# **EXPORT RESTRICTIONS - DGFT**

# (SCHEDULE 2 OF ITC (HS) CLASSIFICATIONS OF EXPORT AND IMPORT ITEMS)

[Amended Till 1 March 2015]

- General Notes to Export Policy Goods under Restrictions
- Schedule 2 Export Policy

### U7.1 General Notes to Export Policy - Goods under Restrictions

#### 1. Free Exportability

All goods other than the entries in the export licensing schedule along with its appendices are freely exportable. The free exportability is however subject to any other law for the time being in force. Goods not listed in the Schedule are deemed to be freely exportable without conditions under the Foreign Trade (Development and Regulations) Act, 1992 and the rules, notifications and other public notices and circulars issued there under from time to time. The export licensing policy in the schedule and its appendices does not preclude control by way of a Public Notice Notification under the Foreign Trade (Development and Regulations) Act, 1992.

Goods listed as "Free" in the Export Licensing Schedule may also be exported without an export licence as such but they are subject to conditions laid out against the respective entry. The fulfillment of these conditions can be checked by authorized officers in the course of export.

### 2. Code does not limit the item description

The export policy of a specific item will be determined mainly by the description and nature of restriction in the schedule. The code number is illustrative of classification but does not limit the description by virtue of the standard description of the item against the code in the import part of the ITC(HS) classification.

#### 3. Classes of Export Trade Control

#### A. Prohibited Goods

The prohibited items are not permitted to be exported. An export licence will not be given in the normal course for goods in the prohibited category. No export of rough diamond shall be permitted unless accompanied by Kimberley Process (KP) Certificate as specified by Gem & Jewellery EPC (GJEPC).

#### B. Restricted Goods

The restricted items can be permitted for export under licence. The procedures / conditionalities wherever specified against the restricted items may be required to be complied with, in addition to the general requirement of licence in all cases of restricted items.

#### C. State Trading Enterprises

Export through STE(s) is permitted without an Export Licence through designated STEs only as mentioned against an item and is subject to conditions in para 2.11 of Foreign Trade Policy 2009-14.

#### D. Restrictions on Countries of Export

- (i) Export of Arms and related material to Iraq is Prohibited. However, export of Arms and related material to Government of Iraq shall be permitted subject to 'No Objection Certificate' from the Department of Defence Production. [SNo. 3D(i) Substituted byNotification 67(RE)/12.02.2014].
- (ii) Direct or indirect export of all items, materials, equipments, goods and technology which could contribute to Iran's enrichment related, reprocessing or heavy water related activities, or to development of nuclear weapon delivery systems including those listed in INFCIRC/254/Rev.9/Part 1 and INFCIRC/254/Rev.7/Part 2 (IAEA Documents) and items listed in S/2010/263 (UN Security Council Document) or any items related to nuclear and missile development programmes is prohibited. All the UN Security Council Resolutions/Documents and IAEA Documents referred to above are available on the UN Security Council website (www.un.org/Docs/sc) and IAEA website (www.iaea.org).
- (iii) Direct or indirect export of following items, whether or not originating in Democratic People's Republic of Korea (DPRK), to DPRK is prohibited:
- All items, materials equipment, goods and technology including as set out in lists in documents S/2006/814, S/2006/815 (including S/2009/205), S/2009/364 and S/2006/853 (United Nations Security Council Documents) INFCIRC/254/Rev.9/Part 1a and INFCIRC/254/Rev.7/Part 2a (IAEA documents) which could contribute to DPRK's nuclear-related, ballistic missile-related or other weapons of mass destruction-related programmes.
- (iv) Export of rough diamonds to Cote d'Ivoire is prohibited in compliance to Paragraph 6 of UN Security Council Resolution(UNSCR) 1643 (2005).
- (v) Export of rough diamond [ITC (HS) Code 710210, 710221 or 710231) to Venezuela shall be Prohibited in view of voluntary separation of Venezuela from the Kimberley Process Certification Scheme (KPCS). No Kimberley Process Certificate shall be accepted / endorsed / issued for export of rough diamond to Venezuela
- (vi) In addition to above, export to other countries will be subject to conditions as specified in Para 2.1 of the Foreign Trade Policy 2009-14 and Para 2.2 of the Handbook of Procedures 2009-2014 (Vol. I) and other conditions which may be listed in the title ITC (HS) Classification of Export and Import items.

# U7.2 Compulsory Export Certification for Food Grade Animal Products

The following Public Notice was issued by the Commissioner of Customs (Import), NhavaSheva on 11 July 2008.

Subject: Export of notified commodities- mandatory production of EIA Certificate

F.No. S/12-GEN- 18 /2008 AM(X)

54-PN/08 The Export Inspection Agencyunder Ministry of Commerceand Industry conveyed that thefollowing commodities have been notified under 11.07.2008 Section 7 of the Export (Quality Control and Inspection) Act, 1963, under the respective standing order of EIA cited against;

SNo.	S.O. Number & Date	Commodity
1	S.O. 1377 (E) dated 30 <sup>th</sup> December, 02	Fresh Poultry Meat and PoultryMeat Products
2	S.O. 276 (E) dated 04th March 2002	Honey
3	S.O. 2719 dated 28th November 2000	Milk Products
4	S.O. 2077 dated 04th August, 1997	Egg Products

- 2. As per the scheme of their notifications, export of these commodities is prohibited in the course of international trade unless it conforms to the standards applicable to the commodity under export and is accompanied by a certificate stating that the processing establishment is approved and monitored by the Export Inspection Agencies established under Section 7 of the Export (Quality Control and Inspection) Act, 1963.
- 3. In view of the above, export of above mentioned notified commodities is permitted only if the product are manufactured in establishmentsapproved& monitored by the Export Inspection Agencies and their consignments are covered / accompanied by a certificate of Inspection / Certificate of Export. The list of

processing establishments approved by the EIAs, related GOI Notification and orders and other details relating to the standards applicable are already available as public information on the Export Inspection Council of India website http://www.eicindia.org/.

4. The contents of this Public Notice may be brought to the notice of all the members of the trade.

# U7.3 Handicrafts Clarification - EPCH Certification should be the Exception

Subject: Export of handicraft and artware items under Drawback Scheme.

07-CBEC I am directed to invite your attention to the Board circular No.03/2010-Cus dated12.02.2010 regardingclassificationofhandicraft and artware 18.01.2011 items in the Drawback Schedule. The circular clarified that the assessing authorities should normally accept certificates issued by the Development Commissioner (Handicrafts) / EPCH certifying the goods as artware/ handicrafts. A decision to reject a certificate issued by the Development Commissioner (Handicrafts) / EPCH should be taken only with approval of the Commissioner of Customs / Central Excise and after discussions with the certificate issuing authority. Exports should not, in the mean time, be held up. The circular also clarified that consignments of artware and handicraft should be classified in the Drawback Schedule in accordance with condition (3) of the Drawback Schedule which provides that all artware or handicraft items shall be classified under the heading of artware or handicrafts (of constituent material) as mentioned in the relevant chapters of the Drawback Schedule irrespective of their classification under the HSN.

2. It has been reported by Moradabad Handicrafts Exporters Association and Export Promotion Council for handicrafts (EPCH) that following issue of this circular, certificate from EPCH / Development Commissioner (Handi-crafts) is being asked for each and every consignment of artware and handicrafts. Consignments not having such certificates are necessarily examined. Further, at some field formations certification by EPCH / Development Commissioner (Handicrafts) on invoices is not accepted. Certification only on the body of the shipping bill is insisted citing the Board circular No. 56/99-Cus dated 26.08.1999.

[See Full text of the circular at WTS Issue Volume 27 No. 44 dated 26 January-01 February 2011 at www.worldtradescanner.com]

# U7.4 Quota Restrictions on Bhutan Exports Lifted

Export of Milk Powder, Wheat, Edible Oil, Pulses and Basmati Rice to Bhutan Permitted

# [DGFT Notification No. 81 dated 13<sup>th</sup> June 2014]

Subject:- Exemption to Bhutan from the application of export bans by India on export of Milk Powder, Wheat, Edible Oils, Pulses and Non Basmati Rice.

In exercise of powers conferred by Section 5 of the Foreign Trade (Development& Regulation) Act, 1992 (No. 22 of 1992) read with paragraph 1.3 of the Foreign Trade Policy, 2009-2014, as amended from time to time, the Central Government hereby exempts export of the following items to Bhutan from any export ban:

- (i) Milk powder
- (ii) Wheat
- (iii) Edible oil
- (iv) Pulses
- (v) Non-basmati rice
- 2. Effect of this Notification

Export of above items to Bhutan will be exempted from any ban and without any quantitative restrictions. This supersedes earlier Notification No. 87 of 05.12.2011 & Notification No. 104 of 05.03.2012.

# U7.5 Free Export of Processed and Value Added Agricultural Products where Primary Product is Restricted *IDGFT Notification No. 31 dated 4<sup>th</sup> February 20131*

Subject: Exemption to processed and/or value added agricultural products from the application of export restrictions/bans.

In exercise of powers conferred by Section 5 of the Foreign Trade (Development & Regulation) Act, 1992 (No. 22 of 1992) read with paragraph 2.1 of the Foreign Trade Policy, 2009-2014, as amended from time to time, the Central Government hereby exempts, with immediate effect, the following processed and/or value added agricultural products from any export restrictions/ban:

SNo.	Name of Product	Tariff Item HS Code
1	Wheat or Meslin flour	1101
2	Cereal flours other than of wheat or meslin (Maize, Oats etc.)	1102
3	Cereal groats, meal pellets	1103
4	Cereal grains otherwise worked except rice of heading no. 1006; germ of cereals, whole, rolled, flaked or ground	1104
5	Other Cereals items	1901 to 1905
6	Milk products including casein and casein products etc.	3501
7	Butter and other fats derived from milk, dairy spread etc.	0405
8	Cheese and Curd	0406
9	Value added products of onion	0712
10	Peanut Butter	15179020

### 2. Effect of this Notification

Export of aboveprocessed and/or value added agricultural products will be exempted from any restriction / ban even in the event of restriction / ban on the export of basic farm produce.

# U8 Export Policy – Export Goods under Restrictions (Schedule 2 of ITC (HS) Classifications of Export and Import Items)

#### Notes

1. The schedule below has six columns. The column name and the description are:

Column No.	Column Name	Description	Description				
1.	Entry No.	covering the set	Gives the order of the main entry in the schedule. The column is designed for easy reference and gives the identity of the raw covering the set consisting of Tariff Item Code, Unit Item description export policy and Nature of restriction along with the connected Licensing Note and Appendix.				
2.	Tariff Item (HS) Code	two digits give the product descript	This is an eight digit code followed in the import policy in the earlier part of the book, customs and the DGCIS code. The first two digits give the chapter number, the heading number. The last two digits signify the subheading. The six digit code and product description corresponds exactly with the six digit WCO (World Customs Organisation). The last digits are developed in India under the common classification system for tariff item.				
3.	Unit		The second column gives the unit of measurement or weight in the tariff item, which is to be used in shipping bill and other documents. In most cases, the unit is given as "u" denoting number of pieces.				
4.	Item Description	description does	The item description against each code gives the specific description of goods, which are subject to export control. This description does not generally correspond with the standard description against the code. In most cases, the description will cover only a part of standard description.				
5.	Export Policy	This column is fo	or the general policy regime applicable on the item. Generally, the Export Policy is	one of the following.			
		Prohibited	Not permitted for Export Licence will not be given in the normal course				
		Restricted	Export is permitted under a licence granted by the DGFT				
		STE	Export allowed only through specified State Trading Enterprises (STEs) subject to specific conditions laid out in the FTP and also Para 2.11 of the Import and Export Policy				
6.	Nature of Restriction		cifies the special conditions, which must be met for the export of goods in the iten or give the nature of restriction under the broad category in the Export Policy colum				

# Table-A Goods falling in more than one Chapter of ITC (HS) Classification

# Note 1

(i) The term "Wild Animal" and Animal Article would have the same meaning as defined in the Wild Life (Protection) Act, 1972.

S.No.	Chapter	Item Description	Policy	Nature of Restriction
1	0106, 0208, 0210 0300, 0407, 0408, 0410, 0502, 0504, 0505, 0506, 0507, 0508, 0509, 0510, 0511, 1504, 1506, 1516, 1517, 1518, 1600, 3000	All wild animals, animal articles including their products and derivatives excluding those for which ownership certificates have been granted and also those required for transactions for education, scientific research and management under Wild Life (Protection) Act, 1972 including their parts and products.	Prohibited	Not permitted to be exported.
2	Deleted vide notifica	ation no.13/(2004-2009) New Delhi, dated 31.12	2.2004.	
3	50, 51, 52, 54, 55, 60, 61, 62, 63	Dress materials/ready made garments fabrics/textile items with imprints of excerpts or verses of the Holy Quran	Restricted	Exports permitted under licence.
4	Any Chapter	Military Stores as specified in Export Licensing Note of Table A	Free	(i) No Objection Certificate (NOC) shall be obtained from the Department of Defence Production, Ministry of Defence, New Delhi as per the provisions of Standard Operating Procedure (SOP) of Department of Defence Production, as available on the website of Ministry of Defence.
				(ii) The description of item in the Shipping Bill shall prefix the Serial No. of the item indicated in Export Licensing Note of Table A viz. MS 001 a or MS 001 b or as the case may be.
				(iii) The Shipping Bill shall indicate the number and date of NOC of Department of Defence Production.
5	28, 29, 30, 35, 36, 37, 38, 39, 40, 48, 49, 59, 69, 70, 71, 72 to 91, 93	Special Chemicals, Organisms, Materials, Equipments& Technologies (SCOMET) goods as specified in Appendix 3 of this schedule of this book	Prohibited/ Restricted/ Free	Exports governed as per the conditions indicated in Appendix-III of this schedule. Further where no specific conditions as at category 2 to 7 are enumerated, licence shall be required.

6.	Any Chapter	Samples/ Exhibits of goods included in Schedule 2 of this book	Free	Samples/exhibits of goods including those in Schedule 2 of this book, except all prohibited items, and all items specified in Appendix-3 to Schedule 2 (SCOMET), restricted items, and items in Chapter 29, 93 and 97
7	Any Chapter	Any other item whose exports are regulated by Public Notice issued by the Director General of Foreign Trade in this behalf	Free	Subject to conditions as notified in the respective Public Notice
8	All Chapters	All Items	Prohibited/ Restricted/ Free	Export of goods including plant & plant products using wood packaging material such as pellets, dunnage, crating, packing blocks, drums, cases load boards, pellet collars and skids etc. shall be allowed subject to compliance of ISPM-15.
8A	57	Handmade Woolen Carpets including other floor coverings like Woolen Durries, Druggets, Gabbas, Namdhas and Shaggy	Free	Export shall not be permitted on the basis of Documents against Acceptance (D/A)  Unless  (a) Such export is covered either by Bank Guaranttee  or  (b) Such export is to own Subsidiaries/own Trading Companies/own Office-cum-warehouses.
8B	Any chapter	Handicraft items	Free/ Restricted (as applicable)	Export shall not be permitted on the basis of Documents against Acceptance (D/A)  Unless  (a) Such export is covered either by Bank Guaranttee or  (b) Such export is to own Subsidiaries/own Trading Companies/own Office-cum-warehouses.  This will be with effect from 01.01.2013
8C	Any chapter	Silk Garments, Made ups, Fabrics and Accessories [SNo. 8A to 8C inserted by 05-Ntfn(RE)/02.07.2012; SNo. 8C deleted by 14-Ntfn(RE)/28.08.2012]	Free	

DINDEX Code see details in www.worldtradescanner.com.

- U8.1 <Export of Handmade Woolen Carpets, Handicraft and Silk Items under DA Basis Banned, ECGC Cover or BG Shipments Exempted 05-Ntfn(RE)/02.07.2012(DINDEX Code 1389)>.
- U8.2 <Silk Garments, Made ups Fabrics and Accessories Export Ban in DA Basis Withdrawn, Handicraft Items Export Ban on DA will be Effective from 1 Jan '13 14-Ntfn(RE)/28.08.2012(DINDEX Code 1561)>.
- U8.3 < List of Military Stores Require NOC from Dept. of Defence Production for Export Notified 115-Ntfn(RE)/13.03.2015(DINDEX Code 5759)>.

### **Export Licensing Note of Table A**

### **List of Military Stores**

The following military stores are freely exportable subject to the conditions mentioned in the "Nature of Restriction" against Serial No. (S. No.) 4:

MS 001. Armoured or protective equipment, constructions and components, as follows:

- a. Metallic or non-metallic armoured plate, manufactured to comply with a military standard or specification; or suitable for military use.
- b. Constructions of metallic or non-metallic materials, or combinations thereof, specially designed to provide ballistic protection for military systems, and specially designed components therefor.
- c. Helmets manufactured according to military standards or specifications, or comparable national standards, and specially designed components therefor (i.e., helmet shell, liner and comfort pads).
- d. Body armour or protective garments and components therefor namely soft body armour or protective garments, manufactured to military standards or specifications, or to their equivalents, and specially designed components therefor.
- e. Body armour or protective garments and components therefor namely hard body armour plates providing ballistic protection equal to or greater than level III (NIJ 0101.06, July 2008) or national equivalents.

MS 002. The following smooth-bore weapons, other arms and automatic weapons, projectors and accessories and specially designed components therefor:

- a. Rifles, guns and combination guns, handguns, machine guns, sub-machine guns and volley guns; howitzers, cannon, mortars, anti-tank weapons, projectile launchers, military flame throwers, rifles, recoilless rifles, smooth-bore weapons and signature reduction devices therefor, detachable cartridge magazines and mountings, weapons sights and weapon sight mounts; specially designed for military weapons /stores.
- b. Weapons using caseless ammunition.
- c. Detachable cartridge magazines and mountings specified for military use, sound suppressors or moderators, special gun-mountings, optical weapons sights and flash suppressors, for arms specified by 2.a. and 2.b. above.
- d. Smoke, gas and pyrotechnic projectors or generators, specially designed or modified for military use.

MS 003. Bombs, torpedoes, grenades, smoke canisters, mines (except anti-personnel mines), depth charges, other explosive devices and charges and related equipment and accessories and specially designed components therefor for military use.

MS 004. Ammunition and fuze setting devices and specially designed components therefor including:

- a. Metal or plastic fabrications such as primer anvils, bullet cups, cartridge links, rotating bands and military stores metal parts.
- b. Safing and arming devices, fuzes, sensors and initiation devices.

MS 005. The following fire control, and related alerting and warning equipment, and related systems, test and alignment and countermeasure equipment, specially designed for military use, and specially designed components and accessories therefor:

a. Weapon sights, bombing computers, gun laying equipment and weapon control systems.

- b. Target acquisition, designation, range-finding, surveillance or tracking systems; detection, data fusion, recognition or identification equipment; and sensor integration equipment.
- c. Countermeasure equipment including detection equipment specified by para 5a or 5b.
- d. Field test or alignment equipment, specially designed for items specified by para 5a, 5b or 5c.

MS 006A. Vessels of war (surface or underwater), special naval equipment, accessories, components and other surface vessels specially designed or modified for military use.

MS 006B. Engines and propulsion systems, specially designed for military use in MS 006A and components therefor.

MS 006C. Underwater detection devices, controls and components therefor; Anti-submarine nets and anti-torpedo nets; Hull penetrators and connectors that enable interaction with equipment external to a vessel, and components therefor, specially designed for military use.

MS 006D. Silent bearings, components therefor and equipment specially designed for military use.

MS 007. Military Ground vehicles and components specially designed or modified for military use including:

- a. Tanks and other military armed vehicles and military vehicles fitted with mountings for arms or equipment for mine laying or the launching of munitions.
- b. Armoured vehicles.
- c. Amphibious and deep water fording vehicles.
- d. Recovery vehicles and vehicles for towing or transporting ammunition or weapon systems and associated load handling equipment.
- e. Mine-protected vehicles.

MS 008. High velocity kinetic energy weapon systems and related equipment and specially designed components therefor.

MS 009. Imaging or countermeasure equipment, specially designed for military use, and specially designed components and accessories therefor including:

- a. Recorders and image processing equipment;
- b. Cameras, photographic equipment and film processing equipment;
- c. Image intensifier equipment;
- d. Infrared or thermal imaging equipment;
- e. Imaging radar sensor equipment;
- f. Countermeasure or counter-countermeasure equipment, for the equipment specified above.

MS 010. Specialised equipment for military training or for simulating military scenarios, simulators specially designed for training in the use of any firearm or weapon and specially designed components and accessories therefor.

MS 011. Miscellaneous equipment, materials and "libraries" and specially designed components therefor including:

- a. Construction equipment specially designed for military use.
- b. Fittings, coatings and treatments, for signature suppression, specially designed for military use.
- c. Field engineer equipment specially designed for use in a combat zone.
- d. Equipment and material, coated or treated for signature suppression, specially designed for military use, other than those specified elsewhere in the military stores.
- e. Mobile repair shops specially designed or 'modified' to service military equipment.
- f. Field generators specially designed or 'modified' for military use.
- g. Containers specially designed or 'modified' for military use;
- h. Laser protection equipment (e.g., eye and sensor protection) specially designed for military use.
- i. "Fuel cells", specially designed or 'modified' for military use.

MS 012. The following 'Production' equipment and components:

- a. Specially designed or modified 'production' equipment for the 'production' of products specified by the Military Stores List, and specially designed components therefor;
- b. Specially designed environmental test facilities and specially designed equipment therefor, for the certification, qualification or testing of products specified by the Military Stores List.
- MS 013. Electronic equipment specially designed for military use such as electronic countermeasure and electronic counter-countermeasure equipment and specially designed components therefor, including jamming and counter-jamming equipment, frequency agile tubes and transmitter block, electronic systems or equipment designed either for surveillance and military intelligence or counteracting such surveillance and monitoring, underwater counter measures and automated command and control systems.

MS 014. Directed Energy Weapon (DEW) systems related to counter measure equipment and test modules specially designed for military use and specially designed components therefor.

MS 015. Software especially designed or modified for military use or for the development, production or use of equipments, materials listed in this Military Stores list

MS 016. Technology required for the development, production, operation, installation, maintenance, repair, overhaul or refurbishing of items specified in this Military Stores list

Note: Some of the items listed above may be of dual use (SCOMET items) depending upon their specifications and specific end uses. Hence, the exporters may also refer to SCOMET LIST [Appendix 3 to Schedule 2 of ITC(HS) Classification of Export & Import Items] for determining whether the item requires export license from DGFT.

# Table - B

# Goods Falling Within Specific Chapters of ITC (HS) Classification Chapter 1 Live Animals

Note 1 The term "Cattle" covers cows, oxen, bulls and calves

Note 2 Export of wild animals and their products as defined in Wild Life (Protection) Act, 1972 including their part prohibited. See restrictions in Part A for details.

Note 3 Germplasm of cattle is classified in heading 0511

S.No.	Tariff Item HS Code	Unit	Item Description	<b>Export Policy</b>	Nature of Restriction
9	0101 10 00, 0101 90 90	-	Live Horses – Kathiawari, Marwari and Manipuri breeds	Restricted	Exports permitted under licence.
10	0102 10 10, 0102 10 20, 0102	u	Live Cattle and buffaloes	Restricted	Exports permitted under licence.

	10 30, 0102 10 90, 0102 90 10, 0102 90 20				
11	0106 19 00	u	Camel	Restricted	Exports permitted under licence
12	0106 31 00, 0106 32 00, 0106 39 00	u	Live exotic birds except the following species of exotic birds, policy of which is indicated against each	Prohibited	Not permitted to be exported
13	0106 32 00	u	(i) Albino budgerigars	Free	Subject to pre-shipment inspection
14	0106 32 00	u	(ii) Budgerigars	Free	Subject to pre-shipment inspection
15	0106 39 00	u	(iii) Bengali finches	Free	Subject to pre-shipment inspection
16	0106 39 00	u	(iv) White finches	Free	Subject to pre-shipment inspection
17	0106 39 00	u	(v) Zebra finches	Free	Subject to pre-shipment inspection
18	0106 39 00	u	(vi) Jawa sparrow	Restricted	Exports permitted under license.
					A certificate from the Chief Wildlife warden of the concerned State from where exotic birds (Jawa Sparrow) have been procured to the effect that the birds to be exported are from Captive Bred Stock. Exports if allowed shall be subject to preshipment inspection and CITES certificate.

# Chapter 2 Meat and Edible Meat Offal

Note 1 Beef includes meat and edible offal of cows, oxens and calf

Note 2 Offal includes heart, liver, tongue, kidneys and other organs.

Note 3 The export of chilled and frozen meat shall be allowed subject to the provision specified to the gazette notification on raw meat (chilled and frozen) under Export (Quality Control and Inspection) Act, 1963. Offals of buffalo too are subject to the same conditions of quality control and inspection. Laboratories duly recognized by APEDA, as well as in-house laboratories attached under the abattoirs cum meat processing plant registered with APEDA and Agency approved labs, may also be used to conduct the necessary tests for confirmation of quality under the supervision of the designated veterinary authority of the State. On the basis of these test and inspections carried out by Veterinarians, duly registered under the Indian Veterinary Council Act, 1984, employed by the exporting unit and supervised by the designated veterinary authority of the state.

Note 4 Export of canned meat products shall be subject to preshipment inspection either by the State Directorate of Animal Husbandry or Export Inspection Agency or Directorate of Marketing and Inspection Government of India or Municipal Corporation of Delhi (MCD) in accordance with either the standards prevalent in the exporting country or standards prescribed under the Meat Food Products Order, 1973 under Export (Quality Control and Inspection) Act, 1963 or orders made thereunder

Note 5 Exports of gonads and other reproductive organs of buffaloes and the germplasm of cattle and buffaloes in heading 0511 require an export licence.

Note 6 Export of meat and meat products will be allowed subject to the exporter furnishing a declaration, attached with copies of valid APEDA Plant Registration Certificate(s) to the customs at the time of export that the above items have been obtained / sourced from an APEDA registered integrated abattoir or from APEDA registered meat processing plant which sources raw materials exclusively from APEDA registered abattoir/abattoir. (The stipulation that raw material for export of meat & meat products must be sourced exclusively from APEDA registered abattoir/integrated abattoir will come into effect on 15.06.2012.

Note 7 On the cartons for export of meat, the following details shall compulsorily be mentioned:-

- (i) Name of the Product.
- (ii) Country of Origin
- (iii) APEDA Plant Registration No.
- (iv) Name of the exporter.

Note 8 The designated veterinary authority of the State where meat processing unit is located, may issue the certificate on the basis of the inspections carried out by Veterinarians duly registered under the Indian Veterinary Council Act 1984 employed by the exporting unit and supervised by the designated veterinary authority of the State. The Inspection Fee prescribed under the Export of Raw Meat (Chilled/Frozen) (Quality control and Inspection) Rules, 1992 shall continue to be paid by the exporting unit to the Agency as currently applicable.

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
19	0201 10 00, 0201 20 00, 0201 30 00, 0202 10 00, 0202 20 00, 0202 30 00	kg	Beef of cows, oxen and calf	Prohibited	Not permitted to be exported
20	0201	Kg	Meat of buffalo (both male and female) fresh and chilled.		
	0201 10 00		Carcasses and half carcasses	Prohibited	Not permitted to be exported.
	0201 20 00		Other cuts with bone in.	Prohibited	Not permitted to be exported
	0202		Meat of buffalo (both male and female) frozen		
	0202 10 00		Carcasses and half carcasses	Prohibited	Not permitted to be exported.
	0202 20 00		Other cuts with bone in	Prohibited	Not permitted to be exported.
21	0201 30 00,	Kg	Boneless meat of buffalo (both male and female) fresh and chilled	Free	Export allowed on production of a certificate from the designated veterinary authority of the State, from which the meat or offals emanate, to the effect that the meat or offals are from buffaloes

	0202 30 00		Boneless meat of buffalo (both		not used for breeding and milch purposes.
			male and female) frozen		2. Quality control and inspection under Note 3 and 4 respectively as well as condition stipulated at Note 6 and 8 above are required to be fulfilled.
22	0204 10 00, 0204 21 00, 0204 22 00, 0204 23 00, 0204 30 00, 0204 41 00, 0204 42 00, 0204 43 00	Kg	Meat of Indian sheep	Free	Quality control and inspection under Note 3 and 4 respectively as well as condition stipulated at Note 6 above are required to be fulfilled.
23	0204 50 00	Kg	Meat of Indian Goat.	Free	Quality control and inspection under Note 3 and 4 respectively as well as condition stipulated at Note 6 above are required to be fulfilled.
24	0206 10 00, 0206 21 00, 0206 22 00, 0206 29 00, 0210 20 00	kg	Beef in the form of offal of cows, oxen and calf	Prohibited	Not permitted to be exported
25	0206 10 00, 0206 21 00, 0206 22 00, 0206 29 00, 0210 20 00	kg	Offal of buffalo except gonads and reproductive organs	Free	Export allowed on production of a certificate from the designated veterinary authority of the State, from which the meat or offals emanate, to the effect that the meat or offals are from buffaloes not used for breeding and milch purposes.     Quality control and inspection under Note 3 and 4 respectively as well as condition stipulated at Note 6 and 8 above are required.
		1.		_	to be fulfilled.
26	0206 80 10, 0206 90 10	kg	Offal of Indian sheep	Free	Quality control and inspection under Note 3 and 4 respectively as well as condition stipulated at Note 6 above are required to be fulfilled.
27	0206 80 10, 0206 90 10	kg	Offal of Indian Goat	Free	Quality control and inspection under Note 3 and 4 respectively as well as condition stipulated at Note 6 above are required to be fulfilled.

### Chapter 3

# Fish and Crustaceans, Molluscs and other Aquatic Invertebrates

Note 1 The term "Marine Products" in this Chapter covers all the eight digit Exim Codes in the Chapter of the ITC(HS) Classification of Export and Import items. All marine species that have been included in the Schedules of the Wild Life (Protection) Act, 1972 are prohibited for exports and other species listed in CITES are subject to the provisions of the CITES.

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
28	0300 00 00	Kg	Marine species and products except the following	Free	Subject to preshipment quality inspection as may be specified by the Government through a notification
29	0300 00 00	Kg	Those species (and their parts, products and derivatives) mentioned in the Schedules of the Wild Life (Protection) Act, 1972.	Prohibited	Not permitted to be exported.
30	0302 69 30, 0303 79 50	Kg	Fresh or Chilled or Frozen silver pomfrets of weight less than 300 gms.	Restricted	Exports permitted under licence.
31	0303 79 99	Kg	Beche-de-mer	Prohibited	Not permitted to be exported irrespective of its size.
31A	0305 71 00	Kg	Shark fins of all species of shark	Prohibited	Not permitted to be exported [SNo. 31A inserted - Shark Fins Export Banned by 110-Ntfn(RE)/06.02.2015 (DINDEX Code 5672)]
32	0306 11 00, 0306 12 10, 0306 12 90, 0306 21 00, 0306 22 00	Kg	Lobsters except undersized (\$) rock lobster and sand lobster variety below	Free	
33	0306 11 00, 0306 21 00	kg	Rock Lobster: Panuliruspolyphagus 300 gm as whole chilled live or frozen, 250 gm as whole cooked; 90 gm as tail	Prohibited	Not permitted to be exported
34	0306 11 00, 0306 21 00	kg	Panulirushomarus 200gm as whole live, chilled or frozen, 170 gm as whole cooked, 50 gm as tail	Prohibited	Not permitted to be exported
35	0306 11 00, 0306 21 00	Kg	PanulirusOrnatus 500gm as whole live/chilled or frozen; 425 gm as whole cooked; 150gm as tail	Prohibited	Not permitted to be exported
36	0306 12 10, 0306 12 90, 0306 22 00	kg	Sand Lobster: Thenusorientalis 150 gm as whole; 45 gm as tail	Prohibited	Not permitted to be exported

# **Export Licensing Note of Chapter 3**

**Note 1** A consignment/ lot is considered to be undersized if it contains specified species any piece of which has a weight less than that indicated against the species or has been processed out of or obtained from individual pieces of the species weighing less than the minimum weight indicated against the species.

Note 2 "as tail" means without head.

# Chapter 4 Dairy produce; bird's eggs; natural honey; edible products of animal origin, not elsewhere specified or included

Note 1 The expression "milk" means full cream milk of partially or completely skimmed milk

SNo.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
37	0409 00 00	Kg	Natural Honey	Free	Export of honey to USA and European Union (EU) shall be allowed subject to the following conditions:
					Honey exported shall be 'wholly obtained' Indian origin honey only, and;
					2. No blending of Indian honey shall be permitted with honey originating from any other country."
38	0402		Milk and Cream, concentrated or containing added sugar or other sweetening matter including skimmed milk powder, whole milk powder, dairy whitener and infant milk foods; but not skimmed milk powder	<del>Prohibited</del> Free	Not permitted to be experted
38.01	0402 10 10		Skimmed Milk Powder [SNo. 38 amended and 38.01 inserted by 02- Ntfn(RE)/08.06.2012; 25-Ntfn(RE)/22.11.2012]	Free	

DINDEX Code see details in www.worldtradescanner.com.

U8.4 < DGFT Focuses on SMP Exports – Free Exports and Grants 5% VKGUY – 02-Ntfn(RE)/08.06.2012(DINDEX Code 1319)>

U8.5 <Concentrated Milk/SMP Exports in 0402 Freed - 25-Ntfn(RE)/22.11.2012 (DINDEX Code 1777)>

Note 1 Transitional arrangements under para 1.5 of Foreign Trade Policy, 2009-14 shall not be applicable on export of milk powders(including skimmed milk powder, whole milk powder, dairy whitener and infant milk foods.

**Note 2** Export consignments of milk powders (including skimmed milk powder, whole milk powder, dairy whitener and infant milk foods), which were handed over to customs for examination and export on or before 18.02.2011 will be allowed for export.

Note 3 Export of 1600 MTS of Milk Powder per annum to Bhutan (as per Calendar year i.e. 1st January to 31st December) will be exempted from any export ban.

# Chapter 5 Products of Animal Origin

Note 1 Export of wild animals and their parts and products as covered in Wild Life (Protection) Act, 1972 and CITES are prohibited and other species listed in CITES are subject to the provisions of the CITES. See restrictions in Table A for detail.

S. No.	Tariff Items HS Code	Unit	Item Description	Export Policy	Nature of Restriction
38A	0502 10 10	Kg	Pig Bristles & Hair	Free	Export to EU allowed subject to the following conditions:  (i) A 'Shipment Clearance Certificate' is to be issued consignment-wise by the CAPEXIL indicating details of the name and address of the exporter, address of the registered plant, IEC No. of the exporter, plant approval number, nature of export product, quantity, invoice number and date, port of loading (Name of the port) and destination.  (ii) After the shipment is made, the exporter shall also provide a 'Production Process' Certificate and/or Health Certificate to the buyer consignment-wise to be issued by CAPEXIL as per the requirement of EU.
	from CAPEXIL [Inserted   2014 (DINDEX Code 517	by <i>DGFT</i>	o EU Subjected to Health Certificate Notification No. 83 dated 20 <sup>th</sup> June		
39	0506 10 49, 0511 99 99	Kg	Human skeletons	Prohibited	Not permitted to be exported.
40	0506 10 19, 0506 10 29,	Kg	Bone and bone products (excluding	Restricted	Export to EU allowed subject to the following conditions;
	0506 10 39, 0506 10 49, 0506 90 19, 0506 90 99, 0511 99 99		bone meal), horn and horn products, (excluding horn meal), hooves and hoof products (excluding hoof meal) when exported to European Union.		(i) A 'Shipment Clearance Certificate' is to be issued consignment-wise by the CAPEXIL indicating details of the name and address of the exporter, address of the registered plant, IEC No. of the exporter, plant approval number, nature of export product, quantity, invoice number & date, port of loading (Name of the port) and destination.
					(ii) After the shipment is made,the exporter shall also provide a 'Production Process' certificate and/or health certificate to the buyer consignment-wise to be issued by CAPEXIL as per the requirement of EU.
41	0506 10 19, 0506 10 29, 0506 10 39, 0506 10 49, 0506 90 19, 0506 90 99, 0511 99 99	Kg	Bone and bone products including Ossein intended to be used for Human consumption, meant for European Union.	Restricted	Export to EU allowed subject to the following conditions;- (i) A 'Shipment Clearance Certificate' is to be issued consignment-wise by the CAPEXIL indicating details of the name and address of the exporter, address of the registered plant, IEC No. of the exporter, plant approval number, nature of export product, quantity, invoice number & date, port of loading (Name of the port) and destination. (ii) After the shipment is made, the exporter shall also provide 'Health Certificate' consignment-wise to the buyer giving details of vessel name, shipping bill number with date, production process, etc. as per the requirement of EU. The Certificate would be issued jointly by CAPEXIL and Regional Animal Quarantine Officer, Department of Animal Husbandry, Dairying and Fisheries, Ministry of Agriculture, Government of India.
41A	051199	Kg	Dried Silk Worm Pupae when exported to European Union	Free	Export to EU allowed subject to the following conditions:  (i) A 'Shipment Clearance Certificate' is to be issued consignment-wise by the CAPEXIL indicating details of the name and address of the exporter, address of the registered plant, IEC No. of the exporter, plant approval number, nature of export product, quantity, invoice number and date, port of loading (Name of the port) and destination.  (ii) After the shipment is made, the exporter shall also provide a 'Health Certificate" consignment wise to the buyer giving details of vessel name, shipping bill number with date, etc. as per the requirement of EU. The certificate would be issued jointly by CAPEXIL & Regional Animal Quarantine Officer, Department of Animal Husbandry, Dairying & Fisheries, Ministry of Agriculture, Government of India.
		XIL [Inse	ved Subject to Health Certificate and rted by DGFT Notification No. 95 Code 5464)]		
42	0505 90 10	Kg	Peacock Tail Feathers	Prohibited	Not permitted to be exported.

43	0511 99 99	Kg	Handicrafts and articles of peacock tail feathers	Prohibited	Not permitted to be exported.
44	0506 10 41, 0506 10 49, 0507 90 40	Kg	Shavings of Shed Antlers of Chital and Sambhar	Prohibited	Not permitted to be exported.
45	0511 99 99	Kg	Manufactured Articles of shavings of shed antlers of chital and sambhar	Prohibited	Not permitted to be exported.
46	0508 00 20	Kg	Sea shells, including polished sea shells and handicrafts made out of those species not included in the Schedules of the Wild Life (Protection) Act, 1972.	Free	Export permitted freely
47	0508 00 30	Kg	Sea shells, including polished sea shells and handicrafts made out of those species included in CITES (Excluding the species mentioned in Schedules of the Wild Life (Protection) Act, 1972).	Free	Export to be governed by CITES Regulations.
48	0508 00 50	Kg	Sea shells, including polished sea shells and handicrafts made out of those species included in the Schedules of the Wild Life (Protection) Act, 1972.	Prohibited	Not permitted to be exported
49	0511 99 91, 0511 99 99, 3001 10 91, 3001 10 99, 3001 20 90, 3001 90 99	Kg	Gonads and other reproductive organs of buffaloes	Restricted	Exports permitted under licence.
50	0511 10 00, 0511 99 91, 0511 99 99, 3001 10 91, 3001 10 99, 3001 20 90, 3001 90 99	Kg	Germplasm of cattle and buffaloes	Restricted	Exports permitted under licence.

### **Export Licensing Note of Chapter 5**

Note 1 The handicrafts made out of bone and horn products as finished products and not exported for further processing and not intended for human or animal consumption are not covered under at S. No. 35 and 36 of this Chapter.

### Chapter 6

# Live Trees and other Plants; Bulb, Roots and the Like; Cut Flowers and Ornamental Foliage

**Note 1** Planting material of a kind used for propagation and classified in heading 0601 and heading 0602 are subject to restriction on export. See chapter 12 for detail. Certain plants like cashew plants too are restricted for exports. The detail of this too is in Chapter 12.

# Chapter 7

# **Edible Vegetables and Certain Roots and Tubers**

Note 1 Reference to onions in this chapter includes onions fresh or chilled frozen, provisionally preserved or dried.

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
50A	0701 9000	Kg	Potatoes, Fresh or Chilled	Free	Export permitted subject to MEP of US\$ 450 per MT
		,	/ 85-Ntfn(RE)/26.06.2014; Nature of Restriction RE)/20.02.2015]		
51	0703 10 10, 0712 20 00	Kg	Onion (all varieties expect Bangalore rose onions and Krishnapuram onions) excluding cut, sliced or broken in powder form.	Free	Export of onion permitted subject to a Minimum Export Price(MEP) of US\$ 300 per Metric Ton F.O.B. or as notified by DGFT from time-to-time
52	0703 10 10, 0712 20 00	Kg	Bangalore rose onions and Krishnapuram onions excluding cut, sliced or broken in powder form	Free	Export of onion permitted subject to a Minimum Export Price(MEP) of US\$ 300 per Metric Ton F.O.B. or as notified by DGFT from time-to-time
53	0712 20 00	Kg	Onions (of all varieties) in cut, sliced- or broken in powder form.	Free	
			*List of State Trading Enterprises (STEs) for ex	port of onio	

# (i) National Agricultural Cooperative Marketing Federation of India Ltd. (NAFED)

- (ii) Maharashtra State Agricultural Marketing Board (MSAMB)
- (iii) Gujarat Agro Industries Corporation Ltd. (GALC)
- (iv) The Spices Trading Corporation Ltd. (STCL)
- (v) The A.P. State Trading Corporation
- (vi) The Karnataka State Co-operative Marketing Federation Ltd. (KSCMF)
- (vii) The National Co-operative Consumers Federation of India Ltd., (NCCF)

# Export Licensing Note of Chapter 7

- Note 1 The following conditions will apply to all STEs for export of Onions of all varieties:
- (i) The designated STEs can issue NOC to the Associates Shippers and the service charges levied by them for issue of NOC will be at a uniform rate of 1% of the invoice value of export of onion.
- (ii) The STEs are not allowed to levy any other charges except above by way of fees, guarantee or deposits or in any other form whatsoever.

(viii)The North Karnataka Onion Growers Co-operative Society (NKOGCS)
(ix) West Bengal Essential Commodities Supply Corporation (WBECSC) Ltd.,
Kolkata

(x) M.P. State Agre Industries Development Corporation ((MPSAIDC), Bhopal (xi) Karnataka State Produce Processing and Export Corporation (KAPPEC), Bangalore

(xii) Madhya Pradesh State Co-operative Oil Seeds Growers Federation Ltd., (xiii) The Andhra Pradesh Marketing Federation (AP MARKFED), subject to conditions laid down by DGFT from time to time

(iii) The careful selection of exporters is made to eliminate the trading in permits to export onions.

(iv) The agencies shall ensure that quality of onion is maintained.

(v) A weekly report of permits issued shall be provided to NAFED which shall act a nodal agency to keep the Government of India informed of the outflow of onions.

(vi) Periodic reports of the actual shipment of onion by different agencies be submitted to the Department of Consumer Affairs.

# [Ref: Notifcation No. 51(RE-2007)/2004-09 dated 14.11.2007]

[Onion exports prohibited by DGFT Notification 13(RE) dated 22.12.2010; 24(RE)/18.02.2011 – Onion Export restriction return, MEP of \$600/MT introduced, MEP reduced to US\$450 per MT FOB by DGFT notification 29-(RE)/01.03.2011]; Onion exports prohibited by DGFT Notification 73(RE) dated 09.09.2011; DGFT removes export ban on onions subject to MEP of US\$ 475/MTon 20.09.2011 vide notification 75-RE/20.09.2011]

Onions Export Allowed without MEP by DGFT Notification No. 116 dated 8th May 2012

MEP on Onions Back at US\$650 per MT -35-Ntfn(RE)/14.08.2013 (DINDEX Code 4320)

MEP on Onions Raised to US\$900/MT from US\$650/MT - 41-Ntfn(RE)/19.09.2013 (DINDEX Code 4441)

MEP on Onions Raised to US\$1150/MT from US\$900/MT - 49-Ntfn(RE)/01.11.2013 (DINDEX Code 4544).

MEP on Onion Cut to US\$800/MT from US\$1150/MT - 57-Ntfn(RE)/16.12.2013 (DINDEX Code 4678).

MEP on Onions Cut to US\$350/MTs from US\$800/MTs - 59- Ntfn(RE)/19.12.2013 (DINDEX Code 4696).

MEP on Onions Cut to US\$150 from US\$350/MTs - Great Fall from \$900 on 14 Aug 2013 - 61-Ntfn(RE)/26.12.2013 (DINDEX Code 4712).

MEP on Onion Export Lifted - 72-Ntfn(RE)/04.03.2014 (DINDEX Code 4931).

Onion Export Thru NAFED Decanalised, Free Export without MEP - 73-Ntfn(RE)/12.03.2014 (DINDEX Code 4946).

MEP on Onions Back at US\$300 per MT - 82-Ntfn(RE)/17.06.2014 (DINDEX Code 5158).

MEP on Onions Raised to US\$500/MT from US\$300 per MT - 86-Ntfn(RE)/02.07.2014.

MEP on Onions Return to US\$300 per MT from \$500 per MT - 91-Ntfn(RE)/21.08.2014 (DINDEX Code 5304).

Potato Export Shifted to Free List, MEP Withdrawn - 112-Ntfn(RE)/20.02.2015 (DINDEX Code 5712). See details in www.worldtradescanner.com.

# **PULSES**

54	0713	Kg.	Dried Leguminous Vegetables, Shelled, whether or not skinned or split	Prohibited	Not permitted to be exported.
	0713 10 00	Kg.	Peas (Pisumsativum)	Prohibited	Not permitted to be exported.
	0713 20 00	Kg.	Chickpeas (garbanzos) Beans (Vigna spp., Phaseolus spp.):	Prohibited	Not permitted to be exported.
	0713 31 00	Kg.	Beans of the species Vignamungo (L.)	Prohibited	Not permitted to be exported.
			Hepper or Vignaradiata (L.) Wilczek		
	0713 32 00	Kg.	Small red (Adzuki) beans (Phaseolus or Vignaangularis)	Prohibited	Not permitted to be exported.
	0713 33 00	Kg.	Kidney beans, including white pea beans (Phaseolus vulgaris)	Prohibited	Not permitted to be exported.
	0713 39		Other:	Prohibited	Not permitted to be exported.
	0713 39 10	Kg.	Guar seeds	Prohibited	Not permitted to be exported.
	0713 39 90	Kg.	Other	Prohibited	Not permitted to be exported.
	0713 40 00	Kg.	Lentils	Prohibited	Not permitted to be exported.
	0713 50 00	Kg.	Broad beans (Viciafabavar major) and horse beans (Viciafabavar equine, viciafabavar minor)	Prohibited	Not permitted to be exported.
	0713 90		Other:	Prohibited	Not permitted to be exported.
	0713 90 10	Kg.	Tur (arhar)	Prohibited	Not permitted to be exported.
			Other:		
	0713 90 91	Kg.	Split	Prohibited	Not permitted to be exported.
	0713 90 99	Kg.	Other	Prohibited	Not permitted to be exported.

Note 2 (i) The prohibition shall not apply to export of kabulichana (as is known by different names in different parts of the country) w.e.f. 07.03.2007.

- (ii) The period of validity of prohibition on exports of Pulses (except Kabuli Chana) shall be extended upto 31.3.2013.
- (iii) The transitional arrangements under Para 1.5 of the Foreign Trade Policy, 2004-2009, as amended from time to time, shall not be applicable for export of Pulses.
- (iv) The prohibition shall not be applicable to export of 1000 tonnes of pulses to The Republic of Mauritius by MMTC.
- (v) The prohibition shall not be applicable to export of (i)178.70 tonnes of pulses to Nepal by MMTC.
- (vi) Prohibition imposed vide Para 3 (i) of this Notification shall not apply to export of 1000 MTs of pulses to Bhutan.
- (vii) Export of 60MTS of pulses to the Republic of Maldives permitted (Notification No.34 dt.25.03.2010).
- (viii) Export of 60 MTS of pulses to Republic of Maldives through MMTC Ltd permitted

Note 3 The prohibition shall not be applicable to export of pulses to Sri Lanka executed under the specific permission granted by DGFT.

Note 4 The export of 1200 MTS of pulses to Bhutan per annum (as per Calendar year i.e. 1st January to 31st December) will be exempted from any export ban.

**Note 5** Export of 10,000 MTS of per annum of Organic pulses and lentils duly certified by APEDA from EDI ports will be permitted. Export contracts should be registered with APEDA prior to shipment.

# U8.6 Prohibition on Export of Pulses (Except Kabuli Chana) Extended by One More Year to 31 March 2014 Beyond 31 March 2014

Pulses Export Quota of 290.77 MT to Maldives for Three Years Notified - DGFT Notification No. 77 dated 27<sup>th</sup> March 2014 (DINDEX Code 4980)

Pulses Export Prohibition Extended beyond 31 March 2014 - 78-Ntfn(RE)/31.03.2014 (DINDEX Code 4985)

Export Quota of Pulses to Maldives thru PEC Only - DGFT Public Notice No. 57 dated 9th April 2014 (DINDEX Code 5016)

### [Ref: Notification No. 38(RE-2012)/2009-2014 dated 25 March 2013 as amended by 78-Ntfn(RE)/31.03.2014; 77-RE/27.03.2014]

Subject: Extension of prohibition on export of Pulses (except Kabuli Chana and 10,000 tonnes of organic pulses) upto 31.03.2014.

In exercise of the powers conferred by Section 5 of the Foreign Trade (Development & Regulation) Act, 1992 (No.22 of 1992) read with Para 2.1 of the Foreign Trade Policy, 2009-2014 (as amended from time to time), the Central Government hereby amends, with immediate effect, Para 3 of Notification No.15 (RE-2006)/2004-2009 dated 27.6.2006, as amended from time to time.

- 2. Export of pulses was initially prohibited for a period of six months vide Notification No.15 (RE-2006)/2004-2009 dated 27.6.2006 which was extended from time to time. This extension is upto 31.03.2013 in terms of Notification No. 109(RE-2010)/2009-2014 dated 27.03.2012. Now, the prohibition on export of pulses is being extended upto 31.03.2014. This prohibition will not apply to Kabuli Chana.
- 3. In addition, the prohibition on export of pulses upto 31.03.2014 will not apply to export of 10,000 MTs of organic pulses and lentils per annum as permitted through Notification No. 51(RE-2010)/2009-2014 dated 03.06.2011. Accordingly, the amended Para 3 (i) of Notification No. 109(RE-2010)/2009-2014 dated 27.03.2012 will read as under:
- 3 (i) The period of validity of prohibition on exports of Pulses is extended till further orders. This prohibition will not apply to export of (1) Kabuli Chana and (2) 10,000 MTs of organic pulses and lentils per annum. Export of organic pulses and lentils shall be subject to following conditions:
- (a) Quantity limit shall be 10,000 MTs per annum;
- (b) It should be duly certified by APEDA as being organic pulses and lentils;
- (c) Export contracts should be registered with APEDA, New Delhi prior to shipment;
- (d) Exports shall be allowed only from Customs EDI Ports."

#### 4. Effect of this notification

Prohibition on export of pulses has been extended by one more year; from 31.03.2013 to 31.03.2014. But, there are two exceptions to this. One is export of Kabuli Chana. Second is export of Organic Pulses and lentils; but with a ceiling of 10,000 MTs per annum and subject to certain conditions mentioned above.

# U8.7 Window Opens for Pulses and Vegetable Oil under Advance Authorization with No Sale Condition – Policy [DGFT Notification No. 51 dated 14<sup>th</sup> November 2013]

Subject: Amendment of paragraphs 4.1.13(a) and 6.2(a)(i) of FTP to permit export of an item which is otherwise prohibited for export, under Advance Authorisation and by

In exercise of powers conferred by Section 5 of the Foreign Trade (Development & Regulation) Act, 1992 (No. 22 of 1992), as amended, the Central Government hereby amends paragraphs 4.1.13(a) and 6.2(a)(i) of the FTP 2009-2014 (RE-2012).

- 2. The amended paragraphs will read as under (new words/sentence being added at the end of the paraare in bold letters for easy reference):
- (i) Para 4.1.13(a):

No export or import of an item shall be allowed under Advance Authorisation / DFIA if the item is prohibited for exports or imports respectively. Export of a prohibited item may be allowed under Advance Authorisation provided it is separately so notified subject to the conditions given therein.

(ii) Para 6.2(a)(i)

An EOU / EHTP / STP / BTP unit may export all kinds of goods and services except items that are prohibited in ITC (HS). Export of Special Chemicals, Organisms, Materials, Equipment and Technologies (SCOMET) shall be subject to fulfillment of the conditions indicated in ITC (HS). In respect of an EOU, permission to export a prohibited item may be considered, by BOA, provided such raw materials are imported and there is no procurement of such raw material from DTA.

Effect of this Notification

Certain items which are prohibited for export may be allowed for export under advance authorization scheme, subject to stipulated conditions. BOA can consider requests for export of a prohibited item from an EOU.

# U8.8 Window Opens for Pulses and Vegetable Oil under Advance Authorization with No Sale Condition – Procedure [DGFT Public Notice No. 37 dated 14<sup>th</sup> November 2013]

Subject: Advance Authorization for export of an item which is otherwise prohibited for export

In exercise of the powers conferred under Paragraph 2.4 of the Foreign Trade Policy, 2009-14, the Director General of Foreign Trade hereby makes the following amendments in paragraph 4.4.1 of the Handbook of Procedures Vol. I 2009-14 (RE 2012):

- 1. Existing para 4.4.1 is re-numbered as para 4.4.1 (a)
- 2. Sub-para (b) is added after para 4.4.1(a) as under:

#### Authorization for items which are otherwise prohibited for export

Items covered under Chapter 7 and Chapter 15 of ITC (HS) Schedule 2, which are prohibited for export, shall be allowed to be exported under the advance authorization scheme. Export shall be allowed subject to pre-import condition under notified SION/prior fixation of norms by Norms Committee in terms of Para 4.4.2 of HBP Vol.1. Import/Export would be permitted only through EDI enabled ports.

The Export obligation period (EOP) of advance authorizations issued for such items will be 90 days from the date of clearance of import consignment and no extension in EOP shall be allowed. Such import shall be subject to actual user condition and no transfer of imported raw material, for any purpose, including job work, shall be permitted. In case of non-fulfilment of EO/ non-achievement of stipulated value addition, a penalty equal to five times of the CIF value of the imported material, corresponding to the shortfall in EO, shall be imposed in addition to the applicable duty and interest. Provisions of Para 4.28 of HBP vol.1 shall not be applicable in this case

#### **Effect of this Public Notice**

Items which are otherwise prohibited for exports but which have been permitted for export under the advance authorization scheme have been specified alongwith conditions applicable for such exports.

### **U8.9 Roasted Gram Export Banned**

The following Standing Order was issued by the Commissioner of Customs (Export) Jawaharlal Nehru Customs House on 18<sup>th</sup> January 2011 Subject: Prohibition on export of pulses including roasted gram upto 31.03.2011 by DGFT.

05-SO Attention of all concernedTrade & staff is invited toDGFT's letter dated 16/12/2010 issued from F.No.01/91/180/1237/AM11/EC/4688,New Delhi and Notification No. 35/2009-2014 dated 30/03/2010 issued by the Ministry of Commerce and Industry, Department of Commerce, New Delhi wherein it has been decided to extend the period of validity of prohibition on export of pulses (except Kabuli Chana).

DGFT had extended the period of prohibition of export of pulses upto 31.03.2011.

3. Further DGFT had also clarified that export of roasted gram are also banned for export.

Further kind attention is also invited to Para 2 of DGFT letter which reads as follows:

"It is clarified that the item roasted gram is covered under the purview of ban on export of pulses and hence cannot be allowed for export."

[See Full text of the circular at WTS Issue Volume 27 No. 44 dated 26 January-01 February 2011 at www.worldtradescanner.com]

#### Chapter-8

# Edible Fruit and Nuts; Peel of Citrus Fruit or Melons

SI.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
54A	08061000	Kg	Grapes, Fresh or Dried	Free	Export to European Union is permitted subject to registration with APEDA.
					[SNo. 54A inserted by 28-Ntfn(RE)/03.01.2013]

U8.9.1 <Export of Grapes, Groundnuts and their Products Requires APEDA Registration— 25-Ntfn(RE)/03.01.2013 (DINDEX Code 1908)>. See details in www.worldtradescanner.com.

# Chapter 10 Cereals

Note 1 Export of wild variety of wheat and paddy seed is restricted. See chapter 12 for details.

RIC	RICE								
SI. No	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction				
55	1006 10 1006 10 90 1006 20 00 1006 30 1006 30 10 1006 30 90	Kg.	Non Basmati Rice	Free	Export to be made by private parties from privately held stocks. State Trading Enterprises (STEs) including M/s. NCCF & NAFED are also permitted to export privately held stocks of non-Basmati rice.      Export shall be through Custom EDI ports. Export is also permitted through the non-EDI Land Custom Stations (LCS) on Indo-Bangladesh and Indo-Nepal border subject to registration of quantity with DGFT. RAs Kolkata & Patna and such other RAs as notified by DGFT from time to				
	1006 40 00				time will be the designated RAs for the purpose of such registration of quantity.				
56	1006 10 10	Kg	Rice of seed quality	Free	Export will be allowed subject to submission of following documents to Customs at the time of export:     (i) A license to carry on the business of a dealer in seeds issued under Section 3 of the Seed Control Order (1983) from the State Government; and     (ii) Declaration that the export consignment of seeds has been chemically treated and is not fit for human consumption; and     Export packets will be labeled that seeds are treated with chemical insecticides and cannot be used for food or feed purposes.				
57	1006 30 20	Kg	Basmati Rice (Dehusked (Brown), semi milled, milled both in either par- boiled or raw condition).	Free	(i) Exports allowed subject to registration of contracts with the APEDA , New Delhi; (ii) Export will be subject to Minimum Export Price (MEP) of US \$ 700 per ton FOB or as notified by DGFT from time to time. MEP shall be exclusive of foreign commission; - [MEP dropped on Basmati Rice export by 06-Ntfn(RE)/04.07.2012] (iii) Grain of rice to be exported shall be more than 6.61 mm of length and ratio of length to breadth of the grain shall be more than 3.5; (iv) Export of Basmati Rice is permitted through all EDI ports; (v) Exports to European Union permitted subject to pre-shipment quality inspection as may be specified by the Government through a notification; (vi) Exports to Russian Federation permitted subject to pre-shipment quality certification issued by (1) Insecticide Residue Testing Laboratory. (2) Geo-Chem Laboratories Pvt. Ltd. (3) Reliable Analytical Laboratory (4) Arbro Pharmaceuticals Ltd. (5) Shri Ram Institute for Industrial Research, Delhi (6) Shri Ram Institute for Industrial Research, Branch Office Bangalore (7) Delhi Test House; and (8) Vimta Labs. or any other agency as may be notified from time to time." (vii) Exports of empty printed gunny bags with Markings indicating the product being Indian Basmati Rice, in whatever manner, are not permitted except when exported along with the consignments of Basmati Rice, in which cases also, the same shall not exceed 2% of the total number of filled gunny bags of Basmati Rice being exported in bulk or in bags of 50 Kgs or above, the exports of empty printed gunny bags with Markings indicating the product being Indian Basmati Rice, in whatever manner, shall also be permitted to the extent of actual requirements considering the total quantity of the consignment and the sizes of the empty bags being exported.				

Note 1 The above conditions in respect of export of Non Basmati Rice will not be applicable to exports undertaken as under:

Note 2 Export of 10,000 tons of non-basmati rice to Horn of Africa (Kenya, Somalia and Djibonti) from Central Pool Stock of FCI at economic cost of

<sup>1.1</sup> Export of non-Basmati rice (i) under Food Aid Programme and (ii) under bi-lateral trade agreement between Government of India and Government of Maldives shall be permitted.

<sup>1.2</sup> Export of 21,200 MTs per annum to Bhutan (as per Calendar year i.e. from 1st January to 31st December) of non-basmati rice will be exempted from any export ban.

Rs.20,689.50 per ton will be permitted.

Note 3 Export of 10,000 MTs of organic non-basmati rice per annum duly certified by APEDA prior to shipment from EDI ports will be permitted.

Note 4 Export of 25,000 MTs of non-basmati rice to Nepal permitted through MMTC vide Notification No.33 DT.03.03.2010 will be permitted with a maximum of 25% broken during KMS 2010-11.

# U8.10 MEP Dropped on Basmati Export

#### [DGFT Notification No. 06 dated 4th July 2012]

Subject: Removal of Minimum Export Price (MEP) of Basmati rice.

In exercise of the powers conferred by Section 5 read with Section 3(2) of the Foreign Trade (Development & Regulation) Act, 1992 (No.22 of 1992) and also read with Para 2.1 of the Foreign Trade Policy, 2009-2014, the Central Government hereby deletes the entry at Point (ii) in the "Nature of Restriction" column [Column No. 6 of the table] against SI. No. 57 in Chapter 10 of Schedule 2 of ITC(HS) Classification of Export and Import Items relating to Basmati rice.

2. All other conditions [Point (i); Point (iii) to (viii) in column 6 of the table against SI. No. 57 in Chapter 10 of Schedule 2 of ITC(HS) book] remain unchanged.

#### 3. Effect of this notification

Basmati Rice can be exported without any Minimum Export Price (MEP).

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58	1006 10 90	Kg	Paddy (of Basmati Rice in husk)	Free	a) Export allowed subject to registration of contracts with the APEDA, New Delhi b) Export of empty printed gunny bags with Marking indicating the product being Indian Basmati Paddy, in whatever manner, are not permitted except when exported alongwith the consignments of Basmati Paddy, in which cases also, the same shall not exceed 2% of the total number of filled gunny bags of Basmati Paddy being exported.
					c) In case of unbagged Indian Basmati Paddy being exported in bulk or in bags of 50 Kgs. or above, the exports of empty printed gunny bags with Markings indicating the product being Indian Basmati Paddy, in whatever manner, shall also be permitted to the extent of actual requirements considering the total quantity of the consignment and the sizes of the empty bags being exported.
59	1001 10 1001 10 90 1001 90 1001 90 20 1001 90 39	Kg	Durum wheat: Other Other Other wheat Other	Free	Export permitted through Custom EDI ports only. Export shall also be permitted through the non-EDI Land Custom Stations (LCS) on Indo- Bangladesh and Indo-Nepal border subject to registration of quantity with DGFT. RAs Kolkata & Patna and such other RAs as notified by DGFT from time to time will be the designated RAs for the purpose of such registration of quantity.
60	100110 10	Kg	Durum Wheat of seed quality	Free	1. Export will be allowed subject to submission of following documents to Customs at the time of export:  (i) A license to carry on the business of a dealer in seeds issued under Section 3 of the Seed Control Order (1983) from the State Government; and  (ii) Declaration that the export consignment of seeds has been chemically treated and is not fit for human consumption; and  2. Export packets will be labeled that seeds are treated with chemical insecticides and cannot be used for food or feed purposes.
61	100190 10	Kg	Wheat of seeds quality	Free	Export will be allowed subject to submission of following documents to Customs at the time of export:     (i) A license to carry on the business of a dealer in seeds issued under Section 3 of the Seed Control Order (1983) from the State Government; and     (ii)Declaration that the export consignment of seeds has been chemically treated and is not fit for human consumption; and     Export packets will be labeled that seeds are treated with chemical insecticides and cannot be used for food or feed purposes.
62	100190 31	Kg	Meslin of seed quality	Free	1. Export will be allowed subject to submission of following documents to Customs at the time of export:  (i) A license to carry on the business of a dealer in seeds issued under Section 3 of the Seed Control Order (1983) from the State Government; and  (ii) Declaration that the export consignment of seeds has been chemically treated and is not fit for human consumption; and  2. Export packets will be labeled that seeds are treated with chemical insecticides and cannot be used for food or feed purposes.
63	1005 10 00	Kg.	Maize (corn) Seed quality	Free	Export will be allowed subject to submission of following documents to Customs at the time of export:     (i) A license to carry on the business of a dealer in seeds issued under Section 3 of the Seed Control Order (1983) from the State Government; and     (ii)Declaration that the export consignment of seeds has been chemically treated and is not fit for human consumption; and     Export packets will be labeled that seeds are treated with chemical insecticides and cannot be used for food or feed purposes.

#### **Sub-heading Note**

The term "durum wheat" means wheat of the Triticum durum species and the Hybrids derived from the inter – specific crossing of Triticum durum which have the same number (28) of chromosomes as that species.

Note 1 Export of 5000 MTS of Organic wheat per annum duly certified by APEDA permitted from EDI ports.

Note 2 Export of 2,50,000 MTS of Wheat to Afghanistan from central pool stock of FCI permitted up to 31.03.2012.

Note 3 Export of 24,000 MTS of wheat per annum to Bhutan (as per Calendar year i.e. 1st January to 31st December) shall be exempted from any export ban.

# Chapter 11 Products of the milling industry; malt; starches; inulin; wheat gluten

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
64	1101	Kg	Wheat Flour (Maida), Samolina	Free	Export allowed subject to limit of 6.5 lakh MTs between 03.07.2009 and
			(Rava / Sirgi), Wholemealatta		31.03.2013 and with the condition that export shall be allowed through EDI ports
			and resultant atta		only.

Note 1 Export of the following quantities of wheat flour to Maldives shall be permitted through the PSUs indicated below:

Item	Year	Quantity in MTs	Name of PSU
Wheat Flour	2011-12	32,095	MMTC Ltd
	2012-13	35,304	MMTC Ltd
	2013-14	38.835	MMTC Ltd

# Chapter 12

# Oils Seeds and Oleaginous Fruits; Miscellaneous Grains, Seeds and Fruit; Industrial or Medicinal Plants; Straw and Fodder

Note: Seeds of all forestry species including Nux Vomica, Red Sanders, Rubber, Russa Grass and tufts, Sandalwood and seeds of tufts, Sandalwood and seeds of ornamental wild variety plants stand restricted for export and shall be allowed under licence and also shall be regulated according to the rules notified under Biological (Diversity) Act, 2002.

SNo.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction	
65	0601 00 00, 0602 00 00, 1211 00 00	Kg	Seeds and planting materials other than those in the restricted category	Free	Declaration in the form of an affidavit from the exporter that the seed being exported is not Breeder or Foundation or Wild variety seeds with indication the source of procurement	
66	1202 10 00	Kg	Groundnuts (Peanuts) and their products including in-shell	Free	(a) Export to all countries (except exports to Russian Federation) is permitted subject to registration with APEDA along with controlled Aflatoxin level Certificate issued by APEDA recognized laboratories (as updated from time to time) on their website at URL www.apeda.gov.in/apedawebsite/HACCP/recognizedlaboratories.pdf (b) Exports to Russian Federation permitted subject to pre-shipment quality certification issued by (1) Insecticide Residue Testing Laboratory. (2) Geo-Chem Laboratories Pvt. Ltd. (3) Reliable Analytical Laboratory (4) Arbro Pharmaceuticals Ltd. (5) Shri Ram Institute for Industrial Research, Delhi (6) Shri Ram Institute for Industrial Research, Branch Office Bangalore (7) Delhi Test House; and (8) Vimta Labs. or any other agency as may be notified from time to time.	
67	1202 10 10 1202 10 19 1202 10 91 1202 10 99 1202 20 10 1202 20 90	Kg Kg Kg Kg Kg	Groundnut (of Seed Quality) Groundnut (Other) Groundnut (Other of Seed Quality) Groundnut (Other) Groundnut (Kernels, H.P.S.) Groundnut (Other)	Free	(a) Export to all countries (except exports to Russian Federation) is permitted subject to registration with APEDA along with controlled Aflatoxin level Certificate issued by APEDA recognized laboratories (as updated from time to time) on their website at URL www.apeda.gov.in/apedawebsite/HACCP/recognized_laboratories.pdf (b) Exports to Russian Federation permitted subject to pre-shipment quality certification issued by (1) Insecticide Residue Testing Laboratory. (2) Geo-Chem Laboratories Pvt. Ltd. (3) Reliable Analytical Laboratory (4) Arbro Pharmaceuticals Ltd. (5) Shri Ram Institute for Industrial Research, Delhi (6) Shri Ram Institute for Industrial Research, Branch Office Bangalore (7) Delhi Test House; and (8) Vimta Labs. or any other agency as may be notified from time to time. [SNo. 66 and 67 substituted by 28-Ntfn(RE)/03.01.2013 - Export of Grapes, Groundnuts and their Products Requires APEDA Registration]	
68	1207 40 10	Kg	Seasame Seeds	Free	Exports to Russian Federation permitted subject to pre-shipment quality certification issued by (1) Insecticide Residue Testing Laboratory. (2) Geo-Chem Laboratories Pvt. Ltd. (3) Reliable Analytical Laboratory (4) Arbro Pharmaceuticals Ltd. (5) Shri Ram Institute for Industrial Research, Delhi	

					<u></u>
					(6) Shri Ram Institute for Industrial Research, Branch Office Bangalore
					(7) Delhi Test House; and
					(8) Vimta Labs.
					Or any other agency as may be notified from time to time.
69	1001 90 10	Kg	Wheat seeds (wild variety)	Restricted	Exports permitted under licence
70	1006 20 00	Kg.	Paddy seeds (wild variety)	Restricted	Exports permitted under licence
71	0602 20 10, 1209 99 90	Kg	Cashew seeds and plants	Restricted	Exports permitted under licence
72	1209 29 90	Kg	Egyptian clover (Barseem) Trifoliumalaxtum seeds	Restricted	Exports permitted under licence
73	1209 91 30	Kg	Onion Seeds	Restricted	Exports permitted under licence
74	1209 29 00	Kg	Pepper cuttings or rooted cuttings of pepper	Restricted	Exports permitted under licence
75	1209 99 90	Kg	Seeds of all forestry species	Restricted	Exports permitted under licence
76	1209 29 90	Kg	Saffron seeds or corms	Restricted	Exports permitted under licence
77	1211 90 14	Kg	Neem seeds	Restricted	Exports permitted under licence
78	1211 90 00, 1211 90 12	Kg	Nux vomica / bark/leaves/roots powder thereof	Restricted	Exports permitted under licence
79	1209 99 10, 1209 99 90	Kg	Seeds of all trees (excluding seeds of all forestry species), hedges, ornamental plants and flowers and vegetable seeds other than onion seeds	Free	Exports permitted subject to a declaration in the form of an affidavit from the exporter that the seed being exported is not Breeder or Foundation or Wild variety seeds and indicating the source of procurement.
80	0601 00 00, 0602 00 00, 1211 00 00, 0601 10 00, 0601 20 10, 0602 10 00, 0602 90 20, 0602 90 30		Plants and plant portions of wild origin, of species specified in any of the Schedules of Wild Life (Protection) Act, 1972 or Appendix I of CITES or Export Licensing Note 1	Prohibited	Not permitted to be exported. Special exemption can be granted for the purpose of research, education and life saving drugs on case by case basis by DGFT, on the recommendation of Ministry of Environment & Forests.
81	0602 90 90		Plants and plants portions of cultivation origin of species specified in any of the Schedules of Wild Life (Protection) Act, 1972 or Appendix I of CITES or Export Licensing Note1.	Free	Subject to production of a Certificate of Legal Possession in favor of the exporter, issued by the DFO having jurisdiction where the exporter is situated. In case of species listed in Schedule VI of Wild Life (Protection) Act, 1972, subject to the exporter complying with the provision of S.17A of the Act. In case of species listed in Appendix I of CITES subject registration of the nursery and appropriate CITES documentation from the Asst. Management Authority.
82	0602 90 90		Plants, Plant portions of wild or cultivation origin, of species specified Appendix II or III of CITES.	Free	Subject to production of certificate of Legal Possession in favour of the exporter, issued by the DFO having jurisdiction where the exporter is situated. Export subject to CITES.
83	0602 90 90		Plants, plant portions of wild or cultivation origin, of species not specified in any of the Schedules of Wild Life (Protection) Act, 1972 or Appendix I, II, III of CITES or Export Licensing Note 1.	Free	Subject to obtaining a Certificate of cultivation from District Agriculture Officer or District Horticulture Officer or DFO".
84	3003 40 00, 3003 90 11, 3003 90 12, 3003 90 13, 3003 90 14, 3003 90 15, 3004 90 11, 3004 90 12, 3004 90 13, 3004 90 14, 3004 90 15	kg	Derivatives, extracts and formulations	Free	Subject to the provisions of Wile Life (Protection) Act, 1972 and CITES"
85	1212 20 10, 1212 20 90	Kg	Sea weeds of all types, including G-edulis but excluding brown sea weeds and agarophytes of Tamil Nadu Coast origin in processed form	Restricted	Exports permitted under licence.
86	1212 20 90	Kg	Brown sea weeds and Agrophytes excluding G-edulis of Tamil Nadu coast origin in processed form	Free	Exports allowed subject to quantitative ceilings as may be notified by DGFT from time to time

#### **Export Licensing Note of Chapter 12**

#### Note 1

- 1. Baddomes cycad (Cycasbeddomei).
- 2. Blue vanda (Vanda coerulea).
- 3. Saussureacostus.
- 4. Ladies slipper orcid (Paphiopedilium species).
- 5. Pitcher plant (Nepenthes Khasiana).
- 6. Red vanda (Renantheraimschootiana).
- 7. Rauvolifiaserpentina (Sarpagandha).
- 8. Ceropegia species.
- 9. Frereaindica (ShindalMankundi).
- 10. Podophyllumhexandurm (emodi) (Indian Podophyllum.)
- 11. Cyatheaceae species (Tree Ferns).
- 12. Cycadacea species (Cycads).
- 13. Dioscoreadeltoidea (Elephant's foot).
- 14. Euphorbia species (Euphorbias).
- 15. Orchidaceae species (Orchids).

- 16. Pterocarpussantalinus (Redsanders).
- 17. TaxusWallichiana (Common Yew or Birmi Leaves).
- 18. Aquilariamalaccensis (Agarwood).
- 19. Aconitum species.
- 20. Coptisteeta.
- 21. Cosciniumfenestrum (Calumba wood).
- 22. Dactylorhizahatagirea.
- 23. GentianaKurroo (Kuru ,Kutki).
- 24. Gnetum species.
- 25. KampheriaGalenga.
- 26. Nardostachysgrandiflora.
- 27. Panaxpseudoginseng.
- 28. Picrorhizakurrooa.
- 29. Swertiachirata (Charayatah).

Note 2 (i) However, in respect of CITES species, a CITES permit of export shall be required.

- (ii) Exports allowed only through the Ports of Mumbai, NhavaSheva, Kolkata, Cochin, Delhi, Chennai, Tuticorin, Amritsar, Calicut, Thiruvananthapuram, Kandla and Mundra.
- (iii) Except for the documents prescribed above, no additional informations/ NOC/ documents shall be required to be furnished by the Exporter to any authority of State or Central Government.

Note 3 \*The term "formulation" used here may include products, which may contain portions / extracts of plants on the prohibited list. Further the term "formulation" shall also include value added formulations as well as herbal ayurvedic, and exports subject to the provisions of CITES or Wild Life (protection) Act, 1972 in case where the formulation contains species listed therein.

# Chapter 13 Lac, Gums, Resins and Other Vegetable Saps and Extracts

S.No.	Tariff Item HS Code	Unit	Item Descrip- tion	Export Policy	Nature of Restriction
87	1301 10 10, 1301 10 20, 1301 10 30, 1301 10 40, 1301 10 50, 1301 10 60,	Kg	Shellac and all forms of lac	Free	(i) Registration with Tribal Cooperative Marketing Development Federation of India Ltd. or Shellac & Forest Products Export Promotion Council; (ii) Production of quality certificate from Shellac & Forest Products Export Promotion
	1301 10 70, 1301 10 80, 1301 10 90				Council.
88	1301 90 16	Kg	Gum Karaya	Free	Registration with Tribal Cooperative Marketing Federation of India Limited (TRIFED) or Shellac & Forest Products Export Promotion Council (SHEFEXIL), Kolkata.
89	1302 1302 32 20 1302 32 30	Kg.	Guar gum refined split Guar gum treated and pulverized.	Free	Guar gum exports to European Union, originating in or consigned from India and intended for animal or human consumption, allowed subject to issue of Health Certificate by authorized representative of Ministry of Commerce & Industry, Government of India i.e. Shellac & Forest Products Export Promotion Council (SHEFEXIL), Kolkata accompanied by the original analytical report of testing of PentaChlorophenol (PCP) issued by Vimta Labs, Hyderbad, certifying that product does not cotain more than 0.01 mg per Kg of PentaChlorophenol (PCP) on sampling done by the authorized representative of the competent authority

### Chapter 14

# Vegetable Plaiting Materials, Vegetable Products not elsewhere specified or included

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
90	1401 10 00	u	Mulli bamboo (Melocannabacifera)	Prohibited	Not permitted to be exported

# Chapter 15

### Animal or Vegetable Fats and Oils and their Cleavage Products; Prepared Edible Fats; Animal or Vegetable Waxes

S.No.	Tariff Item HS Code	Unit	Item Description	<b>Export Policy</b>	Nature of Restriction
91	1501, 1501 10 00, 1501 20 00, 1501 90 00, 1502, 1502 10, 1502 10 10, 1502 10 90, 1502 90, 1502 90 10, 1502 90 20, 1502 90 90, 1503 00 00, 1505, 1505 00, 1505 00 10, 1505 00 20, 1506 00 10, 1506 00 90	Kg	Tallow, fat and/or oils of any animal origin excluding fish oil, buffalo tallow and Lanolin	Prohibited	Not permitted to be exported
91A	15021090	Kg	Buffalo Tallow	Free	Export permitted only from APEDA
	Export of Buffalo Tallow from Meat Plants All by 104-Ntfn(RE)/31.12.2014 (DINDEX Code				registered integrated meat plants having rendering facilities subject to compulsory pre-shipment bio-chemical test by

				laboratories approved by APEDA.
92	Codes pertaining to all edible oils under Chapter 15 of Schedule 1	All Edible Oils under Chapter 15 of Schedule 1 of ITC(HS) Classifica- tion of Export and Import Items	Prohibited	Not permitted to be exported

Note 1 Restrictions imposed vide this Notification shall not apply to the following:

- (i) Export of Castor Oil,
- (ii) Export of Coconut Oil through Kochi Port,
- (iii) Deemed export' of edible oils to 100% export oriented units with the condition that the final product be non-edible,
- (iv) Export of Oils produced out of minor forest produce, as per table given below, even if edible:

ITC (HS) Code	Item Description
15159010	Fixed Vegetable oils viz. Neutralised and Bleached Morwah Oil/fat, Neutralised and Bleached Kokum oil/fat, Neutralised and Bleached Sal oil/ sal fat / Stearine.
15159020	Fixed vegetable oils viz. Dhup oil, Neemseed oil
15159030	Fixed vegetable oils viz. Nigerseed oil.
15159040	Fixed vegetable oils viz. Neutralised and Bleached Mango kernel fat/oil/stearine/olein.
15179010	Sal Fat (Processed or Refined).
15219020	Shellac wax, whether or not coloured.

- (v) Export of edible oil from Domestic Tariff Area (DTA) to Special Economic Zone (SEZ) to be consumed by the SEZ units for manufacture of processed food products.
- (vi) Export of edible oil in branded consumer packs of up to 5 Kgs, subject to a limit of 10,000 MTs between 01.11.2011 to 31.10 2012. Such exports shall be allowed only from Customs EDI Ports.
- (vii) Export of 10,000 MTs of organic edible oils per annum duly certified by APEDA from EDI ports shall be permitted.
- (viii) Export of 2400 MTs of edible oils per annum to Bhutan (as per Calendar year i.e. 1st January to 31st December) will be exempted from any export ban.

# U8.11 Window Opens for Pulses and Vegetable Oil under Advance Authorization with No Sale Condition – Policy [DGFT Notification No. 51 dated 14<sup>th</sup> November 2013]

Subject: Amendment of paragraphs 4.1.13(a) and 6.2(a)(i) of FTP to permit export of an item which is otherwise prohibited for export, under Advance Authorisation and by EOUs

In exercise of powers conferred by Section 5 of the Foreign Trade (Development & Regulation) Act, 1992 (No. 22 of 1992), as amended, the Central Government hereby amends paragraphs 4.1.13(a) and 6.2(a)(i) of the FTP 2009-2014 (RE-2012).

- 2. The amended paragraphs will read as under (new words/sentence being added at the end of the paragraphs will read as under (new words/sentence being added at the end of the paragraphs will read as under (new words/sentence being added at the end of the paragraphs will read as under (new words/sentence being added at the end of the paragraphs will read as under (new words/sentence being added at the end of the paragraphs will read as under (new words/sentence being added at the end of the paragraphs will read as under (new words/sentence being added at the end of the paragraphs will read as under (new words/sentence being added at the end of the paragraphs will read as under (new words/sentence being added at the end of the paragraphs will read as under (new words/sentence being added at the end of the paragraphs will read as under (new words/sentence being added at the end of the paragraphs will read as under (new words/sentence).
- (i) Para 4.1.13(a):

No export or import of an item shall be allowed under Advance Authorisation / DFIA if the item is prohibited for exports or imports respectively. Export of a prohibited item may be allowed under Advance Authorisation provided it is separately so notified subject to the conditions given therein.

(ii) Para 6.2(a)(i)

An EOU / EHTP / STP / BTP unit may export all kinds of goods and services except items that are prohibited in ITC (HS). Export of Special Chemicals, Organisms, Materials, Equipment and Technologies (SCOMET) shall be subject to fulfillment of the conditions indicated in ITC (HS). In respect of an EOU, permission to export a prohibited item may be considered, by BOA, provided such raw materials are imported and there is no procurement of such raw material from DTA.

#### **Effect of this Notification**

Certain items which are prohibited for export may be allowed for export under advance authorization scheme, subject to stipulated conditions. BOA can consider requests for export of a prohibited item from an EOU.

# U8.12 Window Opens for Pulses and Vegetable Oil under Advance Authorization with No Sale Condition – Procedure [DGFT Public Notice No. 37 dated 14<sup>th</sup> November 2013]

Subject: Advance Authorization for export of an item which is otherwise prohibited for export

In exercise of the powers conferred under Paragraph 2.4 of the Foreign Trade Policy, 2009-14, the Director General of Foreign Trade hereby makes the following amendments in paragraph 4.4.1 of the Handbook of Procedures Vol. I 2009-14 (RE 2012):

- 1. Existing para 4.4.1 is re-numbered as para 4.4.1 (a)
- 2. Sub-para (b) is added after para 4.4.1(a) as under:

#### Authorization for items which are otherwise prohibited for export

Items covered under Chapter 7 and Chapter 15 of ITC (HS) Schedule 2, which are prohibited for export, shall be allowed to be exported under the advance authorization scheme. Export shall be allowed subject to pre-import condition under notified SION/prior fixation of norms by Norms Committee in terms of Para 4.4.2 of HBP Vol.1. Import/Export would be permitted only through EDI enabled ports.

The Export obligation period (EOP) of advance authorizations issued for such items will be 90 days from the date of clearance of import consignment and no extension in EOP shall be allowed. Such import shall be subject to actual user condition and no transfer of imported raw material, for any purpose, including job work, shall be permitted. In case of non-fulfilment of EO/ non-achievement of stipulated value addition, a penalty equal to five times of the CIF value of the imported material, corresponding to the shortfall in EO, shall be imposed in addition to the applicable duty and interest. Provisions of Para 4.28 of HBP vol.1 shall not be applicable in this case

#### Effect of this Public Notice

Items which are otherwise prohibited for exports but which have been permitted for export under the advance authorization scheme have been specified alongwith conditions applicable for such exports.

U8.13 Consumer Packs of Branded Oil Export Prohibited, 10,000 tons Window Closed by DGFT Notification No. 09 dated 1<sup>st</sup> August 2012. U8.13.1 Edible Oil Export in Consumer Packs Ceiling of 10,000 MT is Independent of Exemptions to Castor Oil etc - DGFT Policy Circular No. 05/02.12.2010.

U8.13.2 Edible Oil Export Ban Extended Indefinitely by DGFT Notification 24(RE)/19.10.2012. See full text of the circular in www.worldtradescanner.com.

### **U8.13.3** Exemptions on Edible Oils Export

- Castor Oil
- Coconut Oil
- DTA to EOU/SEZ
- · Minor Forest Produce
- Peanut Butter

10,000 MTs of Organic Edible Oils Export Permitted - 39-Ntfn(RE)/25.03.2013 (DINDEX Code 3012).

Coconut Oil Exports Permitted through All LCS on Nepal, Bhutan, Bangladesh and Pak Borders in Addition to All EDI Ports – 22-Ntfn(RE)/18.06.2013 (DINDEX Code 4150).

Consumer Pack Edible Oil MEP Cut by \$100 - 45-Ntfn(RE)/09.10.2013 (DINDEX Code 4480).

Consumer Pack Edible Oil MEP Cut by \$300 to \$1100/MT from \$1400/MT - 80-Ntfn(RE)/30.04.2014 (DINDEX Code 5055).

Consumer Pack Edible Oil MEP Cut by \$200 to \$900/MT – 108-Ntfn(RE)/06.02.2015 (DINDEX Code 5667). See details in worldtradescanner.com.

# [DGFT Notification No. 32 dated 5th February 2013]

Subject: Amendment in Notification No 24(RE-2012)/2009-14 dated 19<sup>th</sup> October 2012 relating to export of edible oils.

In exercise of the powers conferred by Section 5 of the Foreign Trade (Development & Regulation) Act, 1992 (No.22 of 1992) read with Para 2.1 of the Foreign Trade Policy, 2009-2014 (as amended from time to time), the Central Government hereby amends, with immediate effect, Notification No 24(RE-2012)/2009-14 dated 19<sup>th</sup> October 2012 relating to SI. No. 92 of Schedule 2 of ITC(HS) Classification of Export & Import Items.

- 2. Export of edible oils was initially prohibited for a period of one year with effect from 17.03.2008 vide Notification No. 85 dated 17.03.2008 which was extended from time to time. Vide Notification No. 24(RE-2012)/2009-14 dated 19<sup>th</sup> October 2012, prohibition on export of edible oil has been extended till further orders.
- 3. Following exemptions are permitted from the prohibition on export of edible oils:
- (a) Castor oil
- (b) Coconut oil from all EDI Ports and through all Land Custom Stations(LCS) on Indo-Nepal, Indo-Bangladesh, Indo-Bhutan and Indo-Pakistan borders.
- (c) Deemed export of edible oils(as input raw material) from DTA to 100% EOUs for production of non-edible goods to be exported
- (d) Edible oils from Domestic Tariff Area (DTA) to Special Economic Zones (SEZs) to be consumed by SEZ units for manufacture of processed food products, subject to applicable value addition norms
- (e) Edible oils produced out of minor forest produce, ITC(HS) Code 15159010, 15159020, 15159030, 15159040, 15179010 and 15219020.
- (f) 10,000 MTs of Organic edible oils per annum. The conditions notified in Notification No. 50 dated 03.06.2011 for export of organic edible oils will continue to apply.
- 4. Export of edible oils in branded consumer packs of upto 5 Kgs is permitted with a Minimum Export Price of USD 900 1100 1100 per MT. [Substituted by 108-Ntfn(RE)/06.02.2015]
- 5. The prohibition will not apply to export of Peanut Butter, ITC (HS) Code 15179020. [This already stands notified at SI. No. 10 of the Table in Para 1 of Notification No. 31(RE-2012)/2009-14 dated 4<sup>th</sup> February, 2013]

#### 6. Effect of this notification

Exemptions to prohibition on export of edible oils notified on 05.02.2013 (Notification No. 32) have been expanded.

# U8.13.4 Free Export of Processed and Value Added Agricultural Products where Primary Product is Restricted [DGFT Notification No. 31 dated 4<sup>th</sup> February 2013]

Subject: Exemption to processed and/or value added agricultural products from the application of export restrictions/bans.

In exercise of powers conferred by Section 5 of the Foreign Trade (Development & Regulation) Act, 1992 (No. 22 of 1992) read with paragraph 2.1 of the Foreign Trade Policy, 2009-2014, as amended from time to time, the Central Government hereby exempts, with immediate effect, the following processed and/or value added agricultural products from any export restrictions/ban:

SNo.	Name of Product	Tariff Item HS Code
1	Wheat or Meslin flour	1101
2	Cereal flours other than of wheat or meslin (Maize, Oats etc.)	1102
3	Cereal groats, meal pellets	1103
4	Cereal grains otherwise worked except rice of heading no. 1006; germ of cereals, whole, rolled, flaked or ground	1104
5	Other Cereals items	1901 to 1905
6	Milk products including casein and casein products etc.	3501
7	Butter and other fats derived from milk, dairy spread etc.	0405
8	Cheese and Curd	0406
9	Value added products of onion	0712
10	Peanut Butter	15179020

#### 2. Effect of this Notification

Export of aboveprocessed and/or value added agricultural products will be exempted from any restriction / ban even in the event of restriction / ban on the export of basic farm produce.

# Chapter 17 Sugars and Sugar Confectionery

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
93	1701 00 00	Kg	(a) Sugar*, except the following category at (a):	Free	Prior registration of quantity with DGFT.
		Kg	(b) Preferential Quota Sugar to EU and USA		Export permitted through M/s.Indian Sugar Exim Corporation Limited subject to quantitative ceiling notified by DGFT from time to time.

*Sugar includes Organic Sugar. However, export of Pharmaceutical Grade Sugar [(i) Sucrose IP/BP/EP/USP/JP and (ii) Sucrose AR & LR] and Speciality Sugar [(i) Sugar cubes (ii) Sugar sachets (white & brown) (iii) Castor sugar (iv) Demerara sugar (v) Light brown sugar (vi) Icing sugar (vii) Fondant icing sugar (viii) Kathali sugar (ix) Candy sugar (x) Rainbow sugar
(xi) Pearl sugar and (xii) Trimoline (invert sugar)] would not be subject to registration requirement.
[SNo. 93 amended by 117-Ntfn(RE)/ 14.05.2012; 29-Ntfn(RE)/11.01.2013]

- U8.14.1 <Sugar Export Authorisation by Sugar Directorate Dropped, only DGFT Registration Required— 117-Ntfn(RE)/14.05.2012(DINDEX Code 1255)>.
- U8.14.2 <Sugar Mills Supplying Sugar to an RC Holder Must Intimate DGFT 08-Pol.Cir/24.12.2012 (DINDEX Code 1868)>.
- U8.14.3 < DGFT Releases 10,000 MT Sugar Quota for EU to ISGEC 39-PN(RE)/19.12.2012 (DINDEX Code 1862)>.
- U8.14.4 < No DGFT Registration for Pharma Grade and Speciality Sugar Exports 29-Ntfn(RE)/11.01.2013 (DINDEX Code 1921)>.
- U8.14.5 <Restriction of 10,000 tonnes per Year on Organic Sugar Export Removed 88-Ntfn(RE)/04.07.2014 (DINDEX Code 5216)>. See details in www.worldtradescanner.com.

#### **Export Licensing of Chapter 17**

Note 1 A producer of sugar by vacuum pan process or a merchant Importer/exporter shall be required to obtain an export release order from the Chief Director (Sugar), Directorate of Sugar or any other Officer authorized by the Chief Director (Sugar) for export of sugar whether under Open General Licence or Advance Authorization Scheme on 'ton- to-ton' basis or any other scheme permitting Export of sugar.

Explanation: Where a producer of sugar or a merchant importer — exporter imports raw sugar under Advance Authorization Scheme and exports processed white or refined sugar for fulfillment of his export obligation under 'grain' to-grain' policy, such producer of sugar or merchant importer-exporter shall not be required to obtain an export release order from the Chief Director (Sugar), Directorate of Sugar. [Note 1 deleted by 15-Ntfn(RE)/05.06.2013]

Note 2 Export of organic sugar without any quantity limits, will be permitted till the time export of sugar is "Free". Such export will be subject to following conditions:

- (i) The sugar should be duly certified by APEDA as being organic sugar;
- (ii) Prior registration of quantity with DGFT through online system.
- (iii) Exports shall be allowed only from Customs EDI Ports. [Note 2 substituted by 15-Ntfn(RE)/05.06.2013; 88-Ntfn(RE)/04.07.2014]
- U8.14.6 <Sugar Directorate Release Order Not Required for Sugar Export under Advance Authorisation 15-Ntfn(RE)/05.06.2013 (DINDEX Code 4110>. See details in www.worldtradescanner.com.

### U8.15 Sugar Quota of 8100 MT for EU to ISGEC Released

# [DGFT Public Notice No. 65 dated 4th July 2014]

Subject: Export of 8,100 MTs of raw sugar to USA under Tariff Rate Quota.

In exercise of the powers conferred under Paragraphs 2.1, 2.4 and 2.29 of the Foreign Trade Policy, 2009-14, the Director General of Foreign Trade hereby allocates a total quantity of 8,100 MTs of raw sugar (at 98 degree Pol), out of non-levy(free sale) quota for export under tariff rate quota(TRQ) to USA for the US fiscal year 2014 (October 1, 2013 to September 30, 2014). This export will be through M/s. Indian Sugar Exim Corporation Ltd, New Delhi.

2. The existing procedure in respect of preferential sugar export to USA for issue of GSP certificate as well as other certification requirement, if any, prescribed specifically for export of sugar to USA would continue to be followed.

# 3. Effect of this Public Notice

8,100 MTs of raw sugar is permitted to be exported to USA under TRQ by M/s. Indian Sugar Exim Corporation Ltd.

### U8.16 DGFT Releases 10,000 MTs Sugar Quota for EU to ISGEC for Oct 2013 to Sept 2014

GSP and Origin Certificates by Addl. DGFT, EUR Form Endorsement by Customs at Port of Shipment Must

# [Ref: Public Notice No. 36 (RE-2013)/2009-2014 dated 11 November 2013]

Sub: Allocation of 10,000 MTs of white sugar for the year 2013-14 (October, 2013- September, 2014) for export to EU under CXL Quota.

In exercise of the powers conferred under Paragraph 2.4 of the Foreign Trade Policy, 2009-14, as amended from time to time, the Director General of Foreign Trade hereby allocates a quantity of 10,000 MTs (Ten thousand metric tonnes) of white Sugar for export of CXL Concessions Sugar to European Union (EU) for the period October, 2013 to September, 2014.

- 2. As per HS Code 1701 00 00 in the Schedule-2 of ITC(HS) Classification of Export and Import Items, as amended, M/s Indian Sugar Exim Corporation Limited, New Delhi is the designated agency for export of Sugar to EU under Preferential Quota.
- 3. As per Article 10 of European Union Regulation (EC) No. 891/2009 of 25.9.2009 "release for free circulation for the quotas of CXL concession sugar with order no.09.4321 shall be subject to the presentation of a certificate of origin issued by the competent authority of the third country concerned in accordance with articles 55 to 65 of Regulation (EEC) No. 2454/93". Accordingly, the entries to be made in the export authorization document EUR and GSP are as follows:(i) CXL Concessions Sugar

"[Application of Regulation (EC) No. 891/2009 under Schedule CXL (European Communities). CXL Concessions Sugar Serial No. 09.4321]".

4. GSP Certificate and Certificate of Origin as per details given in para (3) above shall be issued by the Additional Director General of Foreign Trade, Mumbai and EUR Form is to be endorsed by Customs at the Port of Shipment.

# U8.17 Sugar Contract Email Registration with DGFT Procedure – Advance Payment/LC Required

# [DGFT Policy Circular No. 62 dated 14<sup>th</sup> May 2012]

Subject: Conditions and modalities for registration of contracts with DGFT for export of sugar.

As per Notification No. 117 (RE-2010)/2009-14 dated 14.05.2012 export of sugar has been made "Free" subject to registration of export contracts with DGFT for ITC(HS) Code 17010000. Procedure for registration of contracts is described below.

2. Each intending exporter has to first send an e-mail addressed to sugarexport-dgft@nic.in before filing hard copy of the application to the concerned RA for issue of RC. The subject header of this e-mail shall be "Name of applicant / IE Code / quantity applied in Metric ton / Name of the RA where application is being filed."

(for example if M/s. ABC exports having IE Code 1234567890 are intending to file an application at Ahmadabad for export of 1000 MT then the subject header would be: ABC exports / 1234567890 /1000 MT / Ahmadabad )

- 3. The content of the e-mail would be a brief description of where the exporter wants to export and such other details that he may like to give. But it must contain the name, address, telephone number and other *contact* details, L/C number and date/ FIRC details and IEC Number of the applicant. An applicant can submit one application for issue of RC subject to a maximum quantity limit of 50,000 25,900 MT (Fifty Twenty-FiveThousand Metric Tonnes) during the current sugar season (1st October 2011 30th September 2012). The hard copy of the application will be filed with concerned jurisdictional RA of DGFT (as specified in Appendix 1 of Handbook of Procedures Vol 1 Appendices and AayatNiryat Forms) and specify the IE Code, quantity sought for export, address of the factory from where the sugar will be sourced and details of exporter as given above.
- 4. A printout of the e-mail sent to sugarexport-dgft@nic.in shall be enclosed to the hard copy of the application to be submitted to the RA within 2 days of sending e mail, alongwith:
- (i) Copy of Letter of Credit or FIRC, export would also be permitted against Cash Against Documents (CAD) as proof of having received advance payment
- (ii) Declaration/Undertaking as given in Annexure-I of this Policy Circular, on the letter head of the firm.
- (iii) Copy of IEC
- (iv) Name and address of the sugar factory from where the sugar is being sourced alongwith the quantity being sourced from each sugar factory.
- 5. If the documents received are found in order, the applicant shall be issued a Registration Certificate. Export against this registration certificate shall be completed within a period of 60 days from the date of issuance of such certificate. Sugar mill supplying sugar to an RC holder will intimate DGFT at the designated e mail id sugarex-port-dgft@nic.in immediately after such supply. [Amended by 08-Pol.Cir/24.12.2012 Sugar Mills supplying sugar to an RC Holder must intimate DGFT].
- 6. Failure to export the allowed quantity within the stipulated time would invite debarment from further registration. In addition, penal action as per Section 11(2) of the Foreign Trade(D&R) Act would be initiated. For ready reference Section 11(2) is extracted below:-
- "11(2). Where any person makes or abets or attempts to make any export or import in contravention of any provisions of this Act or any rules or order made thereunder or the Foreign Trade Policy, he shall be liable to a penalty of not less than ten thousand rupees and not more than five times the value of the goods or services or technology in respect of which any contravention is made or attempted to be made, whichever is more."
- 7. Application for second and subsequent RC can be submitted only on completion of at least 50% of export against RC issued to the exporter (quantity) permitted in the RC as a whole is to be taken for calculation, not quantity split RC-wise). Exporters have to submit the prrof of such exports. [Para 3, 4(i), 5 and 7 amended by 63-Pol.Cir/16.05.2012]
- 8. Reporting

Holders of the RC would observe a two stage reporting system, sending two reports to the RA from whom the RC was obtained: (a) a simple first report only about the quantity of export, to whom exported, and when exported, in respect of each consignment **immediately on obtaining the Let Export Order (LEO)** and (b) a consolidated second report **within 35 days of the issue of RC** in detail (EP copy of shipping bill required) about all exports done in respect of the complete quantity for which the RC was obtained.

9. This issues with the approval of Director General of Foreign Trade.

Annexure-1 to Policy Circular No. 62 (RE-2010)/2009-14 Dated:14.05.2012

#### **Declaration / Undertaking**

- 1. I / We hereby declare that the particulars and the statements made in this application are true and correct to the best of my / our knowledge and belief and nothing has been concealed or held there from.
- 2. I / We fully understand that any information furnished in the application if found incorrect or false will render me / us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.
- 3. I / We undertake to abide by the provisions of the FT (D & R) Act, 1992, as amended, the Rules and Orders framed there under, FTP, HBP v 1 and HBP v2 and ITC (HS). I also undertake that in the event of non-performance after grant of Registration Certificate for export of sugar by the Directorate General of Foreign Trade, I shall be liable to Penal Action under Section 11(2) of Foreign Trade(Development & Regulation) Act, 1992, (as amended), including debarment from future allocations.
- 4. I hereby certify that I am authorised to verify and sign this declaration.

Signature of the Applicant Place

Name Date

Designation

Official Address (if different than given in the letter head):

Line 1: Number of the office premises.

Line 2: Street name.

Line 3: City name.

Line 4: Pin code.

Telephone No.(with STD code):

Cell Phone No.:

Fax No.:

E-mail of the firm/company: E-mail of the signatory:

Residential Address of the signatory:

Line 1: House Number.

Line 2: Street name.

Line 3: City name.

Line 4: Pin code

- U8.17.1 < Five Percent Weight Fall in Sugar Exports Allowed for Contract Registration— 03-Pol.Cir/23.08.2012 (DINDEX Code 1544)>. See details in www.worldtradescanner.com.
- U8.17.2 <Sugar Contract Email Registration with DGFT Procedure Export Permitted on Cash Against Documents Basis Also 63-Pol.Cir/16.05.2012 (DINDEX Code 1266)>. See details in www.worldtradescanner.com.
- U8.18 Sugar Export Quota Raised to 50,000MTs from 25,000 MTs per RC Online Registration Must by Policy Circular No. 10 (RE-2013)/2009-14 dated the 12 November, 2013.
- U8.19 DGFT Allows Raw Sugar Export of 4,476 MTs to USA GSP under TRQ by DGFT Public Notice No. 09 dated 6<sup>th</sup> July 2012. See details in worldtradescanner.com.

# Chapter 20 Preparations of Vegetables, Fruits, Nuts or Other parts of Plants

SNo.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
93A	2008 11 00	Kg	Groundnuts, otherwise prepared or preserved, whether or not	Free	(a) Export to all countries (except exports to Russian Federation) is permitted subject to registration with APEDA along with controlled Aflatoxin level Certificate issued by APEDA recognized laboratories (as updated from time to time) on their website at URL www.apeda.gov.in/apedawebsite/HACCP/recognized_laboratories.pdf
			mixed together and whether or not containing added sugar or other sweetening matter or spirit, not elsewhere specified or included.		(b) Exports to Russian Federation permitted subject to pre-shipment quality certification issued by (1) Insecticide Residue Testing Laboratory. (2) Geo-Chem Laboratories Pvt. Ltd. (3) Reliable Analytical Laboratory (4) Arbro Pharmaceuticals Ltd. (5) Shri Ram Institute for Industrial Research, Delhi (6) Shri Ram Institute for Industrial Research, Branch Office Bangalore (7) Delhi Test House; and (8) Vimta Labs. or any other agency as may be notified from time to time. [SNo. 93A inserted by 28-Ntfn(RE)/03.01.2013 – Export of Grapes, Groundnuts and their Products Requires APEDA Registration]

## Chapter 23

# Residues and Waste from the Food Industries; Prepared Animal Fodder

		I			•
S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
94	2305 00 10, 2305 00 20, 2305 00 90	Kg	Deoiled groundnut cakes containing more than 1% oil and groundnut expeller cakes	Restricted	Exports permitted under licence
95	1213 00 00, 1214 10 00, 1214 90 00, 2302 10 10, 2302 30 00, 2302 40 00, 2308 00 00	kg	Fodder, including wheat and rice straw	Restricted	Exports permitted under licence
96	2302 20 20, 2302 20 90	Kg	Rice bran, raw and boiled	Restricted	Exports permitted under licence
97	2309, 23091000	Kg	Preparations of a kind used in animal feeding Dog or Cat food, put up for retail sale	Free	Export of the item produced from Animal By-Products to EU is allowed subject to the following conditions:  (i) A 'Shipment Clearance Certificate' is to be issued consignment-wise by the CAPEXIL indicating details of the name and address of the exporter, address of the registered plant, IEC No. of the exporter, plant approval number, nature of export product, quantity, invoice number & date, port of loading (Name of the port) and destination.  (ii) After the shipment is made, the exporter shall also provide 'Health Certificate' consignment-wise to the buyer giving details of vessel name, shipping bill number with date, production process, etc. as per the requirement of EU. The Certificate would be issued jointly by CAPEXIL and Regional Animal Quarantine
					Officer, Department of Animal Husbandry, Dairying and Fisheries, Ministry of Agriculture, Government of India.

De-oiled Rice Bran under Subheading 2302 20 10 is Freely Exportable. [Deleted vide Notification No. 9 of 21 June 2005]

# Chapter 25

# Salt; Sulphur; Earths and Stone; Plastering Materials, Lime and Cement

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
98	2505 10 11, 2505 10 12,	Kg	Sand and Soil	Restricted	Exports permitted under licence
	2505 10 19, 2505 10 20,				
	2505 90 00, 2530 90 99				

# Export Licencing note of Chapter 25

**Note 1** (i) Export of Stone Aggregate to Maldives permitted as per ceiling mentioned below subject to issue of No Objection within the annual ceiling by CAPEXIL who shall monitor the ceiling and send a quarterly report to Export Cell in DGFT:

S.No.	Item	Annual Ceiling of Qu	uantity in MTs	
		2014-15	2015-16	2016-17
1.	Stone Aggregate	5 lakh	5.5 lakh	6 lakh

(ii) For the export of above quantity of Stone Aggregates, CAPEXIL shall ensure that the suppliers/extractors have obtained appropriate clearances Stone Aggregate Exports Quota to Maldives for Three Years through CAPEXIL Allowed by DGFT Notification No. 76 dated 27<sup>th</sup> March 2014.

Note 2 (i) Export of River Sand to Maldives permitted as per ceiling mentioned below subject to issue of No Objection within the annual ceiling by CAPEXIL who shall monitor the ceiling and send a guarterly report to Export Cell in DGFT:

S.No.	Item	Annual Ceiling of Qu	uantity in MTs	
		2014-15	2015-16	2016-17
1.	River Sand	2 lakh	2.5 lakh	3 lakh

- (ii) For the export of above quantity of River Sand, CAPEXIL shall ensure that the suppliers/extractors have obtained appropriate clearances and mining of the sand is not undertaken in the Coastal Regulation Zone Area, which is prohibited under the Coastal Regulation Zone notification.
- (iii) In addition to above, export of River Sand will be allowed subject to the exporter obtaining necessary environmental clearances/No Objection Certificate from the designated nodal authority of respective State Governments from where the River Sand is obtained. This permission will also be subject to any State legislation/judicial orders relating to mining of River Sand"

[River Sand Exports Quota of 7.5 MTs to Maldives for Three Years thru CAPEXIL Notified by DGFT Notification No. 75 dated 27th March 2014].

# U8.20 Export of Stone Aggregates to Maldives Permitted again After the Ban in Feb 2013 [DGFT Notification No. 62 dated 1<sup>st</sup> January 2014]

Subject: Export of Stone Aggregate to Maldives.

In exercise of powers conferred by Section 5 of the Foreign Trade (Development & Regulation) Act, 1992 (No. 22 of 1992) read with Para 1.3 of the Foreign Trade Policy, 2009-2014, as amended from time to time, the Central Government hereby withdraws Notification No.34(RE-2012)/2009-2014 dated 08.02.2013, with immediate effect.

2. Notification No. 54(RE-2010)/2009-14 of 07.06.2011 had permitted export of specified quantities of Stone Aggregates to Maldives for the years 2011-12, 2012-13 & 2013-14. This was stopped till further notice vide Notification No. 34 (RE-2012)/2009-14 dated 08.02.2013. Now Notification No. 34 (RE-2012)/2009-14 dated 08.02.2013 is being withdrawn. Accordingly, export of Stone Aggregates to Maldives is permitted with immediate effect.

#### 3. Effect of this notification

Export of Stone Aggregates to the Republic of Maldives is being permitted subject to the conditions and quantity ceiling indicated in Notification No. 54 dated 07.06.2011.

# Chapter 26 Ores, Slag and Ash

Note 1. Rare Earth compounds are freely exportable, but rare earth phosphates, which contain uranium and thorium are prescribed substances and are controlled as per provisions of Atomic Energy Act, 1962.

2. Other minerals under code 2617 are freely exportable, except those which have been notified as prescribed substances and controlled under Atomic Energy Act, 1962.

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
99	2601 11 00	Kg	Iron ore other than those specified under Free category	STE	Export through MMTC Limited
100	2601 11 00	Kg	Iron ore of Goa origin when exported to China, Europe, Japan, South Korea and Taiwan, irrespective of the Fe content;	Free	
101	2601 11 00			Free	
102	2601 11 00	Kg	All iron ore of Fe content upto 64%	Free	
103	2601 11 50	Kg	Iron ore concentrate prepared by benefication and/or concentration of low grade ore containing 40 percent or less of iron produced by Kudremukh Iron Ore Company Limited	STE	Kudremukh Iron Ore Company Limited, Bangalore
104	2601 12 10			Free	Export by KIOCL Limited, Bangalore or any entity authorized by KIOCL Limited, Bangalore
105	2601 12 90	Kg	Rejects of iron ore chips and like generated from the manufacturing process after using imported raw material	Free	The quantity of export of such rejects shall not be more than 10% of the imported raw materials i.e. pellets The size of the rejected pellets chips (fines) shall be less than 6 mm
106	2602 00 00	Kg	Manganese Ores excluding the following: Lumpy / blended	STE	Export through (a) MMTC Limited
			Manganese ore with more than 46 percent Manganese		(b) Manganese Ore India Limited (MOIL) for manganese ore produced in MOIL mines
107	2602 00 10	Kg	Lumpy/blended manganese ore with more than 46% manganese	Restricted	Export permitted under licence
108	2610 00 00	Kg	Chrome ore other than (i) beneficated chrome ore fines / concentrates (maximum feed grade to be less than 42% Cr2O3) and	Restricted	Export permitted under licence other than categories at (b) to (d) below
			(ii) those categories of Chrome ores mentioned as permitted through STEs.		
109	2610 00 30,2610 00	kg	Beneficated chrome ore fines / concentrates (maximum feed grade to be less than 42% Cr2O3)	STE	Export through MMTC Limited (amended by notification no 5, dated 09.05.06)
	40		[Chrome ore (beneficiated) exports shifted to STE from Free by amending DGFT Notification 05(RE)/09.05.2006]		
110	2610 00 30	Kg	Chrome ore lumps with Cr2O3 not exceeding 40 percent	STE	Export through MMTC Limited
111	2610 00 90		Low silica friable/fine ore with Cr2O3 not exceeding 52 percent and Silica exceeding 4 percent	STE	Export through MMTC Limited

112	2610 00 90	Kg	Low Silica friable/fine Chromite Ore with Cr2O3 in the range of	STE	Export through MMTC Limited
			52-54% and silica exceeding 4 %		

# Chapter 27

# Mineral Fuels; Mineral Oils and Products of their Distillation; Bituminous Substances; Mineral waxes

SNo.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
113	2709 00 00	Kg	Crude oil	STE	Export through Indian Oil Corporation Limited
114	2710 19 10	Kg	Kerosene	Free	Export allowed subject to obtaining NOC from Ministry of Petroleum and Natural Gas.  The above condition would not be applicable for export of Kerosene to Nepal & Bhutan by the Indian Oil Corporation Ltd.
115	2711 19 00	kg	Liquefied Petroleum Gas (LPG)	Free	Export allowed subject to obtaining NOC from Ministry of Petroleum and Natural Gas.  The above condition would not be applicable for export of Liquefied Petroleum Gas (LPG) to Nepal & Bhutan by the Indian Oil Corporation Ltd.

Kerosene and LPG Exports to Nepal and Bhutan Free of Petro Min Control – 50-Ntfn(RE)/11.11.2013 (DINDEX 4572). See details in www.worldtradescanner.com.

# Chapter 28

# Inorganic Chemicals; Organic or Inorganic Compounds of Precious Metals, of Rare-Earth Metals, of Radioactive Elements of Isotopes

Note 1 Export of Potassium Permanganate is freely allowed subject to No Objection Certificate from Narcotics Commissioner, Gwalior for details, see Chapter-29.

# Chapter 29 Organic Chemicals

Note - This chapter also includes relevant chemicals under Chapter 27, 28 and 38.

SNo.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
116	2710 91 00	Kg	Poly Brominated Biphenyls	Free	No Objection Certificate from the Department of Chemicals and Petrochemicals, Ministry of Chemicals and Fertilizers, New Delhi
117	2710 91 00	Kg	Poly Chlorinated Biphenyls	Free	No Objection Certificate from the Department of Chemicals and Petrochemicals, Ministry of Chemicals and Fertilizers, New Delhi
118	2710 91 00	Kg	Poly Chlorinated terphenyls	Free	No Objection Certificate from the Department of Chemicals and Petrochemicals, Ministry of Chemicals and Fertilizers, New Delhi
119	2920 90 30	Kg	Tris (2,3 Di-bromopropyl) phosphate	Free	No Objection Certificate from the Department of Chemicals and Petrochemicals, Ministry of Chemicals and Fertilizers, New Delhi
120	2920 90 90	Kg	Crocidolite	Free	No Objection Certificate from the Department of Chemicals and Petrochemicals, Ministry of Chemicals and Fertilizers, New Delhi
121	2841 61 00	Kg	Potassium Permanganate	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
122	2915 24 00	Kg	Acetic Anhydride	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
123	2939 41 00 2939 42 00	Kg	Ephedrine and its salts/Pseudoephedrine and its salts	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
124	2914 30	Kg	1- Phenyl-2 Propanone& 3,4- Methylenedioxyphenyl 2- Propa- none	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
124A	293292	Kg	3,4-Methylenedioxyphenyl-2- Propanone	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
125	2914 12 00	Kg	Methyl Ethyl Ketone	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
125A	292423	Kg	N-Acetylanthranilic acid and its salts	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
125B	292243	Kg	Anthranilic acid and its salts	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
125C	293961	Kg	Ergometrine and its salts	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
125D	293962	Kg	Ergotamine and its salts	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
125E	293291	Kg	Isosafrole	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
125F	293963	Kg	Lysergic acid and its salts	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
125G	293944	Kg	Norephedrine (Phenylpropanolamine), its salts and preparations thereof	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
125H	291634	Kg	Phenyl acetic acid and its salts	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
125I	293293	Kg	Piperonal	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior
125J	293294	Kg	Safrole and any essential oil containing 4% or more safrole	Free	No Objection Certificate from Narcotics Commissioner of India, Gwalior [SNo. 124A substituted and 125A to 125J inserted by 55-Ntfn(RE)/03.12.2013]
126	2903 00 00	Kg	Chemicals included in Annexures A and B to the Montreal Protocol on substances that deplete the Ozone	Restricted	Exports permitted under licence. Export to countries which are not Parties to the Montreal Protocol is prohibited.

			Layer					
127	2903 00 00	Kg	Chemicals under Mont when exported to a counot party to the `Montre substances that Deplet Layer'	intry which is eal Protocol on	Prohibited	Not permitted to be exported		
			Annexure A					
128	2903 41 00	Kg	Group I (a) CFC13(CFC-11) Tr methane.	ichlorofluoro		Restricted or Prohibited as above depending upon whether country is signatory of Montreal Protocol or not.		
129	2903 42 00	Kg	(b) CFC2C12 (CFC-12 oro methane.	Dicholorodiflu-				
130	2903 43 00	Kg	(c) C2F3C13 (CFC-113 Trichloro-1,2,2 trifluoro					
131	2903 44 10	Kg	(d) C2F4C12 (CFC-114 1,2Dichlorotetrafluoro					
132	2903 44 20	Kg	(e) C2F5BrCl (CFC-11 tafluoro ethane	5) Chloropen-				
133	2903 46 10	Kg	Group II (f) CF2BrCI (halon-121 rodifluoro methane.	1) Bromochlo-				
134	2903 46 20	Kg	(g) CF3B (halon-1301) methane.	Bromotrifluoro				
135	2903 46 30	Kg	(h) C2F4Br2 (halon-24 trafluoro ethane.	02) Dibromote-				
			Annexure B					
136	2903 45 11	Kg	Group I (i) CF3CI (CFC-13) Ch methane.	lorotrifluoro				
137	2903 45 12	Kg	(j) C2FC15 (CFC-111) luoro ethane.	Pentachlorof-				
138	2903 45 13	Kg	(k) C2F2C14 (CFC-112 difluoro ethane.	2) Tetrachloro-				
139	2903 45 21	Kg	(I) C3FC17 (CFC-211) fluoroprapane.	Heptachloro -				
140	2903 45 22	Kg	(m) C3F2C16 (CFC212 difluoro propane.	2) Hexachloro-				
141	2903 45 23	Kg	(n) C3F3C15 (CFC-213 trifluoro propane.	,				
142	2903 45 24	Kg	(o) C3F5C14 (CFC-214 tetrafluoro propane.	4) Tetrachloro-				
143	2903 45 25	Kg	(p) C3F5C13 (CFC-215 tafluoro propane.	5) Trichloropen-				
144	2903 45 26	Kg	(q) C3F6C12 (CFC-216 afluoro propane.	6) Dichlorohex-				
145	2903 45 27	Kg	(r) C3F7CI (CFC-217) oro propane.	Chloroheptaflu-				
146	2903 14 00	Kg	Group II (s) CC14 Carbon Tetra	chloride				
147	2002 40 20	K~	Tetrachloro methane.					
147	2903 19 20	Kg	Group III (t) CH3C13* 1,1,1-Trick (Methyl Chloroform)					
			*This formula does not trichloro ethane					
148	2903 49 10	kg	Annexure C	Controlled				
			Group	substances				
			Group I	Substance				
			CHFCI2	(HCFC-21)				
			CHF2CI	(HCFC-22)				
			CH2FCI C2 HFCI4	(HCFC-31) (HCFC-121)				
			C2HF2CI3	(HCFC-121) (HCFC-122)				
			C2HF2Cl3 C2HF3Cl2	(HCFC-122)				
			CHCI2CF3	(HCFC-123)				
Ī	Ţ	1	10.10.2010	()	1	· · · · · · · · · · · · · · · · · · ·		

1	1 1	CHFCICF3	(HCFC-124)
		CHFCICF3	(HCFC-124)
		OI IFOICES	(amended by
			notification
			No 09, dated
			10.12.04)
		C2H2FCI3	(HCFC-131)
		C2H2F2CI2	(HCFC-132)
		C2H2F3CI	(HCFC-133)
		C2H3FCI2	(HCFC-141)
		CH3CFCI2	(HCFC-141b)
		C2H3F2CI	(HCFC-142)
		CH3CF2CI	(HCFC-142b)
		C2H4FCI	(HCFC-151)
		C3HFCI6	(HCFC-221)
		C3HF2CI5	(HCFC-222)
		C3HF3CI4	(HCFC-223)
		C3HF4CI3	(HCFC-224)
		C3HF5Cl2	(HCFC-225)
		CF3CF2CHCI2	(HCFC-225ca)
		CF2CICF2CHCIF	(HCFC-225cb)
		C3HF6CI	(HCFC-226)
		C3H2FCI5	(HCFC-231)
		C3H2F2CI4	(HCFC-232)
		C3H2F3CI3	(HCFC-233)
		C3H2F4CI2	(HCFC-234)
		C3H2F5CI	(HCFC-235)
		C3H3FCI4	(HCFC-241)
		C3H3F3CI3	(HCFC-242)
		C3H3F2CI2	(HCFC-243)
		C3H3F4CI	(HCFC-244)
		C3H4FCI3	(HCFC-251)
		C3H4F2CI2	(HCFC-252)
		C3H4F3CI	(HCFC-253)
		C3H5FCI2	(HCFC-261)
			, ,
		C3H5F2CI	(HCFC-262)
		C3H6FCI	(HCFC-271)
		Group	Substance
149	2903 49 90	Group-II	
		CHFBr2	(HBFC-22BI)
		CHF2Br	
		CH2FBr	1
		C2HFBr4	
		C2HF2Br3	1
			1
		C2HF3Br2	1
		C2HF4Br	1
		C2H2FBr3	1
		C2H2F2Br2	1
		C2H2F3Br	1
		C2H3FBr2	1
		C2H3F2Br	1
		C2H4FBr	1
		C2HFBr6	1
		C3HF2Br5	
		C3HF3Br4	1
		C3HF4Br3	1
		C3HF5Br2	1
		C3HF5Br	1
		C3H2FBr5	
		C3H2F2Br4	1
			1
		C3H2F3Br3	
		C3H2F4Br2	
		C3H2F4Br2 C3H2F5Br C3H3FBr4	

1	İ	İ	00110505.0	
			C3H3F2Br3	
			C3H3F3Br2	
			C3H3F4Br	
			C3H4FBr3	
			C3H4F2Br2	
			C3H4F3Br	
			C3H5FBr2	
			C3H5F2Br	
			C3H6FBr	
			Group-III	
150	2903 49 90		CH2BrCI-	
			Annexure D - Reserve	ed
			Annexure E	
151	3808 10 24	kg	CH3Br Methyle	
		Ĭ	Bromide	

10 Narcotics Drugs and Psychotropic Substances Export Permitted Subject to NOC from Narcotics Commissioner – 55-Ntfn(RE)/03.12.2013 (DINDEX Code 4640). See details in www.worldtradescanner.com.

# Chapter 30 Pharmaceutical Products

**Note 1** Formulations of plant portions of prohibited varieties falling in heading 3003 and heading 3004 are freely exportable subject to conditions. For details, please see Chapter 12.

SNo.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
152	3002 10 11, 3002 10 12, 3002 10 13, 3002 10 14, 3002 10 19, 3002 10 20, 3002 10 91, 3002 90 10, 3002 90 20	Kg	Whole human blood plasma and all products derived from human blood except gamma globulin and human serum albumin manufactured from human placenta and human placental blood; Raw placenta; Placental blood plasma	Free	Export allowed subject to obtaining No Objection Certificate from DGHS
153	3002 10 20	Kg	Gamma globulin and human serum albumin manufactured from human placenta and human placental blood	Free	
154	3002 10 11, 3002 10 12, 3002 10 19, 3002 10 20, 3002 90 10, 3002 90 20	Kg	Samples of whole human blood plasma and all products derived from human blood; Raw placenta; Placental blood plasma in individual cases for diagnostic / therapeutic purposes	Free	Recommendation from a doctor
155	3003 90 36, 3004 90 96	Kg	Ketamine	Free	Export allowed subject to obtaining No Objection Certificate from Narcotics Commissioner
156	30021020	Kg	Blood products for technical use in medical devices, In Vitro Diagnostics & Laboratory Reagents not intended for human consumption,	Free	Export to EU allowed subject to the following conditions:  (i) A 'Shipment Clearance Certificate' is to be issued consignment-wise by the CAPEXIL indicating details of the name and address of the exporter, address of the registered plant, IEC No. of the exporter, plant approval number, nature of export product, quantity, invoice number & date, port of loading (Name of the port) and destination.  (ii) After the shipment is made, the exporter shall also provide a 'Production Process' certificate and/or' health certificate to the buyer consignment-wise to be issued by CAPEXIL as per the requirement of EU.

### **Export Licensing Note of Chapter 30**

"The words 'Heavy metals within permissible limits' have be conspicuously displayed on the container of purely Herbal Ayurveda, Siddha and Unani medicines to be exported. Alternatively, a Certificate that 'Heavy metals within permissible limits' issued either by an in house laboratory fully equipped with appropriate equipments for testing heavy metals or by any other NABL / GLP accredited laboratory or any other approved laboratory has to be produced along with other consignment papers."

# U8.21 Bar Coding on Pharma Export Postponed Till Further Orders on Pressure from Industry

Mono Cartons Treated as Secondary Level Packaging of Pharma Products Exports

# [DGFT Public Notice No. 62 dated 26th June 2014]

Sub: Procedure relating to tracking and tracing of export consignment of pharmaceuticals and drugs.

In exercise of the powers conferred under Paragraph 2.4 of the Foreign Trade Policy, 2009-14, as amended from time to time, and in supersession of the following Public Notices, Director General of Foreign Trade hereby prescribes the following procedure for tracking and tracing of export consignments of drugs and pharmaceutical products:

- (i) Public Notice No. 21(RE-2011)/2009-2014 dated 10.01.2011
- (ii) Public Notice No. 59(RE-2010)/2009-2014 dated 30.06.2011

- (iii) Public Notice No. 87(RE-2010)/2009-2014 dated 22.12.2011
- (iv) Public Notice No. 10(RE-2012)/2009-2014 dated 11.07.2012
- (v) Public Notice No. 54(RE-2012)/2009-2014 dated 05.04.2013
- (vi) Public Notice No. 31(RE-2013)/2009-2014 dated 17.10.2013
- 2. Every exporter of Drugs & Pharmaceuticals at the time of shipment shall submit, alongwith other required documents, the following:
- (i) A copy of Certificate of Analysis issued by the manufacturer for the subject products; or
- (ii) A copy of Certificate of Analysis issued by approved laboratory of the importing country/ FDA; or
- (iii) A copy of Certificate of Analysis issued by a laboratory approved by Drugs Controller under Drugs & Cosmetics Act, 1940 and the rules made thereunder.
- 3. (i) Exporters of pharmaceutical products will adopt a track and trace system and incorporate its features for exported medicines using barcode technology as per GS 1 global standards. The following is needed to be done as detailed below:

#### a. Primary Level packaging requirement:

Incorporation of 2D (GS1 Data matrix) barcodes on medicines at strip/vial/bottle, etc. encoding unique product identification code (GTIN) and Unique Serial Number of the Primary pack.

#### b. Secondary Level packaging requirement :

Incorporation of barcodes (1D or 2 D) encoding unique product identification code (GTIN), Batch Number, Expiry Date and Unique Serial Number of the Secondary pack. Mono cartons shall be treated as Secondary Level Packaging in line with prevalent global packaging nomenclature.

#### c. Tertiary Level packaging requirement:

Incorporation of barcodes (1 D) encoding unique product identification code (GTIN), Batch Number, Expiry Date and Unique Serial Number of the Tertiary pack (shipper/carton).

- (ii) The track and trace technology as per serial number 3(i) above will be effective as under:
- (a) Primary Level packaging :- Effective date will be notified later.
- (b) Secondary Level packaging: 1<sup>st</sup> January, 2013 (already given effect to)
- (c) Tertiary Level packaging :- 1<sup>st</sup> October, 2011 (already given effect to)
- 4. In case, the Government of the importing country has mandated a specific requirement, the exporter has the option of adhering to the same and in such a case, it would not be necessary to comply with the stipulations at serial number a, b& c of para 3(i) above and if an exporter is seeking to avail exemption from bar coding prescribed by the Government of India as above, the exporter is given the option to move an application to the Pharmaceuticals Export Promotion Council of India (Pharmexcil) for this purpose, clearly specifying the nature of such an exemption in the interest of the exports from the country. Pharmexcil shall dispose of such applications on case to case basis with prior approval of Government.
- 5. Under the track and trace system, manufacturers would be required to maintain serialized record of exported pharmaceutical products for a minimum period of six months after the expiry date of the product.
- 6. Authentication features will be added in due course and integrated with the track and trace system and Government will set up a Central Portal for tracking and tracing exported pharmaceutical products.
- 7. A self certification process has been mandated effective 15.05.2014, vide Public Notice No. 56 (RE-2013)/2009-2014 dated 01.04.2014 read with Public Notice No. 58 (RE-2013)/2009-2014 dated 15.04.2014. This shall continue to be applicable without any change.

#### 8. Effect of this Public Notice

- (i) The requirement of affixing bar codes on Tertiary Level and Secondary Level Packaging already implemented w.e.f. 01.10.2011 and 01.01.2013 respectively continue to be in force.
- (ii) The requirement of affixing barcodes on Primary Level Packaging was to be effective from 01.07.2014. Now this date has been deferred till a new date is notified.
- (iii) Earlier through Public Notice No. 31 dated 17.10.2013, mono cartons were to be treated as part of Primary Level Packaging. Now this has been modified to treat mono cartons as Secondary Level Packaging.

Pharma and Drug Consignment Exports Permitted thru Self-Certification Process on Compliance of Barcoding Requirement on Secondary and Tertiary Level Packaging w.e.f. 15 May 2014 by DGFT Public Notice No. 56 dated 1<sup>st</sup> April 2014.

Date for Barcoding on Pharma Export Extended to 15 May 2014 from 1 April 2014 by DGFT Public Notice No. 58 dated 15<sup>th</sup> April 2014. See details in www.worldtradescanner.com.

# Chapter 31 Fertilisers

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
157	3102 10 00	Kg	Urea	Restricted	Export permitted under licence.
158	3103 10 00	Kg	Straight PhosphaticFetilizers given below: 1. Single Super Phosphate (16% P2O5) Powdered 2. Single Super Phosphate (14% P2O5) Powdered 3. Single Super Phos- phate (16% P2O5) Granulated	Free	Manufacturers of SSP as listed at Export Licensing Note 1 at List A below shall be allowed to export their own manufactured SSP subject to the following conditions: 1. Intimation to Department of Fertilizer about quantity of export along with a self-declaration and a certificate issued by statutory auditors that no concession/ subsidy has been claimed for intended export. 2. Production of above declaration / certificate as at (i) above to Customs at the time of export.
159	3103 10 00	Kg	Other Straight Phosphatic Fertilizers of the specifications at Entry number 158 above which do not meet the specified conditions for free exports	Restricted	Export permitted under licence
160	3105 30 00	Kg	N.P. Complex Fertilizers given below: Diammonium Phosphate (DAP) (18-46-00)	Restricted	Manufacturers of DAP as listed at Export Licensing Note 1 at List B below shall be allowed, with the prior permission of the Department of Fertilizer, to export their own manufactured DAP subject to the following conditions:  (i) Intimation to Department of Fertilizer about quantity of export along with a self-declaration and a certificate issued by statutory auditors that no concession/ subsidy has been claimed for intended export.  (ii) Production of above declaration / certificate as at (i) above to Customs at the time of export.

161	3105 30 00	Kg	Other N.P. Complex Fertilizers of the specifications at Entry number 160 above which do not meet the	Restricted	Export permitted under licence
			specified conditions for free exports		
162	3104 20 00	Kg	Straight Potassic Fertilizers given below: Potassium Chloride (Muriate of Potash)	Restricted	Exports permitted, with the prior permission of the Department of Fertilizer, by direct importers of MOP out of quantity of import made during last six months subject to the following conditions:  (i) They will not claim any concession for the quantity intended to be exported; or  (ii) They will return the concession if already claimed from the Government; and  1. Furnish certificate from the statutory auditors to the Department of Fertilisers and Customs that the quantity intended to be exported has been imported in the last six months and no concession / subsidy has been claimed; and  2. Export realisation in free foreign exchange only."
163	3104 20 00	Kg	Straight Potassic Fertilizers of the specifications at Entry number 162 above which do not meet the specified conditions for free exports	Restricted	Export permitted under licence
164	3105 40 00, 3105 51 00, 3105 59 00	Kg	Other N.P. Complex Fertilizers as given below:  1. NP (16-20-0)  2. NP (20-20-0)  3. NP (28-28-0)  4. NP ( 23-23-0)	Free	Manufacturers of NP and NPK as listed at Export Licensing Note 1 at List C below shall be allowed to export their own manufactured NP and NPK subject to the following conditions:  (i) Intimation to Department of Fertilizer about quantity of export along with a self-declaration and a certificate issued by statutory auditors that no concession/ subsidy has been claimed for intended export.  (ii) Production of above declaration / certificate as at (i) above to Customs at the time of export.
165	3105 40 00, 3105 51 00, 3105 59 00	Kg	Other N.P. Complex Fertilizers of the specifications at Entry number 164 above which do not meet the specified conditions for free exports	Restricted	Export permitted under licence
166	3105 20 00	Kg	N.P.K. Complex Fertilisers given below :- Nitrophosphate with Potash (15-15-15) N.P.K. (10-26-26) N.P.K. (12-32-16) N.P.K. (14-35-14) N.P.K. (14-28-14) N.P.K. (19-19-19) N.P.K. (17-17-17) N.P.K. (15-15-15)	Free	Manufacturers of NP and NPK as listed at Export Licensing Note 1 at List C below shall be allowed to export their own manufactured NP and NPK subject to the following conditions: (i) Intimation to Department of Fertilizer about quantity of export along with a self-declaration and a certificate issued by statutory auditors that no concession/ subsidy has been claimed for intended export. (ii) Production of above declaration / certificate as at (i) above to Customs at the time of export.
167	3105 20 00	Kg	Other N.P.K. Complex Fertilizers of the specifications at Entry number 166 above which do not meet the specified conditions for free exports	Restricted	Export permitted under licence
168	3104 90 00	Kg	All chemical fertilisers fortified with zinc or boron	Restricted	Export permitted under licence
169	3105 90 10	Kg	Micronutirent fertilizers and mixtures thereof containing NPK, excluding those specified in Schedule I, Part A 1 (f) of Fertilizers (Control) Order, 1985	Restricted	Export permitted under licence

# **Export Licensing Note of Chapter 31**

Note 1 List of Manufacturers of SSP, DAP and NP / NPK who can freely export their own manufactures subject to the conditions above.

# A. List of SSP Manufacturers/Units

S.No.	Name of the Unit/location	S.No.	Name of the Unit/location
1.	Arawali Phosphate Ltd, Jhamarkotra Road, Umra, Udaipur, Rajasthan	2.	Arihant Fertilizers & Chemicals Ltd, Neemuch Tehsil, Neemuch, M.P.
3.	Arihant Phosphates and Fertilisers Ltd, Nimbaheda, Chittorgarh, Rajasthan	4.	Asha Phosphates Ltd, Jaggakhedi, Mandsaur, M.P.
5.	Basant Agro Tech (India) Ltd, BarshiTakli Tehsil, Akola, Maharashtra	6.	Bhilai Engineering Corporation Ltd, Gunjkheda, Pulgaon, Wardha, Maharashtra
7.	Bhilai Engineering Corporation Ltd, Sirgiti Industrial Area, Bilaspur, Chhattisgarh	8.	Bohra Industries Ltd, Umra Village, Girva, Udaipur, Rajasthan
9.	ChemtechFertilisers Ltd, Kazipalli, IDA, Medak, Andhra Pradesh	10.	Coimbatore Pioneer Fertilisers Ltd, Muthugoundanpudur, Coimbatore, TN
11.	DharamsiMorarji Chemical Co Ltd, Ambarnath Tehsil, Thane, Maharashtra	12.	DharamsiMorarji Chemical Co Ltd, Distt. Bilaspur, Chattisgarh

13.	DharamsiMorarji Chemical Co Ltd, Khemli, udaipur, Rajasthan	14.	EID Parry (India) Ltd, Pinji Village, Ranipet, TN
15.	Gayatri Spinners Ltd, Hamirgarh, Bhilwara, Rajasthan	16.	Hind Lever Chemicals Ltd, Durgachak, Haldia, Midnapore, W.B.
17.	Jairam Phosphate Ltd, Wadsa (Desalganj), Gadchiroli, Maharashtra	18.	Jay Shree Chemicals & Fertilisers-1, Khardah, 24 Praganas (N), W.B.
19.	Jay Shree Chemicals & Fertilisers-1I, Khardah, 24 Praganas (N), W.B.	20.	JubliantOrganosys Ltd, Bharatiram, Gajraula, U.P.
21.	KashiUravark Ltd, Industrial Area, Jagdishpur, Sultanpur, U.P.	22.	Khaitan Chemicals &Fertilisers Ltd, Village Nirmani, Khargone, M.P.
23.	KhaitanFertilisers, Rampur, U.P.	24.	Kothari Industrial Corp. Ltd, Ennore, T.N.
25.	Krishna Industrial Corp. Ltd, Nidadavola, West Godavari, Distt. A.P.	26.	Liberty Phosphate Ltd, Mewar Industrial Area, Udaipur, Rajasthan
27.	Liberty Phosphate Ltd, Nandesari, Vadodara, Gujarat	28.	Madhya Bharat Agro products Ltd, Sagar, M.P.
29.	Madhya Pradesh Orgochem Ltd, Jawad Tehsil, Neemuch, M.P.	30.	MahadeoFertilisers Ltd, Bindki, Fatepur, U.P.
31.	Maharashtra Agro Industries Dev. Corpn. Ltd, PanvelTaluka, Rigad, Maharashtra	32.	Mangalam Phosphates Ltd, Hamirgarh, Bhilwara, Rajasthan
33.	MardiaChemials Ltd, SitagarhSayala, Surendranagar, Gujarat	34.	Mexican Phosphates Ltd, Ksasrawad Tehsil, Khargone, M.P.
35.	MukteshwarFertilisers Ltd, Narayankhedi, Ujjain, M.P.	36.	Narmada Agro Chemicals P. Ltd. Mangrol, Junagarh, Gujarat
37.	Nirma Ltd, Moraiya Village, Bavala, Ahmedabad, Gujarat	38.	Phosphate Co. Ltd, Rishra, Hoogly, W.B.
39.	Pragati Fertilizers Ltd, IDA, Block-A. Vishakapatnam, A.P.	40.	Prathyusha Chemicals &Fertilisers Ltd, IDA, Parawada, Vishakapatnam, A.P.
41.	PremSakhiFertilisers Ltd, JhamarKotra Road, Lakadwas, Udaipur, Rajasthan	42.	PriyankaFertilisers& Chemicals , Anakapalli (M), Vishakapatnam, A.P.
43.	Rashi Fertilizers Ltd., Dindori Tehsil, Nasik, Maharashtra.	44.	RajlaxmiAgrotech India Ltd., GundewadiJalna Tehsil, Jalna. Maharashtra.
45.	Rama KrishiRasayan, Haveli Taluka, Pune, Maharashtra.	46.	Rama Phosphates Ltd., Dharampuri, Indore, M.P.
47.	Rama Phosphates Ltd. Umra, Gurva Tehsil, Udaipur, Rajasthan.	48.	Rewati Minerals & Chemicals Ltd., Banda, Tehsil, Sagar, M.P.
49.	Sadhana Phosphate & chemicals Ltd., Gudli Village, Udaipur, Rajasthan.	50.	Shiva Fertilizers Ltd., Loha, Taluka, Nanded, Maharashtra.
51.	Shreeji Phosphate Ltd., Kallipura Village, Jhabua, M.P.	52.	Shri Acids and Chemicals Ltd., Gajraula, U.P.
53.	ShriBhawani Mishra (P) Ltd., Wazirabad, Nanded Maharashtra	54.	ShriGanpati Fertilizers Ltd., GaurajikaNimbahera, Ambabari, Rajasthan.
55.	Shrinivas Fertilizers Ltd, Gormachhia Village, Jhansi, UP	56.	ShurviColourChem Ltd., Madsri, Girva Tehsil, Udaipur, Rajasthan
57.	Sona Phosphate Ltd, Sarigam, Sabero, Valsad, Gujarat	58.	Subhodaya Chemicals Ltd, Gauripatnam, A.P.
59.	Swastik Fertilizer & Chemicals Lt, Village Sandla, Dhar, M.P.	60.	Tedco Granites Ltyd, Hamirgarh, Bhilwara, Rajasthan
61.	Teesta Agro Industries Ltd, Rajganj, Jalpaiguri, W.B.	62.	The Andhra Sugars Ltd, Kovvur, West Godavari Distt, A.P.
63.	The Bharat Fertilizer Industries Ltd, Wada Taluka, Thane, Maharashtra.	64.	Tungabadra Fertilizers & Chemicals Company Ltd, Munirabad R.S. Koppal, Karnataka.

# 3. List of DAP Manufacturers

). LI	St Of DAF Manuacturers			
1.	Gujarat State Fertilisers& Chemicals Ltd.	2.	Zauri Industries Ltd.	
3.	Southern Petrochemical Industries Corporation Ltd.	4.	Deleted.	
5.	Oswal Chemicals &Fertilisers Ltd.	6.	Madras Fertilisers Ltd., New Delhi-110016	
7.	M/s Hindalco Industries Limited (unit: birla copper)	8.	Indian Farmers Fertilisers Coop. Ltd, New Delli-110019	
9.	Godavari Fertilisers& Chemical Ltd.	10.	Deleted.	
11.	Deleted	12.	M/s Tata Chemicals Limited (Phosphate Business Division), bishop's house, 51, Chowringee Road, Kolkata-700071.	
13.	Mangalore Chemicals and Fertilisers Ltd, BhikajiCama Place, New Delhi.	14.	Deleted	
15.	Paradeep Phosphates Ltd, Pandit Jawaharlal Nehru Marg, Bhubaneshwar-751001	16.	FACT, Cochin	
17.	Deleted.			

# C. List of NP / NPK Manufacturers

- 1. M/s Gujarat State Fertilisers& Chemicals Ltd. 6th Floor, Meridien, West Tower, Windsor Place, New Delhi-110001.
- 2. M/s Zurai Industries Ltd., International Trade Tower, 2nd Floor, F Block, Nehru Place, New Delhi-110019.
- 3. M/s Southern Petrochemical Industries Corporation Ltd., SPIC House, 842, Asian Games Village Complex, KhelGaon, August Kranti Marg, New Delhi-110049.
- 4. M/s Rashtriya Chemical and Fertiliser Ltd., H-9 Green Park Extn., New Delhi.
- 5. M/s Oswal Chemicals &Fertilisers Ltd., 7th Floor, AntrikashBhawan, 22, Kasturba Gandhi Marg,New Delhi-110001.
- 6. M/s Madras Fertilisers Ltd., U-12-A, First Floor, Green Park Extension, New Delhi-110016.
- 7. M/s Hindalco Industries Limited (unit : birla Copper )
- 8. M/s Indian Farmers Fertilisers Coop. Ltd., 34, Nehru Place, New Delhi-110019.
- 9. M/s Godavari Fertilisers& Chemical Ltd., E-198, East of Kailash, New Delhi-110065.

- 10. M/s E.I. D Parry India Ltd., Jeevan Deep Building, No.10, SansadMarg, P.B. No.172, New Delhi-110001.
- 11. M/s Coromondal Fertilizer Ltd. (CFL) Jeevan Deep Building, 1st Floor, 10, Parliament Street, New Delhi-110001.
- 12. M/s Tata Chemicals Limited (Phosphate Business Division), bishop's house, 51, Chowringee Road, Kolkata-700071.
- 13. M/s Mangalore Chemicals and Fertilisers Ltd., 1002, BhikajiCamaBhawan, BhikajiCama Place, New Delhi.
- 14. M/s Deepak Fertilizers and Petrochemicals Corporation Limited, S-I, First Floor, Panchsheel Park, New Delhi-110017.
- 15. M/s Paradeep Phosphates Ltd., Bayan Bhawan, Pandit Jawaharlal Nehru Marg, Bhubaneshwar-751001.
- 16. M/s FACT. Cochin.
- 17. M/s Gujarat Narmada Valley Fertiliser Company limited, Bharauch.

#### Chapter 32

# Tanning or Dyeing Extracts; Tannings and their Derivatives; Dyes, Pigments and other Colouring Matter; Paints and Varnishes; Putty and other Mastics; Inks

Note 1 Value added products of Red Sanders wood in this chapter require a licence and CITES documentation. For detail, see Chapter 44."

#### Chapter 33

# Essential Oils and Resinoids; Perfumery, Cosmetic or Toilet preparations

Note 1 Sandalwood oil under 3301 29 37 is freely exportable.

_	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
170	3301 29 37	Kg	Sandalwood oil		Subject to Quantitative ceilings and conditionalities as may be notified by the Director General of Foreign Trade from time to time. For detail policy see chapter 44

### Chapter 35

# Albuminoidal substances; modified starches; glues; enzymes

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
171	35030020	Kg	Gelatine, edible grade and not elsewhere specified or included	Free	Export to EU allowed subject to the following conditions:  (j) A 'Shipment Clearance Certificate' is to be issued consignment-wise by the CAPEXIL indicating details of the name and address of the exporter, address of the registered plant, IEC No. of the exporter, plant approval number, nature of export product, quantity, invoice number & date, port of loading (Name of the port) and destination.
					(ii) After the shipment is made, the exporter shall also provide 'Health Certificate' consignment-wise to the buyer giving details of vessel name, shipping bill number with date, production process, etc. as per the requirement of EU. The Certificate would be issued jointly by CAPEXIL and Regional Animal Quarantine Officer, Department of Animal Husbandry, Dairying and Fisheries, Ministry of Agriculture, Government of India.
172	35030030	Kg	Glues derived from bones, hides & similar items and fish glues	Free	Export to EU allowed subject to the following conditions: (j) A 'Shipment Clearance Certificate' is to be issued consignment-wise by the CAPEXIL(formerly Chemical & Allied Products Export Promotion Council)
173	35040010	Kg	Peptones; others	Free	indicating details of the name and address of the exporter, address of the registered plant, IEC No. of the exporter, plant approval number, nature of export product, quantity, invoice number with date, port of loading (Name of the port) and destination.  (ii) After the shipment is made, the exporter shall also provide a 'Production Process'certificate and/or' health certificate to the buyer consignment-wise to be issued by CAPEXIL (formerly Chemicals and Allied Products Exports Promotion Council) as per the requirement of EU.
174	3501		Casein, caseinates and other casein derivatives; casein glues	Restricted Prohibited	Export permitted under licence Not permitted to be exported[Substituted by 112-Ntfn(RE)/01.05.2012]

U8.22 <Casein and Casein Products Export Permitted under Licence- 112-Ntfn(RE)/01.05.2012(DINDEX Code 1213)>. See details in www.worldtradescanner.com.

### **Export Licensing Note of Chapter 35**

Note 1 Transitional arrangements under para 1.5 of Foreign Trade Policy, 2009-14 shall not be applicable on export of casein and casein derivatives.

Note 2 Export consignments of casein and casein derivatives which were handed over to customs for examination and export on or before 18.02.2011 will be allowed for export.

Note 3 Export of excise verified stock of 1053.625 MS of Casein manufactured before 18.02.2011 permitted.

# Chapter 38

### **Miscellaneous Chemical Products**

Note 1 Value added products of red sanders wood in this chapter require a licence. For detail, see Chapter 44

# Chapter 40 Rubber and Articles thereof

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction	
175	4014 10 10	4014 10 10 u	u	Condoms except categories /brands mentioned at (a) and (b) below which are not allowed for export	Free	Export freely permitted subject to submission to Customs, a self declaration and a certificate issued by statutory Auditors that no concession/subsidy has been claimed for intended exports nor any subsidized material has been used for manufacture of items under export
			(a) Any condom with any of the following marking/Stamp i. "Made specially for Govt. of India"; or ii. "Sold under Contraceptive Social Marketing Programme of Govt. of India"; iii. "Free supply"; iv. "Central Govt. supply- Not for sale"; or v. "Not for export outside India"; and /or	Prohibited	Not permitted to be exported.	
			(b) the following specific brands of condoms:- i. Ahsaas ii. Bliss iii. Deluxe Nirodh iv. Dream v. Masti vi. Milan vii. Mithun viii. Mauj ix. Nirodh x. New Lubricated Nirodh xi. Pick Me xiii. Sangam xiiii. Super Deluxe Nirodh xiv. Sawan xv. Tamanna xvi. Umang xvii. Ustad xviii. Zaroor xix. Anand xx. Thrill xxi. Sparsh xxii. Sathi	Prohibited	Not permitted to be exported.	

# Chapter 41

# Raw Hides and Skins (other than Furskins) and leather

**Note 1:** Finished leather of goat, sheep and bovine animals and of their young ones" means the leather which complies with the terms and conditions specified in the Public Notice of the Government of India in the Ministry of Commerce No.21/2009-14 dated 01.12.2009, under the provisions of the Foreign Trade (Development and Regulation) Act, 1992 (22 of 1992).

S.No.	Tariff item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
176	4104 11 00, 4104 19 00, 4104 41 00, 4104 49 00, 4105 10 00,	Kg	Finished leather all kinds	Free	Subject to the definition of
	4105 30 00, 4106 21 00, 4106 22 00, 4106 31 00, 4106 32 00,	_			finished leather at Note 1
	4106 40 00, 4106 91 00, 4106 92 00, 4107 11 00, 4107 12 00,				
	4107 19 00, 4107 91 00, 4107 92 00, 4107 99 00				

# **Export Licensing note of Chapter 41**

Note 1 The definition of finished leather is contained in Public Notice No.21/2009-14 dated 01.12.2009. The same is reproduced at Appendix 4 of this schedule.

# **U8.23** Revised Quality Norms for Finished Leather Exports

### [Ref: DGFT Public Notice No. 21 dated 1st December 2009]

Subject: Export of Finished Leather- Revised Leather Norms

In exercise of the powers conferred by Section 5 of the Foreign Trade (Development & Regulation) Act, 1992 (No 22 of 1992), the Director General of Foreign Trade hereby specifies, for the purpose of theentry "Finished Leather all kinds" appearing at Serial No:176, Chapter 41, Schedule 2 — Export Policy, of the Foreign Trade Policy 2009-14, that the items mentioned in column 2 of the table hereunder shall constitute "Finished Leather" and the same may be exported without a license but subject to the terms and conditions specified against each item in column 3 of the table hereunder."

SNo.	Description of item	Manufacturing Norms Conditions.		
1	2	3		
1	Leathers with finishing coat (All substrates— Goat and Sheep skins and Bovine hides/sides calf skins including splits)	a. Tanning b. Dyeing (optional) c. Fatliquoring d. Finishing coat		

II	Suede Leathers (All substrates including splits)	a.Tanning b.Dyeing in light/pastel/medium/dark shades (in case of doubt, thepresence of dye to be ascertained by chromato c.Fatliquoring d. Buffing to produce suede nap e. Shaving/snuffing of the grain along the backbone 2 inches on either side in the case of goat and sheep skins al hides/sides and calf skins all over the grain side
III	Nubuck Leathers(All substrates including butts and bends)	a. Tanning b. Dyeing in light/pastel/medium/dark shades (in case of doubt, the presence of dye to be ascertained by chromat c. Fatliquoring d. Buffing on the grain to produce nap with writing effect(or) Buffing on the grain and presence of oil in the case of
IV	Bovine hides/sides based Lining Leathers:	Thickness less than or equal to 1.0 mm  a. Tanning b. Dyeing in light/pastel/medium/dark shades (in the case of doubt, the presence of dye to be ascertained by chroc. Fatliquoring
V	Gloving leathers (All substrates):	Thickness should be less than or equal to 1.0 mm and run should beminimum of 15% a. Tanning b. Dyeing (optional) c. Fatliquoring d. Wax coat
VI	Burnishable Leathers (All substrates including butts and bends)	<ul> <li>a. Tanning</li> <li>b. Dyeing in light/pastel/medium/dark shades (in case of doubt, the presence of dye to be ascertained by chromat c. Fatliquoring</li> <li>d. Wax coat</li> <li>e. Burnishable effect on rubbing (Minimum CIE ΔL value of –5.0 on 10 dry rubbing on SATRA Fastness testeror a as measured on a Reflectance spectrophotometer)</li> </ul>
VII	Pull Up Leather – Wax/Oil (All substrates including butts and bends)	<ul> <li>a. Tanning</li> <li>b. Dyeing in light/pastel/medium/dark shades(in caseofdoubt, the presence of dye to be ascertained by chromatog c. Fatliquoring</li> <li>d. Wax coat (or) Oil coat</li> <li>e. Pull up effect (Minimum CIE ΔL value of +5.0 as measured on areflectance spectrophotometer)</li> </ul>
VIII	Heavy Leathers including sole leather, hamess and belting leathers(Bovine hides/sides including butts and bends)	Heavy substance with thickness of 3.0 mm or more and with minimum of apparent density 0.9 gm/cc) a. Vegetable Tanning b. Oiling/stuffing c. Rolling / Plating
IX	Hair/wool on leathers (All substrates including rabbit skins)	a. Tanning b. Dyeing(optional) c. Fatliquoring d. Wool/hair combing
X	Laminated Leathers (All substrates including splits)	a. Tanning b. Dyeing(optional) c. Fatliquoring d. Application of foil/film/lamination
XI	Chamois Leathers (All substrates)	a. Aldehyde and fish oil combination tanning b. Buffing to produce suede nap c. Complete shaving/snuffing of the grain
XII	Shrunken Grain/Washed leathers (All substrates).	Should havepronounced change in the grain pattern/textureof grain a. Tanning b. Dyeing (in the case of doubt, the presence of dye to be ascertained bychromatographic technique c. Fatliquoring d. Wax coat
XIII	Wax/Oil coated leathers	a.Tanning b.Dyeing in medium/dark shades c. Fatliquoring d.Wax coat (or) Oil Coat

Note: Any new type of finished leather not covered under the above categories shall be permitted for export, subject to testing and certification by Central Leather Research Institute (CLRI)

### **Definitions of Manufacturing Operations**

**Tanning** – Tanning with one or more than one kind of tanning agent, such as mineral tanning and vegetable tanning and / or syntan tanning and/ or resin tanning and/or aldehyde tanning, oil tanning in any sequence and or any new type of tanning.

**Dyeing** – Treating the leather with a solution of dye/s to impart a colour. In case of doubt the presence of dye should be ascertained by extracting dye from leather using suitable solvent mixture and by running thin layer chromatography (TLC)

Note: 1:- Testing for the presence of dye:

Organic layer separated from Butanol, acetic acid and water mixture taken in the ratio 4: 1: 5, using a separating funnel is taken as the eluting solvent for TLC analysis.

Dye is extracted from the leather using dichloromethane and methanol (1: 1) mixture. The cut pieces of leathers are heated in a water bath with the solvent mixture for few miniutes. The extracted dye is kept as a spot on the TLC paper and the strip is kept in the eluting solvent such that the dye spot lies above the solvent level. The presence of the dye is confirmed by its movement to a considerable distance and from the formation of a dye curve or peak on the TLC paper.

Fatliquoring - Treating the leather with oil and/or fat, emulsified in water for rendering the leather soft

Finishing Coat – Finishing coat shall contain a film forming material/ binder in combination with colorants such as pigments or dyes or a combination of both. The film forming material/binder shall comprise materials singly or in combination such as proteins or synthetic acrylic or polyurethane, vinyls lacquers or lacquer emulsions.

If necessary, microscopic examination of the surface at minimum 100 times magnification shall be carried out to detect the finishing coat.

#### Note 2: - Microscopic examination for finish coat:

Binocular stereoscopic microscope with (two paired) objectives capable of viewing the objects at a total magnification of 100X will be required. Stereoscopic microscope gives a three dimensional view of the object.

Leather sample to be examined is placed on the stage of the microscope with the grain facing the objectives and then the surface is focused. Two or three places in each of the five locations namely butt, belly (one each side of the back bone line) and neck or shoulder examined.

To the naked eye, the grain surface may appear to be plain, but when focused under microscope, innumerable depressions can be seen on the surface. These depressions are due to cleavages lines and hair pores. If finish coat is sprayed on the grain surface, it will be present throughout, including depressed areas and both the depressed and other areas will produce the same type of reflection which is clearly visible under the microscope.

Buffing - An operation to produce a clean flesh surface to produce nap on leather by the action of emery wheel or a buffing machine

Shaving - A mechanical operation of reducing the substance of leather to uniform thickness by scrapping off layers from flesh or grain side

Snuffing - The process of buffing the grain side of leather usually done by buffing machine, with visible evidence of removal of grain

Oiling – The operation of rubbing oil on the grain side of wet or sammed leather with the object of making the leather soft and pliable; in the case of vegetable tanned leather also to protect the color of tannage from darkening by oxidation.

Wax coat - Wax particulate matter should be seen under Microscope (100x) after the application of xylene on the grain surface.

Note 3:-Microscopic examination of wax coat:

A small drop of xylene is placed on the surface of the leather. The surface is scrapped gently using a glass rod. Leather is left for 2-3 minutes. The dried leather surface is observed under microscope for the presence of wax crystals on the surface.

**Burnishable Effect** – Rubbing on grain surface of leather should show a distinct gloss with a darkening of the shade giving rise to a burnishing effect. Minimum CIE  $\Delta L$  value of – 5.0 on 10 dry rubbing on SATRA or any other fastness tester

Pull up Effect – Leather shall produce a distinct pull-up effect showing a contrast light color from the base minimum CIE ΔL value of +5 as measured by the reflectance spectrophotometer.

Wool Combing- The operation through which wool entanglements are released.

Application of foil/film - Acrylic/ PVC/PU foil or film

Rolling - The operation of rolling the heavy leathers like sole leather using a heavy roller with rolling machine.

2. This issues in public interest.

U8.24 Leather Exports only thru Chennai Sea, JNPT, Kolkata Sea, ICD Kanpur and ICD Tughlakabad - CLRI Certification Must by DGFT Public Notice No. 23 dated 13<sup>th</sup> August 2013.

U8.25 Leather Exports only thru Chennai Sea, JNPT, Kolkata Sea, ICD Kanpur, ICD Tughlakabad and Air Ports also by DGFT Public Notice No. 24 dated 5<sup>th</sup> September 2013.

U8.26 Leather Exports thru ICDs at Chennai, Mumbai and Kolkata Allowed by DGFT Public Notice No. 38 dated 20<sup>th</sup> November 2013. See details in www.worldtradescanner.com.

### Chapter 44

#### Wood and Articles of Wood; Wood Charcoal

Note 1 Definition of handicrafts for the purpose of classification.

- (a) A handicraft must be predominantly made by hand. Machinery can also be used in the manufacturing process as a secondary process.
- (b) It must be graced with visual appeal in the form of ornamentation or in-lay work or some similar work lending it an element of artistic improvement and such ornamentation must be of a substantial nature and not a mere pretence.

The classification codes for the handicrafts is only illustrative. The description can cover other headings as well.

Note 2 Mulli bamboo (Melocannabaccifera) is Prohibited. For details see chapter 14.

**Note 3** Export Promotion Council for Handicrafts (EPCH) is authorized to issue certificate, on demand, on the due diligence adopted by the exporters in procurement of wood from legal sources. [Note 3 inserted by 13-Ntfn(RE)/14.05.2013]

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
177	4401 10 00, 4401 21 00, 4401 30 00	mt	Wood and wood products in the form of logs, timber, stumps, roots, bark, chips, powder, flakes, dust, and charcoal other than saion timber made exclusively out of imported logs/timber	Prohibited	Not permitted to be exported
178	4401 10 10, 4401 10 90	mt	Fuel wood, in logs, in billets, in twigs, in faggots or in similar forms; Wood in chips or particles; Sawdust and wood waste and scrap, whether or not agglomerated in logs, briquettes, pellets or similar forms	Prohibited	Not permitted to be exported
179	4402 00 10, 4402 00 90	mt	Wood charcoal , whether or not agglomerated	Prohibited	Not permitted to be exported
			Wood Charcoal Exports to Bhutan Permitted- 60-Ntfn(RE)/23.12.2013 (DINDEX/4709). See details in www.worldtradescanner.com		
180	4407 10 10, 4407 10 20, 4407 10 90, 4407 29 10, 4407 29 90, 4407 99 10, 4407 99 20, 4407 99 90	mt	Wood sawn or chipped lengthwise, sliced or peeled, whether or not planed, sanded or end jointed, or a thickness exceeding 6 mm other than sawn timber made exclusively out of imported logs/timber	Prohibited	Not permitted to be exported

181	4407 10 10, 4407 10 20, 4407 10 90, 4407 29 10, 4407 29 90, 4407 99 20, 4407 99 90	mt	Sawn Timber made exclusively out of imported logs/timber of all the species of wood other than CITES Appendix I & II species.	Free	(i) The Export would be confined to the species which has been imported; (ii) The importer and exporter will be the same party/firm and the import and export will have to be effected from the same port. The Scheme will be operational only from the ports of Chennai, Kandla, Kolkata, Mangalore, Mumbai, Mundra, Nhavasheva (JNPT), Tuticorin and Visakhapatnam. However, for this purpose Mangalore and Tuticorin shall be treated as the same Port thereby allowing importers to import wood logs from Mangalore and export the sawn timber from Tuticorin and viceversa. Similar facility shall also be available for Kandla, Mumbai, Mundra and Nhavasheva (JNPT) Ports thereby allowing importers to import from any of the above Ports and export either from the same Port or from any other remaining three Ports.  (iii) The export of sawn products derived from imported logs shall not exceed 60% of the imports in volume terms;  (iv) The value addition of such exports shall be not less than 30%.  (v) The Saw Mill of the exporter, where imported timber is sawn shall be registered with the State Forest Department and shall be located away from the forest area in a location approved by the Conservator of Forests of the State Government;  (vi) The export contracts shall be registered with the Chemicals and AlliedProducts Export Promotion Council (CAPEXIL) who will monitor them to ensure that the scheme is not abused.  (viii) The laws and rules framed by the Central Government and the State Government regulating timber in transit shall be followed by the exporters.
182	1211 90 50, 4403 99 22	M <sup>3</sup>	Sandalwood in any form, but excluding finished handicraft products of sandalwood, machine finished sandalwood products, sandalwood oil:	Prohibited	Not permitted to be exported
183	4414 00 00, 4415 00 00, 4419 00 00, 4420 00 00, 4421 90 60, 4421 90 90	Kg	Finished Handicraft products of (a) Sandalwood (b) Other species	Free Free	Subject to provisions of CITES Subject to provisions of CITES
184	4409 00 00	Kg	Machine finished sandalwood products	Free	
185	3301 29 37	Kg	Sandalwood Oil	Free	Subject to Quantitative ceilings and conditionalities as may be notified by the Director General of Foreign Trade from time to time.
186	1211 90 50, 4401 30 00	mt	Sandalwood De-oiled Spent Dust	Restricted	Export permitted under licence subject to conditionalities as may be notified by the Director General of Foreign Trade from time to time.
187	1211 90 50, 4403 99 22		Other forms of sandalwood as specified	Restricted	Export permitted under licence subject to conditionalities as may be notified by the Director General of Foreign Trade from time to time.
188	4403 99 18, 4407 99 90	m <sup>3</sup>	Red Sanders wood in any form, whether raw, processed or unprocessed , except at (b) below	Prohibited	Not permitted to be exported. The condition stipulated in Column 5 against S. No.188 of Chapter 44 of Schedule 2 of the ITC(HS) Classifications of Export and Import Items shall be relaxed to allow export of 9784.1363 MT of Red Sanders wood, in the form of log obtained out of confiscated/seized stock from the Government of Andhra Pradesh & Directorate of Revenue Intelligence (DRI).
189	3203 00 90, 3805 10 10, 9202 10 00, 9202 90 00, 9203 00 10, 9203 00 90, 9204 10 00, 9204 20 00, 9206 00 00, 9208 10 00, 9208 20 00, 9209 92 00, 9209 93 00, 9209 99 00	kg	Value added products of Red Sanders wood such as Chips, Powder, Extracts, Dyes, Musical Instruments, Parts of Musical Instruments, Furniture, Parts of various sizes of furniture (maximum cross section: sizes: 15 cm X 15 cm; Planks: 20 cm X 7.5 cm and maximum length 2.5 Mtrs), toys, dolls & other handicrafts made from Red Sanders wood procured from legal sources  [Item description substituted by 54-Ntfn(RE)/03.12.2013]	Restricted	Exports permitted under licence subject to the following documentation. Applications for export licences should be accompanied by attested copies of certificate of origin issued by the Principal Chief Conservator of Forests of the State from where the stocks were procured, giving details of the date of procurement from legal sources and quantities procured. A Certificate of the current position of stocks so procured and available with the applicant given after physical verification of the stocks, by the authority nominated for the purpose by the Principal Chief Conservator of Forests, should also accompany application for export licence. The applications shall be considered on merits for issue of Export Licence, which shall be subject to any other conditions such as MEP, quantity ceilings requirements under CITES, etc. as may be prescribed from time to time.

Value Added Products of Red Sanders Wood Chips, Powder, Furniture, Toys, Dolls and Handicrafts Export Restricted - 54-Ntfn(RE)/03.12.2013 (DINDEX/4642) See details in www.worldtradescanner.com

### U8.27 Red Sanders Wood Export Quota of 9784.1363 MTs Released

<Govt Gives More Time to Complete Modalities for Red Sanders Export Quota upto 30 April 2015 – 96-Ntfn(RE)/05.11.2014 (DINDEX Code 5497)>. See details in www.worldtradescanner.com.

#### [DGFT Notification No. 47 dated 24th October 2013]

Subject: Relaxation in export policy for export of Red Sanders wood.

In exercise of powers conferred by Section 5 of the Foreign Trade (Development & Regulation) Act, 1992, as amended, read with paragraph 1.3 of the Foreign Trade Policy, 2009-14 the Central Government hereby makes the following amendments in respect of SI. No. 188 of Schedule 2 of ITC(HS) Classifications of Export and Import Items as under:

"The condition stipulated in Column 5 against S. No.188 of Chapter 44 of Schedule 2 of the ITC(HS) Classifications of Export and Import Items shall be relaxed to allow export of 9784.1363 MT of Red Sanders wood, in the form of log obtained out of confiscated/seized stock from the Government of Andhra Pradesh & Directorate of Revenue Intelligence (DRI)".

- 2. (i) Government of Andhra Pradesh is hereby permitted to export 8584.1363 MTs of Red Sanders wood in log form, either by itself or through any entity/entities so authorized by them for the purpose.
- (ii) Such entity/entities or Government of Andhra Pradesh, as the case may be, shall be granted export authorization by the concerned Regional Authority of DGFT upon production of quantity allocation letter from Government of Andhra Pradesh.
- 3. (i) Directorate of Revenue Intelligence (DRI) is hereby permitted to export 1200 MTs of Red Sanders wood in log form, either by itself or through any entity/entities so authorized by them for the purpose.
- (ii) Such entity/entities or DRI, as the case may be, shall be granted export authorization by the concerned Regional Authority of DGFT upon production of quantity allocation letter from DRI.
- 4. Government of Andhra Pradesh and Directorate of Revenue Intelligence (DRI) shall finalize the modalities, including allocation of quantities to their respective authorized entities for export of the respective quantities of Red Sanders wood and shall complete the whole process of export latest by 30<sup>th</sup> April, 2015. This shall be subject to such orders, as passed by the Hon'ble High Court of Madras or such submissions as made before the Hon'ble High Court of Madras in WP No. 29273 of 2007 or such orders as passed by any other court, if any. [Substituted by 96-Ntfn(RE)/05.11.2014]

#### 5. Effect of this notification

Prohibition on export of Red Sanders wood in log form has been relaxed for export of 9784.1363 MT of Red Sanders wood in log form through Government of Andhra Pradesh & Directorate of Revenue Intelligence (DRI).

# Chapter 47 Pulp of Wood or of other Fibrous Cellulosic Material; Waste and Scrap of Paper or Paperboard

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
190	4701 00 00	kg	Mechanical wood pulp	Prohibited	Not permitted to be exported
191	4702 00 00	kg	Chemical wood pulp, dissolving grades	Prohibited	Not permitted to be exported
192	4703 00 00	kg	Chemical wood pulp, soda or sulphate, other than dissolving grades	Prohibited	Not permitted to be exported
193	4704 00 00	kg	Chemical wood pulp, sulphite, other than dissolving grade	Prohibited	Not permitted to be exported
194	4705 00 00	Kg	Semichemical Wood Pulp	Prohibited	Not permitted to be exported
195	4707 00 00	ka	Waste paper	Restricted	Export permitted under licence

# Chapter 50 Silk

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
196	5001 00 00	kg	Pure races of Silk worms; silkworm seeds, and silk worm cocoons	Restricted	Export permitted under licence

# Chapter 52 Cotton

S. No.	Tariff Item HS Code	Unit	Item of Description	Export Policy	Nature of Restriction	
197	5201		Cotton neither carded nor combed	Free	Prior registration of contracts with DGFT.	
198	5202		Cotton waste (including yarn waste and garneted stock)	Free		
199	5203		Cotton, carded or combed	Free	Prior registration of contracts with DGFT.	
200	5205	Kgs	Cotton yarn (other than sewing thread), containing 85% or more by weight or cotton not put up for retails sale	Free	The contracts for export of cotton yarn shall be registered with the Directorate General of Foreign Trade prior to shipment. Clearance of cotton yarn consignments shall be given by Customs after verifying that the contracts have been registered.	
201	5206	Kgs	Cotton yarn (other than sewing thread), containing less than 85% by weight or cotton not put up for retails sale	Free	Substituted by DGFT Notification No. 102 and 103- Ntfn(RE)/08.12.2014 (DINDEX Code 5593) – Cotton and Cotton Yarn Export Contract Registration with DGFT Dropped.	
202	5207	Kgs	Cotton yarn (other than sewing thread),put up for retail sale	Free	See details in www.worldtradescanner.com.	

**Export Licensing Note of Chapter 52** 

Note 1 Export of 5,000 bales of Assam Comilla Cotton [ITC(HS) Code 52010012] will be exempted from any export restriction during the cotton season 2011-12 subject to registration with DGFT.Registration Certificate for such exports will be valid for 30 days only.

Substituted by 18-Ntfn(RE)/01.10.2012 (DINDEX Code 1643)-Assam Comilla Cotton Exempted from Export Restriction upto 5000 Bales - RC will be Valid for 30 Days. See details in www.worldtradescanner.com.

# **U8.28** Procedure for Registration Certificate for Cotton Exports

### [Ref: DGFT Notification No. 63 dated 4th August 2011]

Subject: Export of Cotton [ITC (HS) Code 5201 & 5203]: obtaining of Registration Certificate

In exercise of the powersconferred by Section 5 ofthe Foreign Trade (Development & Regulation)Act, 1992 (No.22 of 1992) read with Para 2.1 of the Foreign Trade Policy, 2009-14, the Central Government hereby notifies the modalities and procedure for obtaining Registration Certificate (RC) in pursuance of Notification No. 62 (RE-2010)/2009-14 dated 01.08.2011, as under:

#### 2. Registration Certificate (RC) for Export of Cotton [ITC (HS) Code 5201 & 5203]

Exporters intending to export cotton [ITC (HS) Codes 5201 and 5203] will apply to the Regional Authority (RA) for grant of Registration Certificate (RC) subject to the following conditions:

(i)The applicant should not be a defaulter / must not be in the Denied Entities List (DEL).

(ii)An applicant shall submit a performance guarantee in the form of a Bank Guarantee (in the format given in Annexure 2) for 2.5% of the value equivalent of the quantity for which RC is being applied, or for Rs 1 lakh, **whichever is more**.

(iii)Each application for RC shall be accompanied with either an irrevocable letter of credit (LC), duly authenticated by a bank in India, or proof of receipt of 100 % advance payment (FIRC), or proof of receipt of 25 % advance payment (FIRC) and payment of rest (75 %) cash against document (CAD).

(iv) Applicant will contact any of the designated RAs with an application (format given in Annexure 1 to this notification) along with a copy of IEC and documents as at (ii) & (iii) above for obtaining RC. RA's designated for this purpose are: Ahmedabad, Bengaluru, CLA (New Delhi), Chennai, Kolkata, Hyderabad, and Mumbai.

#### 3. Time to Export (Validity of RC)

Export must be completed within 30 days from the date of issuance of the RC. The validity of the RC would expire after 30 days. Failure to complete export of the full quantity (with a tolerance level of -5 % by weight) for which RC was obtained would entail (a) forfeiture of performance Bank Guarantee submitted to DGFT and (b) debarment from obtaining any Registration Certificate in future, in addition to initiation of penal action under Section 11(2) of Foreign Trade (Development & Regulation) Act, 1992, (as amended).

#### 4. Reporting

Holders of the RC would observe a two stage reporting system, sending two reports to the RA from whom the RC was obtained: (a) a simple first report only about the quantity of export, to whom exported, and when exported, in respect of each consignment **immediately on obtaining the Let Export Order (LEO)** and (b) a consolidated second report **within 35 days of the issue of RC** in detail (EP copy of shipping bill required) about all exports done in respect of the complete quantity for which the RC was obtained.

#### 5. RCs obtained till now

Holders of any valid RC that was obtained before 1<sup>st</sup>August 2011, in respect of which any balance quantity is still to be exported, has the option of getting the RC revalidated **or** applying afresh under this new dispensation. Thus any RC obtained before 1<sup>st</sup>August would lose its validity unless revalidated by the RA from whom it was obtained.

[Annexure is available at our website www.worldtradescanner.com]

U8.29 Cotton Exports Registration Continued in New Notification w.e.f. 1 October 2012 – 17-Ntfn(RE)/01.10.2012 [DINDEX Code 1642 ) Cotton Exports Contract Registration Raised to 30,000 Bales - Ludhiana, Rajkot and Vizag RAs Allowed for RCs; Applying Multiple RCs Allowed – 26-Ntfn(RE)/30.11.2012 [DINDEX Code 1799].

U8.30 Cotton Export Registration Procedure Relaxed for Public Sector Cotton Corp - [DGFT Notification No. 32 dated 2<sup>nd</sup> August 2013]

# U8.31 Cotton Waste Contract Registration with DGFT - 45 Days for Shipment Allowed

# [Ref: DGFT Policy Circular 34 dated 01.07.2011]

Subject: Conditions and modalities for registration of contracts of cotton waste including yarn waste and garneted stock [ITC(HS) Code 5202] with DGFT.

Notification No. 57 (RE-2010)/2009-14 dated 09.06.2011 has raised the cap on export of cotton for the cotton season2010-11 (upto 30.09.2011) to 65 lakh bales, thereby allowing export of additional 10 lakh bales of cotton, upto 30.09.2011. Through Notification No. (RE-2010)/2009-14 dated .06.2011, it has been notified that the cap of additional 10 lakh bales, on export of cotton during the cotton season 2010-11 (upto 30.09.2011), will apply only to Tariff codes 5201 and 5203. This cap shall not apply to export of cotton waste including yarn waste and garneted stock(Tariff code 5202).

- 2. Thus, Export of cotton waste including yarn wasteand garneted stock [ITC(HS) Code 5202] is "Free" subject to registration of export contracts with DGFT. Procedure for registration of contracts for export of cotton waste including yarn waste and garneted stock(Tariff code 5202), which are to be registered with the Regional Authorities of DGFT, is described below. This is similar to Policy Circular No. 27 of 01.04.2011 relating to export of cotton yarn except that period of shipment against the RCs will be 45 days for export of cotton waste. It is 30 days for export of cotton yarn.
- 3. All applications for grant of registration certificate shall be submitted to the concerned RAs alongwith the following documents:
- (i) Copy of Export Contract alongwith,
- (a) A copy of irrevocable Letter of Credit(LC) duly authenticated by an Indian Bank,

(b) FIRC from Bank showing receipt of remittance from the concerned foreign buyer as proof of having received 100% Advance Payment or

- (c) a minimum of 25% Advance Payment and balance Cash Against Delivery(CAD).
- (ii) Declaration/Undertaking as given in Annexure-I of this Policy Circular, on the letter head of the firm.
- (iii) Copy of IEC

or

- 4. If the documents received are found in order, the applicant shall be issued a Registration Certificate. Export against this registration certificate shall be completed within a period of 45 days from the date of issuance of such certificate. The firms would be required to submit proof of export to the concerned RA within 10 days of last shipment.
- 5. Failure to export the allowed quantity within the stipulated time would invite debarment from further registration. In addition, penal action as per Section 11(2) of the Foreign Trade (D&R) Act would be initiated. For ready reference Section 11(2) is extracted below:-

"11(2). Where any person makes or abets or attempts to make any export or import in contravention of any provisions of this Act or any rules or order made thereunder or the Foreign Trade Policy, he shall be liable to a penalty of not less than ten thousand rupees and not more than five times the value of the goods or services or technology in respect of which any contravention is made or attempted to be made, whichever is more."

6. This issues with the approval of Director General of Foreign Trade.

Annexure-1 to Policy Circular No. 34(RE-2010)/2009-14 Dated: 1st July, 2011

#### **Declaration / Undertaking**

- 1. I / We hereby declare that the item exported by me/us is cotton waste / yarn waste /garneted stock [ITC(HS) Code 5202].
- 2. I / We hereby further declare that the particulars and the statements made in this application are true and correct to the best of my / our knowledge and belief and nothing has been concealed or held there from.
- 3. I / We fully understand that any information furnished in the application if found incorrect or false will render me / us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.
- 4. I / We undertake to abide by the provisions of the FT (D & R) Act, 1992, as amended, the Rules and Orders framed there under, FTP, HBP v 1 and HBP v2 and ITC (HS). I also undertake that in the event of non-performance after grant of Registration Certificate by the Directorate General of Foreign Trade for export of cotton waste / yarn waste /garneted stock, I shall be liable to Penal Action under Section 11(2) of Foreign Trade(Development & Regulation) Act, 1992, (as amended), including debarment from future allocations.
- 5. I hereby certify that I am authorized to verify and sign this declaration.

Signature of the Applicant: Place:

Name: Date:

Designation:

Official Address (if different than given in the letter head):

Line 1: Number of the office premises:

Line 2: Street name:

Line 3: City name:

Line 4: Pin code:

Telephone No. (with STD code):

Cell Phone No.:

Fax No.:

E-mail of the firm/company:

E-mail of the signatory:

Residential Address of the signatory:

Line 1: House Number:

Line 2: Street name:

Line 3: City name:

Line 4: Pin code:

# U8.32 DGFT Clarification on Registration of Contracts of Cotton and Cotton Yarn with DGFT

[DGFT Policy Circular No. 51 dated 28th December 2011]

Subject: Clarification regarding registration of contracts of cotton and cotton yarn with DGFT.

Through Notification No. 40 (RE-2010)/2009-14 dated 31.03.2011, export of **cotton yarn** was made "Free" subject to registration of export contracts with DGFT, for ITC(HS) Code 5205, 5206 & 5207. Procedure for registration of contracts of cotton yarn was notified through Policy Circular No. 27 of 01.04.2011. Thereafter, Trade Notice No. 19 dated 30.08.2011 stipulating procedure for imposition of penalty for failure to export cotton yarn in terms of Policy Circular No. 27 was issued.

- 2. Notification No. 62 (RE-2010)/2009-14 dated 02.08.2011, removed the cap on export of **cotton** for the remaining part of cotton year 2010-11 (October-September) and export of cotton (HS codes-5201 & 5203) was made "Free" subject to registration of export contracts with DGFT. Procedure for registration of contracts of cotton was notified through Notification No. 63 of 04.08.2011. This procedure continues for the current cotton year w.e.f. 01.10.2011 except that the performance guarantee is no longer required in terms of Notification No. 74 (RE-2010)/2009-14 dated 12.09.2011.
- 3. Many representations have been received from RAs and exporters seeking clarification about revalidation, cancellation or partial modifications of RCs as well as about imposition of penalty in case of failure to export full quantity of cotton and cotton yarn in terms of notifications/Policy Circulars referred above. The issues raised have been examined and accordingly the following clarifications are issued:

SNo.	Issues raised	Clarification
1	Can the request for cancellation of RCs issued for cotton prior to 01.08.2011 under following categories be accepted:  (i) Partially utilized  (ii) Completely unutilized alongwith request for RC with another buyer.  (iii) Completely unutilized and requested for surrender/cancellation of RCs.	Notification No. 63 of 04.08.2011 provided that RCs issued before 01.08.2011 would lose its validity unless revalidated. In view of above, requests for cancellation/ surrender of partially utilized/unutilized RCs issued prior to 01.08.2011 for export of cotton should be accepted by RAs without invoking any penalty clause.
2	Can the request for cancellation of RCs issued for cotton, cotton yarn and cotton waste under following categories be accepted:  (i) Partially utilized  (ii) Completely unutilized alongwith request for RC with another buyer.  (iii) Completely unutilized and requested for surrender/cancellation of RCs.	In terms of Notification No. 62 dated 02.08.2011, the exporters have the option to obtain more than one RCs. Therefore, in case any exporter wants to surrender some RCs as unutilized/partially utilized, a penalty of Rs. 10,000/- plus 1% of the FOB value of shortfall quantity in excess of allowance of -5% should be imposed.
3	A variation of -5% in weight against RCs for cotton yarn has been allowed. Whether the same is applicable for raw cotton and cotton waste also.	Yes. The same dispensation will also apply in case of cotton(HS codes 5201 & 5203). For RCs of cotton waste (HS code 5202) issued prior to 01.10.2011, the same will be applicable.
4	Can the request for (i) change in buyers detail be accepted. (ii) change in buyers name be accepted.	It is permitted with the condition that export has to be completed within the validity of RC.
5	Can the request for extension of RC validity period be accepted.	The validity of RCs shall remain 30 days. But, one time revalidation for 15

		days from the date of expiry of RCs can be permitted.
6	Whether the tolerance limit of -5% in weight for export of cotton be regularized if the firm pays a penalty of Rs. 10,000/- + 1% of the value of shortfall in excess of allowance of 5%.	Yes.
7	In case, RC application was made by the firm alongwith copy of FIRC or LC from a particular firm as a proof of receiving advance payment against the contract. But, when they submitted the proof of export, it is seen that they have not made the export to the assigned buyer as per RC. They have requested to clarify whether party should be insisted to explain why exports were not made to the assigned buyer from whom payment was received by way of FIRC/LC.	Many contracts are entered into with the foreign buyers having addresses in different countries whereas the consignee is of different countries. If such is the case, as per the contract and LC, then it should be accepted. But, in cases other than this penal provisions should be invoked.
8	In case of cotton yarn/yarn waste in the event of surrender of RC within validity or after expiry of validity, RC surrendered shall be treated as non-fulfillment of quantity and the case is to be regularized as per Trade Notice No. 19 dated 30.08.2011.	Such cases should be regularized by way of imposition of penalty.
9	Whether request for amendment of quantity (either enhancing or reducing) may be accepted.	The same is permitted with the condition that export has to be completed within the validity of RC.
10	Whether loading of cargo meant for export (by rail/road) at any place in the country within the validity of RC should be accepted as fulfillment of export.	No. The goods which have been physically handed over to the customs at relevant ports and Let Export Order (LEO) issued within the validity of RC can only be treated as fulfillment of export against RC.

4. This issues with the approval of Director General of Foreign Trade.

# U8.33 Cotton Yarn Incentives during Free Shipping Bills Period April-July 2011

[DGFT Policy Circular No. 52 dated 12<sup>th</sup> January 2012]

Subject: Filing of applications for DEPB in cases of exports made under "EPCG Shipping Bills" for items "Cotton yarn including Melange yarn" from 01.04.2011 to 04.08.2011 and 'Cotton' from 01.10.2010 to 04.08.2011.

Policy Circular No. 47 (RE 2010)/2009-2014 dated 08.11.2011 laid down the procedure to file the DEPB applications for 'Free Shipping Bills" for exports of 'Cotton Yarn including Melange Yarn' and 'Cotton'. Representations have been received from trade and industry with regard to exports made under the "EPCG Shipping Bills" for "Cotton yarn including Melange yarn" from 01.04.2011 to 04.08.2011 and 'Cotton' from 01.10.2010 to 04.08.2011. In such cases appropriate code for DEPB and EPCG could not be given as during the period DEPB was not available. For the making applications of DEPB in such cases, the following procedure is laid down:-

#### For Exporters:

- i) Hard copy of the application will be submitted to RA along with copy of EPCG Shipping Bill.
- (ii) An undertaking that he/she has not availed any duty exemption/neutralisation benefit on the exports made under this Shipping Bill.

#### For Regional Authorities (RAs):

- (i) The details of shipping bill number and date, EPCGand Customs Authority allowing exports will be given on the DEPB Scrip.
- (ii) The endorsement that this DEPB has been issued in Manual Mode.
- 2. Any difficulty in implementation of the aforesaid guidelines shall be brought to the notice of this Directorate immediately.
- 3. This issues with the approval of DGFT.

U8.34 No Revalidation of Exports will be Granted to RCs for both Cotton and Cotton Yarn - Policy Circular No. 61(RE-2010)/2009-14 dated the 1<sup>st</sup> May, 2012. See details in worldtradescanner.com.

### U8.35 Email Procedure for Cotton RCs

### [Ref: DGFT Trade Notice No. 01 dated 8th May 2012]

Subject- Procedure for obtaining cotton RC's

- 1. As per Notification No.113 dated 4<sup>th</sup> May, 2012 application for registration of contracts for export of raw cotton would be submitted to the 7 designated RAs namely: -Ahmadabad, CLA, New Delhi, Bangalore, Kolkata, Hyderabad, Chennai and Mumbai. To streamline the process of registration the following procedure would be adopted in addition to those provided in the Notification No.113 of 4<sup>th</sup> May 2012.
- (i) E-mail to precede the application

Each intending exporter has to first send an e-mail addressed to cottonexport-dgft@nic.in before filing hard copy of the application to the concerned RA for issue of RC. The subject header of this e-mail shall be "name of applicant / IE Code / quantity applied in bales (Quantity in Metric ton) / Name of the RA where application is being filed.

(for example if M/s. ABC exports (having IE Code: 1234567890) are intending to file an application at Ahmadabad for export of 1000 bales (equivalent fo 170 MT) of cotton then the subject header would be: "ABC exports / 1234567890 /1000 bales (170 MT) / Ahmadabad ")

- (ii) The content of the e-mail would be a brief description of where the exporter wants to export and such other details that he may like to give. But it must contain the name, address, telephone number and other contact details and IEC Number of the applicant;
- (iii) A printout of the e-mail sent to cottonexport-dgft@nic.in shall be enclosed to the hard copy of the application to be submitted to the RA in the proforma prescribed earlier in Notification No.63 dated 4<sup>th</sup> August 2011.
- (iv) If an exporter wants to export through multiple ports then it has the liberty to seek split RCs as long as the overall quantity limit is within the eligibility given in para 2 of the Notification No.113 dated 4<sup>th</sup> May 2012. Similarly for export to different buyer, split RC's can be issued within the overall entitlement of an applicant (for different ports or same port). For purpose of issue of the second and subsequent RC all the split RCs would be considered together because they all constitute "One RC" to start with.
- 2. Cooperation of all members of trade is solicited.
- U8.35 Payment Detail of LC or FIRC Must for Cotton RC Applications DGFT Trade Notice No. 02 dated 10<sup>th</sup> May 2012
- U8.36 Cotton Export to be Controlled on Actual Weight in Kg, with 170 kg Standard DGFT Trade Notice No. 03 dated 24<sup>th</sup> May 2012. See details in www.worldtradescanner.com.

# Nuclear Reactors, Boilers, Machinery and Mechanical Appliances; Parts thereof

Note 1 Vintage motor cars and vintage motor cycles including their parts and components are restricted. For details please see chapter 87.

# Chapter 87

# Vehicles other than Railway or Tramway Rolling Stock, and Parts and Accessories thereof

S.No.	Tariff Item HS Code	Unit	Item Description	Export Policy	Nature of Restriction
203	8703 21 10, 8703 21 91, 8703 22 10, 8703 22 91, 8703 23 91, 8703 24 10, 8703 24 91, 8407 33 10, 8407 34 10, 8706 00 11, 8706 00 39, 8707 10 00, 8708 10 90, 8708 29 00, 8708 39 00, 8708 40 00, 8708 50 00, 8708 60 00, 8708 70 00, 8708 80 00, 8708 91 00, 8708 92 00, 8708 93 00, 8708 94 00, 8708 99 00	u	Vintage motor cars, parts and components thereof manufactured prior to 1.1.1950	Restricted	Export permitted under licence
204	8711 00 00, 8407 31 10, 8407 32 10, 8407 33 20, 8714 11 00, 8714 19 00, 8714 91 00, 8714 92 90, 8714 93 90, 8714 95 90, 8714 99 90	u	Vintage Motorcycles, parts and components thereof manufactured prior to 1.1.1940	Restricted	Export permitted under licence

# Chapter 92

# **Musical Instruments Parts and Accessories of such articles**

Note 1 Value added products of red sanders wood in this chapter require a licence. For detail, see Chapter 44.

# Chapter 93

# Arms and Ammunition; Parts and Accessories thereof

S.No.	Tariff ItemHS Code	Unit	Item Description	Export Policy	Nature of Restriction
205	9302 00 00, 9303 00 00, 9306 21 00, 9306 29 00, 9306 30 00, 9306 90 00	u	Muzzle loading weapons	Free	(a) Licence under ArmsandRulesframed thereunder;     (b) Certificate from theArchaeologicalSurveyofIndiaCertifyingthat the firearms to beexported are not antiques/rarespecimensnormanufacturedinIndia prior to 1956;and     (c) Firearms to be exportedshall bear appropriate marks ofidentificationand proof tests
206	9303 10 00, 9306 21 00, 9306 29 00, 9306 30 00, 9306 90 00	u	Weapons and breachloading or bolt actionweapons such as shotguns, revolvers, pistols and their ammunition	Free	(a) Licence under ArmsandRulesframed thereunder;     (b) Certificate from theArchaeologicalSurveyofIndiacertifyingthat the firearms to beexported are not antiques/rarespecimensnormanufacturedinIndia prior to 1956;and     (c) Firearms to be exportedshall bear appropriate marks ofidentificationand proof tests

# Chapter 96

# **Miscellaneous Manufactured Articles**

S.No.	Tariff ItemHS Code	Unit	Item Description	Export Policy	Nature of Restriction
207	9602 00 30	Capsules, not inte	Empty Gelatin Capsules, not intended		Export of the item to EU, produced from Animal By-Products, is allowed subject to the following conditions:
			for human consumption		(i) A 'Shipment Clearance Certificate' is to be issued consignment-wise by CAPEXIL indicating details of the name and address of the exporter, address of the registered plant, IEC No. of the exporter, plant approval number, nature of export product, quantity, invoice number and date, port of loading (Name of the port) and destination.
					(ii) After the shipment is made, the exporter shall also provide 'Health Certificate' consignment-wise to the buyer giving details of vessel name, shipping bill number with date, production process, etc. as per the regulations stipulated by EC (EU) from time to time. The Certificate would be issued jointly by CAPEXIL and Regional Animal Quarantine Officer, Department of Animal Husbandry, Dairying and Fisheries, Ministry of Agriculture. Government of India.

# Chapter 97

# Works of Art, Collectors' Pieces and Antiques

S.No.	Tariff ItemHS Code	Unit	Item Description	Export Policy	Nature of Restriction
208	9705 00 90,	u	Replicas of antique	Free	Certificate from the District Magistrate concerned/Commissioner of Police under whose
	9706 00 00		weapons		jurisdiction the replica has been manufactured and has been rendered innocuous.