The Effect of Probiotics on Prevention of Common Cold: A Meta-Analysis of Randomized Controlled Trial Studies

Original Article

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- **Background:** Probiotics are currently under focus for their immune improvement function. Many studies have been performed to assess the potential efficacy of probiotics in allergic disease, viral disease, respiratory disease, as well as gastrointestinal disease. This study performed a systematic review to determine the effects of probiotics on the prevention of the common cold.
- **Methods:** We searched MEDLINE (PubMed), EMBASE, CINAHL, and Cochrane CENTRAL for studies released through June 2011. Two authors independently extracted the data. To assess the risk of bias of included literatures, Cochrane Collaboration's risk of bias tool was used.
- **Results:** We identified 10 studies in 7 articles. A total 2,894 participants, 1,588 in the probiotics group and 1,306 in the control group, were included. The effect of probiotics on the prevention of the common cold had a relative risk (RR) of 0.92 (95% CI, 0.85 to 1.00, $I^2 = 26\%$). In the subgroup analysis, the RR of administration of probiotics for 3 months or less was 0.82 (95% CI, 0.70 to 0.97). The RR of administration of probiotics over 3 months was 1.00 (95% CI, 0.92 to 1.09). The RR of administration of probiotics without any active intervention (vitamin and mineral) was 0.87 (95% CI, 0.78 to 0.97).
- **Conclusion:** In this meta-analysis, there was marginal effect of probiotics on the prevention of the common cold. The results implied that probiotics had a modest effect in common cold reduction. The balance of benefit and harms needs to be considered when using probiotics for common cold prevention.

Keywords: Probiotics; Common Cold; Meta-Analysis

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INTRODUCTION

The common cold is an upper respiratory tract infection and is one of the most common diseases occurring in all ages. Since the common cold cannot be cured, the best thing to do is to avoid catching the virus that causes the common cold. There have been many randomized controlled studies investigating whether taking vitamin *C*, garlic, or echinacea has an effect on preventing colds. According to a systematic review, there was no significant effect from these supplements in most cases.¹⁻³⁾ Probiotics can be defined as "living microorganisms that confer a health benefit when consumed in adequate amount."⁴⁾ Probiotics are used as dietary supplements or remedies for a variety of gastrointestinal disorders. Recently, it was discovered that probiotics may improve immune function. For this reason, there has been increased interest in prophylactic daily use of probiotics. According to the Cochrane review, the prophylactic use of probiotics reduces the incidence of necrotic enterocolitis (NEC) and risk of NEC related death in comparison to the control group,⁵⁾ and probiotics lower the risk for antibiotic-associated diarrhea in children,⁶⁾ Trials have been conducted on the efficacy of probiotics against a wide range of diseases, including allergic diseases, viral diseases, respiratory diseases, cancer, and digestive diseases.

Probiotics may have a positive preventative effect against the common cold because the cold is a viral disease. Some studies revealed that probiotics have preventive effect against colds, but there has been no systematic review of this subject. However, based on a systematic review targeting 14 studies on the preventive effect of probiotics against respiratory disease, four studies⁷⁾ reported that the incidence of respiratory disease was reduced after taking probiotics and ten studies reported that there was no reduction. The purpose of this study is to investigate whether there is a cold-preventative effect of probiotic administration through a systematic review of the literature of randomized controlled trials.

METHODS

A systematic review of the literature for randomized controlled trials was performed to see whether there is a coldpreventative effect of probiotic administration in the general population.

1. Inclusion Criteria

Randomized controlled trials were selected that compare the difference in the incidence of colds and cold symptoms, or rhinopharyngitis, between a study group with probiotics and a control group without probiotics or probiotic-containing drinks (placebo or non-treatment). Studies about upper respiratory tract diseases other than the common cold, such as sinusitis, tonsillitis, laryngitis, otitis media; studies about the prevention of lower respiratory tract disease, such as bronchitis, pneumonia; studies including a group likely to be influenced by other active medication, such as vitamine; and studies about the relief or improvement of cold symptoms were excluded.

2. Search Method

The last search was performed in June 2011. All searches were made by professional librarians and search terms were selected in consultation between the authors and professional librarians. There were no restrictions on the language of the papers published. Databases searched include: MEDLINE (PubMed), EMBASE, Cochrane Central Register of Controlled Trials (CENTRAL), and CINAHL. The following search terms were used.

1) COMMON COLD

- #1 "Common Cold" [MH] OR "common cold*" [TW]
- #2 coryza [TW]
- #3 ("Respiratory Tract Infections" [MH] AND upper [TW]) OR "upper respiratory infection*" [TW] OR "upper respiratory tract infection*" [TW] OR URTI [TW]
- #4 Rhinitis [MH] OR rhinit* [TW]
- #5 Pharyngitis [MH] OR pharyngit* [TW]
- #6 sore throat* [TW]
- #7 Nasopharyngitis [MH] OR nasopharyngit* [TW]
- #8 Laryngitis [MH] OR laryngit* [TW]
- #9 Cough [MH] OR cough* [TW]
- #10 Nasal Obstruction [MH] OR "nasal obstruction*" [TW]
- #11 Sneezing [MH] OR sneezing* [TW]
- #12 Rhinovirus [MH] OR rhinovirus* [TW]
- #13 common cold virus* [TW]
- #14 coryza virus* [TW]
- #15 OR #1-#15... (1)

2) PROBIOTICS

#1 Probiotics [MH] OR probiotics [TW]#2 Lactobacillus [MH] OR lactobacill* [TW]

- #3 Bifidobacterium [MH] OR bifidobact* [TW]
- #4 Lactococcus [MH] OR lactococc* [TW]
- #5 Enterococcus [MH] OR enterococc* [TW]
- #6 OR #1-#5... (2)

3) Randomized controlled trial
#1 randomized controlled trial [PT]
#2 controlled clinical trial [PT]
#3 randomized [TW]
#4 placebo [TW]
#5 drug therapy [subheading]
#6 randomly [TW]
#7 trial [TW]
#8 groups [TW]
#9 OR #1-#8... (3)
animals [mh] NOT humans [mh]... (4)
[(1) AND (2) AND (3)] NOT (4)

3. Study Selection

Two independent authors reviewed the results of the searches and the papers that met the inclusion criteria were selected. In the case of disagreement, the paper was reviewed again and the decision was made by discussion and consensus. If consensus was not possible, a final decision was made by the third author.

4. Assessment of Risk of Bias

The quality of studies for selected research was performed by using the Cochrane Collaboration's tool for assessing risk of bias.⁸⁾ Each criteria was assessed as one of: yes, no, and unclear, with 'yes' indicating a low risk of bias, 'no' indicating a high risk of bias, and 'unclear' indicating a lack of information. The evaluation was done by two independent authors, and discrepancies were resolved by discussion and consensus.

5. Data Extraction

Relevant data, such as the study design, subject and characteristics, type and amount of probiotics and intake method, control group, the follow-up period, and outcome variables were abstracted independently by two review authors. Discrepancies were resolved by discussion and consensus.

6. Statistical Analysis

The 95% confidence intervals (CI) for the relative risk are presented as follows. Review Manager ver. 5.1 $(RevMan)^{9}$

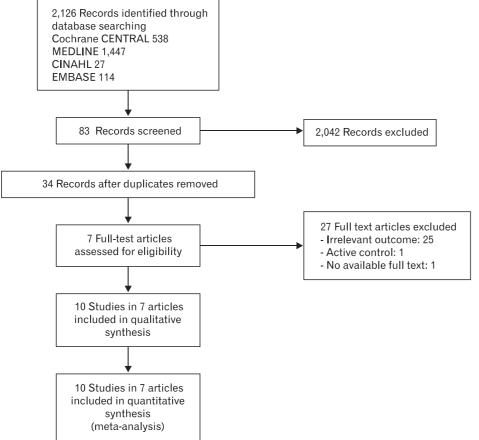


Figure 1. Flow sheet of study selection.

Study	Study population (no.)	Participants age (y)	Intervention	Control	Outcome	Duration (mo)	Source of funding
Guillemar et al. ¹² (2010)	1,072 (probiotics: 537, control: 535)	Over 70	Lactobacillus casei DN-11400	Non-fermented, acidified, sweetened, flavoured, dairy drink	No. of rhinopharyngitis episode	en en	Danone Research, commercial
Leyer et al. ¹¹⁾ (2009)	326 (probiotics A: 110, probiotics B: 112, control: 104)	3-5	Probiotics A: Lactobacillus acidophilus NCFM Probiotics B: Lactobacillus acidophilus NCFM & Bifidobacterium animalis subsplactis Bi-07	Sucrose	Incidence of cold & influenza like symptom	9	Danisco USA, commercial
Feldman et al. ¹⁶⁾ (2009)	33 (probiotics: 17, control: 16)	18-65	WellmuneWGP (beta-glucan derived from Saccharomyces cerevisiae)	Rice flour	Incidence of cold episode	Т	Biothera, commercial
Pregliasco et al. ¹⁰ (2008)	219 (synbiotics: 114, control: 105)	15-60	Lactobacillus plantarum, Lactobacillus rhamnosus, Not reported Bifidobacterium lactis, fructooligosaccharides and galactooligosaccharides	Not reported	Incidence of cold episode	ς,	Probiotical, Novara, commercial
Pregliasco et al. ¹⁰ (2008) (2)	234 (synbiotics A: 79, synbiotics B: 79, control: 76)	18-60	Synbiotics A: Lactobacillus plantarum, Lactobacillus rharmosus, Bifidobacterium lactis, fructooligosaccharides and galactooligosaccharides Synbiotics B: synbiotics A+ lactoferrin	Not reported	Incidence of cold episode	ო	
Pregliasco et al. ¹⁰ (2008) (3)	250 (synbiotics C: 84, synbiotics D: 84, control: 82)	20-60	Synbiotics C: Lactobacillus plantarum, Lactobacillus rhamnosus, Bifidobacterium lactis, galactooligosaccharid, and maltodextrin Synbiotics D: Lactobacillus plantarum, Lactobacillus rhamnosus, Bifidobacterium lactis, fructooligosaccharides, and maltodextrin	Not reported	Incidence of cold episode	ო	
Moyad et al. ¹⁵⁾ (2010)	116 (probiotics: 52, control: 64)	18–76	Saccharomyces cerevisiae	Similar appearance capsure	Incidence of cold episode	က	Not reported
de Vrese et al. ¹⁴⁾ (2006)	479 (probiotics: 238, control: 241)	18-67	Lactobacillus gasseri PA 16/8, Bifidobacterium longum SP 07/3, Bifidobacterium bifidum MF 20/5 plus vitamins and mineral	Vitamins and mineral	Incidence of cold episode	4-6	Merck Consumer Health Care, commercial
Makino et al. ¹³⁾ (2010)	60 (probiotics: 30, control: 30)	69–80	Lactobacilits delbrueckii ssp. bulgaricus OLL1073R-1 and Streptococcus themophilus OLS3059	Milk	Incidence of cold episode	5	No funding
Makino et al. ¹³⁾ (2010) (2)	87 (probiotics: 44, control: 43)	59-84	Lactobacillus delbrueckii ssp. bulgaricus OLL1073R-1 and Streptococcus themophilus OLS3059	Milk	Incidence of cold episode	n	

Table 1. Characteristics of included studies

software was used for statistical analysis. As a data analysis method, a fixed effect model was applied when the homogeneity between studies was verified. The results were displayed in a forest plot. An I^2 test was applied to determine between-study heterogeneity. A subgroup analysis was conducted to investigate age, probiotics treatment duration, and treatment variables. A funnel plot was designed to check the existence of publication bias.

RESULTS

Based on the electronic search, 538 abstracts from Cochrane, 1,447 from MEDLINE, 144 from EMBASE, and 29 from CINAHL, for a total of 2,126 abstracts were found. Out of these, a total of seven randomized controlled trials were selected and included in the analysis (Figure 1).¹⁰⁻¹⁶⁾ The characteristics of the included studies are shown in Table 1.

A total of 2,894 participants were included: 1,580 participants were in the probiotic group and 1,296 were in the control group. The randomized controlled trials¹³⁾ were analyzed as two separate studies, and another study consisting of three randomized controlled trials was included in the analysis individually as well. The number of subjects in these studies was selected by the intention-to treat (ITT) principle, with the exception of one study¹⁶⁾ which used a modified ITT analysis including only subjects who received at least one dose of the study medication.

If results of a study were only described by odds ratios¹²⁾ instead of the number of patients, then the number of patients was calculated and analyzed using the available information. The risk of bias for the included studies was evaluated by using Cochrane Collaboration's tool for assessing risk of bias.⁵⁾ It was evaluated that items for appropriate random sequence generation were performed properly in four studies.^{10,12,14,16)} Allocation concealment was adequately described in five studies $^{11,12,14\!+\!16)}$ but in one study, milk was used instead of yogurt as a control, which was considered to be inadequate. Blinding of intervention and outcome was adequately described except in one study.¹³⁾ Incomplete outcome data were adequately addressed in all studies. Also, in one study¹¹⁾ the ages of the control group were much higher than the probiotic groups, and in another study¹⁵⁾ it was not clear whether or not the study participants received a flu vaccine. There was a possibility of potential bias in these two studies. In addition, one study¹⁴⁾ which used vitamins and minerals both in the probiotics group and in the control group was assessed to be at a high risk of bias. All except one study¹³⁾ were assessed as relatively higher quality studies (Figure 2). The investigators of five studies^{10-12,14,16)} out of the seven were funded by a commercial company. The funding of one study¹⁵⁾ was not disclosed. Only one study¹³⁾ was done without any funding. Based on ten studies extracted from seven papers, the relative risk of the common cold in probiotics groups compared to the control group was 0.92 (95% CI, 0.84 to 1.00; $I^2 = 27\%$) (Figure 3).

Using the results of seven papers encompassing a total of

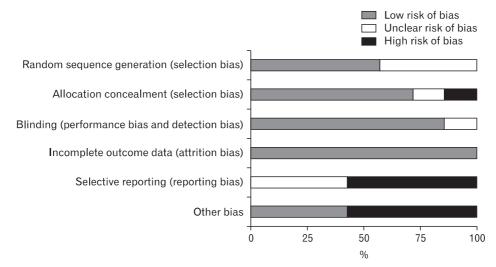


Figure 2. Risk of bias graph.

	Probi	otics	Con	trol		Risk ratio	Risk ratio	
Study of subgroup	Events	Total	Events	Total	Weight (%)	M-H, fixed, 95% Cl	M-H, fixed, 95% CI	
1.1.1 Administration of p								
Makino et al. ¹³⁾ (2010)	3	30	8	30	1.6	0.38 [0.11-1.28]		
Moyad et al. ¹⁵⁾ (2008)	14	52	27	64	4.8	0.64 [0.37-1.09]		
Makino et al. ¹³⁾ (2010) (2)	17	44	25	43	5.0	0.66 [0.42-1.04]		
Pregliasco et al. ¹⁰⁾ (2008)	32	114	38	105	7.8	0.78 [0.53-1.14]	-=+	
Pregliasco et al. ¹⁰⁾ (2008) (2		158	26	76	7.0	0.80 [0.53-1.19]	-=+	
Pregliasco et al. ¹⁰⁾ (2008) (3) 43	168	26	82	6.9	0.81 [0.54-1.22]	-=-	
Leyer et al. ¹¹⁾ (2009)	183	222	90	104	24.3	0.95 [0.86-1.05]	•	
de Vrese et al. ¹⁴⁾ (2006)	158	238	153	241	30.1	1.05 [0.92-1.19]	•	
Guillemar et al. ¹²⁾ (2010)	61	537	58	535	11.5	1.05 [0.75-1.47]	+	
Feldman et al. ¹⁶⁾ (2009)	6	17	5	16	1.0	1.13 [0.43-2.98]		
Subtotal (95% CI)		1,580		1,296	100.0	0.92 [0.84-1.00]	•	
Total events	560		456					
Heterogeneity: chi ² = 12.38	, df = 9 (P = 0.1						
Test for overall effect: $Z = 1$	I.91 (P =	0.06)						
Total (95% CI)		1,580		1,296	100.0	0.92 [0.84-1.00]	•	
Total events	560		456					
Heterogeneity: chi ² = 12.38	, df = 9 (P = 0.1	9): $\mathbf{I}^2 =$	27%				
Test for overall effect: $Z = 1$	I.91 (P =	0.06)	0.01		00			
Test for subgroup differenc			ole			Fa	ours probiotics Favours control	

Figure 3. Meta-analysis of studies probiotics in common cold prevention.

	Probio		Cont			Risk ratio	Risk ratio
Study of subgroup	Events	Total	Events	Total	Weight (%)	M-H, fixed, 95% 0	CI M-H, fixed, 95% CI
1.1.1 Administration of pro	obiotics	for 3 m	ionths oi	less			
Makino et al. ¹³⁾ (2010)	3	30	8	30	1.6	0.38 [0.11-1.28]	
Moyad et al. ¹⁵⁾ (2008)	14	52	27	64	4.8	0.64 [0.37-1.09]	
Makino et al. ¹³⁾ (2010) (2)	17	44	25	43	5.0	0.66 [0.42-1.04]	
Pregliasco et al. ¹⁰⁾ (2008)	32	114	38	105	7.8	0.78 [0.53-1.14]	
Pregliasco et al. ¹⁰⁾ (2008) (2)	43	158	26	76	7.0	0.80 [0.53-1.19]	
Pregliasco et al. ¹⁰⁾ (2008) (3)	43	168	26	82	6.9	0.81 [0.54-1.22]	
Guillemar et al. ¹²⁾ (2010)	61	537	58	535	11.5	1.05 [0.75-1.47]	+
Feldman et al. ¹⁶⁾ (2009)	6	17	5	16	1.0	1.13 [0.43-2.98]	
Subtotal (95% CI)		1,120		951	45.6	0.82 [0.70-0.97]	•
Total events	219		213				
Heterogeneity: $chi^2 = 5.78$, c	df = 7 (P	= 0.57	'): $\mathbf{I}^2 = 0$	6			
Test for overall effect: $Z = 2$.38 (P =	0.02)					
1.1.2 1.12 Administration of	of probic	otics fo	r 3 mont	hs			
Leyer et al. ¹¹⁾ (2009)	183	222	90	104	24.3	0.95 [0.86-1.05]	1
de Vrese et al. ¹⁴⁾ (2006)	158	238	153	241	30.1	1.05 [0.92-1.19]	7
Subtotal (95% CI)		460		345	54.4	1.00 [0.92-1.09]	٩
Total events	341		243				
Heterogeneity: $chi^2 = 1.50$, c	df = 1 (P	= 0.22	2): $\mathbf{I}^2 = 33$	3%			
Test for overall effect: $Z = 0$.09 (P =	0.92)					
Total (95% CI)		1,580		1,296	100.0	0.92 [0.84-1.00]	•
Total events	560	.,	456	.,			
Heterogeneity: $chi^2 = 12.38$,	df = 90	P = 0.1		27%			
Test for overall effect: $Z = 1$,			0.	01 0.1 1 10 100
Test for subgroup difference	es: $chi^2 =$	= 4.64. (df = 1 (P	= 0.03	3): $\mathbf{I}^2 = 78.4\%$	b I	Favours probiotics Favours control
					-,	-	

Figure 4. Subgroup analysis: duration of probiotics administration.

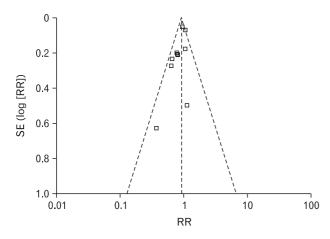


Figure 5. Funnel plot. RR: relative risk.

ten studies, subgroup analysis was performed based on study characteristics. First, a subgroup analysis was done based on the probiotics administration period. When the probiotics administration period was less than three months, the relative risk was 0.82 (95% CI, 0.70 to 0.97; $I^2 = 0\%$), and when it was longer than three months, the relative risk was 1.00 (95% CI, 0.92 to 1.09; $I^2 = 33\%$) (Figure 4). Based on the result of the comparison between probiotic administration with vitamins and minerals and just probiotic administration, the relative risk excluding one study¹⁴⁾ was 0.87 (95% CI, 0.78 to 0.97; $I^2 = 22\%$). Excluding a pediatric research study,¹¹⁾ the relative risk was 0.91 (95% CI, 0.82 to 1.021; $I^2 = 34\%$). Excluding one low quality study,¹³⁾ the relative risk was 0.93 (95% CI, 0.85 to 1.01; $I^2 = 19\%$). Excluding two studies^{10,13} in which it is uncertain whether a placebo was used, the relative risk was 0.97 (95% CI, 0.88 to 1.06; $I^2 = 26\%$). A subgroup analysis in relation to gender, types of probiotics and daily dose, and underlying disease was not performed since each study was very different or because these factors were not properly reported in the papers.

None of the included studies reported significant differences in adverse effects between the two groups in relation to changes in bowel movements, musculoskeletal symptoms, gastrointestinal symptoms, infection, or neurological or psychiatric symptoms. Any such effects were not severe. A distinct asymmetry in the Funnel plot was not observed (Figure 5).

DISCUSSION

Cold is generally a mild illness and is usually overcome naturally. Therefore, in order to be clinically useful, a cold prevention method should be simple, low cost, and without adverse reactions. In this systematic review of whether there is a cold-preventative effect in probiotic administration, there was no statistically significant evidence overall, but probiotics seemed to have borderline preventive effects. The preventive effect was mainly observable with probiotics administration periods of less than three months.

Despite a comprehensive search, only ten studies met the inclusion criteria. Studies about upper respiratory tract diseases other than the common cold and studies including a group likely to be influenced by other active medication, such as vitamins were excluded. Generally, the overall quality of studies was relatively high.

In summary, there is the possibility that probiotics have a cold preventive effect, but such a preventive effect is not conclusively demonstrated. Since there were no previous systematic reviews concerning the use of probiotics for the prevention of the common cold, comparison of results was not possible.

Therefore, when taking probiotics constantly, it is difficult to exclude the possibility of a somewhat lower risk of developing a cold. There are no reported serious side effects of the use of probiotics in the current literature. However, the use of probiotic administration for cold prevention must be determined by considering the severity of colds, and the cost and inconvenience of administering probiotics.

A subgroup analysis was performed based on the length of the probiotics administration period. When the period was less than three months, the occurrence of the common cold decreased by 18%, but if it was longer than three months, it had no effect on cold prevention. Typically, more people suffer from colds during a change in season.¹⁷⁾ Therefore after three months, the probability of the occurrence of a common cold can be increased, which may be an explanation of our results. It should be considered whether medication period occurred during a change in season; without doing so it is hard to make the conclusion that short-term administration of probiotics has a preventive effect.

Based on the other subgroup analysis, results of the

comparison between administration of probiotics with vitamins and minerals and just probiotic administration showed that incidence of the common cold decreased by 13%. The coldpreventive effects of probiotics may have been diminished due to the use of vitamins, but it is difficult to draw any clear conclusions because only one study was analyzed. Whether cold prevention effects might be different between adults and young children is hard to determine because there was only one pediatric study investigated. In addition, subgroup analyses concerning gender, types of probiotics, daily dose, and underlying disease were not performed since each study is very different or such factors were not properly reported in the papers.

This study has several limitations: first, since the common cold cannot be diagnosed from clinical symptoms alone and objective diagnostic indicators for the common cold do not exist, occurrence of the common cold can be missed or misdiagnosed. This can influence the outcome of the research. Four studies included in this systematic review chose to diagnose based on clinical symptoms determined by questionnaires. However, these methods do not distinguish clearly between the common cold and other respiratory diseases including lower respiratory diseases. It may be necessary to conduct a large scale study in which experts differentiate colds from other respiratory infections. Second, differences in cold prevention effects can exist depending on the kinds and the amount of probiotics used. There are differences between probiotic strains in their ability to clean the intestine, and the there is evidence that viability of Streptococcus themophilus and Lactobacillus delbrueckii ssp. *bulgaricus* in the intestine is weak.^{18,19)} Since each type of probiotic might have a different effect on cold prevention, future research on effectiveness will be required taking into account the type and number of strains, methods of mixing strains, and process.

In conclusion, the cold preventive effects of probiotics administration was investigated through a systematic review of randomized controlled trials, and the results showed that there was no statistically significant cold prevention overall, but there may be a borderline preventive effect. A preventive effect was found mainly in the case of a study period of less than three months and it appeared when a placebo group was used as the control group. However, the decision as to whether to take a probiotic for prevention of the common cold should be made by considering the severity of the cold and the cost and inconvenience of probiotics administration.

CONFLICT OF INTEREST

No potential conflict of interest relevant to this article was reported.

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