# A meta-analysis of crop yield under climate change and adaptation

#### 3 Data collection and analysis methods

The AR4 dataset [3], which collated projections of the response of maize, wheat and rice to local
warming, was extended and the entire dataset reanalysed using consistent methods. New

6 information was added for a large number of entries on the projection period of the study.

7 Adaptation was categorised according to whether planting date, irrigation, cultivar or "other

8 agronomic" (e.g. referenced in the study as "technology change") adjustments were simulated by

9 the crop model. Multiple entries were made where many crops, regions, temperatures, projection

10 periods or adaptations were used. Each entry in the dataset was designated as either a tropical or

11 temperate system, according to the location and the crop studied. Where the classification was not

12 clear through direct reference in the paper, some countries and regions were designated as tropical

13 for all crops (Brazil, Central Africa, Central America, East Africa, Sahel, South Asia, Southeast Asia,

14 West Africa, West Asia) and others as temperate (Europe, US, Andean region, Southern Africa).

15 China was categorised as temperate, with the exception of rice, which was designated as tropical.

16 Country-scale studies of Argentina were given neither a tropical nor temperate categorisation.

17 The procedure for adding to the dataset was similar to that of the original AR4 study, with the

18 exception that we considered all published studies of crop yield response to climate change,

19 whether from a process-based or statistical model; whereas AR4 included the former only. All the

20 statistical models included in the meta-analysis use aggregated regional-scale data. Most studies

21 (>75%) were climate impact studies, i.e. they used a climate model, but some were sensitivity

22 studies and two, from the AR4 dataset, were field experiments. The literature search was broad and

23 inclusive, with no preference given to any particular region and no assessment of the methods used

in the studies. Instead, we devised a quality control procedure in order to remove datapoints that

25 are not representative of global production.

Quality control consisted of first examining outliers in order to understand the reasons for the 26 27 differences. All site-scale studies that produced changes of greater than 50%, in either direction, 28 were examined in detail. Nine studies included reported yield changes of greater than +50% and ten 29 studies were in the corresponding category for negative changes. This procedure resulted in the 30 removals of 8 data points. Four studies produced high yields since they focused on high elevation 31 areas and/or large increases in rainfall or CO<sub>2</sub>. These studies were retained. In two cases, a single 32 study contained a large number of data points in disagreement with consensus from the literature 33 for the same crop in the same region. Those data points were removed in order to remove bias due 34 to a single study. A final quality control procedure was conducted immediately prior to the use of 35 the data in the first full draft of Chapter 7 of IPCC AR5, in order to remove any biases in the number 36 of adaptation vs no-adaptation data points reported from within one study. This resulted in the 37 removal of a further total of 44 datapoints, from two studies. All entries that passed the quality 38 control procedures are treated equally in the meta-analysis. Appendix 1 provides a summary of the 39 references used for each of the figures in this study.

40 Multiple entries from single studies are a potential source of bias, particularly given a number of

41 regionally-differentiated global studies that have been published in recent years. These studies can

- 42 have a large number of entries in the database, since projected crop yields were available by region
- 43 or country in many cases, and for numerous crops. Therefore, a small number of studies could have
- 44 a disproportionate impact on the meta-analysis. The frequency count of the number of entries per
- 45 study (Supplementary Fig. 3) shows that the top six studies, which are the only studies that
- 46 individually make up more than 5% of all entries, are a natural choice for testing this hypothesis. All
- 47 analyses were repeated for these six studies [39-44] alone, and for the full dataset minus these six
- 48 studies. Yield changes were generally lower in the top six studies alone (-7.9% on average) than in
- 49 the full dataset (-4.5% on average). However, the underlying responses across climate variables were 50 not significantly distinct between the two categories. This indicates that the emerging literature on
- 51 global crop impacts is broadly consistent with regional studies.
- 52 A second potential source of bias in the meta-analysis is the geographical spread of data points. The 53 regions where the major crops are grown are not necessarily the same regions that are studied in 54 the literature. Supplementary Table 1 presents data on area harvested for 2011, together with the 55 percentage of data points in the meta-analysis (by crop and region) that come from those countries. 56 In all cases where the percentage of data points exceeds 20% the corresponding country is one of 57 the top two producers globally. This suggests no significant skewing of results towards a particularly 58 well-studied non-productive country. Conversely, with the exception of Russian wheat, the top two 59 producing countries globally rank in the top two in terms of coverage in the meta-analysis. Thus the 60 dataset is reasonably representative of the major global producers, although Brazilian maize and rice 61 in Indonesia and Bangladesh are under-represented. Note that the rice production data is for paddy
- 62 rice, whereas the meta-analysis included upland rice.
- 63 A more subtle question regarding the representativeness of the dataset is whether the spread of
- 64 data differs between countries in a way that might bias results. Supplementary Fig. 4 presents the
- 65 data from fig. 1 in the main text decomposed into the top five contributions from individual
- 66 countries. The majority of the data do not show systematic differences between countries.
- 67 Temperate rice is one exception, where China appears to have greater spread than the rest of the
- 68 dataset, perhaps reflecting the large range of production environments in that country. There are
- also some single-country contributions in some cases at high (e.g. Cameroon in tropical maize) and
- 70 low (e.g. East Africa in tropical maize) yield values. The paired analysis of adaptation also showed a
- 71 good geographical coverage: Argentina, Australia, Austria, Bangladesh, Brazil, Bulgaria, Cameroon,
- 72 Canada, India, China, East Africa, Egypt, Europe, France, Germany, Philippines, Indonesia, Japan,
- 73 Kazakhstan, South Africa, Malaysia, Mali, Mexico, Moldova, Myanmar, Pakistan, Nepal, Paraguay,
- 74 Poland, Romania, Russia, South Korea, Sri Lanka, Taiwan, Thailand, UK, Ukraine, United States,
- 75 Uruguay.
- 76

## 77 Statistical regression models

- 78 Statistical analysis was implemented in the R package Regression Modelling Strategies (RMS) [45]. To
- control for non-independence, we calculated Robust Covariance Matrix Estimates (ROBCOV) of
- 80 parameter standard errors using study (S) as a cluster variable [46,47]. The General Linear Models
- 81 are described in the main paper. Interpretation of individual coefficients in the model requires some
- 82 caution, given a small but statistically significant correlation between  $\Delta$ T and  $\Delta$ P (r=0.30; P<0.001)
- and  $\Delta T$  and  $\Delta CO_2$  (r=0.31; P<0.001). That is, studies that consider larger temperature changes tend
- also to have higher CO<sub>2</sub> and more positive changes in rainfall, due to 1. the fundamental link

- 85 between CO<sub>2</sub> and Global Mean Temperature (GMT) and 2. the increase in globally averaged rainfall
- 86 with GMT, albeit with marked regional differences. Therefore, it is likely that the coefficient on  $\Delta T$
- 87 (as well as bivariate plots such as Fig. 1) captures some of the positive effects of increased P and  $CO_2$ ,
- and conversely that the coefficients on the  $\Delta P$  and  $\Delta CO_2$  capture some temperature effects.
- 89 Nonetheless, co-linearity is low enough that we do not view it as a serious concern for interpreting
- 90 overall trends (see Supplementary Fig. 5).
- 91 In addition to the model presented in the main paper ('main') we fit a model which included all first
- 92 order interactions between explanatory variables ('full'). The results are shown in Supplementary
- Table 2. Some of the interaction terms were significant including  $P^*\Delta CO_2$  (t=-2.57; P = 0.0102) and
- A\*R (t=-2.10; P = 0.0362). This implies that the benefits of adaptation have been more clearly
- 95 demonstrated for temperate compared with tropical regions; and that the positive effects of  $CO_2$
- 96 are less clear under high precipitation (as also reported elsewhere [48]). The  $A^*\Delta P$  term is negative,
- and although the interaction falls short of statistical significance (P=0.0618), this result suggests that
  the positive effects of adaptation are less clear under high precipitation (c.f. Main Fig. 2, which
- 99 shows a similar effect).
- 100 We calculated the marginal effects of both models for each of  $\Delta$ CO2 ,  $\Delta$ P and  $\Delta$ T. Increasing  $\Delta$ CO2 by
- 101 1ppm caused a 0.06% increase in mean predicted  $\Delta Y$  in both the main and full models. Increasing  $\Delta P$
- 102 by 1% caused a 0.53% increase in predicted mean  $\Delta Y$  in both the main and full models. Increasing  $\Delta T$
- by 1°C caused a 4.90% and 4.87 % reduction in mean  $\Delta Y$  in the main and full models, respectively.
- 104 These marginal effects suggest there is little difference in mean model predictions between the main
- and full models. However, because the full model masked some of the significant main effects we
- 106 chose to present a parsimonious model including only main effects in the paper. For both models,
- 107 checking plots confirmed residuals were approximately normally distributed and homogenous
- 108 among fitted values (Supplementary Figure 6).
- 109

## 110 Comparison of results with historical data

- 111 Ongoing evaluation of crop model skill using experimental data (e.g. refs 49, 50) is a critical part of
- building confidence in the predictions made by models. Observed changes are becoming an
- 113 increasing resource for evaluating model results. Whilst there is evidence that some of the processes
- 114 that are predicted to be important under climate change are starting to be observed across large
- regions (e.g. ref. 51), it can be difficult to assess the ability of models to reproduce observations (c.f.
- refs 52, 53). Nonetheless, evaluation of crop model skill using experimental data, which has been
- 117 carried out for all of the models used in this meta-analysis, is an important underpinning element of
- 118 confidence in the results generated by this study.
- 119 Whilst the wealth of work on model evaluation is important, it leaves unanswered the question of
- 120 how consistent the meta-analysis is with current understanding of crop responses to climate change.
- 121 Historical data from crop science experiments and regional scale analyses can be used to assess the
- results of this study. Both types of comparison have associated challenges. Direct comparison of the
- 123 meta-analysis presented here with experimental data is impossible, since the knowledge generated
- 124 on the response of crops to climate by field and controlled environment experiments is inherently
- 125 different to that generated from modelling. Temperature response curves developed from the meta-
- 126 analysis include associated changes in other meteorological variables, making direct comparison to

- 127 controlled environments impossible. Also, much of the experimental data is itself used, or even
- designed [54], to develop model parameterisations so the two sources of data are not
- 129 independent. Given these limitations, a comparison of crop science experiments with the central
- tendencies derived in this study is not warranted. However, the full range of yield responses
- provided by the meta-analysis would be expected to be consistent with experimental data. This is
- indeed the case, although the large range of reported yield sensitivities, even within one study,
- means that this comparison is of limited use. For example, refs 55, 56 show sensitivities of winter
- 134 wheat seed yield of around 5-10%, whilst ref. 57 reports values ranging from a few percent up to
- around 50%, depending on the season analysed. Each of these estimates of yield sensitivity is itself
- 136 subject to the uncertainty in measuring the response of yield at each temperature. The ranges are
- 137 not dissimilar in character to those found in this study.
- In contrast to experimental data, regional data can be compared to the central tendencies derived
   from the meta-analysis. Using country-scale data from 1980 to 2008, ref. 58 observed a global-scale
- 140 yield loss of approximately 5% per degree of warming for wheat and maize. Values for individual
- 141 countries ranged between 2 and 12%. Rice showed a less clear response to warming, with
- 142 temperature sensitivities showing some dependency on the method chosen. Central tendencies in
- 143 the meta-analysis show yield changes of less than 5% per degree for temperate maize and wheat,
- and values within the range 5-12% for tropical maize and wheat. As with ref. 58, rice showed a less
- 145 clear response to warming. Given the range of temperature sensitivities reported by ref. 58 we find
- 146 our results to be broadly consistent with theirs; although the lower temperature sensitivities in
- 147 temperate regions (main Fig. 1) are worthy of note. The statistical analysis presented here shows no
- 148 significant difference between temperate and tropical crops, however, which suggests that the
- 149 comparison of global values is the most robust.
- 150

### 151 Comparison with AR4

- In order to understand the value of the model data generated since AR4, a bootstrap analysis of data 152 153 (including simulations with and without adaptation) was conducted using i. the full dataset and ii. 154 only those data from the AR4 dataset. Supplementary Fig. 7 shows the results. The additional data 155 have resulted in more constrained bootstraps in some cases (e.g. tropical maize and wheat at low 156 temperature changes). This indicates that the additional datapoints have decreased the uncertainty 157 in estimation of aggregated yields. However, the increased spread of data in the new dataset means 158 that there are also regions of the plots where this is not the case, and uncertainty has not changed. 159 For some parts of some curves the results from the two datasets are clearly separated. In particular 160 the results highlight the potential, visible in the full dataset, for yield loss in all three temperate
- 161 crops at low temperature change. This results contrasts with AR4, where gains were observed at low162 temperatures.
- The increase in the spread of datapoints between AR4 and the current analysis may in part be due to changes in methodology. Of the top six studies (see Supplementary Fig. 3), five are post-AR4. Four of these use global or near-global gridded crop models [39-42], whilst just one focusses on sampling uncertainty over a more limited spatial domain [44]. This suggests that the increased spatial sampling associated with gridded models may be the cause of the increase in spread. Another possible reason is differences in the structure of gridded models, compared to the more traditional
- 169 point-based models; however, it is difficult to postulate a cause for such a systematic difference.

- 170 The AR4 dataset included only process-based crop models. In order to understand the impact of
- 171 inclusion of statistical models, analyses for all the figures were repeated using only process-based
- models. This removed 127 datapoints, taken from four studies. The only case where results were not
- 173 virtually identical to the full dataset is the projection figure. Supplementary Fig. 8 presents the
- projection results for process-based models only (see Appendix for details of references). The
- principal discernible difference between this figure and Main Fig. 1, where the full dataset is
- presented, is that for the 2020s (the period over which most of the statistical models are applied)
- the full dataset shows less consensus on yield decreases and more consensus on yield increases than
- the subset. Thus the statistical models predict a greater (negative) impact of climate on crop yields
- 179 for the near future.

### 180 Differentiating tropical and temperate regions

- 181 The tropical vs temperate distinction as used in this study, and outlined at the start of this
- document, contains some classifications that are less universally agreed than others. In order to test
- 183 the influence of our chosen classification on the results, we repeated the statistical regression,
- 184 omitting the less clear-cut classifications, namely any data from China or the Andean region. The
- results are presented in Supplementary Table 3. The results are very similar to those presented in
- the main paper (Table 1). The only difference in the statistical significance of the GLM is that the CO2
- 187 term has gained greater significance (P=0.0003, as opposed to 0.0022).
- 188

## 189 The effectiveness of different adaptation strategies

- 190 Of the adaptation strategies distinguished in the study (planting date, fertiliser, irrigation, cultivar or
- 191 "other agronomic"), only two categories have more than 20 entries in the paired adaptation studies:
- 192 planting date plus cultivar adjustment (with n=151, mostly from ref. 40), and cultivar adjustment
- alone (n=56). Conclusions regarding which adaptation strategy is best are therefore difficult.
- 194 Supplementary Fig. 9 summarises the results from the paired adaptation studies. The clearest
- benefit of adaptation is seen in simulations that adjust the cultivar (which are also the categories
- 196 with the largest sample sizes). Irrigation and planting date adjustment also show some benefit,
- although in these cases the standard error crosses the zero line. Low sample size is likely an issue.
- 198 Widening the analysis to include all simulations where adaptation was simulated provides more
- data, although it precludes paired comparisons with the non-adapted case. Supplementary Table 4
- shows that of the four categories (for this analysis fertiliser was included with "other") only irrigation
- and "other", on average, increase yields from baselines values. Of the four categories, irrigation is
- 202 the one that is most likely to systematically increase yields, since planting date and cultivar changes
- 203 can reduce yields. Modelling studies do not always distinguish between proven beneficial
- adaptations and adaptations that attempt to minimise or reverse yield loss, but in practice may fail.
- 205 Thus the high ranking of irrigation as an adaptation option in this analysis in part reflects the fact
- that irrigation is unlikely to be a maladaptation; but also in part reflects the modelling methods used.
- 207 In all four cases the standard deviation of yield change is larger than the average result, indicating
- 208 that caution is required when interpreting the results.
- 209

### 210 Limitations of the analysis

- 211 The inclusivity of the literature search conducted for this analysis has resulted in a large sample size.
- 212 Whilst this is beneficial in statistical terms, important limitations also result from taking such a broad
- sweep of the literature. The numerous yield projection data are from studies that not only use
- 214 different methods, but also are for a range of crops and regions. Some of the resulting limitations
- 215 have been at least partially addressed above (e.g. the biases introduced by the random geographical
- sample provided by the literature; the implications of decisions regarding whether a system is
- 217 tropical or temperate). Perhaps the most notable limitation and also the most difficult to assess –
- is that the assumptions in the underlying studies affect the robustness of the analysis. A partial
- assessment of this limitation has already been made with respect to the inclusion of both statistical
- and process-based models. However, within each of these categories, particularly the latter, there is
- significant variation in the modelling methods used.
- 222 It is impossible to fully assess the implications of the numerous assumptions made by the numerous 223 models and methods used across the studies; indeed, even for single studies this is a difficult task. 224 However, given that most crop models share similar assumptions, some general comments can be 225 made. Models have limitations in simulating all types of adaptation, since in each case there are 226 parameter and/or input data sensitivities that cannot be fully evaluated. For example, skilful 227 simulation of crop yield using a given cultivar parameter set in a given environment does not in itself 228 imply skill in another environment. In short, capturing G x E x M is challenging for crop models, and 229 this has implications for the fidelity of adaptation simulations. Changes in planting date are subject 230 to similar limitations, since crop models can show high sensitivity to the timing of rainfall [59]. This
- leads some authors to use planting date as a metric of uncertainty, rather than an adaptation
- 232 measure [41]. Perhaps the most notable limitation, however, is the fact that all adaptations
- 233 simulated are incremental. These relatively small adjustments contrast to more systemic changes
- such as changed crop species or grazing integration, or more transformational options such as crop
- relocation or complete change in the farming system, such as moving from irrigated to dryland
- 236 systems [10]. For these reasons the assessment of adaptation options presented in this study should
- 237 be clearly understood as being model-centric and inherently limited.
- A key implication of the inclusivity of the literature search is the large spread of projected yields;
  larger than that of the AR4 analysis. Similar results have been found elsewhere: ref. 60 found that
- 240 their simulations "display a wider range of uncertainty compared to the AR4 results, reflecting the
- 241 much fuller geographical coverage and diversity of crop models represented in the current study." In
- 242 contrast, climate model uncertainty (as expressed by ranges in meteorological variables) has not
- changed significantly between AR4 and AR5 [61, 62]. This contrast is perhaps not surprising, since i.
- analyses of climate models tend to focus on a relatively small number of variables (temperature,
- precipitation etc), and ii. the systems analysed lack the biological and social diversity that lead to the
- 246 multiplicity of crops, locations and yields analysed in this paper.
- The analysis above ("Comparison with AR4") shows that the increased spread between AR4 and AR5 analyses of yield projections need not result in significantly greater uncertainty in estimating central tendencies. Further, there is some suggestion that this spread may in part be due to greater spatial sampling. The diverse nature of the literature available does mean that the central tendencies derived should not be taken in isolation. Broadly speaking the central tendencies derived here are consistent with other estimates of yield response to climate change (see "Comparison of results with
- 253 historical data" above).

| 254 |  |
|-----|--|
| 255 |  |

| Country                  | Area (MHa) | % datapoints |
|--------------------------|------------|--------------|
| Wheat                    |            |              |
| India                    | 29         | 55           |
| Russian Federation       | 25         | 3.4          |
| China                    | 24         | 15           |
| United States of America | 18         | 17           |
| Rice                     |            |              |
| India                    | 44         | 67           |
| China                    | 30         | 61           |
| Indonesia                | 13         | 0            |
| Bangladesh               | 12         | 2.8          |
| Maize                    |            |              |
| United States of America | 34         | 35           |
| China                    | 34         | 38           |
| Brazil                   | 13         | 3.5          |
| India                    | 7          | 13.3         |

- **Supplementary Table 1.** Data from FAOSTAT showing the area harvested for the top four producers
- of maize, wheat and rice in 2011. The second column shows the percentage of datapoints in the
- 263 respective meta-analysis category (e.g. temperate maize) that come from that country.

| Term                            | Coefficient | S.E.   | t     | Р          |
|---------------------------------|-------------|--------|-------|------------|
| Intercept                       | -12.6140    | 5.6392 | -2.24 | 0.0256 *   |
| A ('no'=0; 'yes'=1)             | 14.0560     | 6.3581 | 2.21  | 0.0273 *   |
| R ('temperate'=0; 'tropical'=1) | 4.9728      | 6.7468 | 0.73  | 0.4613     |
| M ('c3'=0; 'c4'=1)              | 2.9265      | 4.4719 | 0.65  | 0.5130     |
| ΔΤ                              | -4.1575     | 2.2038 | -1.89 | 0.0595     |
| ΔΡ                              | 1.4597      | 0.3124 | 4.67  | <0.0001 ** |
| $\Delta CO_2$                   | 0.0748      | 0.0446 | 1.67  | 0.0946     |
| A*R                             | -11.1276    | 5.3029 | -2.10 | 0.0362 *   |
| A*M                             | -7.007      | 3.2938 | -1.70 | 0.0755     |
| Α*ΔΤ                            | -0.3494     | 1.8446 | -0.19 | 0.8498     |
| Α*ΔΡ                            | -0.3514     | 0.1879 | -1.87 | 0.0618     |
| A*ΔCO <sub>2</sub>              | 0.0375      | 0.0234 | 1.60  | 0.1105     |
| R*M                             | -2.8040     | 5.7509 | -0.43 | 0.6235     |
| R*ΔT                            | -0.1199     | 2.7886 | -0.04 | 0.9657     |
| R*ΔP                            | -0.065      | 0.2737 | -0.24 | 0.8110     |
| R*∆CO₂                          | -0.023      | 0.0222 | -1.02 | 0.3061     |
| Μ*ΔΤ                            | 0.6118      | 1.8544 | 0.32  | 0.7415     |
| <b>Μ*</b> ΔΡ                    | -0.3451     | 0.2060 | -1.68 | 0.0942     |
| M*ΔCO <sub>2</sub>              | 0.0017      | 0.0184 | 0.09  | 0.9264     |
| dT*ΔP                           | -0.0952     | 0.0792 | -1.20 | 0.2293     |
| $dT^*\Delta CO_2$               | -0.0013     | 0.0087 | -0.14 | 0.8860     |
| $dP * \Delta CO_2$              | -0.0023     | 0.001  | -2.57 | 0.0102 *   |

266 Supplementary Table 2. Results of a General Linear Model including all first order interactions,

applied to all studies with reported values for changes in yield ( $\Delta Y$ ), temperature ( $\Delta T$ ), CO<sub>2</sub> ( $\Delta CO_2$ )

268 and precipitation (ΔP), as well as three categorical variables describing treatment of adaptation

269 ("yes" or "no"), region ("temperate" or "tropical"), and crop metabolism (" $C_3$ " or " $C_4$ "). (n = 860).

270 Significance Levels: \*P<0.05, \*\*P<0.01, \*\*\*P<0.001

| Term                            | Coefficient | S.E. | t     | Ρ           |
|---------------------------------|-------------|------|-------|-------------|
| Intercept                       | -6.00       | 6.70 | -0.90 | 0.37        |
| A ('no'=0; 'yes'=1)             | 7.69        | 3.29 | 2.34  | 0.020*      |
| R ('temperate'=0; 'tropical'=1) | -2.14       | 4.01 | -0.53 | 0.59        |
| M= 'c3'=0; 'c4'=1               | 0.21        | 3.33 | 0.66  | 0.95        |
| ΔΡ                              | 0.52        | 0.18 | 2.87  | 0.0042 **   |
| ΔΤ                              | -5.33       | 1.26 | -4.23 | <0.0001 *** |
| ΔCO <sub>2</sub>                | 0.06        | 0.02 | 3.63  | 0.0003 ***  |

Supplementary Table 3. Summary of crop yield responses to climate change and adaptation with
 unambiguous tropical / temperate distinction. Results of a General Linear Model applied to all

studies with reported values for changes in yield ( $\Delta Y$ ), temperature ( $\Delta T$ ), CO<sub>2</sub> ( $\Delta CO_2$ ) and

276 precipitation ( $\Delta P$ ), as well as three categorical variables describing treatment of adaptation (A: "yes"

or "no"), region (R: "temperate" or "tropical"), and crop metabolism (M: " $C_3$ " or " $C_4$ "). (n=794).

278 Significance Levels: \*P<0.05, \*\*P<0.01, \*\*\*P<0.001

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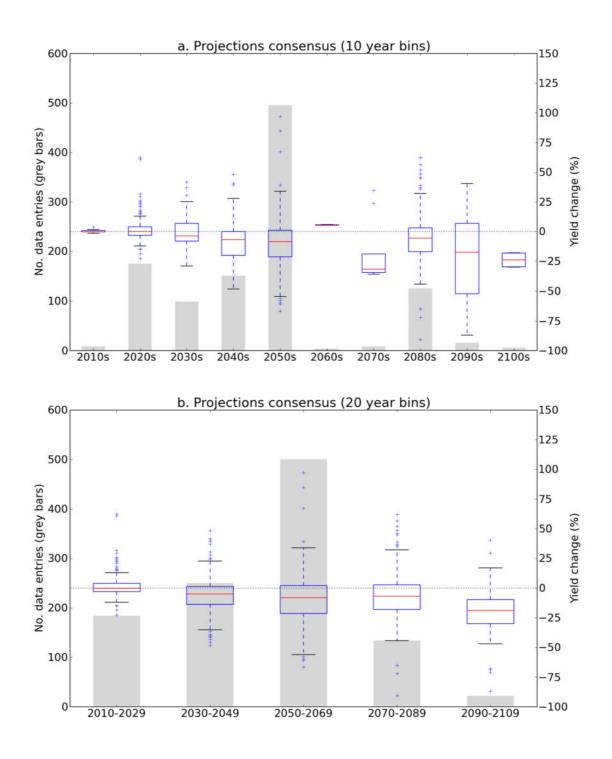
282

|            | Mean | St. Dev. | Ν   |
|------------|------|----------|-----|
| Cultivar   | -0.4 | 19.4     | 405 |
| Irrigation | 3.6  | 10.7     | 68  |
| Planting   | -5.0 | 16.9     | 414 |
| Other      | 0.9  | 18       | 43  |

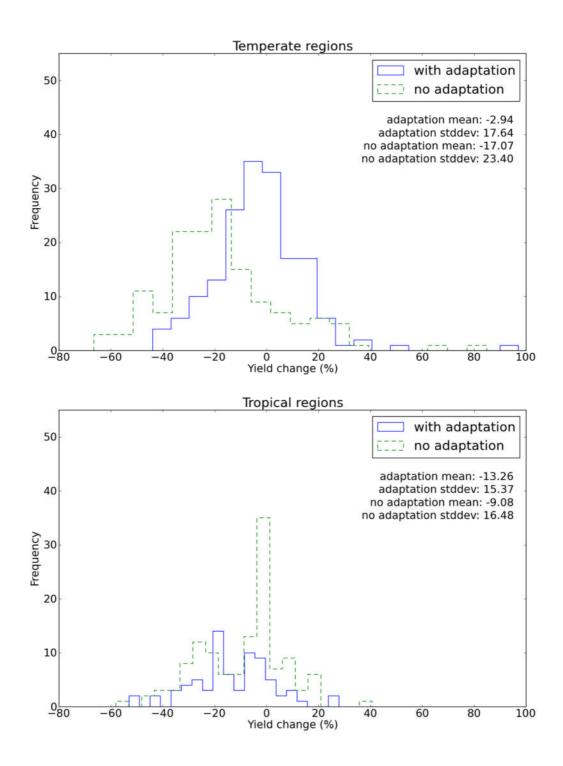
283 **Supplementary Table 4.** Percentage change in yield, from the baseline, for the four categories of

adaptation. The mean and standard deviation across all N studies are shown. Where two

adaptations were used results appear under both categories.

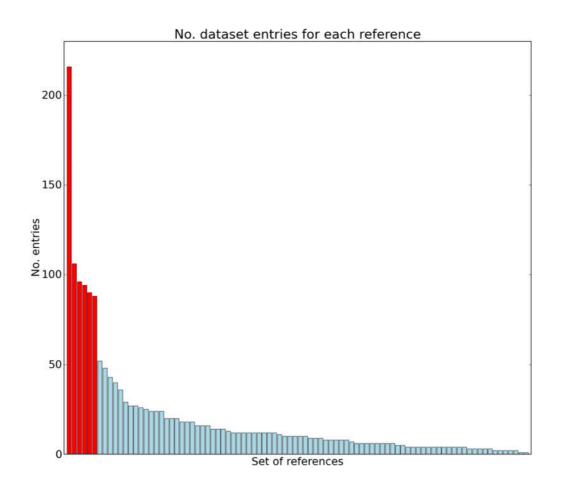


Supplementary Figure 1. Boxplot of the projected yield changes for all crops and regions, by (a), decade and (b), 20-year periods. Boxes show median and Inter-Quartile Range (IQR). n=1130 from 44 studies. Whiskers show the extent of data within 1.5 x IQR, and remaining data are shown as data points. Grey bars show the number of data points used for each box and whiskers. Data are plotted according the decade in which the centre point of the projection period falls.

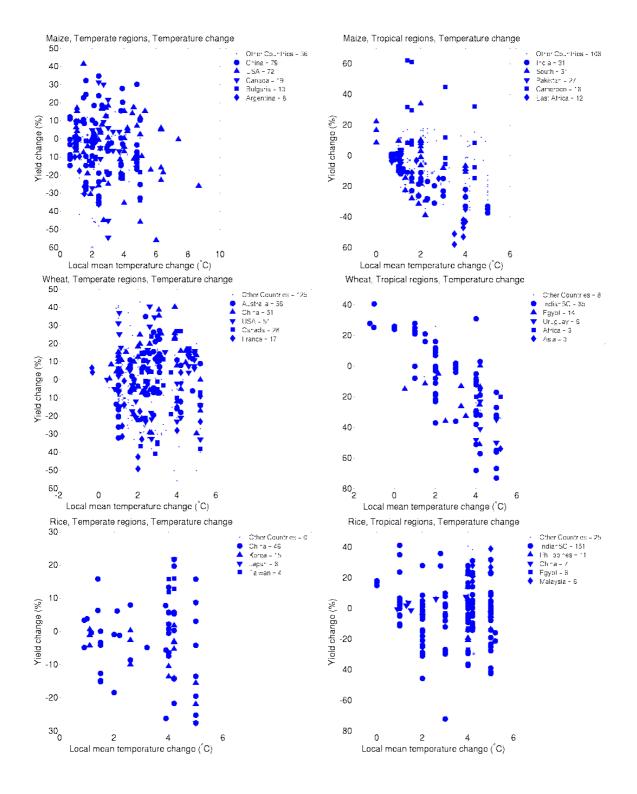


Supplementary Figure 2: Distribution of yield changes with (solid line) and without (dashed line)
 adaptation for projection periods centred during the 2040s and 2050s. N=517 from 19 studies.

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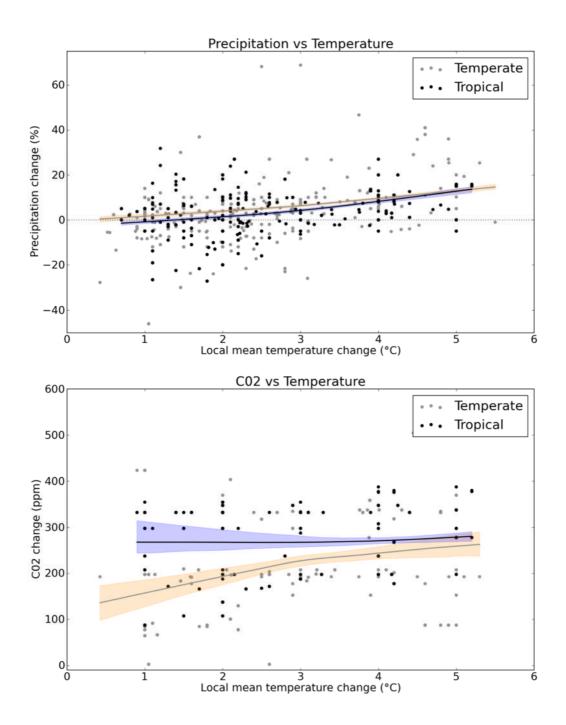


- 299 **Supplementary Figure 3.** Frequency count of the number of yield entries per study. The top six
- 300 studies [39-44] are highlighted in red.

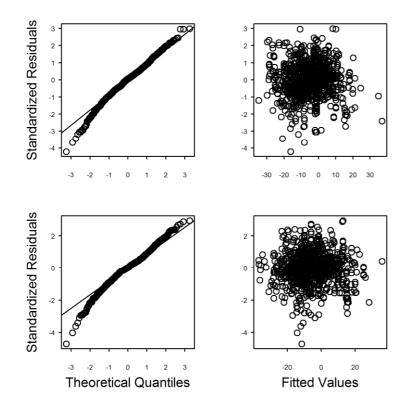


302 **Supplementary Figure 4.** Percentage yield change as a function of temperature for the three major 303 crops and for temperate and tropical regions (n=1083 from 68 studies), highlighting the country

- 304 composition of the dataset. The top 5 contributions from individual countries are marked for each
- 305 panel.



Supplementary Figure 5. Change in precipitation (top panel) and CO<sub>2</sub> (bottom panel) vs change in
local mean temperature for the yield impact studies (n= 1173 in top panel, n=633 bottom panel;
from 73 studies). Shading indicates the range of regressions consistent with the data, assessed using
the same bootstrapping method as in the main paper. To avoid error due to small sample size, the
eleven data points with warming greater than 6°C are excluded from the regressions. Four data
points are also excluded due to very high (194%) precipitation change.

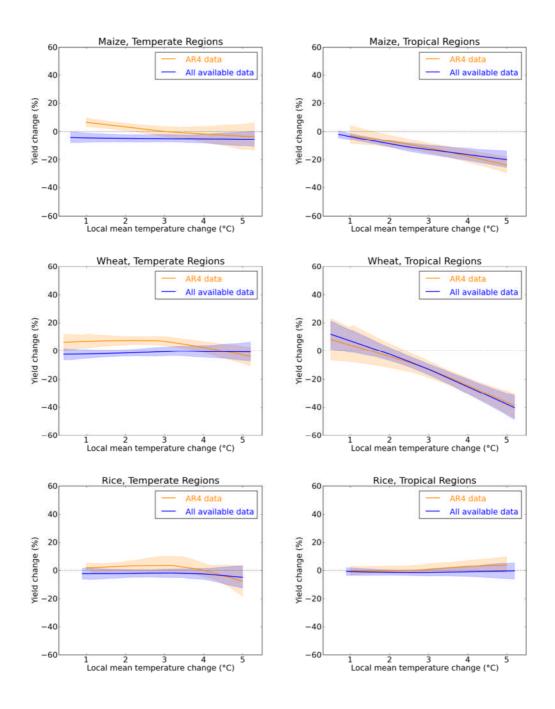




315 **Supplementary Figure 6.** Model checking plots for main (top panels) and full (bottom panels)

316 models. For both models it can be seen that residuals are approximately normally distributed (left

317 panels) and variance homogenous (right panels) among fitted values.

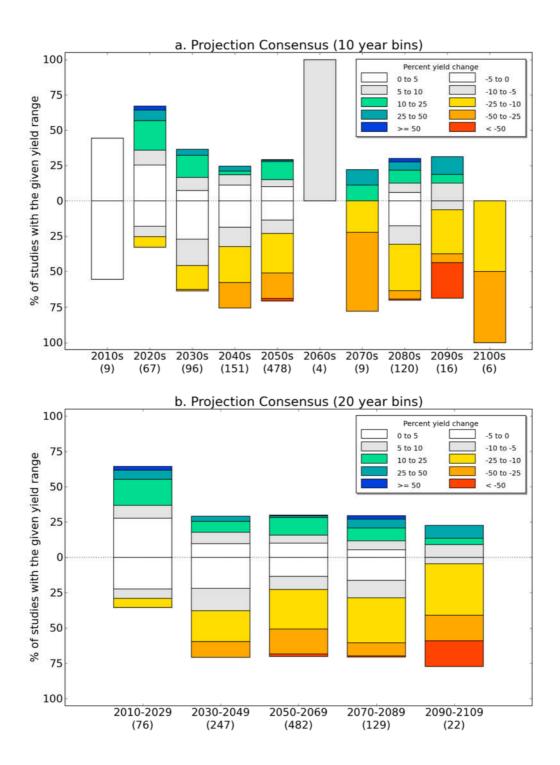


**Supplementary Figure 7.** Percentage yield change as a function of temperature for the three major

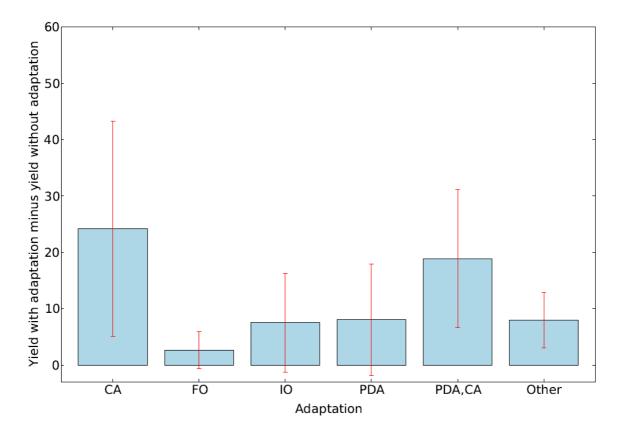
321 crops and for temperate and tropical regions for local mean temperature changes up to 5.5 degrees.

322 Shaded bands indicate the 95% confidence interval of regressions consistent with the data based on

- 323 500 bootstrap samples, which indicate use of the full dataset (blue) or the subset of data used in AR4
- 324 (orange).
- 325



Supplementary Figure 8. Projected changes in crop yield as a function of time for all crops and
 regions as projected by process-based models (n=956 from 33 studies). The vertical axis indicates
 degree of consensus and the colours denote percentage change in crop yield. Data are plotted
 according to (a) decade or (b) 20-year periods in which the centre point of a study's projection
 period falls. The number of datapoints for each bin is shown in brackets.





334 **Supplementary Figure 9.** The benefit (percentage change, from the baseline, in yield with

- adaptation minus that without adaptation) for the crop management adaptation employed in the 32
- 336 paired adaptation studies: CA cultivar adjustment (n=56); FO fertiliser optimisation (n=10); IO –
- 337 irrigation optimisation or adjustment (n=17); PDA planting date adjustment (n=19); and
- other(n=9). The PDA, CA category has n=151, the vast majority of which come from ref. 40. The bars
- 339 indicate standard error.
- 340
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- 409
- 410

## 412 Appendix 1: References used in the meta-analysis

| Reference                     | Figs 1 & S4 | Fig 2 | Figs 3 & S1 | Fig S2 | Fig S5 | Fig S7 |
|-------------------------------|-------------|-------|-------------|--------|--------|--------|
| Abou-Hadid, 2006              | *           |       |             |        |        |        |
| Abraha & Savage, 2006         | *           | *     | *           |        | *      | *      |
| Aggarwal & Mall, 2002         | *           | *     |             |        | *      |        |
| Alexandrov, 1999              | *           | *     |             |        | *      |        |
| Alexandrov & Hoogenboom, 2000 | *           |       | *           | *      | *      | *      |
| Alexandrov et al., 2002       | *           | *     |             |        | *      |        |
| Arndt et al., 2011            | *           |       | *           | *      | *      | *      |
| Berg et al., 2013             |             |       | *           |        | *      | *      |
| Brassard & Singh, 2008        | *           |       | *           | *      | *      | *      |
| Brassard & Singh, 2007        | *           |       | *           | *      | *      | *      |
| Butt et al., 2005             | *           | *     | *           |        | *      | *      |
| Byjesh et al., 2010           | *           |       |             |        |        |        |
| Calzadilla et al., 2009       |             |       | *           |        |        | *      |
| Challinor & Wheeler, 2008     |             |       |             |        |        |        |
| Challinor et al., 2009        |             | *     |             |        | *      |        |
| Challinor et al., 2010        |             |       |             |        |        |        |
| Chhetri et al., 2010          | *           |       | *           | *      | *      | *      |
| Chipanshi et al., 2003        | *           |       |             |        | *      |        |
| Ciscar et al., 2011           |             |       | *           |        | *      | *      |
| Corobov, 2002                 | *           | *     |             |        | *      |        |
| Izaurralde et al., 2001       | *           |       |             |        | *      |        |
| Das et al., 2007              |             |       |             |        |        |        |
| DeJong et al., 2001           | *           | *     |             |        | *      |        |
| Deryng et al., 2011           | *           | *     | *           | *      | *      | *      |
| Droogers, 2004                | *           | *     |             |        | *      |        |
| Easterling et al., 2003       | *           | *     |             |        | *      |        |
| El Maayar et al., 2009        | *           |       |             |        | *      |        |
| El-Shaher et al., 1997        | *           | *     |             |        | *      |        |
| Ewert et al., 2005            | *           | *     |             |        | *      |        |
| Gbetibouo & Hassan, 2005      | *           | *     |             |        | *      |        |
| Giannakopoulos et al., 2009   |             |       | *           |        |        | *      |
| Hermans et al., 2010          |             |       | *           | *      |        | *      |
| Howden & Jones, 2004          | *           | *     |             |        | *      |        |
| lqbal et al., 2011            | *           |       | *           | *      | *      | *      |
| Izaurralde et al, 2005        | *           |       | *           | *      | *      | *      |
| Jones & Thornton, 2003        | *           |       |             |        | *      |        |
| Kaiser, 1999                  | *           | *     |             |        | *      |        |
| Kapetanaki & Rosenweig, 1997  | *           |       |             |        | *      |        |
| Karim et al., 1996            | *           | *     |             |        | *      |        |
| Kim et al., 2010              |             |       | *           |        | *      | *      |
| Krishnan et al., 2007         | *           |       |             |        | *      |        |
| Lal, 2011                     | *           | *     | *           | *      | *      | *      |
| Lal et al., 1998              | *           | *     |             |        | *      |        |
| Lashkari et al., 2011         |             |       |             |        |        |        |
| Li et al., 2011               | *           |       | *           |        | *      | *      |
| 2. 2. 0., 2011                |             |       |             |        |        |        |

| Erda et al., 2005               | *   | * |     |   | *  |   |
|---------------------------------|-----|---|-----|---|----|---|
| Liu et al., 2010                | *   |   |     |   | *  |   |
| Lobell & Burke, 2010            |     |   |     |   |    |   |
| Lobell & Ortiz-Monasterio, 2007 | *   |   |     |   | *  |   |
|                                 |     |   | *   |   |    |   |
| Lobell et al., 2008             | *   |   |     |   | *  |   |
| Luo et al., 2003                | *   | * |     |   | *  |   |
| Matthews & Wasmann, 2003        | ·   |   | *   |   |    | * |
| Moriondo et al., 2010           | *   | * |     |   | *  |   |
| Moya et al., 1998               | 4.  | * | *   |   |    | * |
| Muller et al., 2010             | *   | • | *   | * | *  | * |
| Osborne et al., 2013            | 4.  |   | *   | 4 |    | 4 |
| Peltonen-Sainio et al., 2011    | *   |   | *   | * | *  | * |
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| Reyenga et al., 1999            | *   | * | *   | * | *  | * |
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| Schlenker & Roberts, 2009       | *   |   | *   |   | *  |   |
| Shuang-He et al., 2011          | *   |   | *   |   | *  | * |
| Southworth et al., 2000         | *   | * | *   | * | *  | * |
| Srivastava et al., 2010         |     |   |     |   |    |   |
| Tan et al., 2010                | *   |   | *   |   | *  | * |
| Tao & Zhang, 2010               | *   |   | *   | * | *  | * |
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| Tubiello et al., 2000           | *   | * |     |   | *  |   |
| Thomson et al., 2005            | *   |   |     |   | *  |   |
| Thornton et al., 2009           | *   |   | *   |   |    | * |
| Thornton et al., 2011           | *   |   | *   |   |    | * |
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