

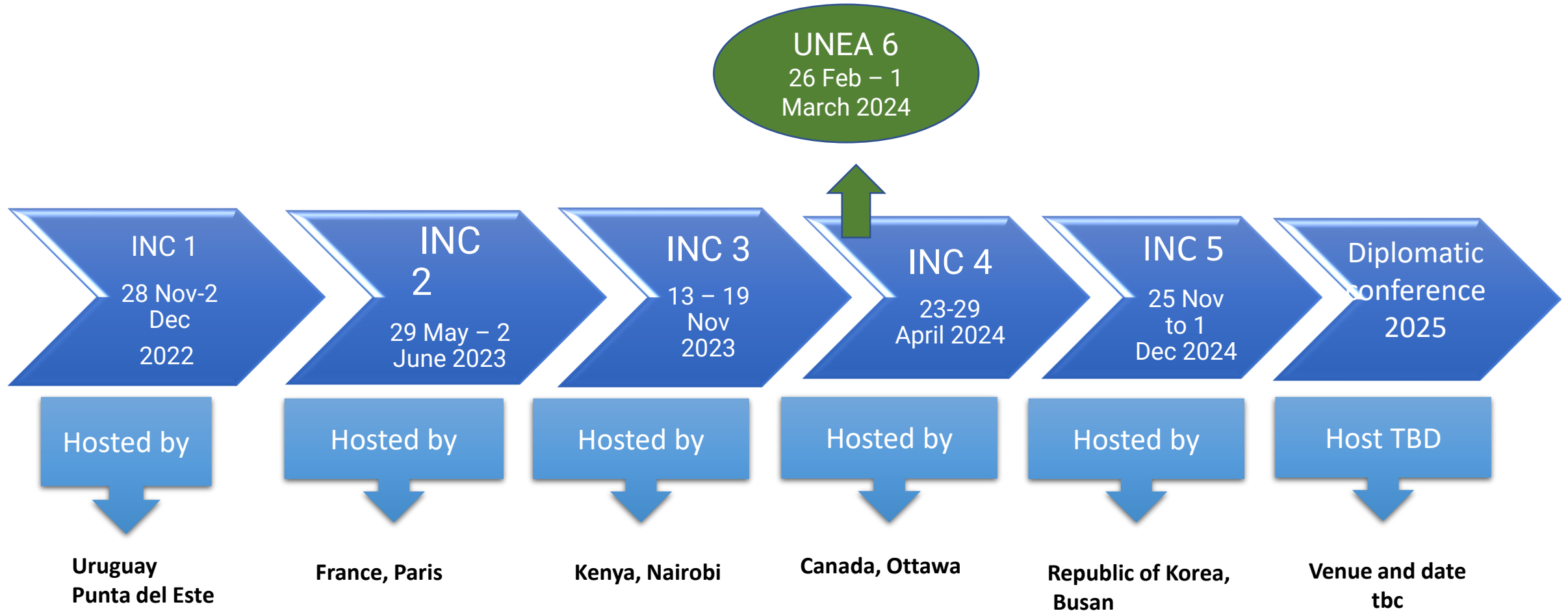
Secretariat of the INC on Plastic Pollution Donors Update

Jyoti Mathur-Filipp, Executive Secretary and Head of the INC Secretariat
07 February 2024, 13:00-15:00 EAT
Virtual meeting

Agenda

- 1. Welcome and introduction**
- 2. Budget update for the INC process**
 - 2.1. Overview of status of contributions and expenditures**
 - 2.2. Projections for INC meetings in 2024**
 - 2.3. Regional consultations in 2024**
 - 2.4. Existing funding gaps for 2024 and possible funding needs**
- 3. Structure of the Secretariat of the INC**
- 5. Any other business**

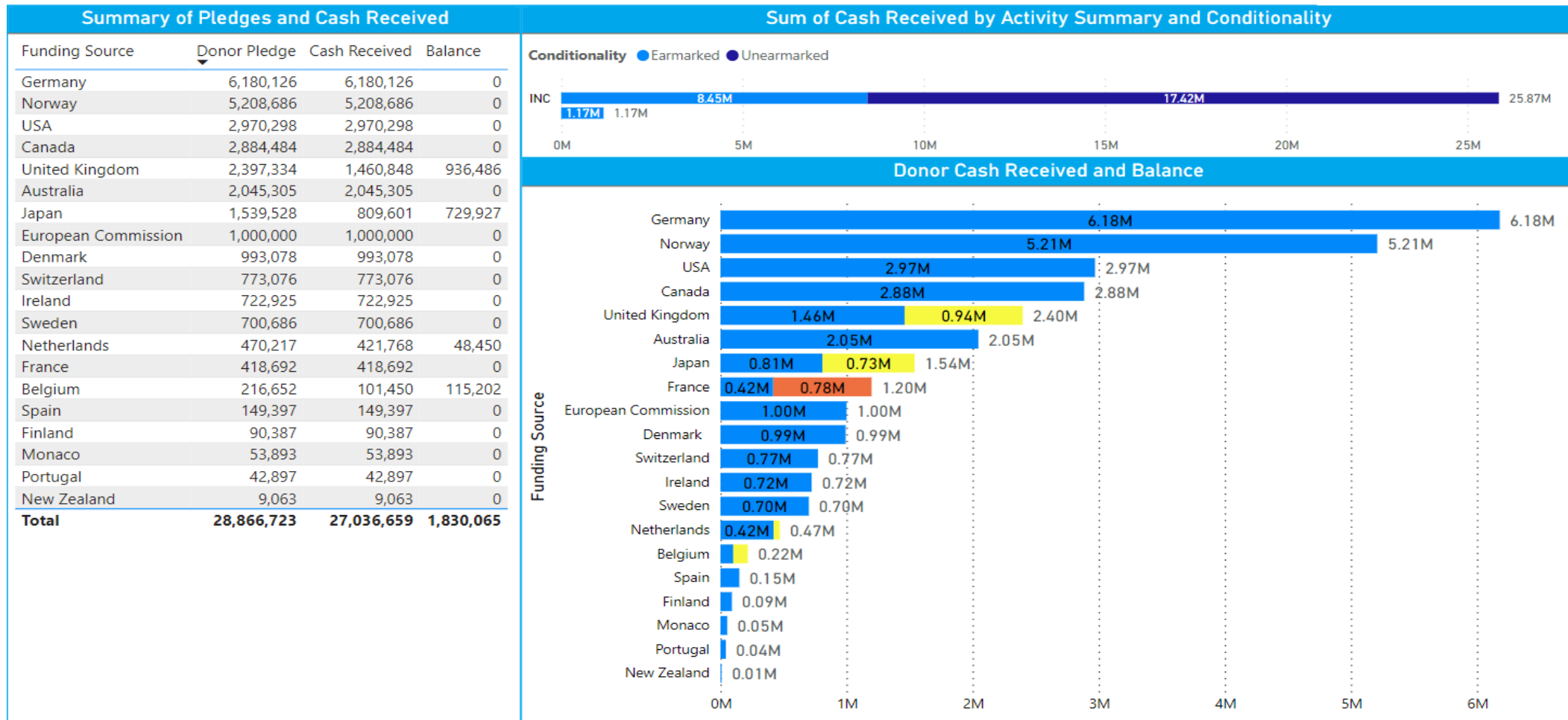
1. Introduction: INC timeline



2.1. Overview of status of contributions

(as of 31 January 2024)

Pledges as of January 2024 are USD 28.9 million, and cash received is USD. 27 million.



*Blue represents cash received and Yellow represents pledged contributions.

In orange is the amount incurred by France directly with hosting of INC-2 (~ USD 780,000).

2.1. Actual expenditure incurred by the INC Secretariat

(from 2022 to January 2024)

INC Expenses Category	FY -2022	FY -2023	Jan-24	Total Expenses as of Jan 2024
	USD	USD		USD
INC Staff and Personnel Cost	401,070	1,779,148	133,234	2,313,451
INC Operations Cost	35,261	167,522	456	203,238
OEWG Dakar, Senegal Meeting	1,085,160			1,085,160
INC Meeting -INC 1	1,853,674	62,947		1,916,621
INC Meeting -INC 2		1,494,963		1,494,963
INC Meeting -INC 3		2,039,798		2,039,798
INC Meeting -INC 4			225,014	225,014
Regional Consultations		292,583		292,583
PSC	338,433	749,834	30,729	1,118,997
Total Cost	3,713,598	6,586,794	389,433	10,689,825

The expenses as of 31 January 2024 is approx. USD 11 million.

The expenses for the previous Years 2022 (USD. 3.7 million) and 2023 (USD. 6.5 million) and for January 2024 (USD. 0.4 million).

2.2. Overall projections for 2024-2025

The overall **INC estimated budget** is USD. 29.8 million.

Actual expenses of approx. 11 million have been considered.

Expenditure Category	2022	2023	2024	2025	Total	Funded	Unfunded
Secretariat Annual Requirements							
Staff and other personnel costs	401,070	1,779,148	2,371,359	2,456,342	7,007,918	7,007,918	-
Contractual services	33,720	167,522	171,000	171,000	543,241	543,241	-
Operational cost	1,541	-	15,512	7,756	24,808	24,808	-
Travel		-	50,000	275,000	325,000	325,000	-
Other costs (Fixed)		-	89,568	89,568	179,136	179,136	-
Sub-total	436,330	1,946,669	2,697,439	2,999,666	8,080,104	8,080,104	-
INC Meetings							
OEWG Dakar	1,085,160				1,085,160	1,085,160	-
INC Meeting -INC1	1,853,674	62,947			1,916,621	1,916,621	-
INC Meeting -INC2		1,494,963			1,494,963	1,494,963	-
INC Meeting -INC3		2,010,127			2,010,127	2,010,127	-
INC Meeting -INC4			3,893,133		3,893,133	3,893,133	-
INC Meeting -INC5			3,517,477		3,517,477	968,110	2,549,367
Diplomatic Conference				2,663,329	2,663,329	2,663,329	-
Regional Consultations		322,254	1,492,445		1,814,699	1,814,699	-
Sub-total	2,938,834	3,890,291	8,903,055	2,663,329	18,395,510	15,846,143	2,549,367
PSC	338,433	749,834	1,508,064	736,189	3,332,521	3,110,412	222,109
Total	3,713,598	6,586,794	13,108,558	6,399,185	29,808,135	27,036,659	2,771,476

2.2. Projections for INC-4

<i>INC - 4, Estimate Budget, Canada, Ottawa, 23 -29 April, 2024</i>	<i>Overall Budget Estimate</i>
	USD
Conference Services	380,318
Documentation, Interpretation, Translations and Report writing	508,866
Staff travel and UNDSS	652,648
Meeting Participants travel	2,029,460
UNTV	25,000
Contingencies (5%) - Per UNON Estimates	43,445
PSC	253,396
Overall Total - (incl. PSC)	3,893,133

The budget estimate for INC-4 is approx. USD 3.9 million. Canada has provided a contribution of approx. USD. 2.9 million to cover host country costs. Any available balance is to be used for travel support. The remaining USD 0.9 million will be covered from current earmarked contributions from donors for travel support of delegates.

2.2. Projections for INC-5

<i>INC - 5, Estimate Budget, Republic of Korea, Pusan, 25 November - 1 December, 2024</i>	<i>Overall Budget Estimate</i>
	USD
Conference Services	583,716
Documentation, Interpretation, Translations and Report writing	529,180
Staff travel and UNDSS	591,212
Meeting Participants travel	1,560,225
UNTV	30,000
Contingencies (5%) - Per UNON Estimates	55,645
PSC	167,499
Overall Total - (incl. PSC)	3,517,477

The total budget estimate for INC-5 is USD. 3.5 million.

The host country of INC-5 has indicated that a contribution will be made towards the organization of the session, but it may not cover the entirety of the costs.

2.3. Regional consultations

Regional Consultations			
INC Expenses Category	Actual -2023	Budget 2024-2025	Funded
Regional Consultations	322,254	1,492,445	1,814,699
PSC	41,893	194,018	235,911
Total Cost	364,147	1,686,463	2,050,610

Following previous practice, the INC Secretariat offered to support regional consultations prior to INC-4 and INC-5. The regional consultations will be done at the level of the UN regions. For INC-4, these are expected to take place throughout March 2024.

In 2023, expenses for the regional consultations stood at over USD 360,000.

The budget estimate for year 2024 is USD 1.6 million.

INC Secretariat is currently funding 1 delegate per eligible country. We also provide interpretation and conference services.

2.4. Existing funding gaps for 2024

2024 - 2025: Budget Estimate: Funded and Unfunded				
INC Expenses Category	Actual	Budget	Funded	Unfunded
Total Cost		19,507,744	17,824,534	2,771,477

Which expense categories remain unfunded?

INC-5, under the understand that a financial contribution will be made by the host country of INC-5. However, this may not cover the entirety of the meeting costs.

The current estimates for diplomatic conference and its preparatory meeting could currently be funded from available unearmarked contributions. Projections are based on a 5-day period, with estimated based on the Nairobi duty station costs.

2.4. Possible funding needs for the INC process

Possible intersessional work if so decided by the Committee

Expert Group Meeting						
Activity	Meeting for 5 Delegates per Region			Meeting for 7 Delegates per Region		
	3 Day	4 Day	5 Day	3 Day	4 Day	5 Day
Nairobi, Kenya	92,398	95,426	102,485	112,920	122,839	132,758
Geneva, Switzerland	100,869	106,264	117,873	140,912	147,971	163,730
PSC- 13%	25,125	26,220	28,647	32,998	35,205	38,543
Total	218,392	227,909	249,004	286,830	306,015	335,031

Expert Group Meeting: The cost assumptions are for 3-, 4- and 5-days expert meeting (per meeting), held at the seat of secretariat in Nairobi for either 5 or 7 experts per region. Calculation based in Geneva costs also presented.

Estimates are on the assumption that the meetings will be held in English only.

This is without prejudice to any decision that the Committee may take.

2.4. Possible funding needs for the INC process

Possible intersessional work if so decided by the Committee

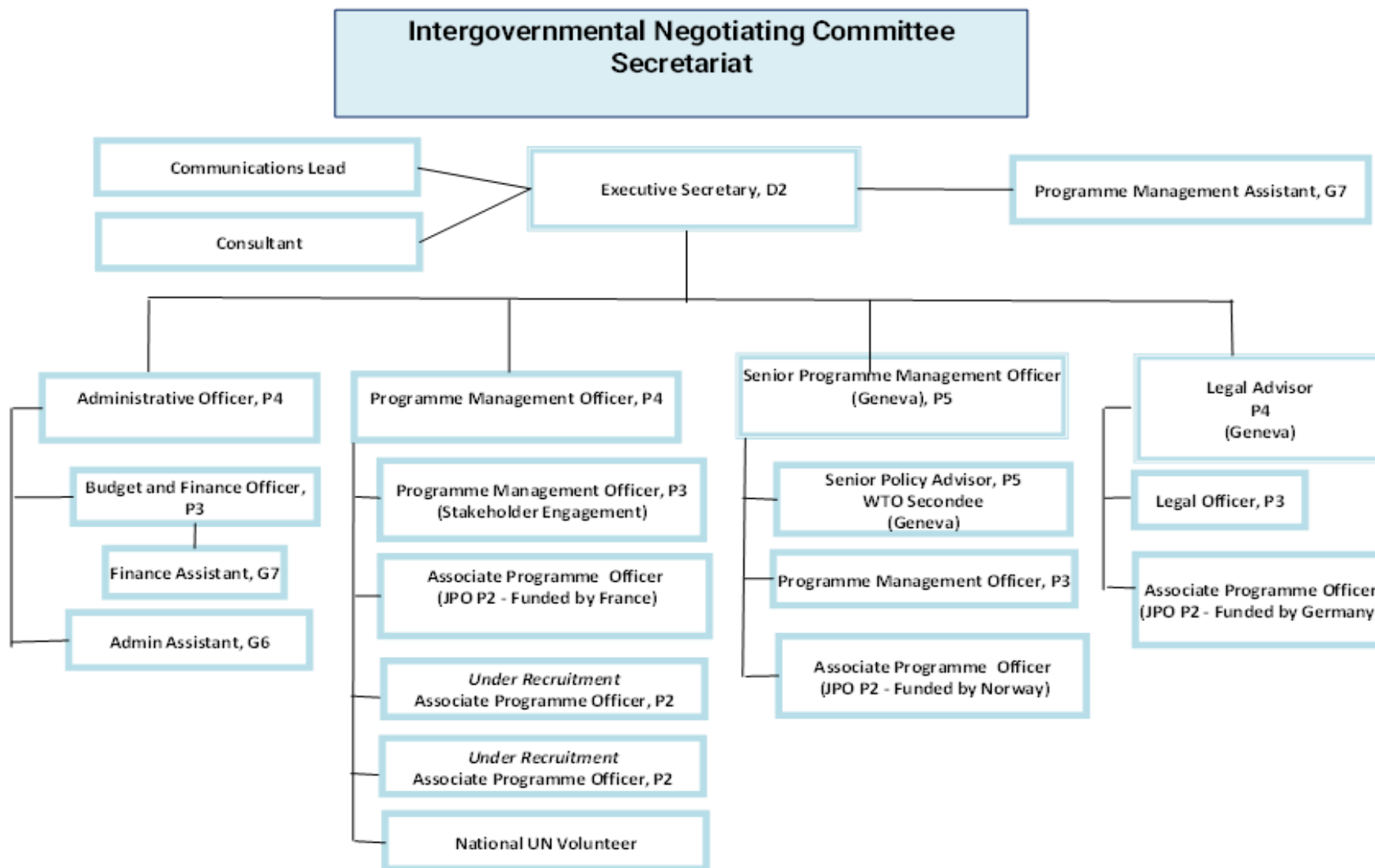
Possible OEWG - Meeting, in Nairobi	1 Delegate		2 Delegates	
	1 Day	2 Days	1 Day	2 Days
Possible scenerio				
Conference Services	20,713	41,506	20,713	41,506
Documentation processing costs	142,901	146,046	142,901	146,046
Equipment Services, ICTS and FMST	41,535	44,941	41,535	44,941
UN Secretariat Staff Travel	21,679	23,239	21,679	23,239
Meeting participants - Travel	443,498	485,098	866,047	949,867
Contingencies (5%) - Per UNON Estimates	10,257	11,625	10,257	11,625
PSC- 13%	88,476	97,819	143,407	158,239
Total	769,060	850,273	1,246,541	1,375,463

Open Ended Working Group Meeting: The cost assumption is 1- and 2-days meeting, with 1 and 2 sponsored delegate per eligible country Member States.

The baseline location is Nairobi. Should a Member State wish to host an OEWG, the incremental costs from Nairobi can be provided. Flights and DSA will change based on cities selected. Secretariat travel will be included.

This is without prejudice to prejudice to any decision that the Committee may take.

3. Structure of the Secretariat of the INC



4. AOB

Interim secretariat staffing after the diplomatic conference

INC Budget Proposal	
Activity	One Year
Secretariat Requirements	
Staff and other personnel costs	5,053,399
Operational and Others	310,234
Travel	380,000
PSC- 13%	746,672
Total	6,490,304

Estimates are based on the Nairobi location, for 20 staff positions. It also includes estimates for 3 consultants.

Operational and other costs respect to office operational costs, including common services, rent and utilities, and others, including website.

Travel costs include the travel for purposes of advocacy to promote ratification.

This is without prejudice to any decision to be taken by the diplomatic conference.

4.AOB

Possible INC meetings after the diplomatic conference

Activity- Possible INC Meetings	2 Delegates
Possible INC - TBC	2,770,472
Possible INC - TBC	2,770,472
Possible INC - TBC	2,770,472
PSC- 13%	1,080,484
Total	9,391,899

Based on an average estimate from previous INC sessions. Costs will vary depending on location. Estimates include conference services and venue.

The estimates for travel support are based on 2 funded delegates per eligible country.

This is without prejudice to any decision to be taken by the diplomatic conference.

4. AOB

- Status of contributions and budgetary update available on the INC website: <https://www.unep.org/inc-plastic-pollution>
- UN Board of Auditors;
<https://www.un.org/en/auditors/board/auditors-reports.shtml>
- Ref. to UNEP audited 2022 financial statements: <https://documents-dds-ny.un.org/doc/UNDOC/GEN/N23/148/92/PDF/N2314892.pdf?OpenElement>
- Internal Audit, oversight and investigations: <https://oios.un.org/inspection-and-evaluation>



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Thank you for all of your support!

For questions and queries, please write to:

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