an increased 331 332 risk of death and culling, and a decrease in milk production, while morbidity was generally twice as high as among non-loser cows (Thomsen et al., 2007a). Cows 333 were almost twice as likely to become a loser cow if they were in a herd with no 334 335 grazing (Thomsen et al., 2007b). Given the loser cow score comprised health measures shown previously in this review to differ between the two systems, the 336 increased risk of becoming a loser cow in a continuously housed herd is 337 unsurprising. 338

339

340 Behaviour

Pasture-based systems are perceived to offer greater behavioural freedom than 341 continuously housed systems, and as such, interpreted as offering enhanced 342 welfare. The impact of production systems on behaviour is an important component 343 of welfare assessment, comprising one of the "five freedoms", namely "freedom to 344 express normal behaviour". This leads to the question of what constitutes "normal" 345 behaviour? While comparisons can be drawn to the wild ancestors of some farmed 346 species to determine "normal" behaviour, this is not the case for cattle. However, a 347 number of studies have examined the behaviour of free-living domesticated cattle at 348

pasture with little human interference (some populations termed feral cattle). In 349 pursuing "normal" cattle behaviour, Kilgour (2012) identified and reviewed 22 such 350 studies. From this review it was evident that cattle have an extensive behavioural 351 repertoire, comprising 40 identifiable categories. Grazing was the most common 352 behaviour, followed by ruminating and resting, with these three categories 353 accounting for 90-95% of an animal's day. The data revealed most grazing is 354 performed during the hours of daylight, with little grazing at night, and cattle instead 355 spending more time resting and ruminating at night. Moreover, there is a diurnal 356 357 rhythm of behaviour, characterised by peaks of grazing activity associated with sunrise and sunset. 358

Few studies have compared dairy cow behaviour in pasture versus continuously housed production systems. Furthermore, the majority of studies that have examined the issue were conducted a number of years ago, with cow genotypes and production environments having changed since then. Nonetheless, below we examine the available literature, considered under three main behavioural themes of; feeding / grazing, lying / resting, and aggression.

365

366 Feeding / grazing

Roca-Fernandez *et al.* (2013) compared the behavioural activities of two dairy cow genotypes (Holstein-Friesian vs. Jersey x Holstein-Friesian) in a pasture-based and continuously housed production system (TMR and cubicles) using a 2 x 2 factorial design. Cow genotype had no effect on behaviour. However, cows in the pasture system spent 68% of their time grazing, while cows in the housed system spent 22% feeding. Moreover, in the pasture group there was synchronization of grazing behaviour, with main bouts occurring after each milking, and being more prolonged

in the evening than morning. In contrast, the feeding behaviour of the housed cows 374 was spread throughout the day, with approximately 30% of the animals feeding at 375 any one time. Regarding the feeding patterns observed it is interesting to note that 376 the pasture treatment closely resembles the description of "normal" provided by 377 Kilgour (2012). This is not the case for the housed cows and the implications for 378 welfare remain to be further investigated. For example, are there negative welfare 379 380 implications of altered time budgets in animals whose ancestors displayed particular patterns of grazing behaviour? 381

382

383 Lying / resting

The study by Olmos et al. (2009a), described previously under the lameness section, 384 also provided a comprehensive comparison of the lying behaviour of cows in the two 385 scenarios. Data-loggers were used to examine the lying behaviour of pasture-based 386 and cubicle-housed cows at days 33, 83 and 193 post-calving. This revealed 387 pasture-based cows had greater mean total lying times per 48 h period (42.7 vs. 388 37.7% of time spent lying) and longer lying bouts (50.3 vs. 39.3 minutes). This was 389 interpreted as a welfare benefit of pasture, as reduced lying times and restlessness 390 associated with housing are indicators of lack of comfort, udder problems, 391 overcrowding, as well as being a risk factor for hoof disorders, especially since 392 393 decreased lying equates to increased time spent standing (Olmos et al., 2009a). These results are consistent with previous reports of increased lying times at pasture 394 (O'Connell et al., 1989; Singh et al., 1993), although both these studies suffered from 395 confounding effects of season and differing stages of lactation and should be treated 396 with some caution. In addition, O'Connell et al. (1989) reported a loss of lying 397 synchrony indoors, with less than 45% of the cows observed lying at any one time, 398

compared with up to 90% of cows lying at any one time on pasture, during the period 399 from sunset to sunrise. A loss of synchrony indoors may be due to reduced space 400 allowance, increased disturbance and competition for lying places, and has been 401 402 suggested to represent an index of reduced welfare (Miller and Wood-Gush, 1991). In addition, lying deprivation has been shown to be physiologically stressful for 403 lactating cows in terms of elevated cortisol levels, and reduced adrenocorticotrophic 404 hormone (ACTH) and cortisol responses following corticotrophin releasing hormone 405 (CRH) challenge, suggesting a degree of pituitary down-regulation (Fisher et al., 406 407 2002).

408

409 Aggression

410 Only two studies (O'Connell et al., 1989; Miller and Wood-Gush, 1991) have compared the aggressive behaviour of cows in the two scenarios. O'Connell et al. 411 (1989) reported that agonistic interactions occurred at low levels at pasture, being 412 significantly greater when housed, where two peaks of agonistic activity coincided 413 with the delivery of fresh feed. Furthermore, while there was a significant correlation 414 between the dominance hierarchies in both environments, the outdoor ranking was a 415 rather poor predictor of indoor ranking. This suggests that the nature of agonistic 416 417 interactions and determinants of dominance differed between the two scenarios. 418 possibly the result of the indoor scenario involving contests for access to food, combined with reductions in space. Consistent with these findings, Miller and Wood-419 Gush (1991) reporting on a herd of Holstein-Friesian cows, also found higher levels 420 of aggression during the winter cubicle housing period compared with the summer 421 grazing period (this study also suffered from time and stage of lactation confounds). 422 Indeed the average number of agonistic interactions recorded during focal animal 423

observations was 1.1 per h at pasture and 9.5 per h while housed, with the majorityof indoor aggression occurring in the feeding area.

Given the welfare concerns of aggression, together with potential adverse 426 effects on low ranking individuals in terms of health, production and fertility, there is 427 clearly a need for more work in this area to better understand and quantify the 428 agonistic behaviour of dairy cows. In this endeavour it may be useful to apply 429 principles from behavioural ecology, an approach which has previously been 430 advocated in the study of animal welfare (e.g. Andersen et al., 2006). More 431 432 specifically, this approach seeks to better understand the strategies used by animals to resolve contests (see Arnott and Elwood, 2009 for review), as well as the impact 433 of the resource being contested (see Arnott and Elwood, 2008 for review). 434

435

436 Behavioural knowledge gaps

It is clear from the above summary that there is a lack of detailed up-to-date 437 research comparing the behaviour of cows in the two contrasting environments. In 438 addition, although challenging, behavioural research should also be aimed at 439 examining positive emotional states and how "happy" the cow is in her environment. 440 For example, the work on cognitive bias used to investigate emotions in other 441 species (e.g. Harding et al., 2004) could be a useful approach, as could quantifying 442 443 play behaviour, which can be used as a positive welfare indicator (Boissy et al., 2007). Furthermore, the welfare implications of the altered time budgets observed 444 indoors compared to more "normal" settings (Kilgour, 2012) remain to be further 445 investigated. Studies are also beginning to investigate the potential for environmental 446 enrichment to improve the housed environment (e.g. Haskell et al., 2013). 447

Technological improvements and increased availability of remote behavioural
recording devices should assist researchers in these endeavours.

450

451 Cow Preference

An alternative approach to examining whether welfare of dairy cows is better indoors 452 or at pasture is to ask the cow what she prefers? This can be achieved by 453 conducting preference tests, whereby the animal is given a choice, in this instance 454 between pasture and cubicle housing. Preference tests have been successfully used 455 456 in a variety of contexts, providing important insights to assess and improve animal welfare (e.g. Dawkins, 1990; Kirkden and Pajor, 2006). Six studies were identified 457 (Legrand et al., 2009; Charlton et al., 2011a, b, 2013; Falk et al., 2012; Motupalli et 458 459 al., 2014) that used this approach to examine if cows had a preference for pasture over indoor housing (Figure 1). With the exception of Charlton et al. (2011a), the 460 results from these studies were broadly consistent. 461

Researchers at the University of British Columbia's dairy research centre 462 (Legrand et al., 2009) offered late lactation Holstein cows the choice between free 463 access to pasture and to cubicle housing, with TMR offered indoors. Cows spent on 464 average 54% of their time on pasture. However, pasture use varied considerably 465 with time of day. Cows preferred to be indoors during the day (outside less than one-466 467 third of the time between morning and evening milkings), using this time for feeding, with feeder use peaking following milkings. In contrast, cows showed an almost 468 exclusive preference for pasture at night, and spent more of their lying time on 469 pasture (69% of total lying time/d). Similarly, a more recent study from the same 470 research farm (Falk et al., 2012) also found cows displayed a partial preference for 471 pasture, averaging 57% of their time on pasture, with cows spending more time 472

outside at night (78.5%) than they did during the day (41.5%). In addition, and
contrary to predictions, Falk *et al.* (2012) found no effect of cubicle availability on
time spent at pasture. It was suggested that the short duration of cubicle availability
manipulations (four days) may have explained the lack of effect. Future work should
investigate effects of stocking density over longer time periods.

A series of studies have also been conducted by researchers in the UK 478 (Charlton et al., 2011a, b, 2013; Motupalli et al., 2014). Charlton et al. (2011b) 479 provided Holstein-Friesian cows in late lactation, the choice between indoor cubicle 480 481 housing (with access to TMR) and pasture (with half of the trials also offering TMR on pasture to see how this influenced the choice). Consistent with the Canadian 482 studies, the cows spent more time on pasture than indoors (71 vs. 29%), with more 483 time spent on pasture at night than during the day (84 vs. 51%). Contrary to initial 484 predictions, there was no TMR treatment effect. That is to say, providing the cows 485 with TMR both indoors and outdoors did not increase pasture use, despite an 486 increase in TMR consumption when this was offered in both locations. 487

A limitation of the preference tests outlined so far is that they do not provide 488 information on the strength of preference. This can be overcome, and motivation 489 measured by imposing an increasing cost on the animal to gain access to a 490 particular resource (Jensen and Pedersen, 2008). Using this principle, Charlton et al. 491 492 (2013) varied the distance cows were required to walk to access pasture (60, 140, or 260 m). Overall, and consistent with the other studies (Legrand et al., 2009; Falk et 493 al., 2012; Charlton et al., 2011b), cows had a partial preference for pasture, 494 spending 58% of their time outside, and spending more time outside during the night 495 (80%) than during the day (44%). Relating to preference strength, at night there was 496 no effect of access distance on pasture use. However, during the day, time spent on 497

pasture declined as distance increased, with cows spending longer on pasture when 498 they had to walk 60m compared with 140 or 260 m (45 vs. 27 vs. 21%). The 499 difference between findings for day and night-time pasture use with distance 500 suggests that cows were more motivated, revealed by walking longer distances, for 501 pasture use during the night compared with during the day. During the day, cows 502 may have preferred to be indoors (overall average of 56% of time spent indoors 503 504 during the day) to access TMR and meet their nutritional needs, particularly postmilking and following delivery of fresh feed. The necessity of meeting nutritional 505 506 demands during the day appears to have traded off with the desire to access pasture, revealed when the cost of the latter was increased by having to walk 140 or 507 260 m. 508

Recently, in a study investigating effects of herbage mass and distance to 509 pasture, Motupalli et al. (2014) found results consistent with those of Charlton et al. 510 (2013). Distance affected pasture use during the day, with cows spending more time 511 at pasture in the near (38 m) compared to far distance (254 m), but had no effect on 512 pasture use at night. Moreover, also in line with previous findings, the cows showed 513 an overall partial preference to be at pasture, spending almost 70% of their time at 514 pasture. Herbage mass did not influence preference, nor did it interact with distance 515 to pasture. The lack of a herbage mass effect was most likely due to the fact that 516 517 high quality TMR feed was available ad libitum indoors, with low pasture intakes in general indicating that cows only used pasture to supplement their high TMR intake. 518 Motupalli et al. (2014) also found no difference in TMR intake between the cows 519 given free access to pasture and a control group of continuously housed cows, and 520 the former group actually recorded higher daily milk yields. There were also 521 indications of increased comfort in the free choice cows, which had increased lying 522

times compared to the continuously housed cows. Results of this study suggest that
providing cows with the opportunity to access pasture, and thus greater control over
their own environment, has welfare and production benefits.

The results of Charlton et al. (2011a) are in complete contrast to those 526 discussed above, with cows displaying a preference to be indoors compared to on 527 pasture (92 vs. 8%). The preference for housing in this study may have been due to 528 the cows' prior experience, as they had been housed indoors and fed TMR in the 529 months preceding the study. Furthermore, all the cows had been reared indoors, and 530 531 it was only two weeks prior to the onset of the first study period that cows were given experience of pasture, while still receiving indoor TMR. It was also speculated that 532 the distance to access pasture from the choice point (48 m) may have had an 533 impact. 534

Summarising the results of these preference test studies (Figure 1), reveals, 535 with one exception (Charlton et al., 2011a), that dairy cows have an overall partial 536 preference for pasture (Legrand et al., 2009; Charlton et al., 2011b, 2013; Falk et al., 537 2012; Motupalli et al., 2014). During the day, cows had a partial preference for indoor 538 housing (Legrand et al., 2009; Falk et al., 2012; Charlton et al., 2013), or spent 539 similar time periods indoors and on pasture (Charlton et al., 2011b, Motupalli et al., 540 2014). This was explained by the presence of fresh TMR indoors enabling cows to 541 meet their nutritional demands following milking. However, at night cows displayed a 542 preference for pasture (Legrand et al., 2009; Charlton et al., 2011b, 2013; Falk et al., 543 2012; Motupalli et al., 2014). Indeed, the studies by Charlton et al. (2013) and 544 545 Motupalli et al. (2014) revealed that cows seemed particularly motivated to access pasture at night, since there was no effect of distance on their preference for 546 pasture, yet during the day cows spent less time on pasture when they had to walk 547

greater distances. The preference for pasture at night is most easily explained by a 548 desire for a comfortable lying area, supported by findings of time spent lying while at 549 pasture (e.g. Legrand et al., 2009; Charlton et al., 2013). However, the preferences 550 were also complex, being influenced by environmental parameters, and time of day. 551 For example, unsurprisingly, climatic variables influence preferences, with pasture 552 use decreasing with increasing rainfall (Legrand et al., 2009; Falk et al., 2012; 553 Charlton *et al.*, 2011a, 2013) and being influenced by the temperature-humidity index 554 (Legrand et al., 2009, Charlton et al., 2011b; Falk et al., 2012) and season (Charlton 555 556 et al., 2011b). Cow factors including milk yield and lameness score also influenced preference, with Charlton et al. (2011a) reporting that higher yielding cows spent 557 more time indoors than lower yielders, while Charlton et al. (2011b) report that cows 558 with a poorer lameness score spent more time indoors. 559

The preference test studies also highlight knowledge gaps. For example, 560 Charlton et al. (2011a) suggested previous experience may have explained their 561 contradictory results, while Legrand et al. (2009) also highlighted that previous 562 experience may have accounted for the relatively low partial preference (54%) for 563 pasture found in their study, since prior to the beginning of the experiment, cows had 564 spent their entire lactation housed in the barn. However, the role of prior experience 565 and rearing history remains to be further investigated. Furthermore, in the tests 566 examining the strength of motivation to access pasture, only a restricted range of 567 distances have been used (60-260 m) and there is a need to investigate preference 568 over a greater distance range. Stage of lactation is an additional area for preference 569 test investigation. Existing studies have used mid or late lactation cows. No studies 570 have examined cows in early lactation when it might be expected that the higher milk 571 yield and nutritional demands would bias cows towards an indoor environment if 572

TMR was available. Thus, future studies should quantify the role of nutrition on 573 preference, both in terms of pasture quality, and indoor TMR quality and how these 574 could trade-off against each other. Indeed, all the preference tests have involved 575 offering TMR indoors and therefore offering an incentive for cows to come inside. It 576 would be revealing to examine the preference if freshly harvested pasture only was 577 offered indoors. Such a scenario would reveal if cows have an underlying desire to 578 579 graze outside per se. Also, in countries where summer heat stress is a problem there is a need to examine how pasture preference is influenced by the availability of 580 581 shade. It is also interesting to note that a number of the above studies documented considerable variation between individual cows in their pasture preferences (e.g. 582 ranging from 5% to 90% of time on pasture, Charlton et al., 2013), and this, together 583 with the influence of herd mates, should be investigated further. Moreover, existing 584 studies have focussed on Holstein / Holstein-Friesians, and it would be interesting to 585 identify if any breed / genotype differences in pasture preference exist. Would 586 Jerseys, crossbreds, and NZ genotypes show a greater preference for pasture? 587

588

589 Physiology

Few studies have used physiological measures to compare the welfare of dairy cows 590 in pasture compared to continuously housed systems. Indeed, the only 591 592 comprehensive example in the context of welfare is provided by Olmos et al. (2009b) who examined blood levels of acute phase proteins (APP), cortisol, white blood cell 593 (WBC) differential and counts, and other biochemical metabolites as non-specific 594 indicators of sub-clinical ill-health and nutritional stress. While there were no 595 differences in APP, cortisol, or WBC counts between treatments, pasture-based 596 cows had higher levels of NEFA, beta-hydroxybutyrate and triglyceride post-partum, 597

consistent with a limited energy supply. In addition, pasture cows showed a tendency 598 for higher concentrations of bilirubin and numerically higher bile acid concentrations, 599 both indicative of greater hepatic lipidosis. Put together, these findings indicate a 600 601 greater degree of negative energy balance in cows on pasture than in housed cows, as has been reported for a number of production performance studies (Kolver and 602 Muller, 1998; Bargo et al., 2002; Boken et al., 2005; Fontaneli et al., 2005; Kay et al., 603 2005; Vance et al., 2012). Nutritional and metabolic stress in the peripartum period is 604 a welfare concern, with negative implications for immune function and cow health in 605 606 early lactation, and further negative downstream effects on fertility (Ingvartsen, 2006; Butler, 2014; Drackley and Cardoso, 2014). However, although the pasture cows in 607 the study by Olmos et al. (2009b) had evidence of greater nutritional and metabolic 608 609 stress, they had better reproductive health. Clearly, there is a need for more research using biomarkers to assess the welfare of dairy cows in various production 610 scenarios. 611

612

613 Thermal Stressors

The thermal environment can act as a stressor for cattle, with negative effects of cold 614 and heat stress (e.g. Hemsworth et al., 1995 for review). A potential welfare concern 615 in pasture-based systems is the exposure of cows to adverse climatic conditions. 616 617 Within many of these systems cows will be housed during the winter and therefore protected from adverse weather. However, a particular problem in some countries, 618 such as New Zealand and Ireland, is the changeable nature of the weather, with 619 cows being exposed to sudden, relatively brief periods of cold and wet weather. The 620 intermittent nature of such exposure may prevent adaptation to cold (Bergen et al., 621 2001; Kennedy et al., 2005). 622

623 In this context, a number of studies (Tucker et al., 2007; Webster et al., 2008; Schutz et al., 2010) report indicators of cold stress in New Zealand dairy cows 624 exposed to periods of experimentally induced wet and windy weather, compared to 625 626 those sheltered from such conditions. The behavioural indicators comprised decreased feeding, increased standing, and decreased lying. For example, Tucker et 627 al. (2007) found an average outdoor lying time (4/24 h) well below normal levels for 628 dairy cattle (12-13 h/d, Jensen et al., 2005) when exposed to experimentally induced 629 wet and windy conditions. This study also found differences in lying posture, with 630 631 cows spending less time with their head rested against their flank or on the ground when outside, interpreted as indicating less opportunity for rapid-eye-movement 632 (REM) sleep compared to indoors, as the neck muscles must be supported and the 633 head rested against the body or the ground for cattle to experience REM sleep 634 (Ruckebusch et al., 1974). In contrast, when outdoors they spent more time lying in 635 postures that reduced the surface area exposed to wind and rain (front legs bent and 636 hind legs touching their body). Evidence of a classic stress response, involving 637 activation of the hypothalamic-pituitary-adrenal (HPA) axis, was also found (Tucker 638 et al., 2007; Webster et al., 2008), with greater cortisol levels in response to cold and 639 wet conditions, and thinner cows being particularly susceptible (Tucker et al., 2007). 640 The cold and wet conditions may have invoked the stress response directly, but 641 642 there may also have been an indirect effect of reduced lying time, as this is known to invoke a stress response (Fisher et al., 2002). Furthermore, Webster et al. (2008) 643 found evidence of immunosuppression in cows managed under the cold and wet 644 conditions, with a reduction in WBC counts, due mainly to a reduction in lymphocytes 645 and basophils. Alterations in circadian body temperature rhythm were also 646 documented, with an increased amplitude resulting from a lower minimum and 647

higher maximum (Tucker *et al.*, 2007; Webster *et al.*, 2008), and thinner cows having
a lower minimum (Tucker *et al.*, 2007). It was suggested that the altered temperature
rhythm in response to cold stress may be an indicator of reduced welfare, as
disturbed circadian rhythms are a consistent response to stress (e.g. Meerlo *et al.*,
2002).

The above studies indicate that exposure of cows to wind and rain can reduce 653 welfare, with cows in poor body condition being most susceptible (Tucker et al., 654 2007). However, the extent to which the experimentally induced conditions translate 655 656 to real on-farm conditions is uncertain. In addition, cows have behavioural coping strategies to deal with periods of adverse weather, selecting microclimates that 657 provide protection (Olson and Wallander, 2002), including sheltering along hedge 658 rows and tree-lined areas, that may suffice in all but very extreme conditions. In this 659 regard, the preference test results of decreasing pasture use with increasing rainfall 660 (Legrand et al., 2009; Falk et al., 2012; Charlton et al., 2011a, 2013) are also 661 relevant. 662

Heat stress can be a problem in both housed and pasture-based systems, 663 with negative consequences for production, fertility and welfare. In the preference 664 tests discussed previously, cows spent more time on pasture as the temperature-665 humidity index increased indoors and outdoors in the UK based study (Charlton et 666 667 al., 2011b) indicating that in this temperate region cows found the outdoor pasture more comfortable than the indoor housing. However, in the North American based 668 preference studies (Legrand et al., 2009; Falk et al., 2012) time spent on pasture 669 during the day decreased as the outdoor temperature-humidity index increased, 670 likely reflecting the shade and ventilation offered in the housed environment. In those 671

circumstances where heat stress can be a problem a variety of mitigation strategies
can be successfully used to ensure adequate thermal comfort (Van laer *et al.*, 2014).

675 The impact of sunlight

Do cows derive health and welfare benefits from exposure to sunlight when 676 outdoors? Exposure of skin to sunshine is an important source of vitamin D and 677 higher levels reported in summer compared to winter (Jakobsen and Saxholt, 2009) 678 have been attributed to outdoor grazing during the pasture period, with vitamin D 679 680 status showing a positive relationship with time on pasture during the summer (Hymoller and Jensen, 2012). Does this confer a health benefit to cows? For 681 example, recent experimental studies in biomedical research have found sun 682 exposure to have positive effects on cardiovascular health, lowering blood pressure 683 (Liu et al., 2014), and on immune function (Hart et al., 2011). Moreover, sunlight 684 exposure is apparently rewarding, with hedonic and addictive properties (e.g. Fell et 685 al., 2014). Could these factors contribute to a positive emotional state in cows with 686 outdoor access? Such factors remain to be investigated. 687

688

689 **Conclusions**

Animal welfare is a multi-criteria characteristic (Rushen and de Passille, 1992). In
this review we compared the welfare of dairy cows in pasture-based versus
continuously housed systems. Lower levels of lameness, hoof pathologies and hock
lesions were observed in pasture-based compared to continuously housed systems.
These benefits likely derive from providing a comfortable, soft and hygienic standing,
walking and lying surface, with additional benefits associated with exercise in terms
of grazing and walking to and from pasture. The prevalence of mastitis and uterine

697 disease was generally lower within pasture-based systems, thought to derive from reduced exposure to environmental pathogens and improved cow cleanliness. Given 698 the detrimental impact of uterine disease on subsequent fertility and lactation 699 700 performance (e.g. see LeBlanc, 2014 for a review) this is an important issue (Mee, 2012). By contrast, there are some risks posed by contacting neighbouring cattle in 701 pasture-based systems, highlighting the need for appropriate biosecurity measures, 702 703 and grazing is unsurprisingly a risk factor for endoparasite infection. However, overall, pasture access has a positive effect on dairy cow health. Indeed, mortality is 704 705 lower in herds having access to pasture than in continuously housed herds.

Pasture-based systems offer increased freedom for cows to express their full 706 behavioural repertoire, a grazing pattern and level of group synchrony more akin to 707 708 their wild counterparts, improved lying / resting and lower levels of aggression. Dairy 709 cows typically experience a period of negative energy balance during early lactation. The severity of this may be increased within pasture-based systems. Meeting the 710 nutritional needs of modern dairy cows has been one of the drivers for the adoption 711 of continuously housed systems. However, cows managed exclusively indoors still 712 undergo a period of negative energy balance, so neither system is ideal in this 713 context. 714

The risk of thermal stressors compromising welfare was highlighted for both systems. Wind and rain have the potential to reduce welfare, but there is a need for studies to investigate this under real on-farm conditions. We also hypothesised that cows may derive health and welfare benefits from exposure to sunlight when outdoors but this remains to be investigated.

Our review indicates that there are considerable welfare benefits from
 incorporating pasture access within milk production systems in terms of health and

behaviour. This view is consistent with the view of cows themselves: in preference 722 tests, when offered the choice between pasture and indoor housing, cows tend to 723 prefer pasture. It is also consistent with Burow et al. (2013a) that used an integrated 724 welfare assessment covering feeding, housing and health, finding that Danish dairy 725 herds had improved welfare during the summer grazing period, with a positive effect 726 of daily grazing time. The European Food Safety Authority (EFSA) also stated in a 727 report on dairy cow welfare and disease (EFSA, 2009a) that "at present, it is not 728 possible to guarantee that indoor housing without access to pasture will result in the 729 730 same or better level of welfare that could be achieved if the cows could have access to pasture". In an opinion article, the recommendation of EFSA (2009b) was that 731 "when possible, dairy cows and heifers should be given access to well managed 732 pasture or other suitable outdoor conditions, at least during summer or dry weather." 733 Here we note the use of the term "well managed pasture", and we acknowledge that 734 within each type of production system there will be large variation in standards and 735 quality. In other words, a poorly managed pasture-based system will have a 736 detrimental impact on welfare. 737

As continuously housed systems are a commercial reality, it will be important 738 to build on existing research that has aimed to improve aspects of dairy housing 739 including; cubicle design (e.g. Bernardi et al., 2009; Abade et al., 2015), floor type 740 741 (e.g. Schutz and Cox, 2014), loafing areas (e.g. Haskell et al., 2013), and environmental enrichment (Mandel et al., 2016). The influence of grazing behaviour 742 and potential benefits of exercise should also be investigated. Results from this 743 review advocate seeking ways to incorporate the welfare benefits of pasture-based 744 systems within the housed environment. 745

746

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- 1117

1118 **Figure captions**

- 1119
- **Figure 1.** Summary of studies investigating pasture preference of cows. Black bars
- represent the overall percentage of time on pasture (day and night), and white bars
- 1122 represent the time on pasture at night.

Figure 1.

