RESEARCH Open Access

Check for

A prospective observational cohort study of lenvatinib as initial treatment in patients with BCLC-defined stage B hepatocellular carcinoma

Satoshi Kobayashi^{1*}, Taito Fukushima¹, Makoto Ueno¹, Satoshi Moriya², Makoto Chuma², Kazushi Numata², Kota Tsuruya³, Shunji Hirose³, Tatehiro Kagawa³, Nobuhiro Hattori⁴, Tsunamasa Watanabe⁴, Kotaro Matsunaga⁴, Michihiro Suzuki⁴, Haruki Uojima⁵, Hisashi Hidaka⁵, Chika Kusano⁵, Motoko Suzuki⁶ and Manabu Morimoto¹

Abstract

Background: Transarterial chemoembolization (TACE) is the standard treatment for intermediate stage hepatocellular carcinoma (HCC) (Barcelona Clinic Liver Cancer [BCLC] B). However, it often leads to a poor prognosis and decreased hepatic function especially in patients with BCLC substage B2. Lenvatinib (LEN) was demonstrated to be efficacious in these patients in the REFLECT phase 3 trial. We therefore aimed to evaluate the efficacy and safety of LEN as a first-line treatment for the patients with HCC at BCLC substage B2.

Methods: This prospective observational study used LEN in TACE-naïve patients with HCC at BCLC substage B2 and preserved hepatic function. The primary endpoint was overall survival. A one-year survival rate threshold of 60% and an expected survival rate of 78%, based on previous reports of TACE, was assumed for setting the sample size. With a one-sided α-type error of 5% and 70% detection power, 25 patients were required over a 2-year enrollment period and 10-month follow-up period.

Results: Thirty-one patients were enrolled in this study from June 2018 to June 2020. The 1-year survival rate was 71.0% (90% confidence interval, 68.4–73.6%). Median overall and progression-free survival periods were 17.0 and 10.4 months, and the objective response rates according to Response Evaluation Criteria in Solid Tumor (RECIST) version 1.1 and modified RECIST criteria were 22.6% and 70.0%, respectively. Common adverse events (AEs) were fatigue (68%), hypertension (65%), anorexia (61%), palmar-plantar erythrodysesthesia (39%), and thrombocytopenia (32%) of any grade; aspartate aminotransferase increased (23%), alanine aminotransferase increased (16%), and grade \geq 3 proteinuria (13%). Treatment interruption and dose reduction were required in 61% and 81% of patients, respectively. LEN was discontinued in 29 patients due to disease progression (n = 17), AEs (n = 9), conversion to curative treatments (n = 2), and sudden death (n = 1), whereas post-LEN treatments were administered in 18 patients, including systemic chemotherapy (n = 11), TACE (n = 6), transarterial infusion (n = 1) and clinical trial (n = 1).

¹ Department of Gastroenterology, Hepatobiliary and Pancreatic Medical Oncology Division, Kanagawa Cancer Center, Nakao 2-3-2, Asahi-ku, Yokohama, Kanagawa 241-8515, Japan Full list of author information is available at the end of the article



© The Author(s) 2022. **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativeccommons.org/licenses/by/4.0/. The Creative Commons Public Domain Dedication waiver (http://creativecommons.org/publicdomain/zero/1.0/) applies to the data made available in this article, unless otherwise stated in a credit line to the data.

^{*}Correspondence: kobayashis@kcch.jp

Kobayashi et al. BMC Cancer (2022) 22:517 Page 2 of 9

Conclusions: The results suggest that LEN provides treatment benefits as an initial therapeutic in patients with BCLC substage B2 HCC with a safety profile comparable to that previously reported.

Keywords: Hepatocellular carcinoma, Intermediate stage, Substage, Lenvatinib, TACE

Background

Primary hepatic cancer, frequently observed worldwide, is the sixth most common of all primary cancers and the third most common cause of cancer deaths [1, 2]. A similar aspect is seen in Japan, as > 30,000 individuals died of the disease in 2009. This was the fifth highest rate among all cancer deaths, following deaths from colon, lung, stomach, and pancreatic cancers [3]. In 2018, approximately 38,000 people in Japan were diagnosed with hepatic cancer [4]. Hepatocellular carcinoma (HCC), the most common type (94%) of hepatic cancer, is usually a consequence of chronic hepatitis or cirrhosis following persistent infections caused by hepatitis B virus (HBV), hepatitis C virus (HCV), or excessive and long-term alcohol consumption [5].

Various therapeutic methods are available to treat HCC depending on disease stage, physical status, and hepatic functional reserve in individual patients, including surgical resection, local puncture (radiofrequency ablation and percutaneous ethanol/acetic acid injection), liver transplantation, transcatheter arterial embolization including chemoembolization (TACE), transcatheter arterial infusion (TAI), and systemic chemotherapy, and the 5-year survival rate of HCC patients has improved considerably in recent decades [6]. However, concern persists that some patients may not be sufficiently treated with clinical benefits. Although TACE has been recommended as the first-line modality for HCC at the intermediate stage (Barcelona Clinic Liver Cancer [BCLC] stage B) [7], a variety of tumor burdens and hepatic functional reserves are associated with disease heterogeneity of this stage, raising skepticism about the broad utility of TACE [8-10].

To clarify treatment options that consider disease heterogeneity, stage subclassification (substages B1–B4) has been proposed using Child–Pugh score and Milan criteria–based up-to-seven criteria [8, 11–13], and alternatives to TACE or transarterial radioembolization are listed as potential first-line options (see Fig. 3 in Ref. [8]). If hepatic function deteriorates during conventional first-line TACE treatment, second-line systemic chemotherapy will not be applicable. TACE is contrarily applicable to patients with deteriorated hepatic functional conditions such as Child–Pugh class B. Thus, a versatile treatment modality will be applicable if systemic chemotherapy is applied first, followed by TACE.

Lenvatinib mesylate (LEN; Lenvima® capsule) is an orally acting antiangiogenic agent that inhibits vascular endothelial growth factor receptors 1-3, fibroblast growth factor receptors 1-4, platelet-derived growth factor receptor-α, and RET and KIT tyrosine kinases. It was first approved for the treatment of radioactive iodineunresponsive differentiated thyroid cancer and then for treating advanced renal cell carcinoma as a second-line therapy in combination with everolimus. For the treatment of unresectable HCC, LEN was demonstrated in a phase 3 trial (REFLECT) for its non-inferiority versus sorafenib (SOR) in terms of overall survival (OS). The trial also demonstrated the clinical significance of LEN over SOR with regard to progression-free survival (PFS), time to progression, and objective response rate (ORR) [14]. A similar efficacy and safety profile of LEN was observed in a Japanese subset recruited by REFLECT [15]. Accordingly, LEN was approved for the treatment of unresectable advanced HCC in Japan in 2018.

Kudo et al. recently conducted a proof-of-concept study to compare the effectiveness of LEN and TACE. They analyzed albumin-bilirubin scores, OS, ORR, PFS, and safety and showed the superiority of LEN over TACE as first-line treatment for patients with intermediate-stage HCC [16]. This prospective observational study examined the usage, efficacy, and safety profile of LEN in Japanese patients with BCLC substage B2 HCC. While patients with substage B3 HCC are also subjects of this study, their enrollment is underway.

Methods

Patient cohort

Patients with BCLC substage B2 disease were included in this study. Substage B2 was defined as Child–Pugh score, 5–6; up-to seven criteria, Out (>7); Eastern Cooperative Oncology Group-Performance Status (ECOG PS), 0; and no portal vein thrombosis (see Fig. 3 in Ref. [8]).

According to our judgment, patients meeting all of the following inclusion criteria were eligible for study registration but were excluded if any of the exclusion criteria were applicable. The inclusion criteria were as follows: patients with histologically and clinically¹ confirmed

 $^{^1}$ Abdominal contrast computed tomography (CT) or abdominal contrast magnetic resonance imaging (MR) was required to show an image of an early-phase tumor stain and late-phase washout, and the blood levels of either α -fetoprotein (AFP) or des-gamma-carboxy prothrombin (DCP) had to exceed the upper limit of the site-defined standard range.

Kobayashi *et al. BMC Cancer* (2022) 22:517 Page 3 of 9

HCC; diagnosis of BCLC substage B2; judged by the attending physician that the use of LEN was appropriate because locoregional therapy was not applicable; no history of treatment since confirmation of the present disease substage up to study registration and no prior systemic chemotherapy; aged 20 years or older at registration; recommended functional reserve of the major organs as well evidenced by laboratory tests within 14 days prior to registration; and scheduled for LEN monotherapy. Patients were excluded if they had uncontrolled hypertension; HCC invading the bile duct; had received any treatments for HCC within 28 days prior to registration; hemorrhagic symptoms due to ulcer, esophageal varices, etc. or thrombotic embolism such as brain infarction, cardiac infarction, deep venous thrombosis, or at high risk thereof; history of hepatic transplantation; diagnosis of hepatic encephalopathy; and active double cancer including synchronous cancer and metachronous cancer confirmed after a \leq 3-year disease-free period.

Patient registration

For an HCC patient judged eligible for the study (see patient cohort), the attending investigator obtained written informed consent. Thereafter, the investigator completed a study registration form and faxed it to the study administration office (S. Kobayashi, MD, the Division of Hepatobiliary and Pancreatic Oncology, Kanagawa Cancer Center) prior to the initiation of LEN. The patients were registered for study participation upon confirmation of their eligibility.

Treatment with LEN and subsequent treatment

LEN (4 mg capsule) was started within 28 days post-registration at a dose of 12 mg or 8 mg once daily depending on body weight (\geq 60 kg or < 60 kg, respectively). A LEN dose reduction or interruption was allowed according to the investigator's judgment depending on adverse events (AEs) occurrence in reference to the Japanese drug package insert. AEs were recorded for up to 30 days after the final use of LEN. The drug's efficacy was monitored in each patient until death or final follow-up. Anti-cancer medications after LEN termination were not specifically defined but were allowed based on the investigator's consideration.

Tests and examinations

Dynamic CT or dynamic MR of intrahepatic lesions, lung field X-ray photography, and chest CT (when appropriate) were performed within 4 weeks prior to LEN initiation. Blood tests, including AFP and DCP determination, were performed within 2 weeks prior to LEN initiation. During the treatment period, laboratory tests, ECOG PS, blood pressure, patient's subjective symptoms, and

objective findings were recorded periodically. Abdominal CT or MR was recommended every 6 weeks.

Outcomes

OS, the primary endpoint, was defined as the period from study registration to patient death of any cause. Patients who survived the study were censored at the time of final survival confirmation. A patient lost to follow-up was censored at the date of survival confirmation immediately prior to loss to follow-up. Tumor response to LEN was evaluated according to Response Evaluation Criteria in Solid Tumors (RECIST) version 1.1 [17] and modified RECIST (mRECIST) [18] based on tumor imaging findings and categorized as complete response (CR), partial response (PR), stable disease (SD), progressive disease (PD), and non-evaluable (NE). The ORR and disease control rate (DCR) were calculated as CR+PR and CR + PR + SD, respectively. PFS was calculated as the period during which a patient survived without disease progression. As mentioned earlier, AEs were collected from each patient during the study period, including 30 days after the final LEN administration. AE severity was graded as Grade 1-5 according to the Common Terminology Criteria for Adverse Events (CTCAE) version 4.0.

Statistical points and analysis

The median OS and 1-year survival rates were generally comparable among published studies as 15.6-26.9 months and 59.2-75.5% for substage B2 [19-24]. Given these values as historical controls, the study's statistical points are summarized below. We set the 1-year survival rate after TACE as 60% as a threshold and expected the use of LEN to further improve the rate to 78% for patients with substage B2 disease. Using Schoenfeld and Richter's method [25], the required sample size was 25 according to the 2-year enrollment period and 10-month follow-up period, with a 70% detection power at a one-tailed significance level of 5%. With this planned sample size, we evaluated the efficacy (OS, median survival time, 1-year survival rate, PFS, and tumor response) and safety profile of LEN. Cox regression analysis was performed using the forced entry method to determine the potential prognostic factors by age, AFP level, baseline tumor size/number, and Child-Pugh score of the patients who received LEN.

Results

Patients' baseline characteristics

As mentioned above, data obtained from patients with substage B2 disease are described in this report. Thirty-one eligible patients were enrolled from June 8, 2018, to June 7, 2020. The patients' background variables at the

Kobayashi *et al. BMC Cancer* (2022) 22:517 Page 4 of 9

Table 1 Baseline characteristics of the enrolled patients with hepatocellular carcinoma at substage B2

N=31	n ^a (% or range)	
Age (years)		
Median (range)	77 (57–86)	
Sex		
Male	29 (94)	
Female	2 (6)	
Body weight		
≥ 60 kg	17 (55)	
< 60 kg	14 (45)	
Etiology		
HBV	3 (10)	
HCV	6 (19)	
Alcohol	9 (29)	
Other	13 (42)	
Child-Pugh score		
5	20 (64)	
6	11 (36)	
Maximum diameter of liver tumor (mm)		
Median (range)	36 (10–135)	
Liver tumor number		
< 10	23 (74)	
≧10	8 (26)	
Prior history of therapy		
Yes	14 (45)	
No	17 (55)	
Blood albumin (g/dL)		
Median (range)	3.9 (3.2-4.7)	
Total bilirubin (mg/dL)		
Median (range)	1.0 (0.9-1.0)	
Platelet count ($\times 10^4/\mu$ L)		
Median (range)	14.2 (6.4–37.8)	
α-fetoprotein (ng/mL)		
Median (range)	52.1 (2.4-49,800)	

^a n is the number of patients corresponding to the category of each baseline item, or is the median value of each item. Numbers in parenthesis denote percentages or ranges depending on the variable attributes

time of the data cut-off (April 7, 2021) are summarized in Table 1.

The median patient age was 77 years (range, 57–86). The primary etiology of HCC was HBV, HCV, and alcohol consumption in 3, 6, and 9 patients, respectively, while it was unknown in 13 patients. The Child–Pugh score was 5 in approximately two-thirds of the 31 patients. More than 70% of the patients had fewer than 10 intrahepatic tumors. The maximum tumor diameter was 10–135 mm (median, 36 mm). Nearly half of the patients received prior treatment for HCC, including

partial hepatectomy, radiofrequency ablation, percutaneous ethanol injection therapy, and TACE.

Patient survival and tumor responses

The OS rate was plotted over time after registration (Fig. 1). The median OS was 17.0 months (95% CI, 15.3–19.2). The 1-year survival rate was 71.0% (90% CI, 68.4–73.6%) and the primary endpoint was met. The 2-year survival rate was 32.3% (95% CI, 13.2–51.4%).

The PFS rate was plotted over time after registration (Fig. 2). The median PFS was 10.4 months (95% CI, 6.6–13.8) and the 1-year PFS rate was 42.0% (95% CI, 23.5–59.4%).

The best tumor response to LEN is summarized in Table 2 according to RECIST 1.1 [17] and mRECIST [18]. One patient (3.2%) showed CR to LEN treatment using both evaluation methods. Only six (19.4%) were judged as PR by RECIST 1.1 versus 21 (67.7%) by mRECIST. Conversely, 21 (67.7%) and 6 (19.4%) were judged as SD by RECIST 1.1 and mRECIST, respectively. PD was observed in only three (9.7%) patients using either method. These values led to a 22.6% ORR and a 90.3% DCR according to RECIST 1.1 versus a 70.0% ORR and a 90.3% DCR according to mRECIST.

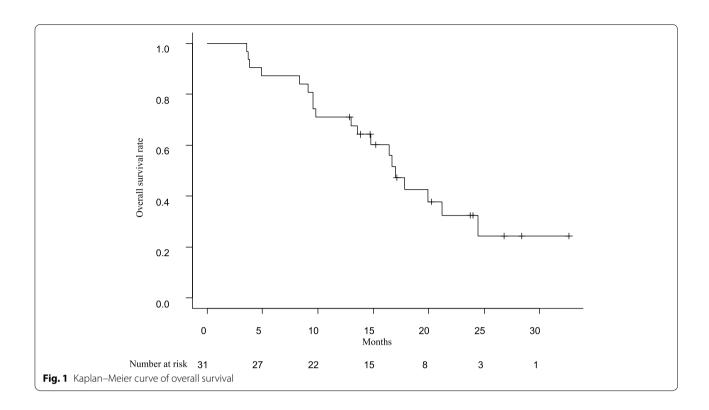
Exposure and safety profile

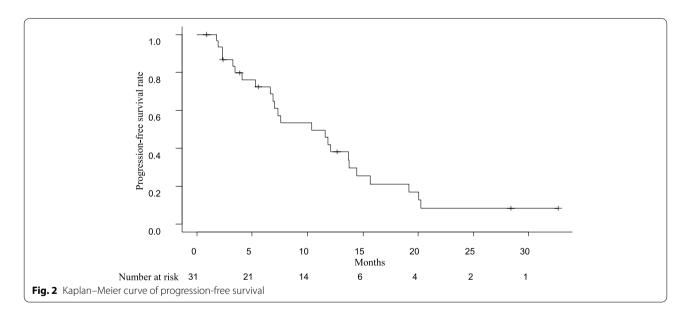
Nineteen (61%) and 25 (81%) patients experienced LEN interruption and dose reduction, respectively. The median dose intensity of the drug was 5.0 mg/day (range, 0.9–12.0), while the relative dose intensity was 61.8% (range, 7.5–100.0%). The AEs are listed in Table 3. Fatigue (68%), hypertension (65%), anorexia (61%), proteinuria (45%), diarrhea (42%), aspartate aminotransferase (AST) increased (42%), and palmar-plantar erythrodysesthesia (PPES; 39%) were most frequently observed AEs. The frequently observed grade 3 or higher AEs were AST increased (23%), alanine aminotransferase (ALT) increased (16%), and proteinuria (13%). Thus, the overall safety profile of LEN was consistent with that observed in REFLECT with no new safety concerns [15, 17].

Post-treatment

At the time of the data cut-off, two (6%) patients were still receiving LEN treatment, while 29 (94%) had discontinued it. The reasons for discontinuation were disease progression (n=17 [55%]), AE occurrence (n=9 [29%]), tumor shrinkage (n=2 [6%]), and sudden death (n=1 [3%]). Of the 29 patients, 11 (38%) received no post-LEN anti-cancer therapies, 9 received only best supportive care, 1 reached CR, and 1 died suddenly of unknown causes after showing a PR to LEN. Eighteen (18 [62%]) received post-LEN anti-cancer therapies

Kobayashi et al. BMC Cancer (2022) 22:517 Page 5 of 9





including TACE (6 [21%]), other systemic chemotherapies (11 [38%]) including SOR ($n\!=\!4$)/ramucirumab ($n\!=\!4$)/atezolizumab (ATZ; anti-programmed cell death 1 ligand 1 monoclonal antibody) plus bevacizumab (BEV; anti-VEGF monoclonal antibody) ($n\!=\!2$)/ other investigational drugs ($n\!=\!1$), and TAI (1 [3%]).

Subgroup analysis

To determine the prognostic factors in LEN-treated patients, a subgroup analysis was performed based on age (\geq or <75 years), AFP level (\geq or <400 ng/mL), tumor diameter (\geq or <50 mm), liver tumor number (\geq or <10), and Child–Pugh score (5 or 6). As seen in Table 4, Child–Pugh score was a key factor

Kobayashi *et al. BMC Cancer* (2022) 22:517 Page 6 of 9

Table 2 Tumor response to lenvatinib according to RECIST 1.1 and mRECIST

Response	RECIST	mRECIST N (%)	
	N (%)		
CR	1 (3.2)	1 (3.2)	
PR	6 (19.4)	21 (67.7)	
SD	21 (67.7)	6 (19.4)	
PD	3 (9.7)	3 (9.7)	
ORR	7 (22.6)	22 (70.0)	
DCR	28 (90.3)	28 (90.3)	

Best response of each patient was summarized in the table

CR complete response, PR partial response, SD stable disease, PD progressive disease, ORR objective response rate, DCR disease control rate

Table 3 Adverse events^a

AE term	All grades	Grade ≥ 3
	n (%)	n (%)
Fatigue	21 (68)	3 (10)
Hypertension	20 (65)	3 (10)
Anorexia	19 (61)	2 (6)
Proteinuria	14 (45)	4 (13)
Diarrhea	13 (42)	0
AST increased	13 (42)	7 (23)
PPES	12 (39)	1 (3)
Thrombocytopenia	10 (32)	1 (3)
ALT increased	9 (29)	5 (16)
Bilirubin increased	8 (26)	3 (10)
Skin rash	6 (19)	0
Peripheral edema	6 (19)	0
Amylase increased	5 (16)	0
Hoarseness	5 (16)	0
Nausea	3 (10)	0
Abdominal pain	3 (10)	0
Dysgeusia	2 (6)	0
Mucositis oral	2 (6)	0

 $^{^{\}rm a}$ AEs were collected up to 30 days following the final use of LEN. Percentage was calculated as the number of patients with AE divided by the whole patient population enrolled (N=31)

AST aspartate aminotransferase, PPES palmar-plantar erythrodysesthesia, ALT alanine aminotransferase

for prognosis among the patients treated with LEN, with median OS = 21.5 months (95% CI, 15.3–27.6) vs. 13.2 months (95% CI, 7.2–19.1) for those with a baseline Child-Pugh score of 5 and 6, respectively, which resulted in a hazard ratio of 3.206 (95% CI, 1.081–9.509).

Discussion

The prognosis of patients with intermediate-stage (BCLC stage B) HCC was generally comparable among subclasses (B1, B2, B3, and B4) in terms of median OS and 1-year survival rate [21-26]. Given these numbers as historical controls and the recent major progress in chemotherapy in patients with Child-Pugh class A functional reserve [14, 15], we conducted the present single-arm observational study. Thirty-one patients with BCLC substage B2 participated in the study, and their 1-year survival rate was 71.0% (90% CI, 68.4-73.6%), higher than 60%, an assumed threshold as the rate following TACE treatment, and close to 78%, which was expected for LEN in the sample size estimation. However, data from REFLECT and the updated IMbrave150 with ATZ plus BEV combination (a recently accepted first-line therapy; see below) showed a longer median OS of 18.5 months [14] and 19.2 months [26], respectively, in patients with BCLC B stage versus the 17.0 months obtained with LEN in the present study. The subgroup analysis presented here indicates that the Child-Pugh score is a critical factor for the prognosis of intermediate-stage HCC. Ando et al. and Hiraoka et al. also reported that the Child-Pugh score was critical for disease prognosis [27, 28]. The high proportion (36%) of patients with a Child-Pugh score of 6, versus ~ 22% in REFLECT [29] and ~ 28% in IMbrave150 [30], may have led to the shorter median OS in the present study.

The ORR was 70.0% by mRECIST and higher than that (40%) observed in REFLECT [14]. While the efficacy assessment was largely different depending on RECIST or mRECIST, for example, 22.6% vs. 70.0% for ORR in the present study, this was probably due to the mechanism of action of LEN, which decreased arterial flow to the tumors, and was likely assessed as positive by mRECIST. The DCR was also high (90.3%) irrespective of the evaluation criteria in the present study.

Given the ATZ plus BEV combination as a recently accepted first-line setting for the treatment of advanced HCC [26, 31], another study of the first-line use of the combination is ongoing in BCLC substage B2 HCC patients (study code: jRCTs071200051). The results of this study may further support the use of first-line systemic chemotherapy rather than TACE.

If systemic therapy is applied first at the stage with well-retained hepatic function, patients should be able to receive a step-by-step treatment modality, such as systemic therapy followed by TACE, depending on disease progression. However, of the 29 patients who discontinued LEN in the present study, only 6 (21%) received TACE, while 11 (38%) continued systemic therapy with other agents. The reason for the subsequent systemic therapeutic choice was likely due to retained hepatic

Kobayashi *et al. BMC Cancer* (2022) 22:517 Page 7 of 9

Table 4 Multivariate sub-group analysis of prognostic factors

Factor	MST	Univariate analysis p-value	Multivariate analysis		
			HR	95% CI	<i>p</i> -value
Age, years					
$< 75 \text{ (ref) vs} \ge 75$	16.9 vs. 17.3 m	0.653	2.191	0.690-6.954	0.183
AFP, ng/mL					
$<$ 400 (ref) vs \ge 400	18.1 vs. 9.9 m	0.490	1.921	0.528-6.986	0.322
Maximum diameter of liver t	umors (mm)				
$< 50 (ref) vs \ge 50$	20.2 vs. 13.7 m	0.129	1.479	0/537-4.077	0.449
Number of liver tumors					
$< 10 \text{ (ref) vs} \ge 10$	18.1 vs. 16.7 m	0.854	0.849	0.261-2.758	0.758
Child-Pugh score					
5 (ref) vs. 6	21.5 vs. 13.2 m	0.041	3.206	1.081-9.509	0.036

Forced entry model-based Cox regression method was employed for the multivariate sub-group analysis MST median survival time, HR Hazard ratio, CI confidence interval, AFP α -fetoprotein

functional reserve plus other reason(s), such as the appearance of distant metastasis, for which TACE was not applicable. The 1-year survival rate was 71%, while the 2-year survival rate was 32% with LEN, further suggesting that TACE may not be a key strategy for BCLC substage B2 HCC patients.

Despite the above considerations, TACE may still be useful when used concomitantly with systemic therapeutic agents. A clinical trial of a LEN-TACE combination is in progress, although the potential benefits of concomitant use of SOR and TACE have not been clearly evidenced [32-34] except for the results of TACTICS, which reported significantly longer PFS in the TACE plus SOR group than in the TACE alone group [35]. Multinational studies of the concomitant use of TACE with other systemic anti-cancer agents, such as ATZ plus BEV and immune checkpoint inhibitors, are currently ongoing. Sequential systemic therapeutic approaches were also reported using SOR and then the second chemotherapeutic agents such as regorafenib, ramucirumab, etc. [36–38], suggesting a potential expansion of chemotherapeutic choices for the HCC patients.

The present study used a non-randomized uncontrolled design with a small sample size; therefore, it was associated with data interpretation biases and had insufficient power to confirm the superiority of LEN over TACE, as represented by the observed 1-year survival rate (71%) over the threshold (60%) but below the expected value (78%). However, the overall results presented here, including the safety profile, were comparable to those of previous reports [15, 17], suggesting that LEN rather than TACE should preferably be used in BCLC substage B2 HCC patients. Our results support the use of a phase 3 randomized controlled trial to further confirm valid therapeutic options in intermediate-stage HCC patients.

Moreover, LEN is an oral ambulant agent that will be useful for patients compared to TACE, which requires hospitalization. Finally, it should be noted that the management of AEs with tailored SOR dosing was reported to prolong the survival of HCC patients [39], and this may simply be valid for the tailored use of LEN.

Conclusions

LEN was efficacious in treatment-naïve BCLC B2 substage HCC patients compared to TACE, which was used in historical control studies. The safety profile of LEN was similar to that previously reported. These results suggest that LEN is preferable to TACE for the initial treatment of BCLC substage B2 HCC patients.

Abbreviations

AE: Adverse event; AFP: α-Fetoprotein; ALT: Alanine aminotransferase; AST: Aspartate aminotransferase; ATZ: Atezolizumab; BCLC: Barcelona Clinic Liver Cancer; BEV: Bevacizumab; CR: Complete response; CT: Computed tomography; CTCAE: Common Terminology Criteria for Adverse Events; DCP: Desgamma-carboxy prothrombin; DCR: Disease control rate; ECOG PS: Eastern Cooperative Oncology Group Performance Status; HBV: Hepatitis B virus; HCC: Hepatocellular carcinoma; HCV: Hepatitis C virus; LEN: Lenvatinib; mALBI: Modified albumin-bilirubin grade; MR: Magnetic resonance; NE: Non-evaluable; ORR: Objective response rate; OS: Overall survival; PD: Progressive disease; PFS: Progression-free survival; PPES: Palmar-plantar erythrodysesthesia; PR: Partial response; RECIST: Response Evaluation Criteria in Solid Tumors; SD: Stable disease; SOR: Sorafenib; TACE: Transcatheter arterial embolization; TAI: Transcatheter arterial infusion; VEGF: Vascular endothelial growth factor.

Acknowledgements

The authors acknowledge Y. Takeuchi, PhD, for helping prepare the manuscript.

Authors' contributions

Satoshi Kobayashi and Manabu Morimoto: study concept and design; Satoshi Kobayashi, Makoto Ueno, Michihiro Suzuki, Tatehiro Kagawa, Kazushi Numata, and Manabu Morimoto: data acquisition and review; Satoshi Kobayashi, Makoto Ueno, and Manabu Morimoto: data interpretation; Satoshi Kobayashi and Motoko Suzuki: statistical analysis; Satoshi Kobayashi: manuscript writing; all authors: manuscript review and approval.

Kobayashi *et al. BMC Cancer* (2022) 22:517 Page 8 of 9

Funding

Kanagawa Prefectural Cancer foundation.

Availability of data and materials

The data that support the findings of this study are not publicly available because they contain information that could compromise the privacy of the research participants; however, they are available from the corresponding author (S. Kobayashi) upon reasonable request.

Declarations

Ethics approval and consent to participate

Upon being provided explanations about the present study by the attending investigator, each participating patient provided written informed consent. This study was conducted in accordance with the Declaration of Helsinki, Ethical Guidance for Studies in Life Science and Medical Science in Humans (by the Ministry of Education, Culture, Sports, Science and Technology, Japan, and the Ministry of Health, Labour and Welfare, Japan), and related laws and regulations. All patient data were anonymized to protect patient privacy and used only for study purposes. The study conduct was approved by the Institutional Review Board of Kanagawa Cancer Center as well as the Institutional Review Boards of Yokohama City University Medical Center, Tokai University School of Medicine, St. Marianna University School of Medicine, and Kitasato University School of Medicine.

Consent for publication

Not applicable.

Competing interests

S. Kobayashi received honoraria for speakers' bureaus from Bayer Yakuhin, Chugai Pharma, Eisai, Eli Lilly, and Co., Takeda Pharma; and M. Ueno received grants from Chugai Pharma and speakers' bureaus from Chugai Pharma. The other authors declare no conflicts of interest.

Author details

¹Department of Gastroenterology, Hepatobiliary and Pancreatic Medical Oncology Division, Kanagawa Cancer Center, Nakao 2-3-2, Asahi-ku, Yokohama, Kanagawa 241-8515, Japan. ²Gastroenterological Center, Yokohama City University Medical Center, Yokohama, Kanagawa, Japan. ³Department of Gastroenterology, Tokai University School of Medicine, Isehara, Kanagawa, Japan. ⁴Division of Gastroenterology and Hepatology, St. Marianna University School of Medicine, Kawasaki, Kanagawa, Japan. ⁵Department of Gastroenterology, Kitasato University School of Medicine, Sagamihara, Kanagawa, Japan. ⁶Department of Data Science, National Cancer Center Hospital East, Kashiwa, Chiba, Japan.

Received: 13 March 2022 Accepted: 27 April 2022 Published online: 07 May 2022

References

- Sung H, Ferlay J, Siegel RL, Laversanne M, Soerjomataram I, Jemal A, et al. Global cancer statistics 2020: GLOBOCAN estimates of incidence and mortality worldwide for 36 cancers in 185 countries. CA Cancer J Clin. 2021;71(3):209–49. https://doi.org/10.3322/caac.21660.
- Ferlay J, Soerjomataram I, Dikshit R, Eser S, Mathers C, Rebelo M, et al. Cancer incidence and mortality worldwide: sources, methods and major patterns in GLOBOCAN 2012. Int J Cancer. 2015;136(5):E359–86. https://doi.org/10.1002/jjc.29210.
- National Cancer Center: Center for Cancer Control and Information Services: Cancer Deaths by Demographics (in Japanese). http://ganjoho. jp/professional/statistics/statistics.html
- National Cancer Center: Center for Cancer Control and Information Services: Cancer Incidence by Regional Registration and Nationwide Survey (in Japanese). https://ganjoho.jp/reg_stat/statistics/stat/cancer/8_liver. html#anchor1
- GBD 2013 Mortality and Causes of Death Collaborators. Global, regional, and national age-sex specific all-cause and cause-specific mortality for 240 causes of death, 1990–2013: a systematic analysis for the Global

- Burden of Disease Study 2013. Lancet. 385; 9963: 117–71. https://doi.org/10.1016/S0140-6736(14)61682-2.
- Balogh J, Victor D 3rd, Asham EH, Burroughs SG, Boktour M, Saharia A, et al. Hepatocellular carcinoma: a review. J Hepatocell Carcinoma. 2016;3:41–53. https://doi.org/10.2147/JHC.S61146.
- European Association for the Study of the Liver. EASL Clinical Practice Guidelines: Management of hepatocellular carcinoma. J Hepatol. 2018;69(1):182–236. https://doi.org/10.1016/j.jhep.2018.03.019.
- Bolondi L, Burroughs A, Dufour JF, Galle PR, Mazzaferro V, Piscaglia F, et al. Heterogeneity of patients with intermediate (BCLC B) Hepatocellular Carcinoma: proposal for a subclassification to facilitate treatment decisions. Semin Liver Dis. 2012;32(4):348–59. https://doi.org/10.1055/s-0032-13299
- Bruix J, Reig M, Sherman M. Evidence-based diagnosis, staging, and treatment of patients with hepatocellular carcinoma. Gastroenterol. 2016;150(4):835–53.
- Arizumi T, Minami T, Chishina H, Kono M, Takita M, Yada N, et al. Time to transcatheter arterial chemoembolization refractoriness in patients with hepatocellular carcinoma in Kinki Criteria stages B1 and B2. Dig Dis. 2017;35:589–97. https://doi.org/10.1159/000480208.
- Mazzaferro V, Llovet JM, Miceli R, Bhoori S, Schiavo M, Mariani L, et al. Predicting survival after liver transplantation in patients with hepatocellular carcinoma beyond the Milan criteria: a retrospective, exploratory analysis. Lancet Oncol. 2009;10(1):35–43. https://doi.org/10.1016/S1470-2045(08) 70284-5.
- Kudo M, Arizumi T, Ueshima K, Sakurai T, Kitano M, Nishida N. Subclassification of BCLC B stage hepatocellular carcinoma and treatment strategies: proposal of modified Bolondi's subclassification (Kinki Criteria). Dig Dis. 2015;33:751–8. https://doi.org/10.1159/000439290.
- Kudo M. Lenvatinib may drastically change the treatment landscape of hepatocellular carcinoma. Liver Cancer. 2018;7:1–19. https://doi.org/10. 1159/000487148.
- Kudo M, Finn RS, Qin S, Han KH, Ikeda K, Piscaglia F, et al. Lenvatinib versus sorafenib in first-line treatment of patients with unresectable hepatocellular carcinoma: a randomised phase 3 non-inferiority trial. Lancet. 2018;391(10126):1163–73. https://doi.org/10.1016/S0140-6736(18) 30207-1
- Yamashita T, Kudo M, Ikeda K, Izumi N, Tateishi R, Ikeda M, et al. REFLECTa phase 3 trial comparing efficacy and safety of lenvatinib to sorafenib for the treatment of unresectable hepatocellular carcinoma: an analysis of Japanese subset. J Gastroenterol. 2020;55(1):113–22. https://doi.org/10. 1007/s00535-019-01642-1.
- Kudo M, Ueshima K, Chan S, Minami T, Chishina H, Aoki T, et al. Lenvatinib as an initial treatment in patients with intermediate-stage hepatocellular carcinoma beyond up-to-seven criteria and Child-Pugh A liver function: a proof-of-concept study. Cancers. 2019;11(8):1084–100. https://doi.org/10. 3390/cancers11081084.
- Eisenhauer EA, Therasse P, Bogaerts J, Schwartz LH, Sargent D, Ford R, et al. New response evaluation criteria in solid tumours: revised RECIST guideline (version 1.1). Eur J Cancer. 2009;45(2):228–47. https://doi.org/ 10.1016/j.ejca.2008.10.026.
- Lencioni R, Llovet JM. Modified RECIST (mRECIST) assessment for hepatocellular carcinoma. Semin Liver Dis. 2010;30(1):52–60. https://doi.org/10. 1055/s-0030-1247132.
- Biolato M, Gallusi G, lavarone M, Cabibbo G, Racco S, De Santis A, et al. Prognostic ability of BCLC-B subclassification in patients with hepatocellular carcinoma undergoing transarterial chemoembolization. Ann Hepatol. 2018;17(1):110–8. https://doi.org/10.5604/01.3001.0010.7542.
- Ha Y, Shim JH, Kim SO, Kim KM, Lim YS, Lee HC. Clinical appraisal of the recently proposed Barcelona Clinic Liver Cancer stage B subclassification by survival analysis. J Gastroenterol Hepatol. 2014;29(4):787–93. https:// doi.org/10.1111/jgh.12452.
- 21. Weinmann A, Koch S, Sprinzl M, Kloeckner R, Schulze-Bergkamen H, Düber C, et al. Survival analysis of proposed BCLC-B subgroups in hepatocellular carcinoma patients. Liver Int. 2015;35(2):591–600. https://doi.org/10.1111/liv.12696.
- Wang JH, Kee KM, Lin CY, Hung CH, Chen CH, Lee CM, et al. Validation and modification of a proposed substaging system for patients with intermediate hepatocellular carcinoma. J Gastroenterol Hepatol. 2015;30(2):358– 63. https://doi.org/10.1111/jgh.12686.

Kobayashi et al. BMC Cancer (2022) 22:517 Page 9 of 9

- Kim JH, Shim JH, Lee HC, Sung KB, Ko HK, Ko GY, et al. New intermediatestage subclassification for patients with hepatocellular carcinoma treated with transarterial chemoembolization. Liver Int. 2017;37(12):1861–8. https://doi.org/10.1111/liv.13487.
- 24. Giannini EG, Moscatelli A, Pellegatta G, Vitale A, Farinati F, Ciccarese F, et al. Application of the intermediate-stage subclassification to patients with untreated hepatocellular carcinoma. Am J Gastroenterol. 2016;111(1):70–7. https://doi.org/10.1038/ajg.2015.389.
- Schoenfeld DA, Richter JR. Nomograms for calculating the number of patients needed for a clinical trial with survival as an endpoint. Biometrics. 1982;38(1):163–70.
- Cheng A-L, Qin S, Ikeda S, Galle PR, Ducreux M, Kim T-Y, et al. Updated efficacy and safety data from IMbrave150: Atezolizumab plus bevacizumab vs. sorafenib for unresectable hepatocellular carcinoma. J Hepatol. 2022;76(4):862–73. https://doi.org/10.1016/j.jhep.2021.11.030.
- Ando Y, Kawaoka T, Amioka K, Naruto K, Ogawa Y, Yoshikawa Y, et al. Efficacy and safety of lenvatinib-transcatheter arterial chemoembolization sequential therapy for patients with intermediate-stage hepatocellular carcinoma. Oncology. 2021;99(8):507–17. https://doi.org/10.1159/000515865
- 28. Hiraoka A, Kumada T, Atsukawa M, Hirooka M, Tsuji K, Ishikawa T, et al. Prognostic factor of lenvatinib for unresectable hepatocellular carcinoma in real-world conditions-multicenter analysis. Cancer Med. 2019;8(8):3719–28. https://doi.org/10.1002/cam4.2241.
- Vogel A, Frenette C, Sung M, Daniele B, Baron A, Chan SL, et al. Baseline liver function and subsequent outcomes in the phase 3 REFLECT study of patients with unresectable hepatocellular carcinoma. Liver Cancer. 2021;10:510–21. https://doi.org/10.1159/000516490.
- Finn RS, Qin S, Ikeda M, Galle PR, Ducreux M, Kim T-Y, et al. Atezolizumab plus bevacizumab in unresectable hepatocellular carcinoma. N Eng J Med. 2020;382:1894–905. https://doi.org/10.1056/NEJMoa1915745.
- Sonbol MB, Riaz IB, Naqvi SAA, Almquist DR, Mina S, Almasri J, et al. Systemic therapy and sequencing options in advanced hepatocellular carcinoma: a systematic review and network meta-analysis. JAMA Oncol. 2020;6(12): e204930. https://doi.org/10.1001/jamaoncol.2020.4930.
- Lencioni R, Llovet JM, Han G, Tak WY, Yang J, Guglielmi A, et al. Sorafenib or placebo plus TACE with doxorubicin-eluting beads for intermediate stage HCC: the SPACE trial. J Hepatol. 2016;64(5):1090–8. https://doi.org/ 10.1016/j.jhep.2016.01.012.
- Meyer T, Fox R, Ma YT, Ross PJ, James MW, Sturgess R, et al. Sorafenib in combination with transarterial chemoembolisation in patients with unresectable hepatocellular carcinoma (TACE 2): a randomised placebocontrolled, double-blind, phase 3 trial. Lancet Gastroenterol Hepatol. 2017;2(8):565–75. https://doi.org/10.1016/S2468-1253(17)30156-5.
- Kudo M, Imanaka K, Chida N, Nakachi K, Tak WY, Takayama T, et al. Phase Ill study of sorafenib after transarterial chemoembolisation in Japanese and Korean patients with unresectable hepatocellular carcinoma. Eur J Cancer. 2011;47(14):2117–27. https://doi.org/10.1016/j.ejca.2011.05.007.
- Kudo M, Ueshima K, Ikeda M, Torimura T, Tanabe N, Aikata H, et al. Randomised, multicentre prospective trial of transarterial chemoembolisation (TACE) plus sorafenib as compared with TACE alone in patients with hepatocellular carcinoma: TACTICS trial. Gut. 2020;69(8):1492–501. https://doi.org/10.1136/gutinl-2019-318934.
- Fung AS, Tam VC, Meyers DÉ, Sim H-W, Knox JJ, Zaborska V, et al. Secondline treatment of hepatocellular carcinoma after sorafenib: Characterizing treatments used over the past 10 years and real-world eligibility for cabozantinib, regorafenib, and ramucirumab. Cancer Med. 2020;9:4640–7. https://doi.org/10.1002/cam4.3116.
- Finn RS, Merle P, Granito A, Huang Y-H, Bodoky G, Pracht M, et al. Outcomes of sequential treatment with sorafenib followed by regorafenib for HCC: Additional analyses from the phase III RESORCE trial. J Hepatol. 2018;69:353–8. https://doi.org/10.1016/j.jhep.2018.04.010.
- Granito A, Forgione A, Marinelli S, Renzulli M, Ielasi L, Sansone V, et al. Experience with regorafenib in the treatment of hepatocellular carcinoma. Therap Adv Gastroenterol. 2021;14:17562848211016960. https://doi.org/10.1177/17562848211016959.
- Tovoli F, Ielasi L, Casadei-Gardini A, Granito A, Foschi F-G, Rovesti G, et al. Management of adverse events with tailored sorafenib dosing prolongs survival of hepatocellular carcinoma patients. J Hepatol. 2019;71:1175–83. https://doi.org/10.1016/j.jhep.2019.08.015.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Ready to submit your research? Choose BMC and benefit from:

- fast, convenient online submission
- $\bullet\,$ thorough peer review by experienced researchers in your field
- rapid publication on acceptance
- support for research data, including large and complex data types
- gold Open Access which fosters wider collaboration and increased citations
- maximum visibility for your research: over 100M website views per year

At BMC, research is always in progress.

Learn more biomedcentral.com/submissions

